WHITE PAPER

on Improving Patient Outcomes,

Addressing Treatment Caused Trauma & Injuries,

Enhancing Patient Rights, and Grievance Procedures

for the Report Required by

§ 36 of CH 41 SLA 2022 (HB172)

April 2023 Addenda, May 2023

Anchorage, Alaska

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I. EXECUTIVE SUMMARY

On July 15, 2022, Governor Dunleavy signed HB172 into law as Chapter 41 Session Laws of Alaska 2022 (<u>Legislation</u>), which at Section 36 requires the Department of Health, Department of Family and Community Services, and the Alaska Mental Health Trust Authority to report on, among other things, improving psychiatric patient outcomes, institutional trauma, enhancing patient rights, the grievance process, and patient injuries (Report).

If the fundamental purpose of the mental health system is to improve the lives of psychiatric patients it is failing miserably. That the State does not keep track of institutional trauma and patient complaints, and has no legitimate grievance process are illustrations of the lack of commitment to improving patients' lives.

The mental health system's standard treatments are counterproductive and harmful, and often forced on unwilling patients. The overreliance on psychiatric drugs is reducing the recovery rate of people diagnosed with serious mental illness from a possible 80% to 5% and reducing their life spans by 20 years or so. Psychiatric incarceration, euphemistically called "involuntary commitment," is similarly counterproductive and harmful, adding to patients' trauma and massively associated with suicides. Harmful psychiatric interventions are being imposed on people by judges in proceedings where the facts about treatments and their harms are not being presented by appointed counsel, rendering the proceedings shams.

Court proceedings to psychiatrically incarcerate people on the grounds it is necessary to protect other people from harm should be eliminated; predictions of violence are not accurate and no one else besides someone who receives a psychiatric diagnosis is incarcerated for something they might do in the future. Court proceedings to psychiatrically drug people against their will on the grounds it is in their best interest should be eliminated. They are not in people's best interest if unwanted. "If it is not voluntary it is not treatment." If such proceedings are nonetheless held, they should be conducted in a legitimate manner.

The most important elements for improving patients' lives are People, Place and Purpose. People—even psychiatric patients—need to have a safe place to live (Place), relationships (People), and to have activity that is meaningful to them, usually school or work (Purpose). People need to be given hope these are possible. Voluntary approaches that improve people's lives should be made available instead of the currently prevailing counterproductive and harmful psychiatric drugs for everyone, forever regime often forced on people. These approaches include Non-Police Community Response Teams, Peer Respites, Soteria Houses, Drug-Free Hospitals, Healing Homes, Warm Lines, Hearing Voices Network, and emotional CPR (eCPR).

By implementing these approaches, Alaska's mental health system can move towards the 80% possible recovery rate.

As bad as it is for adults, the psychiatric incarceration and psychiatric drugging of children and youth is even more tragic and should be stopped. Instead, children and youth should be helped to manage their emotions and become successful, and their parents should be given support and assistance to achieve this.

II. INTRODUCTION

HB172 was passed by the 32nd Alaska Legislature on May 17, 2022, and signed by the Governor on July 15, 2022, becoming Chapter 41 Session Laws of Alaska 2022 (Legislation). The Legislation was enacted to comply with a settlement over a successful lawsuit brought against the State of Alaska for illegally confining people for extended periods of time in correctional facilities and emergency rooms awaiting admission to the Alaska Psychiatric Institute (API) for court ordered psychiatric evaluations (Settlement). The Settlement required, among other things, that the State seek legislative approval to implement a program called "Crisis Now," whose three core elements are (1) a high tech crisis call center, (2) Twenty-four hour a day, seven days a week mobile crisis teams, and (3) crisis stabilization facilities.³

Section 36 of the Legislation requires the Department of Health, the Department of Family and Community Services (collectively, State), and the Alaska Mental Health Trust Authority (Trust) to submit a joint report to the legislature one year after the effective date of the Legislation (Report or HB172 Report), that must:

- (1) include an assessment of the current state, federal, and accrediting body requirements for psychiatric patient rights, including <u>patient grievance and appeal policies and procedures</u>; the assessment must address the adequacy of these policies and procedures and the practical challenges patients face in availing themselves of these rights;
- (2) identify and recommend any additional changes to state statutes, regulations, or other requirements that could <u>improve patient outcomes</u> and <u>enhance patient rights</u>, including items that could be added to AS 47.30.825, particularly involving involuntary admissions, involuntary medications, and <u>the practical ability of patients to avail themselves of their rights</u>;
- (3) assess and recommend any needed changes to current processes for data collection and reporting of patient grievances and appeals, patient reports of harm and restraint, and the resolution of these matters and provide recommendations for making this information available to the public; and
- (4) identify methods for collecting and making available to the legislature and the general public statistics recording
 - (A) the number, type, and cause of <u>patient</u> and staff <u>injuries</u>;
 - (B) the number, type, and resolution of patient and staff complaints; and
 - (C) the number, type, and cause of traumatic events experienced by a patient; in this subparagraph, "traumatic event" means being administered

² Alaska Superior Court. (2020). Final Judgment. Disability Law Center of Alaska v. State. Case No. 3AN-18-9814 CI.

¹ Chapter 41 SLA 22 [HB172]. (2022).

³ National Association of State Mental Health Program Directors (NASMHP). <u>"Crisis Now: Transforming Crisis Services"</u> (website). Accessed 16 Apr 2023.

medication involuntarily or being placed in isolation or physical restraint of any kind.

(emphases added)

The State and the Trust published *Crisis Stabilization in Alaska: Understanding HB172* to explain the Legislation.⁴

This White Paper⁵ provides input for the required Report to the Legislature, focusing on improving patient outcomes, enhancing patient rights, having an effective and legitimate grievance process, and addressing patient injuries and treatment-caused trauma. These are all interrelated. For example, providing proven, effective alternatives to psychiatric incarceration⁶ and psychiatric drugs, such as Peer Respites, Soteria Houses, and Open Dialogue, will enhance patients' rights to these less restrictive and intrusive alternatives. Eliminating force against patients will improve patient outcomes by dramatically reducing treatment caused trauma and psychiatric incarceration associated suicide. By taking these steps, the Alaska's mental health program can move towards the possible 80% recovery rate.

⁴ Alaska Department of Health and Social Services. (2022). <u>Crisis Stabilization in Alaska: Understanding HB172 —</u> <u>Frequently Asked Questions.</u>

⁵ White Paper is defined by Oxford Languages as "a government or other authoritative report giving information or proposals on an issue."

⁶ The term *psychiatric incarceration* is used because it is an honest description. In fact the definition of *immate* is, "A resident of a dwelling that houses a number of occupants, especially a person confined to an institution, such as a prison or hospital." (The American Heritage Dictionary, 4th Ed., emphasis added). Euphemisms such as *involuntary commitment* obscure the true nature of what is being done to people in the name of their mental health.

III. IMPROVING PATIENT OUTCOMES

The Ubiquitous Use of Psychiatric Drugs

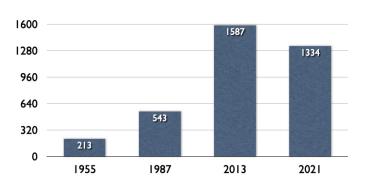
It is fairly universally accepted that America's mental health system is a failure, especially regarding what has been accomplished with the most noteworthy feature of psychiatric treatment since the 1950s and exponentially so since the early 1980s, psychiatric drugs. Alaska's mental health system is no exception. At great public expense, our system's ubiquitous deployment of psychiatric drugs, including through court orders against unwilling patients, often by holding them down and injecting them against their will, or threatening to do so to obtain "compliance," dramatically worsens outcomes and suffering.

Since the introduction of the so-called miracle drug Thorazine (chlorpromazine) in the mid-1950s the disability rate of people diagnosed with serious mental illness has increased more than sixfold.⁷

The Disabled Mentally III in the United States, 1955-2021

(under government care)

Per 100,000 population



Source: Silverman, C. The Epidemiology of Depression (1968): 139. U.S. Social Security Administration Reports,

It seems likely at least some of the increase after 1987 was because people were thrown off welfare under the "welfare to work" legislation passed in 1996, and had to be certified as disabled to

⁷ The charts in this paper are from award winning journalist Robert Whitaker, author of <u>Anatomy of an Epidemic</u> (2010) and <u>Mad in America</u> (2002) including his July 16, 2021, talk to the Soteria Network in the UK, "<u>Soteria Past</u>, <u>Present</u>, and <u>Future</u>: The <u>Evidence For This Model of Care</u>." This one hour talk is highly recommended.

⁸ Personal Responsibility and Work Opportunity Reconciliation Act of 1996.

continue to receive financial assistance. The decrease since 2013 is in large part likely due to states making it harder to qualify for disability payments, which in turn may very well have increased the number of homeless people considered mentally ill.

We now see a recovery rate of only 5% for people diagnosed with schizophrenia who are maintained on neuroleptics, the family of chlorpromazine-like drugs. No less an authority than Thomas Insel, who for 12 years was Director of the National Institute of Mental Health (NIMH) frankly stated in 2009 and repeatedly thereafter, "despite five decades of antipsychotic medication and deinstitutionalization, there is little evidence that the prospects for recovery have changed substantially in the past century."

This is far worse than anything seen before the advent of the neuroleptics in the mid-1950s.

Outcomes in Select Studies from Pre-Antipsychotic Era

Study	Time	Good Outcome*
York Retreat	1796-1811	70%
Worcester Asylum	1833-1846	65%
Pennsylvania Hospital	1841-1882	45% to 70%
Warren State Hospital	1946-1950	73%
Delaware Hospital	1948-1950	70%
Boston Psychopathic Hospital	1947-1952	76%
Norway	1948-1952	63%
California FEP study	1956 (no neuroleptics)	88%

^{*} Good outcome = discharge from hospital, or living in community at end of study period

Yet if we try to avoid the use of neuroleptics when people experience their first psychotic break a nearly 80% recovery rate can be achieved. The following chart shows results from the "Open Dialogue" program in Northern Finland in which the use of neuroleptics is avoided if possible.¹¹

⁹ Harrow, Martin; & Jobe, Thomas H. (2007). <u>"Factors Involved in Outcome and Recovery in Schizophrenia Patients Not on Antipsychotic Medications: A 15-Year Multifollow-Up Study."</u> *Journal of Nervous and Mental Disease* 195(5): 406–414. Neuroleptics are marketed as "antipsychotics" even though they don't have specific anti-psychotic effects.

¹⁰ Insel, Thomas R. (2009). "Translating Scientific Opportunity Into Public Health Impact: A Strategic Plan for Research on Mental Illness." Archives of General Psychiatry 66(2): 128–133.

¹¹ Seikkula, Jaakko, et al. (2006). "Five-Year Experience of First-Episode Nonaffective Psychosis in Open-Dialogue Approach: Treatment Principles, Follow-Up Outcomes, and Two Case Studies." Psychotherapy Research 16(2): 214–228.

Open Dialogue in Northern Finland

(Results for First-Episode Patients at Five Years)

Patients (N = 75)				
Schizophrenia (N = 30) Other psychotic disorders (N = 45)				
Antipsychotic Use				
Never exposed to antipsychotics Occasional use during five years Ongoing use at end of five years	67% 33% 20%			
Psychotic Symptoms				
Never relapsed during five years Asymptomatic at five-year followup	67% 79%			
Functional Outcomes at Five Years				
Working or in school Unemployed On disability	73% 7% 20%			

Source: J. Seikkula. "Five-year experiences of first-episode nonaffective psychosis in open-dialogue approach." Psychotherapy Research 16 (2006): 214-28.

Similar results were achieved during the Soteria-House study in the 1970s conducted by Loren Mosher, MD, then Chief of Schizophrenia Research at the NIMH:

Soteria-House Study

At six weeks, psychopathology reduced comparably in both groups.

At two years:

- Soteria patients had better psychopathology scores
- Soteria patients had fewer hospital readmissions
- Soteria patients had higher occupational levels
- Soteria patients were more often living independently or with peers

Antipsychotic use in Soteria patients:

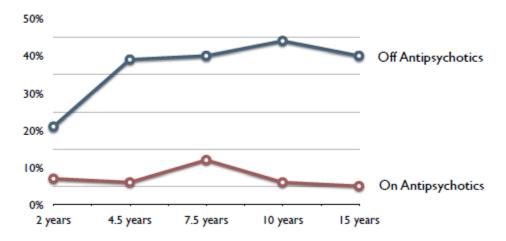
- 76% did not use antipsychotic drugs during first six weeks
- 42% did not use any antipsychotic during two-year study
- Only 19% regularly maintained on drugs during follow-up period

Mosher (1999). <u>I Nerv Ment Dis 187(3):142–149</u> Bola & Mosher (2003). <u>I Nerv Ment Dis 191(4): 219–229</u>

Moreover, the recovery rate of people who get off of neuroleptics after they have been on them goes from 5% to 40%.

While this is 8 times better than staying on them (40% vs. 5%), it is half of what can be achieved by avoiding the use of neuroleptics in the first place, as established by the Open Dialogue and Soteria House studies, both of which achieved close to an 80% recovery rate. This demonstrates the importance of avoiding the use of neuroleptics in the first place. In addition to their lives being so much better, allowing 16 times more people to recover not only saves a tremendous amount of treatment expense, it converts people who would otherwise be receiving life-long publicly paid services and transfer payments into productive, taxpaying citizens.

Long-term Recovery Rates for Schizophrenia Patients



Source: Harrow M. "Factors involved in outcome and recovery in schizophrenia patients not on antipsychotic medications." Journal of Nervous and Mental Disease 195 (2007):406-14.

In this 15-year longitudinal study Harrow and Jobe reported the recovery rate for schizophrenia patients off medication was eight times higher than for those who stayed on the drugs. ¹⁴ This is such a striking result, they theorized the less severely disturbed schizophrenia patients were more likely to stop taking neuroleptics, and this was the reason for the much higher recovery rate for those off neuroleptics, not necessarily that they worsened outcome. Thus, they continued to analyze their data over the next years and followed it to a far different conclusion. Five years later, they reported the schizophrenia patients not on neuroleptics for prolonged periods were significantly less

¹² While there might not be a 100% overlap between the 80% who recovered and the 80% who were not taking the neuroleptics long term, clearly minimizing the use of the neuroleptics produces dramatically better outcomes.

¹³ The best book to understand the impact of psychiatric drugs in general, not just the neuroleptics, is <u>Anatomy of an Epidemic: Magic Bullets, Psychiatric Drugs, and the Astonishing Rise of Mental Illness in America</u> (2010) by Robert Whitaker, from whose work this section is largely drawn.

¹⁴ Harrow, Martin; & Jobe, Thomas H. (2007). "Factors Involved in Outcome and Recovery in Schizophrenia Patients Not on Antipsychotic Medications: A 15-Year Multifollow-Up Study." Journal of Nervous and Mental Disease 195(5): 406–414.

likely to be anxious or psychotic, more likely to have higher levels of cognitive functioning, and more likely to have periods of recovery.¹⁵

A year later they reported the potential harm of antipsychotics by noting poor outcomes as a result of stopping neuroleptics are likely to be temporary chemical withdrawal effects, not a resumption of schizophrenia. They further highlighted that while many patients with less severe symptoms who got off neuroleptics had favorable outcomes, there were also patients with less severe symptoms who stayed on neuroleptics for prolonged periods with no favorable outcomes.

In 2014, they focused on a comparison of patients who remained on antipsychotics permanently and those who stopped taking them. They found 70% of those remaining on the drug were actively psychotic while those who stopped taking them experienced less psychosis, concluding "After the first few years, antipsychotic medications do not eliminate or reduce the frequency of psychosis in schizophrenia, or reduce the severity of post-acute psychosis."¹⁷

In 2017, they addressed the effect of neuroleptics on patients' ability to work. They found, similarly to the previous study, that patients who stayed on neuroleptics had worse work history than those who stopped taking them. This was true regardless of severity of symptoms at baseline. In fact, the work history in the bad prognosis group who stopped taking neuroleptics was superior to the work history in the good prognosis group who continually took neuroleptics.¹⁸

In 2018 and 2022, they reiterated their previous findings in response to the continued claim by the orthodoxy that less symptom severity was the cause of better outcomes for those off drugs. ¹⁹ They again showed that when comparing outcomes for medicated and unmedicated patients in both the "good prognosis" and "bad prognosis" cohorts, those patients not on neuroleptics for 15–20 years had fewer symptoms and better outcomes in the long term. Further, they emphasized how the initial poor results of coming of the drugs were likely due to drug withdrawal effects, not schizophrenia returning.

In addition to dramatically reducing the recovery rate, the ubiquitous use of psychiatric drugs is extremely harmful physically, reducing lifespans by 20 years or so.²⁰ In a given time period the

¹⁵ Harrow, Martin; Jobe, Thomas H.; & Faull, Robert N. (2012). "Do All Schizophrenia Patients Need Antipsychotic Treatment Continuously Throughout Their Lifetime? A 20-Year Longitudinal Study." Psychological Medicine 42(10): 2145–2155.

¹⁶ Harrow, Martin; & Jobe, Thomas H. (2013). "Does Long-Term Treatment of Schizophrenia With Antipsychotic Medications Facilitate Recovery?" Schizophrenia Bulletin 39(5): 962–965.

¹⁷ Harrow, M.; Jobe, T. H.; & Faull, R. N. (2014). "Does Treatment of Schizophrenia With Antipsychotic Medications Eliminate or Reduce Psychosis? A 20-Year Multi-Follow-up Study." Psychological Medicine 44(14): 3007–3016.

¹⁸ Harrow, Martin, et al. (2017). "A 20-Year Multi-Followup Longitudinal Study Assessing Whether Antipsychotic Medications Contribute to Work Functioning in Schizophrenia." *Psychiatry Research* 256: 267–274..

¹⁹ Harrow, Martin; & Jobe, Thomas H. (2018). "Long-Term Antipsychotic Treatment of Schizophrenia: Does it Help or Hurt Over a 20-Year Period?" World Psychiatry 17(2): 162–163; Harrow, Martin; Jobe, Thomas H; & Tong, Liping. (2022). "Twenty-Year Effects of Antipsychotics in Schizophrenia and Affective Psychotic Disorders." Psychological Medicine 52(13): 2681–2691.

²⁰ Gøtzsche, Peter C. (2015), <u>Deadly Psychiatry and Organized Denial</u>, p. 165, et. seq. (Copenhagen: People's Press). See also Parks, Joe, et al. (2006), <u>Morbidity and Mortality in People With Serious Mental Illness</u> (Alexandria, VA: National Association of State Mental Health Program Directors). The report documents mortality in people diagnosed with serious mental illness in the public mental health system has accelerated to the point where they are now dying 25 years earlier than the general population. The report does not attribute this to psychiatric drugs, but it is clear the major change is the advent of the second generation neuroleptics, and the great increase in polypharmacy.

relative risk of dying increases markedly with the number of neuroleptics the person takes.²¹ Neuroleptic users have an increased risk of cardiac mortality, all-cause mortality, and sudden cardiac death compared to psychiatric patients not taking them.²² People prescribed even moderate doses of neuroleptics have large relative and absolute increases in the risk of sudden cardiac death.²³

Citing Robert Whitaker's 2002 book, *Mad in America*, Gøtzsche, recently wrote about the drug companies hiding large numbers of deaths in their clinical trials of neuroleptics:

One in every 138 patients who entered the trials for newer neuroleptics died, but none of these deaths were mentioned in the scientific literature, and the FDA didn't require them to be mentioned. Many patients killed themselves, and the suicide rate was two to five times the usual rate for patients with schizophrenia. A major reason was drug-withdrawal akathisia.²⁴

Appendix A, "The Science of" by David Healy, MD, discusses the unreliability of published studies and why access to the patient level documentation as well as the patients in the clinical trials themselves, is necessary to determine the truth about the trials.

The result of introducing more and more psychiatric drugs is the worsening mortality of schizophrenia patients over time, which Robert Whitaker of *Mad in America* recently summarized:

Standard mortality rates (SMRs) tell of the higher mortality rates for patient groups compared to the general population. For instance, a standard mortality rate of 2 for schizophrenia patients means that they are twice as likely to die over a set period than the general population. SMRs for schizophrenia and bipolar patients have worsened over the last 50 years.

In 2007, <u>Australian researchers</u> conducted a systematic review of published reports of mortality rates of schizophrenia patients in 25 nations. They found that the SMRs for "all-cause mortality" rose from 1.84 in the 1970s to 2.98 in the 1980s to 3.20 in the 1990s.

Here is a summary of the increase in SMRs for the seriously mentally ill from various studies:

²¹ Joukamaa, Matti, et al. (2006). "Schizophrenia, Neuroleptic Medication and Mortality." British Journal of Psychiatry 188(2): 122–127.

Murray-Thomas, Tarita, et al. (2013). "Risk of Mortality (Including Sudden Cardiac Death) and Major Cardiovascular Events in Atypical and Typical Antipsychotic Users: A Study With the General Practice Research Database." Cardiovascular Psychiatry and Neurology 2013: 247486.

²³ Ray, Wayne A., et al. (2001). "Antipsychotics and the Risk of Sudden Cardiac Death." Archives of General Psychiatry 58(12): 1161–1167.

²⁴ Gøtzsche, Peter C. (25 Feb 2023). "A New Paradigm for Testing Psychiatric Drugs is Needed." Mad in America.

All-Cause Mortality Among The Seriously Mentally III

Investigator	Patient Population	Country	Time Period	Standardized Mortality Rate
Saha	Schizophrenia	Global	1970s-1990s	2.98
Joukamaa	Schizophrenia	Ireland	1978-1995	l antipsychotic = 2.97 2 antipsychotics = 3.21 3 antipsychotics = 6.83
Tiihonen	Schizophrenia/ Schizoaffective	Finland	1995-2004	4.5
Olson	Schizophrenia	United States	2001-2007	3.7
Torniainen	Schizophrenia	Sweden	2006-2010	4.8

In 2017, <u>UK investigators</u> reported that the SMR for bipolar patients had risen steadily from 2000 to 2014, increasing by 0.14 per year, while the SMR for schizophrenia patients had increased gradually from 2000 to 2010 (0.11 per year) and then more rapidly from 2010 to 2014 (0.34 per year.) "The mortality gap between individuals with bipolar disorders and schizophrenia, and the general population, is widening," they wrote.

Long-term use of antidepressants has also been found to be associated with increased morbidity and mortality.²⁵

In addition to the neuroleptics killing people due to direct physical harm, such as cardiac arrest and diabetes, they dramatically increase the suicide rate, ²⁶ as do the so-called antidepressants, ²⁷ antiseizure/anti-epileptic drugs marketed as "mood stabilizers, ²⁸ and benzodiazapines. ²⁹ Also, as

²⁵ Whitaker, Robert. (6 Apr 2023). "<u>Answering Awais Aftab: When it Comes to Misleading the Public, Who is the Culprit?</u>" *Mad in America*, citing Saha, Sukanta; Chant, David; & McGrath, John. (2007). "<u>A Systematic Review of Mortality in Schizophrenia: Is the Differential Mortality Gap Worsening Over Time?" *Archives of General Psychiatry* 64(10): 1123–1131.</u>

²⁶ Lehmann, Peter. (2012). <u>"About the Intrinsic Suicidal Effects of Neuroleptics: Towards Breaking the Taboo and Fighting Therapeutic Recklessness."</u> *International Journal of Psychotherapy* 16(1): 30–49; Whitaker, Robert. (2 May 2020). <u>"Do Antipsychotics Protect Against Early Death? A Review of the Evidence."</u> *Mad in America*; Healy, David, et al. (2006). <u>"Lifetime Suicide Rates in Treated Schizophrenia: 1875–1924 and 1994–1998 Cohorts Compared."</u> *British Journal of Psychiatry* 188(3): 223–228.

²⁷ Healy, David; & Aldred, Graham. (2005). "Antidepressant Drug Use & the Risk of Suicide." International Review of Psychiatry 17(3): 163–172; Hengartner, Michael P.; & Plöderl, Martin. (2019). "Newer-Generation Antidepressants and Suicide Risk in Randomized Controlled Trials: A Re-Analysis of the FDA Database." Psychotherapy and Psychosomatics 88(4): 247–248; Hengartner, Michael P.; & Plöderl, Martin. (2019). "Reply to the Letter to the Editor: Newer-Generation Antidepressants and Suicide Risk: Thoughts on Hengartner and Plöderl's Re-Analysis"." Psychotherapy and Psychosomatics 88(6): 373–374; Fergusson, Dean, et al. (2005). "Association Between Suicide Attempts and Selective Serotonin Reuptake Inhibitors: Systematic Review of Randomised Controlled Trials." BMJ 330,7488: 396.

²⁸ Britton, Jeffery W; & Shih, Jerry J. (2010). "Antiepileptic Drugs and Suicidality." Drug. Healthcare and Patient Safety
2: 181–189; Food and Drug Administration, Center for Drug Evaluation and Research. (2008). <u>Statistical Review and Evaluation: Antiepileptic Drugs and Suicidality</u>. As a result, the FDA requires the labels for these drugs to carry the warning

discussed in the next section, psychiatric incarceration itself is associated with a massive increase in suicides. This is just as much treatment caused mortality as the drugs.

While some people find these drugs helpful, on the whole, they are harmful and counterproductive, dramatically reducing recovery rates and life spans. Forcing them on people is an abomination.

Treatment Should Be Voluntary

In addition to eliminating the over-reliance on counterproductive and harmful drugs, forced psychiatric interventions should be eliminated. They are human rights violations prohibited by international law.

Under Articles 12 and 14 of the United Nations (UN) Convention on the Rights of Persons with Disabilities (CRPD), ³⁰ governments are prohibited from denying people decision-making authority, from confining people, or administering any other psychiatric intervention on the basis of a disability, including being diagnosed with a mental illness. Because there was a general misunderstanding of the scope of Article 12 of the CRPD, the United Nations Committee on the Rights of Persons with Disabilities issued General Comment No. 1 (2014) to clarify that taking away someone's decision making rights and forced psychiatric treatment are prohibited. ³¹ See also Guidelines on the right to liberty and security of persons with disabilities (the practice of detaining people on the grounds of actual or perceived impairment provided there are other reasons including that they are deemed dangerous to themselves or others is incompatible with article 14). ³²

The UN has repeatedly stated such unwanted psychiatric interventions can amount to torture.³³

The CRPD was signed by President Obama in 2009, but has not been ratified by the United States Senate. Nonetheless, Alaska's mental health system should stop violating international law.

Alaska's mental health system should stop violating international law.

[&]quot;Antiepileptic drugs, including increase the risk of suicidal thoughts or behavior." See the FDA labels for Neurontin (gabapentin), and Lyrica (pregabalin).

²⁹ Dodds, Tyler J. (2017). "Prescribed Benzodiazepines and Suicide Risk: A Review of the Literature." Primary Care Companion for CNS Disorders 19(2): 16r02037.

³⁰ United Nations General Assembly. (2006). <u>Convention on the Rights of Persons With Disabilities (CRPD)</u>. A/RES/61/106. New York: United Nations.

³¹ UN Committee on the Rights of Persons with Disabilities (11th Session). (2014). "General Comment No. 1 (2014): Article 12, Equal Recognition Before the Law." CRPD/C/GC/1. Geneva: United Nations.

³² UN Committee on the Rights of Persons with Disabilities. (2017). "Guidelines on the Right to Liberty and Security of Persons With Disabilities." In <u>Report of the Committee on the Rights of Persons With Disabilities (13th Through 16th Sessions (2015–2016)</u>, pp. 16–21. A/72/55. Geneva: United Nations.

³³ UN Human Rights Council. (19 Jun 2020). "Mental Health and Human Rights: Resolution 43/13 Adopted 19 June 2020." A/HRC/RES/43/13. Geneva: United Nations; UN Human Rights Council. (20 Mar 2020). <u>Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment: Report of the Special Rapporteur</u>. A/HRC/43/49. Geneva: United Nations; Méndez, Juan E. (4 Mar 2013). "Statement By Mr. Juan E Méndez, Special Rapporteur on Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment, 22nd Session of the Human Rights Council of the United Nations." Geneva: United Nations. See also the related <u>Report of the Special Rapporteur on Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment, Juan E. Méndez</u>. A/HRC/22/53. Geneva: United Nations.

Many effective and non-coercive services exist for the treatment of psychiatric patients. Unfortunately, even backed by scientific evidence such programs have not been brought to scale and therefore not widely available. They are psychosocially focused; not medically focused, and not coercive. While they differ because they have been developed within different geographical and cultural contexts, they share the following values:

- 1. Voluntariness and **informed** choice.
- 2. Relationships as the first line of treatment.
- 3. Respect for the individual and their life experience.
- 4. Emphasizing community inclusion (continuing to participate as student, worker, family member).

While the Legislation was ultimately supported by Gottstein and not opposed by Myers because it was a potential improvement over the current system of calling police and bringing people to the psychiatric emergency room or hospital in handcuffs, that part of the Crisis Now approach consisting of psychiatric incarceration is harmful and counterproductive.

A tenet of the Mental Health Consumer/Psychiatric Survivor movement (Consumer/Survivor Movement) is, "If it is not voluntary it is not treatment." Dr. Loren Mosher <u>testified</u> at the trial in *Myers v. Alaska Psychiatric Institute*³⁴ that involuntary treatment should be difficult to implement and should be used only in the direct of circumstances. He continued:

[I]n the field of psychiatry, it is the therapeutic relationship which is the single most important thing ... Now, if because of some altered state of consciousness, somebody is about to do themselves grievous harm or someone else grievous harm, well then, I would stop them in whatever way I needed to ... In my career I have never committed anyone ... I make it my business to form the kind of relationship [through which the mentally ill person and I] can establish a[n] ongoing treatment plan that is acceptable to both of us.³⁵

Unwanted psychiatric interventions are violence perpetrated against the patient. Restraining psychiatric patients, pulling down their pants and injecting them with psychiatric drugs they do not want is violence, justified on the grounds patients don't know what is good for them. Patients protesting what is true—that the drugs hurt them and do not help—are said to be delusional and the statements cited to prove they "lack insight" and should be drugged against their will. That this occurs every day does not make it right.

Forced psychiatric interventions are not for the benefit of patients; they are to manage troublesome people.

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³⁴ Myers v. Alaska Psychiatric Institute. 138 P.3d 238 (Alaska 2006).

³⁵ In the Matter of F.M. Transcript of proceedings (March 5 and March 10, 2003), p. 177. Anchorage Superior Court, Case No. 3AN-02-00277 CI.

³⁶ Tasch, Gail; & Gøtzsche, Peter C. (2023). "Systematic Violations of Patients' Rights and Safety: Forced Medication of a Cohort of 30 Patients in Alaska." Psychosis: 1–10; Gøtzsche, Peter C; & Sørensen, Anders. (2020). "Systematic Violations of Patients' Rights and Safety: Forced Medication of a Cohort of 30 Patients." Indian Journal of Medical Ethics 5(4): 312–318.

[The] coercive function is what society and most people actually appreciate most about psychiatry. That families and other people in crisis can call upon the police to restrain someone acting in a seemingly incomprehensible or dangerous way and have that person taken by force to a place run by psychiatrists is truly where psychiatry as a profession distinguishes itself.³⁷

Inpatient Hospitalizations Associated with Astronomically Higher Suicide Rates

Similarly, the idea people need to be psychiatrically incarcerated to keep them from harming themselves is directly challenged by suicides dramatically increasing following hospitalization. For example, a 2019 study concluded: "Among patients recently discharged from psychiatric hospitalization, rates of suicide deaths and attempts were far higher than...in unselected clinical samples of comparable patients." 38

Another study of all suicides in Denmark between 1981 and 1997 found the risk of suicide 102 times higher for men and 246 times higher for women in the first week after discharge (compared to hundreds of thousands of control subjects matched for age, sex, and calendar time of suicide). These rates decline the longer someone is hospitalized and after discharge, but still greatly exceed what would be expected.³⁹

Gøtzsche describes another Danish study in his 2015 book, *Deadly Psychiatry and Organized Denial*:⁴⁰

The fact that forced treatment can be fatal was recently underlined in a Danish register study of 2,429 suicides. ⁴¹ It showed that the closer the contact with psychiatric staff — which often involves forced treatment — the worse the outcome. Compared to people who had not received any psychiatric treatment in the preceding year, the adjusted rate ratio for suicide was six for people receiving only psychiatric medication, eight for people with psychiatric outpatient contact, 28 for people with psychiatric emergency room contacts, and 44 for people who had been admitted to a psychiatric hospital. Patients admitted to hospital would of course be expected to be at greatest risk of suicide because they were more ill than the others (confounding by indication), but the findings were robust and most of the potential biases in the study were actually conservative, i.e. favoured the null hypothesis of there being no relationship. An accompanying editorial noted that there is little doubt that suicide is related to both stigma and trauma and that it is entirely plausible

³⁷ Cohen, David. (21 Oct 2014). "It's the Coercion, Stupid!" Mad in America. See also Kirk, Stuart A.; Gomory, Tomi; & Cohen, David. (2017). <u>Mad Science: Psychiatric Coercion, Diagnosis, and Drugs</u>. New York: Routledge.

³⁸ Forte, Alberto, et al. (2019). "Suicidal Risk Following Hospital Discharge: A Review." Harvard Review of Psychiatry 27(4): 209–216.

³⁹ Qin, Ping; & Nordentoft, Merete. (2005). <u>"Suicide Risk in Relation to Psychiatric Hospitalization: Evidence Based on Longitudinal Registers."</u> Archives of General Psychiatry 62(4): 427–432.

⁴⁰ Gøtzsche, Peter C. (2015). *Deadly Psychiatry and Organized Denial*. Copenhagen: People's Press.

⁴¹ Hjorthøj, Carsten Rygaard, et al. (2014). "Risk of Suicide According to Level of Psychiatric Treatment: A Nationwide Nested Case—Control Study." Social Psychiatry and Psychiatric Epidemiology 49(9): 1357–1365.

that the stigma and trauma inherent in psychiatric treatment — particularly if involuntary — might cause suicide.⁴² The editorialists believed that a proportion of people who commit suicide during or after an admission to hospital do so because of conditions inherent in that hospitalisation.

Thus, the notion someone should be psychiatrically incarcerated to prevent suicide is fallacious, even ridiculous.⁴³ If the best society has to offer someone grappling with a life-and-death decision is to remove their agency and lock them up until they say what others want to hear, then it is easy to imagine why people would lose faith in society's ability to help them, and be more likely to commit suicide as soon as they are released.

The Trauma of Forced Drugging

In addition to the other state-sanctioned violence inflicted on psychiatric inmates, forcing unwanted psychiatric drugs into a patient (forced drugging), especially when the patient is knowledgeable about their counterproductive and harmful effects, is traumatic, often extremely so. The Legislation explicitly recognizes this in §36(a)(4)(C), by defining "traumatic event" to include "being administered medication involuntarily."

Even when a patient agrees to take the drug(s), they are not giving informed consent because they are not informed about the likely or common outcomes of taking the drugs. While some states have changed this, at common law, failure to obtain <u>informed</u> consent constitutes a battery.⁴⁴ Again, this recognizes forced drugging is violence perpetrated against the patient.

The Legislation prohibits the Crisis Stabilization and Crisis Residential Centers from administering psychiatric drugs except in an emergency under AS 47.30.838. That statute has very strict requirements, including it can only be used when immediate use of the drug(s) is required "to preserve the life of, or prevent significant physical harm to, the patient or another person." It can be safely assumed that without a vigorous enforcement mechanism, preferably through effective representation of patients, these strict requirements will be ignored. Sadly, such enforcement is unlikely without a major change in the Public Defender Agency's attitude. Instead of seeking to vindicate their clients' rights, the public defenders often join with the assistant attorney general to evade AS.47.30.838 by stipulating (agreeing) their clients can be drugged against their will under the emergency provisions of AS 47.30.838 without complying with the statutory requirements. This is an ethics violation by the public defenders and should stop immediately. The problem with ineffective representation is discussed more generally below.

In Chapter 11 of Gottstein's book, <u>The Zyprexa Papers</u>, there is an example of a psychiatrist at API improperly invoking the emergency drugging statute without having any idea of the law's

⁴² Large, Matthew M.; & Ryan, Christopher J. (2014). "Disturbing Findings About the Risk of Suicide and Psychiatric Hospitals." Social Psychiatry and Psychiatric Epidemiology 49(9): 1353–1355.

⁴³ See, e.g., Harris, Leah. (14 Jan 2023). <u>"You Can't Coerce Someone Into Wanting to be Alive: The Carceral Heart of the 988 Lifeline."</u> *Mad in America*.

⁴⁴ Gottstein, James B. (2007). "Psychiatrists' Failure to Inform: Is There Substantial Financial Exposure." Ethical Human Psychology and Psychiatry 9(2): 117–125.

requirements.⁴⁵ In 2003, when Myers was incarcerated at API and it was prohibited from drugging her under the nonemergency provisions of AS 47.20.839 pending consideration of her appeal to the Alaska Supreme Court, API illegally invoked emergency drugging under AS 47.30.838 when Ms. Myers got frustrated and dumped some crayons on a staff member's head. Forced drugging was hardly necessary to preserve the life or prevent significant harm to anyone as required by law.

In short, unwanted psychiatric drugging is traumatic, counterproductive and harmful, and should be eliminated.

The Power of Peer Support

In stark contrast, Peer Support is a proven approach for recovery, i.e., much better outcomes for people diagnosed with serious mental illness such as schizophrenia and bi-polar disorder. Peer Support arose from the Consumer/Survivor Movement and is steeped in the use of relationship and support to help people get through a crisis or difficult time that is otherwise likely to result in hospitalization or some other form of hospital emergency services. The start of the consumer of the support to help people get through a crisis or difficult time that is otherwise likely to result in hospitalization or some other form of hospital emergency services.

Peer-developed peer support is a non-hierarchical approach with origins in informal self-help and consciousness-raising groups organized in the 1970s by people in the ex-patients' movement. It arose in reaction to negative experiences with mental health treatment and dissatisfaction with the limits of the mental patient role. Peer support among people with psychiatric histories is closely intertwined with experiences of powerlessness within the mental health system and with activism promoting human rights and alternatives to the medical model.⁴⁸

It is defined by the use of people who have lived experience of extreme states and/or the behavioral health system; "lived experience" for short. Most have experienced psychiatric incarceration and forced drugging and/or electroshock.

The magic of peers is (1) their ability to relate and connect to people currently ensnared in the mental health system through shared experience and (2) they belie the mental health system's message of hopelessness by their example of recovery. True Peer Support is egalitarian and based on respect, reciprocity, validation, self-help and mutual aid. Peer Support is always voluntary.

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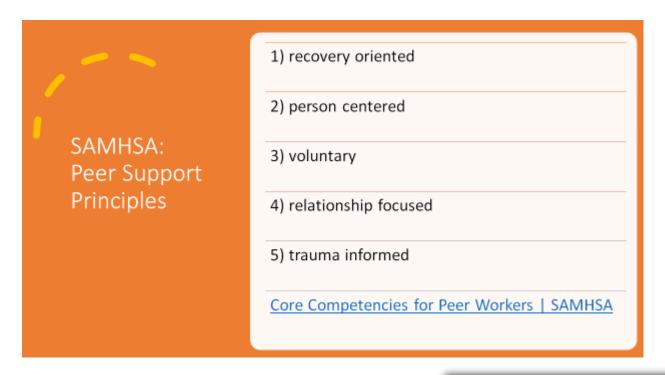
⁴⁵ Gottstein, James B. (2021). *The Zyprexa Papers*. Toronto: Samizdat Health Writer's Co-operative,.

⁴⁶ See National Empowerment Center, <u>"Evidence for Peer-Run Crisis Alternatives"</u> (website). Accessed 15 Apr 2023.

⁴⁷ Judi Chamberlin's <u>On Our: Patient-Controlled Alternatives to the Mental Health System</u> (National Empowerment Center), originally published in 1978, is considered to have started this approach in the modern era.

⁴⁸ Penney, Darby. (10 Feb 2018). "Who Gets to Define "Peer Support?" Mad in America.

The dramatic success of peer support has led the Substance Abuse and Mental Health Services Administration (SAMHSA) to designate it as an evidence based practice⁴⁹ and it is now a Medicaid reimbursable service. This has also unfortunately led to the cooptation of peer support, especially when incorporated into traditional mental health programs.⁵⁰ It is not just the lived experience that works its magic; it must be combined with true Peer Support Principles. SAMHSA articulates the following core competencies for behavioral health peer workers.⁵¹



A peer specialist who is tasked with medication compliance, for example, is not engaging in true peer support and is not likely to achieve any more success than traditional mental health services. Thus, it is especially important to maintain fidelity to Peer Support Principles.⁵² It is pointless and counterproductive to deploy peers in violation of Peer Support Principles.

It is pointless and counterproductive to deploy peers in violation of Peer Support Principles.

Children and Youth Should Not be Given Psychiatric Drugs

The psychiatric drugging of children and youth, especially those on Medicaid and in foster care, is perhaps the most heartbreaking and tragic example of the misuse of psychiatric drugs. They are told there is something incurably wrong with their brain, their unacceptable behavior is the result of

⁴⁹ See, e.g., "Peer Support Services in Crisis Care." SAMHSA Advisory, June 2022.

⁵⁰ Alberta, Anthony J.; & Ploski, Richard R. (2014). "Cooptation of Peer Support Staff: Quantitative Evidence." Rehabilitation Process and Outcome 2014(3): 25–29.

⁵¹ SAMHSA. (2015). "Core Competencies for Peer Workers in Behavioral Health Services." *Bringing Recovery Supports to Scale* — *Technical Assistance Center Strategy (BRSS TACS)*.

⁵² The <u>International Peer Respite/Soteria Summit (Summit)</u> has posted a 35 minute video of one of its Mentoring Circle's meetings discussing this, <u>Navigating a Misguided System</u> (2022).

this defect and not their responsibility, they need to take debilitating psychiatric drugs for the rest of their lives, and the best they can hope for is to minimize psychiatric hospitalizations. These are exactly the wrong messages to give to children and youth.

One of the most important things children and youth should learn is how to cope with their emotions without engaging in unacceptable behavior. In other words, take responsibility for their behavior. We should not be telling children and youth they are defective. And parents should be helped to achieve this.

One of the terms of the multi-state settlement of consumer fraud claims regarding the illegal marketing of the prescription drug Neurontin[®] was funding a rigorous review of psychiatric drugs administered to children and youth. This resulted in the <u>CriticalThinkRx</u> curriculum as a series of eight modules:⁵³

- Module One: Why a Critical Skills Curriculum on Psychotropic Medications?
- Module Two: Increasing Use of Psychotropics: Public Health Concerns.
- Module Three: The Drug Approval Process.
- Module Four: Pharmaceutical Industry Influences on Prescribing.
- Module Five: Specific Drug Classes: Use, Efficacy, Safety.
- <u>Module Six</u>: Non-Medical Professionals and Psychotropic Medications: Legal, Ethical and Training Issues.
- <u>Module Seven</u>: Medication Management: Professional Roles and Best Practices.
- <u>Module Eight</u>: Alternatives to Medication: Evidence-Based Psychosocial Interventions

There are also eight videos of 10–20 minutes each on these modules.

In Chapter Seven of <u>Drugging Our Children: How Profiteers Are Pushing Antipsychotics on Our Youngest,</u> and What We Can Do to Stop It, child psychiatrist Tony Stanton describes Seneca, the extremely successful non-drug residential program where the most difficult youth were sent.⁵⁴ It turned out that whether the success achieved at Seneca was lasting depended upon the environment to which the youth was returned. This illustrates that rather than blaming parents, we should be helping them raise their children to be resilient and successful. While there are some parents who deliberately abuse their children, almost all want the best for them and do the best they know how. We should help them to successfully raise their children. We should invest in parents' and children and youths' success, not abusive children and youth-drugging prisons.⁵⁵

⁵⁴ Stanton, Tony. (2012). "Drug-Free Mental Health Care for Children and Youth: Lessons From Residential Treatment." In Sharna Olfman & Brent Dean Robbins (eds.), <u>Drugging Our Children: How Profiteers Are Pushing Antipsychotics on Our Youngest, and What We Can Do to Stop It</u>, pp. 119–138. Santa Barbara, CA: Praeger.

⁵³ Cohen, David; & Sengelmann, Inge; et al. (Jun 2008). <u>"A Critical Curriculum on Psychotropic Medications."</u> Critical Think Rx.

⁵⁵ The abuses by psychiatrically incarcerated children and youth by North Star Hospital and the over one hundred 2022 police calls have recently made the news — see Boots, Michelle Theriault (2 Nov 2022). "Anchorage Police Called to North Star Hospital 3 Times in One Night After Young Patients Break Glass, Activate Sprinklers." Anchorage Daily News. The very profitable abuse by what is called the Troubled Teen Industry, to whom Alaska regularly sends its

Exit Interview/Survey

That there is no credible evaluation of patient views of their inpatient experience demonstrates the system's disdain for the people it purports to help. There should be a requirement for statewide independent exit polls asking every psychiatric inpatient questions such as:

- "Were you injured during treatment or transportation?"
- "Were you treated with dignity and respect?"
- "Were you traumatized?"
- "Did the patient advocate help you?"
- "Was your stay in the psychiatric facility beneficial?"
- "Were you told you had a right by state law to bring your grievance to an impartial body?"
- "Did the grievance and appeal process work?"
- "Were you treated fairly in the grievance and appeal process?"
- "Would you recommend this to someone in distress or crisis?"

Acute care psychiatric patients being treated in a facility often feel they cannot give honest answers to hospital staff in a patient survey because of the reasonable fear of retaliation. For the best results, patients must be able to trust who is asking questions. All surveys should be done by someone outside the Department of Health or hospital staff. To the extent they can be conducted after a person is discharged that would also help with fear of retaliation.

Number of Involuntary Commitments, Forced Drugging Proceedings and Outcomes

The State should keep track of the number of people in locked psychiatric facilities, involuntary commitment and force drugging proceedings, the results of such proceedings, and patient outcomes. Related counts and metrics should be kept, distributed to the Legislature annually with an accompanying report, and made available to the public in aggregate form. They should also be acted upon.

Both the number of proceedings and the number of unique individuals must be counted, to determine how many multiple proceedings occur to the same persons. The duration of detentions, whether short or longer-term, must be determined, as by definition these constitute a deprivation of liberty. The basic socio-demographic characteristics of people who are involuntarily committed should be recorded, in order to determine whether there exist disparities in the frequency of detentions among different socio-economic and racial groups.

Since the goals of any psychiatric intervention should be to improve people's lives, these must be evaluated independently at appropriate follow-ups. In other words, did the person recover?

children, has been the subject of recent exposés. See, e.g., Stockton, Alexander (11 Oct 2022). "Can You Punish a Child's Mental Health Problems Away?" New York Times.

Finish school or gain employment? Have relationships? Stay out of the hospital or jail? Housed? The State should commission independent researchers to determine whether people subject to proceedings committed suicide following their discharge. Without such key metrics, a mental health system cannot meaningfully pursue any reform or even simply evaluate its performance, nor can it provide basic accountability to the public about what it does.

Peer Respites

Peer respites are voluntary, short-term, overnight programs providing community-based, non-clinical crisis support to help people find new understanding and ways to move forward. They operate 24 hours per day in a homelike environment and designed as psychiatric hospital diversion programs to support individuals experiencing or at-risk of a psychiatric crisis. Typically, people can stay for 7–10 days at Peer Respites.

The premise behind Peer Respites is psychiatric emergency services can be avoided if non-coercive supports are available in the community. They are 100% staffed and operated by people who have lived experience of extreme states and/or the behavioral health system and are either operated by a peer-run organization, or has an advisory group with 51% or more members having lived experience.⁵⁶

Since the first completely peer operated respite house was developed in 1997 in New Hampshire by Shery Mead (the originator of Intentional Peer Support — the approach implemented as a foundation of the house)⁵⁷ — they have proliferated around the country because of their outstanding success.⁵⁸ Three prominent Consumer Operated Service Programs (COSPs), that operate peer respites are the People USA's Rose Houses in New York State, Wildflower Alliance in Massachusetts, formerly known as the Western Massachusetts Recovery Learning Community, and the Promise Resource Network in Mecklenburg, North Carolina. All three have a lot of information about how these kinds of programs should be operated.⁵⁹

The <u>International Peer Respite/Soteria Summit</u> has posted a five minute video on YouTube, "<u>How Afiya House Helped Me,</u>" pulled from the December 5, 2021, follow-up day that provides a good picture of how a Peer Respite approaches people who would otherwise be locked up in a psychiatric hospital and the tremendously beneficial effects of such an approach.⁶⁰

To the extent the Crisis Stabilization and Crisis Residential Centers lock up people who are experiencing what is characterized as an acute behavioral health crisis, just not in a hospital, it is a fundamentally misguided approach. Instead, Peer Respites or programs with a similar non-coercive approach should be utilized, such as Soteria Houses, Open Dialogue, and eCPR.

⁵⁸ There is a <u>somewhat outdated list</u> at the National Empowerment Center website.

⁵⁶ This <u>description</u> of Peer Respites was pulled from Live & Learn, Inc. <u>"Peer Respites: Action + Evaluation"</u> (website).

⁵⁷ Intentional Peer Support. (website). Accessed 15 Apr 2023.

⁵⁹ People USA's Rose Houses; Wildflower Alliance; Promise Resource Network. Websites accessed 15 Apr 2023.

⁶⁰ International Peer Respite/Soteria Summit. (5 Dec 2021). "How Afiya House Helped Me" (video).

World Health Organization Recommendations

In 2021 the World Health Organization published <u>Guidance on Community Mental Health Services:</u> <u>Promoting Person-Centered and Rights-Based Approaches</u>, identifying these key points:

- Many people with mental health conditions and psychosocial disabilities face poor quality care and violations of their human rights, which demand profound changes in mental health systems and service delivery.
- In many parts of the world examples exist of good practice, community-based mental health services that are person-centered, recovery-oriented and adhere to human rights standards.
- In many cases these good practice, community-based mental health services show lower costs of service provision than comparable mainstream services.
- Significant changes in the social sector are required to support access to education, employment, housing and social benefits for people with mental health conditions and psychosocial disabilities.
- It is essential to scale up networks of integrated, community-based mental health services to accomplish the changes required by the CRPD.⁶¹

Housing First

In Dr. Loren Mosher's <u>affidavit</u> in the *Myers* case, he testifies, "Without adequate housing mental health 'treatment' is mostly a waste of time and money." The United Nations Convention on the Rights of Persons with Disabilities (CRPD) promotes the right to housing for persons with disabilities including the right to a secure home and community. Housing is an important determinant of mental health and an essential part of recovery. Addressing adequate housing is not only a human right, but also a public health priority.

The Housing First approach was pioneered in the 1990s by two organizations, Pathways to Housing in New York City (now Pathways Housing First Institute), and by what was then called the Downtown Emergency Service Center in Seattle, Washington (DESC).⁶³ Its underpinnings were person-centered—asking people on the street "what do you need or how can I help you?" They didn't say counselling. They didn't say medication—they said "a home" and to not have strings attached. There is evidence to support the beneficial effects of the Housing First approach on people's quality of life, including dimensions such as community adjustment and social integration,

⁶¹ World Health Organization. (9 Jun 2021). <u>Guidance on Community Mental Health Services: Promoting Person-Centered and Rights-Based Approaches</u>. Guidance and Technical Packages on Community Mental Health. Geneva: World Health Organization.

⁶² Mosher, Loren R. (5 Mar 2003). <u>Affidavit of Loren R. Mosher, M.D.</u> In The Matter of the Hospitalization of Faith J. Myers. Anchorage Superior Court, Case No. 3AN 03-277 P/S.

⁶³ See Downtown Emergency Service Center (DESC). "What is Housing First?" (website). Accessed 15 Apr 2023.

and some aspects of health.⁶⁴ As the research base is growing in favor of this approach, the Housing First model is now expanding across European countries and has even become national policy in Finland. Alaska has a small Housing First program and should adopt the Housing First approach across the board, providing no strings attached adequate housing to all patients who do not have such housing. It will be money well spent, reducing other costs, likely by multiples.

Employment

Behind housing, employment is perhaps the most important therapeutic element for people diagnosed with serious mental illness. In a 30-year longitudinal research study conducted involving 269 subjects who were discharged from the back wards of public institutions, it was found the strongest link to successful recovery and integration into community roles was involvement in community based rehabilitation, particularly vocational rehabilitation leading to employment.⁶⁵

In "Employment is a Critical Mental Health Intervention," Robert E. Drake and Michael A. Wallach, state "[E]mployment improves the mental health and wellbeing of people with serious mental disorders, including improved self-esteem, symptom control, quality of life, social relationships and community integration, without harmful side effects." Drake and Wallach do an excellent job of summarizing the data on employment, including the following:

"The great majority of people with serious mental disorders desire employment as a primary treatment goal (Wescott et al., 2015)."

"[P]eople with mental disorders view 'recovery' as a meaningful, active, functional life, not as a complete absence of symptoms (Deegan, 1988). People can learn to tolerate and cope with symptoms if they have a life that they consider valuable."

"They want a safe apartment; a part-time job; and the chance to meet people, have friends, contribute to society and participate in community life that comes with a job and a modest income. They also value the secondary benefits — a positive identity, structure to the day, enhanced self-esteem, friends at work, less interaction with the mental health system and reduced personal and social stigma — gains that do not usually follow hospitalisation, polypharmacy or involuntary treatment."

"[E]mployment is both a critical health intervention and a meaningful outcome for people with serious mental disorders such as schizophrenia, bipolar disorder and depression (Knapp and Wong, 2020). This recognition follows patients' own expressed goals as well as actual work outcomes. People with even the most serious

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⁶⁴ National Low Income Housing Coalition; & National Alliance to End Homelessness. (2020). <u>The Case for Housing First</u>; Mackelprang, Jessica L.; Collins, Susan E.; & Clifasefi, Seema L. (2014). <u>"Housing First is Associated With Reduced Use of Emergency Medical Services."</u> *Prehospital Emergency Care* 18(4): 476–482.

⁶⁵ DeSisto, Michael J., et al. (1995). "The Maine and Vermont Three-Decade Studies of Serious Mental Illness: I. Matched Comparison of Cross-Sectional Outcome." British Journal of Psychiatry 167(3): 331–338.

⁶⁶ Drake, Robert E.; & Wallach, Michael A. (2020). "Employment is a Critical Mental Health Intervention." Epidemiology and Psychiatric Sciences 29: e178 — citing Drake, Robert E., et al. (2013). "Assisting Social Security Disability Insurance Beneficiaries With Schizophrenia, Bipolar Disorder, or Major Depression in Returning to Work." American Journal of Psychiatry 170(12): 1433–1441.

mental disorders report a higher quality of life, greater self-esteem and fewer psychiatric symptoms when they are employed (Luciano et al., 2014)."

"[E]mployment improves the mental health and wellbeing of people with serious mental disorders, including improved self-esteem, symptom control, quality of life, social relationships and community integration, without harmful side effects (Drake et al., 2013)."

"Helping people with employment should be a standard mental health intervention."

"Supported employment is a relatively inexpensive intervention (Latimer et al., 2004) and employment leads to steady reductions in mental healthcare costs over at least 10 years (Bush et al., 2009)."

"[H]elping people with employment should be a standard mental health intervention."

Minimize Patient Injuries

It should go without saying that minimizing patient injuries should be a high priority, but sadly, it is not. Every organization or facility with the ability to detain an individual, either for transport or

The state should be required to keep and share statistics of psychiatric patient complaints, injuries, and traumatic events.

for psychiatric evaluation, should be required by state law or regulation to make a report to a state agency. Length of time the person is held, reasons. There should also be a requirement to report to a state agency the number and type and cause of patient and staff injuries; the number and type and resolution of patient and staff complaints; and the number, type and cause of traumatic events experienced by a patient; "traumatic event" is defined as being administered medication involuntarily or being

placed in isolation or physical restraint of any kind. The statistics should be made available annually in a report to the Alaska legislature and the general public.

The state should be required to keep and share statistics of psychiatric patient complaints, injuries, and traumatic events.

Non-Police Community Response Teams

It is too early to evaluate the operation of the Crisis Now model in Alaska under the Settlement and Legislation, including Mobile Crisis Teams, and there are good aspects of the Mobile Crisis Teams, but taking or referring people to psychiatric incarceration is not one of them. Mobile Crisis Teams should be converted to Non-Police Community Response Teams where people are diverted from psychiatric incarceration.⁶⁷

⁶⁷ Community Response Teams, by Cherene Caraco, June 16, 2021, from the CAFE TAC Peer-Run Crisis Alternatives Webinar Series.</sup> These are really worth watching.

Non-Police Community Response Teams

- · An alternative to 911, police intervention and mobile crisis
- · Diversion from involuntary commitment and incarceration
- Various models exist for example:
 - · co-responders which include a peer supporter and clinician
 - · completely peer staffed team
 - · a peer supporter and paramedic
- Receiving national attention due to the racial injustices and use of police force when responding to people experiencing mental health crisis

Soteria Houses

The Soteria House approach, whose outstanding outcomes are referenced above, is, like Peer Support, steeped in the use of relationships and supports to help people get through what is diagnosed as "psychosis." It is a home-like environment focusing on psychological and physical safety through compassionate relationships between staff and residents. The mantra of Soteria House is "be with, rather than do to." There is no pressure to get back on track too quickly which is often fueled by funding and insurance constraints. Residents can stay there until they have a plan to bridge into the community and are recovered from the experience bringing them there.

Because it is community-based and provides safe housing, residents can maintain their role identities as family members, student workers, etc. The original Soteria House was established in San Jose, California by Loren Mosher, MD, a psychiatrist and schizophrenia expert who was at the time the Chief of Schizophrenia Studies for the National Institute of Mental Health. The original Soteria House was a research project for more than 10 years to answer the question: Can people newly diagnosed with schizophrenia recover in the community without the conventional treatment of hospitalization and debilitating neuroleptic medications?

The research demonstrated the typical Soteria resident became stabilized in about six weeks with an average stay of three months. After six weeks, when compared to hospitalized, medicated patients, persons served at Soteria House had similar outcomes. After one and two-year follow-ups the patients treated at Soteria House were doing significantly better than conventionally treated patients in terms of symptoms, rehospitalization, social functioning and employment, thus averting a trajectory of chronic mental illness.⁶⁸ With respect to cost:

In the first cohort, despite the large differences in lengths of stay during the initial admissions (about 1 month versus 5 months), the cost of the first 6 months of care for both groups was approximately \$4000. Costs were similar despite 5-month Soteria and 1-month hospital initial lengths of stay because of Soteria's low per diem

⁶⁸ Bola, John R.; & Mosher, Loren R. (2003). "Treatment of Acute Psychosis Without Neuroleptics: Two-Year Outcomes From the Soteria Project." Journal of Nervous and Mental Disease 191(4): 219–229.

cost and extensive use of day care, group, individual, and medication therapy by the discharged hospital control clients. ⁶⁹

Soteria Houses have subsequently been operated in the San Francisco Bay area, Berne, Switzerland, Anchorage AK, Burlington VT and Israel with similar success.

The Burlington, Vermont Soteria home is funded by the state of Vermont and operated by Pathways Vermont. This is a successful example of a partnership between the state, treatment providers and a housing provider. Funding for this good practice service was made possible by the closure of the ineffective coercive state hospital. Alaska is unique, but similar to Vermont in its ruralness, having only a few highly populated areas, and the existence of only one state operated facility. Despite its success, Soteria-Alaska closed due to a change in leadership, direction and vision by the organization, impacted by several factors including, but not limited to the fatigue of acquiring sufficient funding in the face of chronic inadequate financial support from the State and the Trust. A caution for future endeavors — sustainability is impacted, not just by funding but by commitment and fidelity to a vision and historical purpose. 70

Drug Free Hospitals

Psychiatric inpatients should be given the option of no drugs. In 2010, at the urging of patient organizations, the Norwegian parliament mandated patients be allowed to choose a drug-free psychiatric hospital. As a result, the private Hurdalsjøen Recovery Center was opened and operated with extreme success.⁷¹ Unfortunately, the Norwegian government decided not to continue financially supporting private hospitals, forcing its closure.⁷² Alaska, however, should establish a drug-free psychiatric hospital for its citizens.

Cultural Competence

In the 1970s, doctors at API wrote a 9-page report, titled "A 10-Year History of the Alaska Psychiatric Institute 1962–72," that included the following:

The Institute (API) is unique in its cultural-anthropological aspects. Because of the number of Eskimo, Indian and Aleut patients treated here, personnel cannot depend on traditional approaches for its psychiatric treatment plan but must include consideration for the tremendous variations of human behavior due to cultural patterns.⁷³

API has lost this orientation and should re-establish it.

⁶⁹ Mosher, Loren R. (1999). <u>"Soteria and Other Alternatives to Acute Psychiatric Hospitalization: A Personal and Professional Review."</u> *Journal of Nervous and Mental Disease* 187(3): 142–149.

⁷⁰ Gottstein, Jim. (29 Jun 2015). "Lessons From Soteria-Alaska." Mad in America.

⁷¹ Whitaker, Robert. (8 Dec 2019). "Medication-Free Treatment in Norway: A Private Hospital Takes Center Stage." Mad in America.

⁷² Whitaker, Robert. (11 Jan 2023). "A Revolution Wobbles: Will Norway's 'Medication-Free' Hospital Survive? Politics, Mainstream Psychiatry May Shutter Lake Hurdal Recovery Center." Mad in America.

⁷³ Quoted in Myers, Faith. (2020). *Going Crazy in Alaska: A History of Alaska's Treatment of Psychiatric Patients*. Bookbaby. Original available at the University of Alaska Anchorage/Alaska Pacific University Consortium Library, Reference Section.

Open Dialogue Approach

Open Dialogue, cited above, is an approach that focuses on families and individuals who are experiencing what is diagnosable as psychosis. The approach changes the focus from an individual as "the problem" to the whole community as "the solution." Like Soteria and Peer Respites, open communication and relationships are the foundation of the approach. This approach incorporates family therapy and conventional psychodynamic therapy to develop community connectedness leading to high rates of recovery. This approach was developed in Lappland, Finland which like Alaska is in a northern geographic locality with some city centers and many smaller rural and village communities. This approach has strong research behind it having been developed and researched by Jaakko Seikkula with up to 80 % recovery rates with people newly diagnosed with schizophrenia with no to minimal medication use and hospitalization. Open Dialogue or the dialogic approach as it is sometimes called has been replicated in the US and Europe, including New York, Massachusetts, Connecticut and New Mexico. The high rate of recovery demonstrates dramatic daily and lifetime cost savings as well as its social value of role recovery, family recovery, and community recovery.

Experiences from the Open Dialogue approach in Lappland confirm this. Follow-up data after 19 years showed that, compared to the standard approach in Finland, 19% vs 94% had more than 30 hospital days, and disability allowances at some point occurred for 42% vs 79%. Psychosis drugs at onset were used by 20% vs 70%, and at some point by 55% vs 97%. These differences were highly significant (P < 0.00001) and so large that they cannot be dismissed with on the grounds it was not a randomized comparison.⁷⁵

Similar to Soteria in Israel, Western Finland has brought this approach to scale meaning that it is the first and preferred treatment.

Hearing Voices Network

The World Health Organization's report <u>Guidance on Community Mental Health Services: Promoting Person-Centered and Rights-Based Approaches</u> endorses the Hearing Voices Network. Hearing Voices Groups bring together people who hear voices, in peer-supported group meetings that seek to help those with similar experiences explore the nature of their voices, meanings and ultimately, acceptance. Hearing Voices Groups have grown in popularity as suppressing voices using medication and other interventions are often ineffective.

The Hearing Voices Movement began in the Netherlands in the late 1980s. It now has national networks in 30 countries. Some groups are co-founded by professionals and closely aligned with mental health services while others are initiated independently by voice hearers. A large number of

⁷⁴ Seikkula, Jaakko, et al. (2006). "<u>Five-Year Experience of First-Episode Nonaffective Psychosis in Open-Dialogue Approach: Treatment Principles, Follow-Up Outcomes, and Two Case Studies."</u> *Psychotherapy Research* 16(2): 214–228.

⁷⁵ Bergström, Tomi, et al. (2018). "The Family-Oriented Open Dialogue Approach in the Treatment of First-Episode Psychosis: Nineteen–Year Outcomes." Psychiatry Research 270: 168–175.

⁷⁶ World Health Organization. (9 Jun 2021). <u>Guidance on Community Mental Health Services: Promoting Person-Centered and Rights-Based Approaches</u>. Guidance and Technical Packages on Community Mental Health. Geneva: World Health Organization. See also the <u>Hearing Voices Network</u> website.

hearing voices groups exist around the world including in the US, Australia, Hong Kong and Uganda. Due to the independent nature of these groups, it is challenging to research outcomes. In spite of limited research, some reported outcomes include: decrease in hospital admissions, voice frequency and use of medication, increase in support that is often otherwise unavailable and better understanding of voice experiences.⁷⁷

This is a low-cost option due to its often grass roots underpinnings. Funding for hearing voices groups comes from different sources depending on the group, including donor funding, some small amounts of out-of-pocket funding, and funding from health services. Minimal costs are involved beyond rent for a weekly meeting space and a possible fee for the facilitator. Groups can be supported by mental health services. Since Alaska has a preponderance of services in the more highly populated locations (and

The very low cost Hearing Voices Network approach should be encouraged and facilitated.

at that a dearth of non-coercive good practice services) with little supports other than community and family in rural areas, this is a good and culturally appropriate option to infuse into Alaska's mental health system.

Warmlines

Warmlines are different than crisis/suicide lines which often betray callers by having the police dispatched and callers hauled off to the psychiatric hospital in handcuffs even though they advertise themselves as confidential and/or anonymous. This betrayal went national with the rollout of the 988 line, which is an integral part of the Crisis Now Approach implemented by the Legislation. The rationale for the betrayal is they only call for the apprehension of people who are at risk of suicide so they can be incarcerated safely in a psychiatric ward. Not only does this make people unwilling to call the hotline, but as shown above, increases suicides.

Alaska should join those states with warmlines.

A fundamental principle of warmlines is to only do something the person wants. If they want to go to the hospital—fine. If they don't, that is respected. Confidentiality is never breached. In order to achieve this, people staffing the warmlines cannot be mandatory reporters. The purpose of a warmline is connection to combat isolation, support through distress, troubleshoot life challenges, and provide information on resources if desired by the caller. They

focus on crisis prevention and diversion from hospitals, 911, and mobile crisis.

"Standalone peer-run warm lines are garnering national attention as a part of states' responses as they are cost effective, highly utilized and are the most accessible way for people, regardless of age,

⁷⁷ Branitsky, Alison. (2017). "Commentary: Assessing the Impact and Effectiveness of Hearing Voices Network Self-Help Groups." Frontiers in Psychology 8; Beavan, Vanessa; de Jager, Adele; & dos Santos, Bianca. (2017). "Do Peer-Support Groups for Voice-Hearers Work? A Small Scale Study of Hearing Voices Network Support Groups in Australia." Psychosis 9(1): 57–66.

⁷⁸ Chapter 10 of the comprehensive and authoritative book on forced psychiatric interventions, <u>Your Consent is Not Required: The Rise in Psychiatric Detentions, Forced Treatment, and Abusive Guardianships</u> (2023) by investigative reporter Rob Wipond, documents the tracing of promised confidential and/or anonymous calls and dispatching of police to take people into custody.

gender, sexual orientation, race, ethnicity, geography, insurance/no insurance and financial circumstances to get support and prevent emergency department, 911 and involuntary hospital stays."⁷⁹ Forty states have warmlines; Alaska is not one of them, but should be. ⁸⁰

Emotional CPR (eCPR)

Emotional CPR (eCPR) is an educational program designed to teach people to assist others through an emotional crisis⁸¹ by three simple steps:

C = Connecting

P = emPowering, and

R = Revitalizing

The Connecting process of eCPR involves deepening listening skills, practicing presence, and creating a sense of safety for the person experiencing a crisis. The emPowering process helps people better understand how to feel empowered themselves as well as to assist others to feel more hopeful and engaged in life. In the Revitalizating

eCPR Training should be made widely available in Alaska.

process, people re-engage in relationships with their loved ones or their support system, and they resume or begin routines that support health and wellness which reinforces the person's sense of mastery and accomplishment, further energizing the healing process. eCPR is based on the principles found to be shared by a number of support approaches: trauma-informed, counseling after disasters, peer support to avoid continuing emotional despair, emotional intelligence, suicide prevention, and cultural attunement. It was developed with input from a diverse cadre of recognized leaders from across the U.S., who themselves have learned how to recover and grow from emotional crises. eCPR Training should be made widely available in Alaska.

eCPR is to be contrasted with Mental Health First Aid, which funnels people to the traditional mental health system with its message of hopelessness and psychiatric drugging.

Other Innovative Programs: Ionia, Healing Homes, WarFighter Advance

In addition to these programs there should be the opportunity for innovative approaches people and communities develop for themselves. When a community comes up with a solution they want to pursue, there is "buy-in" which succeeds because the community makes it succeed. Such programs are not necessarily susceptible to being replicated because the buy-in is such a critical component.

<u>Ionia</u> in Kasilof is a classic example of this.⁸² Five refugee couples from the psychiatric system on the East Coast settled in Kasilof after trying out a number of other locales. They pooled their

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⁷⁹ From a presentation by Cherene Caraco, Warm Lines, part of her series of <u>webinars on Peer Run Crisis</u> <u>Alternatives</u>, presented by the Café TA Center.

⁸⁰ warmline.org maintains a directory of known warmlines in the U.S.

⁸¹ The terrific book <u>Heartheats of Hope: the Empowerment Way to Recover Your Life</u> (2018) by psychiatric survivor and psychiatrist Daniel Fisher includes a description eCPR and its development.

⁸² Ionia. (website). Accessed 15 Apr 2023.

individually meager assets to purchase land. Stating out in yurts the first winter, they then built cabins with wood stoves. They have a macrobiotic diet, growing as much of their own food as they can, and gathering other food such as seaweed. They have a community meeting every day to work out conflicts and they consider their simple but hard, close to the earth work therapy. These couples, at least one of which in each were written off as hopelessly mentally ill have created a life that works for them. A whole generation of their children grew up there and there is a blossoming third generation. This is what they <u>say</u> about Ionia:

Common problems and hopes for a common solution brought five families together in 1987. They purchased five acres of spruce forest on the Kenai Peninsula in South-Central Alaska and Ionia had its beginning. The founders came from different geographic, cultural and socio-economic backgrounds, as well as different kinds of internal hardships and behavioral dysfunction. Through a process of trial and error, the families realized that individuals, families and communities are truly interdependent; that in order to sustainably change one thing, they had to change almost everything; and, that it is impossible to create change without embodying it, together. This kind of thinking has led to Ionia's endurance.

The founding families were in the cold, poor of spirit and hungry for an optimistic direction. Separately, we found our way to simple macrobiotic food and common sense. By gathering, we were able to add the time necessary for real change and recovery.

Growing up at Ionia, the second generation has taken our tools of simple whole food and open explorative thought into renewable energy, natural building, outreach and a huge reservoir of social capital. Ionia's future is the same as everyone else's, except that two decades ago Ionians were under enough pressure to catch a wave of change – and now, Ionia has the shared multi-generational experience to demonstrate and inspire others who also need practical tools and hope.⁸³

The point is not that Ionia is a model program that should be replicated, but an example of people finding their own solutions.

Healing Homes operated by the Family Care Foundation in Gothenburg, Sweden, ⁸⁴ backed by over twenty years of experience, places people who have been failed by traditional psychiatry with host families — predominately farm families in the Swedish countryside — as a start for a whole new life journey without psychiatric drugs. Host families are chosen not for any psychiatric expertise, rather, for their compassion, stability, and desire to give back. People live with these families for upwards of a year or two and become an integral part of a functioning family system. Staff members offer clients intensive psychotherapy and provide host families with intensive supervision. The Family Care Foundation eschews the use of diagnosis, works within a framework of striving to help people come safely off psychiatric drugs, and provides their services, which

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⁸³ Ionia. "History and Vision" (website). Accessed 15 Apr 2023.

^{84 &}lt;u>Family Care Foundation (Familjevårdsstiftelsen)</u>. (website). Accessed 15 Apr 2023. See also Håkansson, Carina. (5 Feb 2012). "In Gothenburg, Ordinary Homes Serve as Havens for Healing." *Mad in America*.

operate within the context of the Swedish national health service, for free. There is a now-free movie, <u>Healing Homes</u>, by Daniel Mackler about this program that has been translated into 20 languages and viewed over 63,000 times.⁸⁵ Like Soteria Houses and Peer Respites, Healing Homes provide a home or home-like environment with the expectation people can get through their experiences and come out the other side able to have meaning, purpose and connection in their lives.

Since there are so few family farms in Alaska, it is not directly applicable in Alaska, but the basic approach might be utilized. For example, a homeless Alaskan Native in the big city might be placed with a family in a village and re-connect with their roots. This would be a reversal of the trend of moving people experiencing problems in villages to the cities. A less dramatic reconnecting with roots could be sweat lodges.

Warfighter Advance is another example of a community fashioning a solution. ⁸⁶ In this case, the community are people who have been deployed to wars overseas and come home with psychiatric diagnoses, put on psychiatric drugs and told there is something wrong with their brain and they essentially have no future. Warfighter Advance changes the trajectory of the warfighter's post-deployment life, so that rather than an existence characterized by an endless cycle of mental illness diagnoses, medications, medical appointments and disappointments, the warfighter has a life characterized by pride, productivity, healthy relationships, continued service, and advocacy for the same outcomes for their fellow service members. Warfighter Advance eschews psychiatric drugs and force, instead encouraging informed consent. It has outstanding results in helping traumatized veterans live fulfilling lives. This program and two of its participants are featured in the award winning documentary film, *Medicating Normal*. ⁸⁷

Allow Medicaid to Reimburse Peer Respites, Soteria Houses, etc., While Maintaining Fidelity to Their Principles

It is not usually recommended to use Medicaid to reimburse good practice services that serve as alternatives to the more conventional system. This is for four reasons:

- 1. Medicaid is a disease driven reimbursement system and as such uses disease language that is inconsistent with the hopeful language of good practice recovery-driven services such as those described above.
- 2. Medicaid requires proof of medical necessity which is demonstrated though extensive assessments and documentation that are barriers to easy access to services which is inconsistent with open door approaches to least restrictive community supports.
- 3. Medicaid for behavioral health services is not just for poor people, but often has requirements and expectations that the person will be exhibiting severe and chronic symptoms that last a lifetime with a goal of stabilization, while good practice recovery

⁸⁵ Mackler, Daniel (2011). "Healing Homes: Recovery From Psychosis Without Medication" (documentary). 1h20m.

⁸⁶ Warfighter Advance. (website). Accessed 15 Apr 2023.

⁸⁷ Cunningham, Lynn; & Ractliffe, Wendy. (2020). "Medicating Normal" (documentary). 1h 16m.: Periscope Moving Pictures.

- services recognize that people experience episodes from which they can recover with the proper supports.
- 4. The use of a disease reimbursement stream such as Medicaid can affect the way the treatment is delivered because of the documentation and disease language that is required.

Alaska is a "fee for service" Medicaid state, not having gone the managed care route. Managed Care states have some flexibility that Alaska does not have because the managed care companies incur risk and can use reinvestment funding or profits for services that are effective, save money and increase profits in the future. That being said there are changes to the Alaska Medicaid system that would promote health and ensure easier access to some of the good practice services and alternatives to the conventional system, increase choice and ultimately change the trajectory of chronic patienthood to one of valued community member. Some of these proposed changes might require regulations change, but some might be as simple as revising some service definitions or adding services that are consistent with federal regulations and the State Plan. It is understood Medicaid is state and federally funded and as such is subject to federal CMS policies and regulations. State Plan changes are subject to approval by CMS. However, there are internal documents including the Administrative Policy Manuals overseen by the state mental health authorities that can be revised if they are consistent with federal regulations and the State Plan.

The following recommendations regarding Medicaid are proposed:

- 1. Review the existing state plan to see if there is any provision for services such as those that are being proposed in the paper (Soteria, Peer Respites, Open Dialogue etc).
- 2. Review the 1115 Waiver Administrative Policy Manual to see if services can be added or if existing services can be revised to reflect the proposed effective services identified in this paper.
- 3. Review the payment structure of services. Many of the services proposed here would be most effective with a payment unit being a day or a bundled unit rather than a 15 minute unit.
- 4. Ensure the reimbursement rates are adequate to provide services as needed to help the individual achieve improved outcomes.
- 5. If these changes are unsuccessful consider applying for an appropriate waiver or changes to the 1115 Waiver that will allow implementation of the proposed alternatives to the current conventional care.

Finally, a simple fix is for the Behavioral Health Services Division to expand choices to include a full array of services available through state general/MH funds. These could be grant funded or included in provider agreements. This would require shifting current funding or expanding the budget.

IV. ENHANCING PATIENT RIGHTS

The Report is required to assess the practical challenges patients face in availing themselves of their rights, and identify and recommend any changes to state statutes, regulations, or other requirements that could enhance patient rights and the practical ability of patients to avail themselves of their rights. Below are the most important changes that should be made.

Effective Legal Representation

The single most important action needed to "enhance... the practical ability of patients to avail themselves of their rights," is for psychiatric respondents to be provided effective legal representation in involuntary commitment and forced drugging proceedings. 88

Currently, the Alaska Public Defender Agency is automatically appointed in both involuntary commitment and forced drugging cases. Under AS 47.30.700(a), when the Superior Court issues an order for a psychiatric evaluation, it "shall...appoint an attorney to represent the respondent." This statute doesn't require it be the Public Defender Agency. With respect to forced drugging, under AS 47.30.839(c), "If the patient cannot afford an attorney, the court shall direct the Public Defender Agency to provide an attorney." The financial test is ignored and the Public Defender Agency is appointed in all cases, at least in Anchorage.

The problem isn't so much that the Public Defender Agency is appointed, but that it is not allowed to provide effective representation because of (1) the large number of cases a single public defender is required to defend on short notice, and (2) the practical inability to bring in an expert witness to counter the testimony of the hospital's staff. As Prof. Michael Perlin has noted,

[C]ourts accept...testimonial dishonesty..., specifically where witnesses, especially expert witnesses, show a "high propensity to purposely distort their testimony in order to achieve desired ends." ...

Experts frequently...and openly subvert statutory and case law criteria that impose rigorous behavioral standards as predicates for commitment....

This combination...helps define a system in which (1) dishonest testimony is often regularly (and unthinkingly) accepted; (2) statutory and case law standards are frequently subverted; and (3) insurmountable barriers are raised to insure that the allegedly "therapeutically correct" social end is met.... In short, the mental disability law system often deprives individuals of liberty disingenuously and upon bases that have no relationship to case law or to statutes.⁹¹

⁸⁸ Gottstein, James B. (2008). <u>"Involuntary Commitment and Forced Psychiatric Drugging in the Trial Courts: Rights Violations as a Matter of Course."</u> *Alaska Law Review* 25(1): 51–106.

⁸⁹ AS 47.30.700. Initial Involuntary Commitment Procedures.

⁹⁰ AS 47.30.839. Court-ordered administration of medication.

⁹¹ Perlin, Michael L. (1993). <u>"The ADA and Persons With Mental Disabilities: Can Sanist Attitudes be Undone."</u> *Journal of Law and Health* 8(1): 15–45.

Without giving the lawyers assigned to represent people facing involuntary commitment and forced drugging sufficient time to investigate and prepare a defense and sufficient resources to employ an independent expert witness, the legal proceedings are a sham, amounting to a kangaroo court.

Gottstein has estimated that no more than 10% of people who are psychiatrically imprisoned actually meet commitment criteria. This is because the basic criteria for psychiatric incarceration is the state has to prove by clear and convincing evidence that as a result of mental illness one is a danger of serious harm to self or others in the relatively near future, if not imminently. First, people diagnosed with mental illness are not significantly more violent than the general population. Second, psychiatrists are notoriously bad at predicting violence, basically being no better than chance. This has been known for a long time. In fact, in the 1983 United States Supreme Court case of *Barefoot v. Estelle*, the American Psychiatric Association filed an amicus brief in which they stated psychiatrists cannot accurately predict violence. (See also <u>Reign of Error</u> by Lee Coleman, MD.)

A related problem is the treatment patients universally get while psychiatrically incarcerated—psychiatric drugs—often against the person's wishes, are known to cause both violence and suicidality, including in people who have never exhibited these previously to being administered these drugs.⁹⁷

Before 1955, four studies found that patients discharged from mental hospitals committed crimes at either the same or a lower rate than the general population. However, eight studies conducted from 1965 to 1979 determined that discharged patients were being arrested at rates that exceeded those of the general population. And while there may have been many social causes for this change in relative arrest rates (homelessness among the mentally ill is an obvious cause), akathisia was also clearly a contributing factor. ⁹⁸

And, of course, as we have seen, psychiatric incarceration dramatically increases suicides so it cannot be a legitimate basis for locking someone up to prevent self-harm.

⁹⁶ Coleman, Lee. (1984). Reign of Error: Psychiatry, Authority and Law. Boston: Beacon Press. (Free download.)

⁹² Gottstein, James B. (28 Oct 2005). <u>"How the Legal System Can Help Create a Recovery Culture in Mental Health Systems."</u> Paper presented at Alternatives 2005: Leading the Transformation to Recovery, Phoenix, AZ.

⁹³ Teplin, Linda A. (1985). "The Criminality of the Mentally Ill: A Dangerous Misconception." American Journal of Psychiatry 142(5): 593–599; Fazel, Seena, et al. (2009). "Schizophrenia and Violence: Systematic Review and Meta-Analysis." PLoS Medicine 6(8): e1000120; Elbogen, Eric B.; & Johnson, Sally C. (2009). "The Intricate Link Between Violence and Mental Disorder: Results From the National Epidemiologic Survey on Alcohol and Related Conditions." Archives of General Psychiatry 66(2): 152–161.

⁹⁴ Garrett, Brandon L.; & Monahan, John. (2020). "Judging Risk." California Law Review 108(2): 439-493.

⁹⁵ Barefoot v. Estelle. 463 U.S. 880, 103 S. Ct. 3383, 77 L. Ed. 2d 1090 (1983).

⁹⁷ Clarke, Catherine; Evans, Jan; & Brogan, Kelly. (2019). "Treatment Emergent Violence to Self and Others: A Literature Review of Neuropsychiatric Adverse Reactions for Antidepressant and Neuroleptic Psychiatric Drugs and General Medications." Advances in Mind-Body Medicine 33(1): 4–21.

⁹⁸ Whitaker, Robert. (2002). <u>Mad in America: Bad Science, Bad Medicine, and the Enduring Mistreatment of the Mentally Ill.</u>
New York: Basic Books, citing Rabkin, Judith Godwin. (1979). <u>"Criminal Behavior of Discharged Mental Patients: A Critical Appraisal of the Research." *Psychological Bulletin* 86(1): 1–27.</u>

The other ground for psychiatric incarceration is they are so disabled they cannot survive safely in freedom with the help of willing family and friends. Psychiatrists are no more able to accurately predict that than serious harm to self or others.⁹⁹

As demonstrated by the information presented above, it cannot be legitimately proven by clear and convincing evidence psychiatrically drugging someone against their will is in their best interest under AS 47.30.839.¹⁰⁰ There are no studies showing psychiatric treatment improves patient outcomes.¹⁰¹

That people are being locked up and drugged against their will when there is such overwhelming proof the legal prerequisites for doing so do not exist is a failure of effective legal representation. If eliminating the "practical challenges patients face in availing themselves of their rights" is a serious goal, the problem of ineffective representation must be corrected.

Jury Trials for 30-Day Commitment Hearings

Under AS 47.30.745(c) and AS 47.30.770(b), people accused of being mentally ill and as a result dangerous to self or others have the right to a jury trial in 90-day and 180-day commitment hearings, respectively. However, they don't have that right for 30-day commitment trials. In <u>The Zyprexa Papers</u> Gottstein recounts Bill Bigley was involuntarily committed in all but one of seventy or so nonjury commitment trials, but was found not to meet commitment criteria in the two jury trials he had, and was freed. A study of 30 psychiatric patients in Alaska found that all 29 of the commitment petitions heard by the judge were granted, while in the sole jury trial the jury found the person accused of being mentally ill, and as a result dangerous, was not, and was freed. 105

People accused of being mentally ill and as a result dangerous should have the right to a jury trial to defend against psychiatric incarceration in 30-day commitment proceedings. Criminal defendants have such a right when they are faced with 30-days or less of incarceration and psychiatric respondents are not even being accused of any crime. To accomplish this, the following could be inserted as subsubsection (1) in AS 47.30.735(b), and the other subsections renumbered:

(1) The respondent is entitled to a jury trial upon request filed with the court if the request is made before the hearing. If the respondent requests a jury trial, the hearing may be continued for no more than 3 calendar days. The jury shall consist of six persons.

⁹⁹ Franklin, Joseph C., et al. (2017). <u>"Risk Factors for Suicidal Thoughts and Behaviors: A Meta-Analysis of 50 Years of Research."</u> Psychological Bulletin 143(2): 187–232.

¹⁰⁰ In challenging the assertion by the state against the patient that drugging them against their will is in their best interest, it is critical patients' attorneys have access to the clinical trial data used to support the state's case because the published reports of such data misrepresent it and are often even ghost-written by the drug company sponsors, with the named authors not even allowed access to the underlying data. See Appendix, "The Science of" by David Healy, MD.

¹⁰¹ See chapter 22 of Wipond, Rob. (2023). <u>Your Consent is Not Required: The Rise in Psychiatric Detentions, Forced Treatment, and Abusive Guardianships.</u> Dallas, TX: BenBella Books.

¹⁰² AS 47.30.745. 90-day commitment hearing rights; continued commitment; AS 47.30.770. Additional 180-day commitment.

¹⁰³ AS 47.30.735. 30-day commitment; hearing.

¹⁰⁴ Gottstein, James B. (2021). *The Zyprexa Papers*. Toronto: Samizdat Health Writer's Co-operative..

¹⁰⁵ Tasch, Gail; & Gøtzsche, Peter C. (2023). "Systematic Violations of Patients' Rights and Safety: Forced Medication of a Cohort of 30 Patients in Alaska." *Psychosis*: 1–10.

Real Courtroom Option

Currently, the vast majority of psychiatric incarceration and forced drugging hearings are conducted in a room at API without the trappings of a legitimate legal proceeding. This leaves respondents feeling they have not had their "day in court." It can exacerbate the perception of some respondents that people are out to get them. It can also solidify their resistance to cooperating with hospital staff. In fact, respondents' perception they have not had a legitimate legal proceeding is correct. The informal setting influences the judges, lawyers and witnesses to give short shrift to respondents' rights.

In addition, psychiatric respondents have the statutory right to have their hearing open to the public, ¹⁰⁷ and that is not meaningfully possible at API. In *The Zyprexa Papers*, Gottstein recounts API two days in a row refusing to allow members of the press into a hearing Bill Bigley elected to be open to the public, resulting in a rebuke of API's attorneys and then CEO by the judge. ¹⁰⁸ Even if API were to allow the public in, the public is not at API in the same way it is at the courthouse with random people and court watchers observing proceedings. In addition, the physical setting at API is such that members of the public have to go through at least two locked doors and be locked into API to attend such hearings and then have to be let out through at least two locked doors to leave. That is not a gauntlet members of the public should have to run in order to observe a psychiatric incarceration proceeding.

Finally, AS 47.30.735(b) currently provides, "The hearing shall be conducted in a physical setting least likely to have a harmful effect on the mental or physical health of the respondent, within practical limits." The respondent surely has the right under the current statute to assert a real courtroom is such a setting and have the judge make the decision after hearing evidence. There is simply no reason to have separate hearing on where the hearing should be held, when the respondent should just have the right to choose. It is therefore recommended AS 47.30.735(b) be amended to read as follows:

(b) The <u>respondent may elect to have the</u> hearing shall be conducted <u>in a real courtroom at a courthouse</u> in a physical setting least likely to have a harmful effect on the mental or physical health of the respondent, within practical limits. At the hearing, in addition to other rights specified in AS 47.30.660 – 47.30.915, the respondent has the right....

¹⁰⁶ Gottstein, James B. (2008). <u>"Involuntary Commitment and Forced Psychiatric Drugging in the Trial Courts: Rights Violations as a Matter of Course."</u> Alaska Law Review 25(1): 51–106.

¹⁰⁷ AS 47.30.735(b)(3).

¹⁰⁸ Gottstein, James B. (2021). *The Zyprexa Papers*. Toronto: Samizdat Health Writer's Co-operative.

¹⁰⁹ AS 47.30.735. 30-day commitment; hearing.

There should be a Functional, Legitimate Grievance Process

AS 47.30.847 provides:

- (a) A patient has the right to bring grievances about the patient's treatment, care, or rights to an impartial body within an evaluation facility or designated treatment facility.
- (b) An evaluation facility and a designated treatment facility shall have a formal grievance procedure for patient grievances brought under (a) of this section. The facility shall inform each patient of the existence and contents of the grievance procedure.
- (c) An evaluation facility and a designated treatment facility shall have a designated staff member who is trained in mental health consumer advocacy who will serve as an advocate, upon a patient's request, to assist the patient in bringing grievances or pursuing other redress for complaints concerning care, treatment, and rights. 110

AS 47.30.660(b)(12) requires the Department of Family and Community Services and the Department of Health to investigate complaints made by a patient or an interested party on behalf of a patient. None of this is implemented in a meaningful way, which has led to virtually no accountability. In a 2008 reply to a complaint, the Alaska Ombudsman stated the Department of Health and Social Services had not investigated a psychiatric patient's complaint for 5 years. In 2011, the Disability Law Center of Alaska investigated two complaints about the grievance process and issued a scathing report, finding API violated state and federal law and its own policies and issued several recommendations. 113

Under AS 47.30.847(a) psychiatric patients have a right to bring their grievance to an impartial body, but the State takes the position the CEO of the facility can be the impartial body and the current API complaint and grievance policy so designates its CEO.¹¹⁴ This is ludicrous, demonstrating the complete disdain API and the Department of Family and Community Services have for a legitimate grievance process. The designation of the CEO as "an impartial body within an evaluation facility or designated treatment facility" under AS 47.30.847(a) would surely be declared illegal if challenged in court.

One reason such a challenge has never been made is Alaska Rule of Civil Procedure 82 awards partial attorney's fees against anyone who loses. Gottstein's experience is the State of Alaska

AS 47.30.660. Powers and Duties of Department. AS 47.30.660(b)(12) provides that "The department, in fulfilling its duties under this section and through its division responsible for mental health, shall... investigate complaints made by a patient or an interested party on behalf of a patient..."

¹¹² State of Alaska Ombudsman. (16 Oct 2008). <u>Letter to Dorrance Collins and Faith Myers "Re: Ombudsman Complaint J2008-0233."</u>

¹¹⁰ AS 47.30.847. Patients' grievance procedures.

¹¹³ Disability Law Center of Alaska. (2011). <u>Abuse and Neglect Investigation: Alaska Psychiatric Institute (API) — API Violates Patients' Rights in Handling Patients' Grievances</u>. Anchorage, AK: Disability Law Center of Alaska.

¹¹⁴ Alaska Psychiatric Institute (API). (2022). "Pre-030-03 Complaint and Grievance." In Alaska Psychiatric Institute Policy and Procedure Manual. Anchorage, AK: Alaska Psychiatric Institute.

¹¹⁵ Alaska Rules of Civil Procedure, Rule 82. Attorney's Fees. As amended through 27 Feb 2023.

always seeks attorney's fees when it wins, no matter how little money the person might have, and will take the person's Permanent Fund Dividend for many years, if not indefinitely because of interest, to pay it off.

API's Grievance Policy provides, "When a grievance is not resolved to the patient's satisfaction, but where every reasonable action has taken place to investigate and/or resolve the grievance, the complaint will be closed and marked 'completed.' "116" The policy then states:

Patients who are not satisfied with the actions taken by PA or other hospital staff to try and resolve their grievance may request additional actions by contacting the hospital's Director of Quality Assurance and Program Improvement. A patient may also seek redress to their grievance by contacting the hospital's "impartial body," which is the hospital 's CEO. In addition, contact information for external agencies and stakeholder groups will be provided to the grievant.

This is not a grievance procedure.

The back side of <u>API's grievance form</u> is API's explanation of the grievance process to patients:

THE GRIEVANCE PROCESS

A complaint and grievance process are a formal system that our organization has in place to handle complaints or grievances raised by employees, customers, or other stakeholders. The process is designed to provide a fair and consistent way for individuals to voice their concerns and seek resolution.

<u>Filing a Grievance</u>: The first step in the process is to file a complaint or grievance in writing, outlining the details of their concern.

- Grievance forms are located on all units.
- Place the form in the patient grievance box below the patient right and responsibilities poster.
- Staff is available for any assistance in either filling out the grievance or explaining the process.

<u>Investigation</u>: API will then investigate the complaint, gathering any relevant information or evidence and interview any involved parties.

- The Patient Advocate will meet with you to talk about the concern or grievance.
- If Patient Advocate not available, the nursing shift supervisor or designed will follow up.
- When necessary, external agencies shall be part of the investigation.

Review: API will review the findings of the investigation and determine whether the complaint has merit and what resolution is appropriate.

¹¹⁶ Alaska Psychiatric Institute (API). (2022). "Pre-030-03 Complaint and Grievance." In Alaska Psychiatric Institute Policy and Procedure Manual. Anchorage, AK: Alaska Psychiatric Institute.

• API will strive to resolve patient grievances and provide a written response within seven (7) business days from when the grievance was received.

Resolution: API will take action to resolve the complaint to the best of our ability.

• When the grievance has been resolved, the Patient Advocate staff will provide the patient or the patient's representative written notice of the hospital's conclusions.

Appeal: If the individual is not satisfied with the outcome of the process, they may have the right to appeal the decision.

- Patient may also seek redress to their grievance by contacting the hospital's Quality Assurance and Program Improvement Director. In addition, contact information for external agencies will be provided to the grievant.
- A final written notice in the form of a letter will be provided at the conclusion of the appeal process. 117

First, <u>API's grievance policy</u> fundamentally violates AS 47.30.847(a) because the statute requires grievances to go to an impartial body. It is fine to try to resolve complaints informally, but once a grievance is filed it is required to go to the impartial body, which as has been stated, cannot be the CEO.

Second, it is improper for API to investigate and review its own investigation and then "determine whether the [grievance] has merit." API can present its position to the impartial body, just as the patient.

Third, it is the Patient Advocate who is to "assist the patient in bringing grievances or pursuing other redress for complaints concerning care, treatment, and rights," not "any staff member." The Patient Advocate should not be presenting the resolution of the grievance to the patient.

Fourth, the explanation says that a patient "may" have the right to appeal. This is incorrect; they do have the right to appeal to the Superior Court. In fact, in its 2011 abuse and neglect report, the Disability Law Center recommended API's procedures, "Explicitly include in patient notification letters when the notification is a final agency decision and subject to appeal to the Alaska Superior

Court, including the applicable timeline the patient has to make such an appeal."¹¹⁹ This has been ignored by API.

To summarize, API's Grievance Policy and explanation violates the express requirements of AS 47.30.847 and isn't even a grievance procedure. In short, it is a farce.

In short, API's grievance policy is a farce.

¹¹⁷ Alaska Psychiatric Institute (API). (2022). "Complaint/ Grievance/ Comment/ Suggestion/ Compliment Form." Form 06-15016. Anchorage, AK: Alaska Psychiatric Institute.

¹¹⁸ AS 47.30.847. Patients' grievance procedures.

¹¹⁹ Disability Law Center of Alaska. (2011). <u>Abuse and Neglect Investigation: Alaska Psychiatric Institute (API) — API Violates Patients' Rights in Handling Patients' Grievances</u>. Anchorage, AK: Disability Law Center of Alaska.

The State asserts private designated evaluation and treatment facilities do not have to comply with AS 47.30.847. This is palpably incorrect. AS 47.30.847, requires, "An evaluation facility and a designated treatment facility shall have a formal grievance procedure for patient grievances." There is no exemption for private evaluation and designated treatment facilities. This should be corrected immediately.

In addition, since the Legislation has added Crisis Stabilization and Crisis Residential Centers to the process, AS 47.30.847 should be amended to include them. There should be a standardized, state-wide grievance and appeal process applicable to all evaluation and designation facilities, Crisis Respite and Crisis Residential Centers that provide individuals an

The State incorrectly asserts private designated evaluation and treatment facilities do not have to comply with AS 47.30.847.

AS 47.30.847 should be amended to (1) include Crisis Stabilization and Crisis Residential Centers, and (2) exempt appellants from Civil Rule 82 Fees.

effective and meaningful grievance and appeal process. There should be independent oversight to ensure the grievance procedure requirements are being followed, including the grievance and appeal process being fully and accurately explained to patients and available in both in written form and verbal. The trained mental health advocate required in AS 47.30.847(c) must be clearly identified as the patient advocate in literature and postings and readily available in person to psychiatric patients between the hours of 8 am to 5 pm 7 days a week. The time frame for answering a grievance or appeal would have to have meaning—some

individuals are only locked in a psychiatric facility for 72 hours, or even less. Finally, people appealing a grievance to the Superior Court should be exempted from Civil Rule 82 if they are unsuccessful and awarded full, reasonable, attorney's fees if they are successful.

The patient grievance process should have state, or better yet, independent oversight. AS 47.30.660(b)(12) requires the Department of Family and Community Services and the Department

of Health to investigate complaints made by a patient or an interested party on behalf of a patient, but AS 47.30.660(b)(13) allows them to delegate their responsibility. This has resulted in no accountability. The Departments of Health and Family and Community Services should not be allowed to shirk their responsibilities this way and AS 47.30.660(b)(13) should be repealed.

AS 47.30.660(b)(13) should be repealed.

Faith Myers and Dorrance Collins have been <u>raising</u> these problems for over 15 years. ¹²² It is long past time to have a legitimate functioning grievance process for Alaska's psychiatric inpatients.

¹²⁰ AS 47.30.847. Patients' grievance procedures.

AS 47.30.660. Powers and Duties of Department. AS 47.30.660(b)(13) provides that "The department, in fulfilling its duties under this section and through its division responsible for mental health, shall...delegate upon mutual agreement to another officer or agency of it, or a political subdivision of the state, or a treatment facility designated, any of the duties and powers imposed upon it by AS 47.30.660 — 47.30.915..."

¹²² Law Project for Psychiatric Rights (PsychRights). (2023). <u>"Faith Myers & Dorrance Collins' Advocacy."</u> Last updated 7 Apr 2023.

Children and Youth Should Not Be Psychiatrically Incarcerated or Drugged

On December 15, 2022, the United States Department of Justice issued a <u>report</u> on its investigation of the State of Alaska's Behavioral Health System for children, finding the State has been violating the Americans with Disabilities Act by psychiatrically incarcerating children and youth rather than provide accessible community-based services. The State has also been sending children and youth to facilities outside the state. These facilities have been exposed as abusive. Children and youth should not be psychiatrically incarcerated or drugged. Child and youth drugging prisons in Alaska is no solution. Children and youth should be helped to control their emotions and be successful. Parents should be given assistance to achieve this.

Children and Youth in State Custody Have the Right Not to be Harmed by Psychiatric Drugging

Children and youth in state custody such as the juvenile justice system and foster care have the constitutional right not to be harmed by psychotropic drugs through government action or inaction.

Children and youth have the Constitutional right not to be harmed by psychiatric drugs while in State custody. In 1989, the United States Supreme Court held in *DeShaney v. Winnebago County Department of Social Services* that a state did not violate the U.S. Constitution when it discharged a child into the custody of an abusive father, but, when the State takes a person into its custody and holds them there against their will, the Constitution imposes upon it a corresponding duty to assume responsibility for their safety and general well-being. The rationale for this principle is simple enough: when the State by the affirmative exercise of its power so restrains an individual's liberty that it renders them unable to care for themself, and

at the same time fails to provide for their basic human needs — e.g., food, clothing, shelter, medical care, and reasonable safety — it transgresses the substantive limits on state action set by the Eighth Amendment and the Due Process Clause. Psychiatric drugs, especially the neuroleptics, are very harmful to children and youth and they have the right under the United States Constitution to be protected from these harms when in state custody.

¹²³ US Department of Justice, Civil Rights Division. (2022). <u>Investigation of the State of Alaska's Behavioral Health System for Children</u>. US Department of Justice. See also the press release, <u>"Justice Department Finds Alaska Unnecessarily Segregates Children With Behavioral Health Disabilities in Institutions"</u> (15 Dec 2022).

¹²⁴ See, e.g., The National Youth Rights Association on <u>"The Troubled Teen' Industry"</u> (2023) and the American Bar Association's <u>"Five Facts About the Troubled Teen Industry"</u> (2021).

¹²⁵ Deshaney v. Winnehago County Department of Social Services. 489 U.S. 189, 109 S. Ct. 998, 103 L. Ed. 2d 249 (1989).

Conform Definition of Gravely Disabled to Alaska Supreme Court's Wetherhorn Decision

In Section 29 of the Legislation, in order to conform the statute to the Alaska and United States constitutions as held in *Wetherhorn v.* Alaska *Psychiatric Institute*, ¹²⁶ the definition of "gravely disabled," in subsection (b) of AS 47.20.9915(9) was amended to read:

- (9) "gravely disabled" means a condition in which a person as a result of mental illness
 - ... (b) is so incapacitated that the person is incapable of surviving safely in freedom.

However, this only partially conformed AS 47.30.915(9) to the requirements of the United States and Alaska constitutions as held by the Alaska Supreme Court in *Wetherhorn*. In a couple of places

the Court held a person was only gravely disabled if they were "helpless to avoid the hazards of freedom through their own efforts or with the aid of willing family members or friends." Therefore, "through their own efforts or with the aid of willing family members or friends" should be inserted at the end of AS AS 47.30.915(9)(b) so it reads, "(b) is so incapacitated that the person is incapable of surviving safely in freedom through their own efforts or with the aid of willing family members or friends."

"Through their own efforts or with the aid of willing family members or friends" should be added to the definition of gravely disabled in AS 47.30.915(9).

Least Restrictive/Least Intrusive Alternatives

In 1999 the United States Supreme Court held in <u>Olmstead v. L.C.</u> that people with disabilities have a qualified right to receive state funded supports and services in the community when it is determined the supports are appropriate, the person does not object, and the provision of services in the community would be a reasonable accommodation. This decision established it is the responsibility of the state to provide such service choices even when they are not currently available. This decision was based on the 1990 <u>Americans with Disabilities Act (ADA)</u>. This White Paper proposes a number of services and supports that are voluntary and are not currently available in Alaska, which violates *Olmstead*.

Psychiatric patients are legally entitled to the least restrictive alternative with respect to psychiatric incarceration, and the least intrusive alternative with respect to forced drugging. If there is a less restrictive or intrusive alternative that could feasibly be provided, the State cannot

¹²⁶ Wetherhorn v. Alaska Psychiatric Institute. 156 P.3d 371, 373 (Alaska 2007).

¹²⁷ Wetherhorn, 156 P.3d 371, 376 & n. 27 (Alaska 2007).

¹²⁸ Olmstead v. LC. 527 U.S. 581, 119 S. Ct. 2176, 144 L. Ed. 2d 540 (1999).

^{129 &}lt;u>Americans With Disabilities Act (ADA)</u>. P.L 101-336—July 26, 1990, 104 Stat. 327, codified at 42 U.S.C. § 12101 et seq.

psychiatrically imprison or drug someone against their will. However, as a practical matter there is no alternative if there is no alternative. In other words, judges are reluctant to deny the State's applications to psychiatrically incarcerate and drug someone against their will because there is a feasible less restrictive or intrusive alternative that could be provided but isn't existing. Thus, the way to enhance the practical ability of patients to avail themselves of their right to the least restrictive/intrusive alternatives is to have such alternatives exist for people to use. Proven approaches and programs have been discussed above.

Insert "Serious" in AS 47.30.730(a)(1), .735(c), & 745(b)

The word "serious" is omitted in some of the statutes allowing people to be confined for being mentally ill and dangerous to themselves or others. For example, under AS 47.30.700(a), the Court may grant an *ex parté* order to be picked up and confined for a psychiatric evaluation if "the respondent is mentally ill and that condition causes the respondent to be gravely disabled or to present a likelihood of <u>serious</u> harm to self or others" (emphasis added). However, in AS 47.30.730(a)(1), a petition for a 30-day commitment need only "allege that the respondent is mentally ill and as a result is likely to cause harm to self or others or is gravely disabled," and in AS 47.30.735(c), the court may grant the 30-day involuntary commitment petition, "if it finds, by clear and convincing evidence, that the respondent is mentally ill and as a result is likely to cause harm to the respondent or others or is gravely disabled." There is no degree of harm specified. AS 47.30.915(12) defines "likely to cause serious harm," but there is no definition of "likely to cause harm," i.e., without the word "serious."

The serious criterion is included in AS 47.30.700, .705, & .710, pertaining to evaluations and *ex parté* proceedings, but not in AS 47.30.730(a)(1) and .735(c) pertaining to 30 day commitments. This makes absolutely no sense. Then in the 90 and 180-day commitments of AS 47.30.740 and .770, respectively, to continue the commitments, the petition has to allege the respondent has

attempted to inflict or has inflicted <u>serious</u> bodily harm upon the respondent or another since the respondent's acceptance for evaluation, or that the respondent was committed initially as a result of conduct in which the respondent attempted or inflicted <u>serious</u> bodily harm upon the respondent or another, or that the respondent continues to be gravely disabled, or that the respondent demonstrates a current intent to carry out plans of <u>serious</u> harm to the respondent or another;" (emphasis added). ¹³⁴

¹³⁰ As discussed below, the Alaska Supreme Court has acknowledged this with respect to forced drugging, but not for psychiatric incarceration.

¹³¹ AS 47.30.700. Initial Involuntary Commitment Procedures.

¹³² AS 47.30.730. Petition for 30-day commitment.

¹³³ AS 47.30.735. 30-day commitment; hearing.

¹³⁴ AS 47.30.740. Procedure for 90-day commitment following 30-day commitment; AS 47.30.770. Additional 180-day commitment.

However, AS 47.30.745(b), applicable to both 90-day and 180-day commitments, only requires the court to find "harm," not "serious harm." It also makes absolutely no sense to require the petitions to allege serious harm, but the judge not to have to find it.

In E.P. v. Alaska Psychiatric Institute, the Alaska Supreme Court held the definition of "likely to cause serious harm," relevant to interpretation of "likely to cause harm," but this is still confusing to the judges, even if they know about the E.P. decision. ¹³⁶ (See Gottstein's March 29, 2022 letter to Sen. David Wilson, chair of the Senate Health & Social Services Committee.) ¹³⁷

In addition to having the statutes make sense, in order to be constitutional there needs to be a serious level of harm to justify locking someone up for being mentally ill. In *Wetherhorn v. Alaska Psychiatric Institute*, the Alaska Supreme Court ruled the definition of "gravely disabled" unconstitutional in AS 47.30.915(7)(B) to the extent it didn't "require a level of incapacity so substantial that the respondent is incapable of surviving safely in freedom." The Legislation conforms the definition of "gravely disabled" to the *Wetherhorn* decision and there has to be a similar level of harm to self or others to justify locking someone up for being mentally ill. For example, someone couldn't constitutionally be committed for smoking cigarettes even though it is harmful to self (& others).

This fix fell through the cracks when the Legislation was enacted ¹³⁹ and is simple to correct. Just insert "serious" before "harm" in AS 47.30.730(a)(1), .735(c), & .745(b).

Define "Feasible"

Prior to the enactment of the Legislation, AS 47.30.839(g) provided in pertinent part, "If the court determines that the patient is not competent to provide informed consent...the court shall approve the facility's proposed use of psychotropic medication." This was challenged as unconstitutional by Faith Myers, and in *Myers v. Alaska Psychiatric Institute*, the Alaska Supreme Court held that under the Alaska Constitution, in addition to the statutory requirements, the court must also find, "that the proposed treatment is in the patient's best interests and that no less intrusive alternative is available." In determining the patient's best interests, the Alaska Supreme Court held that at a minimum the Superior Court should consider the information AS 47.30.837(d)(2) directs the treatment facility to give to its patients in order to ensure the patient's ability to make an informed treatment choice. ¹⁴¹ These are:

(A) an explanation of the patient's diagnosis and prognosis, or their predominant symptoms, with and without the medication;

¹³⁵ AS 47.30.745. 90-day commitment hearing rights; continued commitment.

¹³⁶ EP v. Alaska Psychiatric Institute. 205 P.3d 1101, 1110 (Alaska 2009).

¹³⁷ Gottstein, James B. (29 Mar 2022). <u>Letter to Sen. David Wilson, Chair, Senate Health & Social Services Committee "Re: SB 124."</u>

¹³⁸ Wetherhorn v. Alaska Psychiatric Institute. 156 P.3d 371, 373 (Alaska 2007).

¹³⁹ The places where "serious" was not included in the Legislation were fixed, but places where it was not included in existing statutes were not fixed even though Gottstein identified these in his May 12, 2022, letter to Sen. David Wilson. This was not picked up in the House version of the bill, which was the one ultimately passed.

¹⁴⁰ Myers v. Alaska Psychiatric Institute. 138 P.3d 238 (Alaska 2006).

¹⁴¹ Myers, 138 P.3d at 252.

- (B) information about the proposed medication, its purpose, the method of its administration, the recommended ranges of dosages, possible side effects and benefits, ways to treat side effects, and risks of other conditions, such as tardive dyskinesia;
- (C) a review of the patient's history, including medication history and previous side effects from medication;
- (D) an explanation of interactions with other drugs, including over-the-counter drugs, street drugs, and alcohol; and
- (E) information about alternative treatments and their risks, side effects, and benefits, including the risks of nontreatment[.]¹⁴²

These are called "The Myers Factors" by the Alaska Supreme Court. 143

In *Bigley v. Alaska Psychiatric Institute*, the Alaska Supreme Court held that in order for a less intrusive alternative to be available it must be feasible. ¹⁴⁴ Thus, in order to conform the statutes with the Alaska Constitution as held in *Myers* and *Bigley*, Section 25 of the Legislation amended AS 47.30.839(g) at Gottstein's suggestion to read as follows:

If the court determines by clear and convincing evidence that the patient is not competent to provide informed consent and was not competent to provide informed consent at the time of previously expressed wishes documented under (d)(2) of this section, that the proposed use of medication is in the best interests of the patient considering at a minimum the factors listed in AS 47.30.837(d)(2)(A) – (E), and that there is no feasible less intrusive alternative, the court shall approve the facility's proposed use of psychotropic medication. The court's approval under this subsection applies to the patient's initial period of commitment if the decision is made during that time period. If the decision is made during a period for which the initial commitment has been extended, the court's approval under this subsection applies to the period for which commitment is extended.

A definition of feasible is needed.

In *Bigley*, the Supreme Court also held that in order to be available, the less intrusive alternative had to be feasible and

[T]he best interests and least intrusive alternative inquiries under *Myers* are parts of a constitutional test of the validity of API's proposed treatment. If that *Myers* inquiry had lead us to conclude that API's proposed treatment was constitutionally barred, that would not give rise to a legal obligation on API's part to provide Bigley's less intrusive alternative. API could attempt to offer some other form of treatment that was not constitutionally invalid, or could simply release Bigley without treatment (which is what happened in this case). ¹⁴⁵

¹⁴² AS 47.30.837. Informed consent.

¹⁴³ Bigley, 208 P.3d at 180.

¹⁴⁴ Bigley v. Alaska Psychiatric Institute. 208 P.3d 168, 185 (Alaska 2009). Gottstein also represented Mr. Bigley.

¹⁴⁵ Bigley, 208 P.3d at 187–188.

That the State has to provide a feasible less intrusive alternative or let the person go is correct. However, in *Matter of Linda M*. which was tacked onto *Matter of Naomi B*. with respect to involuntary commitment, ¹⁴⁶ the Alaska Supreme Court held the State could decide to defund a less restrictive alternative, Soteria-Alaska, and thereby make it infeasible. This is clearly wrongly decided, although, of course, the Alaska Supreme Court is the final authority on the Alaska Constitution.

To illustrate why it is wrong, the State could not constitutionally jail people in Fairbanks in the winter in a facility without heat. It is not a question of the State's obligation to provide a heated facility, but a restriction against jailing someone in an unheated facility when the temperature is 30° F below zero. It is simply not allowed to do so. Similarly, the State is not allowed to involuntarily commit someone if a less *restrictive* alternative could reasonably be used instead, or psychiatrically drug someone against their will if there is a less *intrusive* alternative that could be reasonably provided. This is what the Alaska Supreme Court held in *Bigley* with respect to forced drugging, but got wrong with respect to involuntary commitment in *Linda M*.

As mentioned, *Linda M.* was tacked onto the *Naomi B.* appeal. The reason was both Linda M. and Naomi B. argued the Alaska Supreme Court should abandon its exception-riddled rule announced in *Wetherhorn* that appeals of involuntary commitments and forced drugging orders were moot and therefore should not be considered unless an exception to the mootness doctrine applied. In *Naomi B.* and *Linda M.* almost all of the Alaska Supreme Court's 19-page decision was devoted to why it was overruling the mootness decision it had announced in *Wetherhorn*, and barely over one page to the critical question of the State's right to defund a less restrictive alternative and thereby make it infeasible.

This is not only a very important legal rights issue, but also critical in moving the State towards achieving the possible 80% recovery rate, rather than the 5% recovery rate enforced by the courts when the State is allowed to evade its responsibility to provide the least intrusive feasible alternative. It took twelve years for the Alaska Supreme Court to recognize it had wrongly held involuntary commitment and forced drugging appeals were moot and the Legislature should just go ahead and fix its wrongly decided decision that failure to fund a less restrictive alternative renders it infeasible.

Therefore, a proper definition of feasible should be added to AS 47.30.915. It is suggested the Alaska Supreme Court's own definition of feasible in *State v. Alaska Laser Wash, Inc.* be used that, "feasible" means "capable of being accomplished or brought about; possible." ¹⁴⁹

Referrals to Masters Should be Eliminated

Currently, although the Superior Court has jurisdiction, in Anchorage, for assembly-line efficiency, involuntary commitment and forced drugging petitions are automatically referred to the Probate Master or magistrates (Masters). Masters only have authority to make recommendations for the Superior Court to consider, but under Probate Rule 2(b)(3)(C) &(D) the Master's decisions are effective prior to such approval. This makes the Masters' decision a *fait accompli*, eviscerating the

¹⁴⁶ Matter of Naomi B. 435 P.3d 918 (Alaska 2019).

¹⁴⁷ Wetherhorn, 156 P.3d at 380 et seq.

¹⁴⁸ AS 47.30.915. Definitions.

¹⁴⁹ State v. Alaska Laser Wash. 382 P.3d 1143, <u>1153</u> (Alaska 2016).

requirement that the Superior Court Judge makes the decision, which the Alaska Supreme Court has held is critical.

In Wayne B v. Alaska Psychiatric Institute, an appeal over the rule that transcripts of hearings had to accompany the Masters' recommendations being ignored, the Supreme Court held the Superior Court was required to review the transcript of the trial(s) or listen to a recording, writing:

Given the nature of the liberty interest at stake, it was critical that the superior court have full knowledge of the evidence that was said to justify committing Wayne B. to a mental institution. ¹⁵⁰

It is believed transcripts are not prepared because of limited resources and the short time frames involved. This leaves Superior Court Judges being required to listen to the hearings, which if

Referrals to masters should be eliminated.

followed, would defeat much of the purpose of referring the cases to Masters. In addition to the Master spending the time to conduct the hearing, the Superior Court Judge is required to spend the same amount of time listening to it. In one of Gottstein's cases, the Superior Court Judge indicated he had not listened to the hearing as required by the Alaska Supreme Court. It is likely this is typical. The time frames involved simply do not allow proper handling of

these cases with Masters in the middle, resulting in patients' right to a legitimate Superior Court determination being illusory. 151

¹⁵⁰ Wayne B v. Alaska Psychiatric Institute. 192 P.3d 989 (Alaska 2008).

¹⁵¹ Gottstein has written about this in "Involuntary Commitment and Forced Psychiatric Drugging in the Trial Courts: Rights Violations AS a Matter of Course" Alaska Law Review 25(1): 51–106 (2008) (p 86 [36]), and in "Minority Report: Probate Rules Subcommittee on Involuntary Commitments and the Involuntary Administration of Psychotropic Medication" [Alaska Court System] (2010).

V. ACKNOWLEDGMENT

The authors give great thanks to Melissa S. Green for editing and formatting assistance. Melissa was the publication specialist at the University of Alaska Anchorage's Justice Center for 29 years.

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James B. (Jim) Gottstein, Esq.

James B. (Jim) Gottstein, Esq., author of <u>The Zyprexa Papers</u> (2021) is an Alaskan lawyer who in 1982, at the age twenty-nine, experienced a manic episode as a result of sleep deprivation and was held at the Alaska Psychiatric Institute (API) for 30 days. He was told he would never practice law again and the best he could hope for was to minimize his hospitalizations by taking one or more neuroleptics for the rest of his life. Instead, with one other brief hospitalization in 1985, Mr. Gottstein learned how to manage his life to avoid getting into trouble again.

Mr. Gottstein was one of the plaintiffs' lawyers in the Mental Health Trust Lands Litigation over the State of Alaska's illegal 1978 redesignation (theft) of Mental Health Trust Lands as General Grant Land, resulting in a 1994 settlement, reconstituting the trust and creating the Alaska Mental Health Trust Authority. From 1998 to 2004, Mr. Gottstein was a member of the Alaska Mental Health Board, the state agency charged with planning and coordinating mental health services in the State of Alaska.

In 2002, Mr. Gottstein founded the Law Project for Psychiatric Rights (PsychRights) to mount a strategic litigation campaign against forced psychiatric drugging and electroshock, winning five Alaska Supreme Court Cases, three on constitutional grounds¹⁵² and one in the Seventh United States Circuit Court of Appeals.

- Myers v. Alaska Psychiatric Institute, 138 P3d. 238 (Alaska 2006)
- Wetherhorn v. Alaska Psychiatric Institute, 156 P.3d 371 (Alaska 2007)
- Wayne B. v. Alaska Psychiatric Institute, 192 P.3d 989 (Alaska 2008)
- Bigley v. Alaska Psychiatric Institute, 208 P.3d 168 (Alaska 2009)
- In the Matter of Heather R., 366 P.3d 530 (Alaska 2016)
- <u>United States v. King-Vassel</u>, 728 F.3d 707 (7th Cir. 2013)

PsychRights' Mission also includes informing the public about the counterproductive and harmful nature of the drugs and shock.

¹⁵² At Mr. Gottstein's suggestion the Legislation included amending the Alaska Statutes to conform to constitutional requirements established in these cases

In addition, Mr. Gottstein co-founded a number of organizations to help psychiatric patients, all but one of which were peer-run:

- Mental Health Consumers of Alaska
- Alaska Mental Health Consumer Web
- Peer Properties
- CHOICES, Inc.
- Soteria-Alaska

See <u>Multifaceted Grassroots Efforts To Bring About Meaningful Change To Alaska's Mental Health Program</u> (2012).

Faith Myers

Faith J. Myers is the author of the book <u>Going Crazy in Alaska: A History of Alaska's Treatment of Psychiatric Patients</u> (2020). For approximately 5 years, from 1999 to 2003, Faith was in and out of acute care psychiatric facilities or units and at times, homeless. She is the Myers in <u>Myers v. Alaska Psychiatric Institute</u>, declaring Alaska's forced drugging regime unconstitutional.

On seven occasions, Faith ended up in a psychiatric facility, four times in a psychiatric evaluation unit and six times she was escorted to those facilities by the police in handcuffs. She was in crisis treatment centers three times. Faith stated, "It was the indifference of my treatment and mistreatment that led me to become a mental health psychiatric patient rights activist."

Susan Musante, LPCC

Susan Musante was the founding director of Soteria-Alaska, a model proven to be a highly effective alternative to hospitalization for newly diagnosed people, and of CHOICES, an alternative to conventional community mental health services directed and provided primarily by people who themselves have a "lived experience" with recovery. She is a leader, educator and advocate for the development of voluntary, compassionate supports and services that work. She has worked in universities, community-based centers and consumer-run services. She has educated peer practitioners and masters-level practitioners. Currently she is involved in advocacy and development projects as a contracted consultant. Her commitment is to respect the "lived experience" and support recovery

David Cohen, PhD

David Cohen is a Professor and Associate Dean for Research and Faculty Development at UCLA's Luskin School of Public Affairs. His looks at psychoactive drugs (prescribed, licit, and illicit) and their desirable and undesirable effects as socio-cultural phenomena "constructed" through language, policy, attitudes, and social interactions. He also documents treatment-induced harms (iatrogenesis), and pursues international comparative research on mental health trends, especially involving alternatives to coercion. Public and private institutions in the U.S., Canada, and France

have funded him to conduct clinical-neuropsychological studies, qualitative investigations, and epidemiological surveys of patients, professionals, and the general population.

In his clinical work for over two decades, Cohen has developed person-centered methods to withdraw from psychiatric drugs and given workshops on this topic around the world. He designed and launched the CriticalThinkRx web-based <u>Critical Curriculum on Psychotropic Medications</u> for child welfare professionals in 2009, since taken by thousands of practitioners and updated in 2018. Tested in a 16-month longitudinal controlled study, CriticalThinkRx was shown to reduce psychiatric prescribing to children in foster care.

He has authored or co-authored over 120 articles and book chapters. His edited books include Challenging the Therapeutic State (1990), Médicalisation et contrôle social (1996), and Critical New Perspectives on ADHD (2006). His co-authored books include Guide critique des médicaments de l'âme (1995), Your Drug May Be Your Problem (1999/2007), and Mad Science (2013).

Dr. Cohen previously taught at Université de Montréal and Florida International University. In Montreal, he directed the Health & Prevention Social Research Group, and at Florida International University where he was PhD Program Director and Interim Director of the School of Social Work. He held the Fulbright-Tocqueville Chair to France in 2012.

Peter C. Gøtzsche, MD

Peter C. Gøtzsche is a specialist in internal medicine but has a special interest in psychiatry; has published numerous scientific articles and several books about psychiatric drugs and the harms of forced treatment; and has had five PhD students who worked with psychiatric drugs.

Gøtzsche is considered an internationally recognized expert in research methodology, which resulted in a professorship at the University of Copenhagen in Clinical Research Design and Analysis in 2010. Co-founded the Cochrane Collaboration and established the Nordic Cochrane Centre in 1993. Co-founded Council for Evidence-based Psychiatry in the UK in 2014 and International Institute for Psychiatric Drug Withdrawal in Sweden in 2016. Founded the Institute for Scientific Freedom in 2019.

Gøtzsche's greatest contribution to public health was when he, in 2010, opened the archives of clinical study reports in the European Medicines Agency after a 3-year long battle that involved a complaint to the European Ombudsman. EMA was solely concerned with protecting the drug industry's interests while ignoring those of the patients. The Ombudsman ruled there was no commercially confidential information in the study reports.

Gøtzsche has published more than 75 papers in "the big five" (BMJ, Lancet, JAMA, Annals of Internal Medicine and New England Journal of Medicine) and his scientific works have been cited over 150,000 times (his H-index is 82 according to Web of Science, June 2022, which means that 82 papers have been cited at least 82 times). Gøtzsche is author of several books. The ones most relevant for psychiatry are:

- Critical psychiatry textbook (2022).
- <u>Mental health survival kit and withdrawal from psychiatric drugs: A user's guide</u> (2022, exists in 8 languages).
- <u>Deadly psychiatry and organised denial</u> (2015, in 9 languages).

 <u>Deadly medicines and organised crime: How hig pharma has corrupted health care</u> (2013, in 16 languages). Winner, British Medical Association's Annual Book Award, Basis of Medicine in 2014.

Gøtzsche has given numerous interviews, one of which — about organised crime in the drug industry — has been seen <u>over 430,000 times</u> on YouTube. Gøtzsche was in The Daily Show in New York on 16 Sept 2014 where he played the role of Deep Throat revealing secrets about big pharma. A documentary film about Peter's reform work, <u>Diagnosing Psychiatry</u>, appeared in 2017, and another one is in the making.

Peter has an interest in statistics and research methodology. He has co-authored guidelines for good reporting: <u>CONSORT</u> for randomised trials, <u>STROBE</u> for observational studies, <u>PRISMA</u> for systematic reviews and meta-analyses, and <u>SPIRIT</u> for trial protocols. Peter was an editor in the Cochrane Methodology Review Group 1997–2014.

David Healy, MD

Dr. Healy is a psychiatrist, scientist, psychopharmacologist, and author.

Before becoming a professor of Psychiatry in Wales, and more recently in the Department of Family Medicine at McMaster University in Canada, he studied medicine in Dublin, and at Cambridge University. He is a former Secretary of the British Association for Psychopharmacology, and has authored more than 220 peer-reviewed articles, 300 other pieces, and 25 books, including The Antidepressant Era and The Creation of Psychopharmacology from Harvard University Press, The Psychopharmacologists, Volumes 1–3 and Let Them Eat Prozac from New York University Press, Mania from Johns Hopkins University Press, and Pharmageddon.

His latest and most important book is *Shipwreck of the Singular: Healthcare's Castaways*. This documents how improvements in medicine which contributed to increasing our life expectancies have now turned inside out and are leading to shortened life spans. At the same time the climate of healthcare has turned toxic with increasingly fraught encounters between staff and management and between patients and services who are more concerned to manage risks to them rather than to their patients.

Dr. Healy's main areas of research are clinical trials in psychopharmacology, the history of psychopharmacology, and the impact of both trials and psychotropic drugs on our culture.

He has been involved as an expert witness in homicide and suicide trials involving psychotropic drugs, and in bringing problems with these drugs to the attention of American and European regulators, as well raising awareness of how pharmaceutical companies sell drugs by marketing diseases and co-opting academic opinion-leaders, ghost-writing their articles.

Dr. Healy is a founder and CEO of Data Based Medicine Limited, which operates through its website RxISK.org, dedicated to making medicines safer through online direct patient reporting of drug side effects. He and his colleagues recently established RxISK eConsult, an online medication consultation service to answer the question "Could it be my meds?

International Society for Ethical Psychology & Psychiatry (ISEPP)

The International Society for Ethical Psychology and Psychiatry, Inc. (ISEPP) is a 501(c)(3) non-profit volunteer organization of mental health professionals, physicians, educators, ex-patients and survivors of the mental health system, and their families, not affiliated with any political or religious group. ISEPP's purpose has always been to educate and recruit practitioners and academicians of the mental health professions who use scientific methods, both quantitative and qualitative, to critique the medical model of human distress.

ISEPP's questions of the Mental Health System's orthodoxy are simple:

- Where is the evidence that the problems diagnosed as mental disorder are due to dysfunctions in the individual, whether chemical, structural, or genetic?
- What internal dysfunction is the target of medical assessment and care for those diagnosed with mental disorder?
- How do chemicals, electricity, and surgery correct or alleviate that alleged internal dysfunction?
- Why are those diagnosed as mentally disordered the only "patients" of all the medical professions who are not given the right of full informed consent and, instead, are frequently coerced and conned into confinement and treatment in violation of basic human rights?

ISEPP publishes the scientific, educational, and professional focus, peer-reviewed journal, Ethical Human Psychology and Psychiatry: An International Journal of Critical Inquiry (EHPP).

VII. BIBLIOGRAPHY

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Chapter 41 SLA 22 [HB172]. (2022). Signed into law 15 July 2022. (https://www.akleg.gov/PDF/32/Bills/HB0172F.PDF)

Short title: Mental Health Facilities: Meds: Patients.

Full title: An Act relating to crisis stabilization centers, crisis residential centers, and subacute mental health facilities; relating to evaluation facilities; relating to representation by an attorney; relating to the administration of psychotropic medication in a crisis situation; relating to the use of psychotropic medication; relating to licensed facilities; relating to psychiatric patient rights; amending Rule 6(a), Alaska Rules of Civil Procedure; and providing for an effective date.

Legislative history: HB172 — 32nd Legislature (2021–2022) (https://www.akleg.gov/basis/Bill/Detail/32?Root=HB%20172)

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AS 47.30.660. Powers and Duties of Department.

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VIII. APPENDIX

The Science Of, by David Healy, MD

This is written by a doctor who supports the medical model within the mental health domain and who primarily uses psychotropic drugs to treat nervous problems. Believing psychotropic drugs to have the potential to help, however, means knowing that they also have a potential to harm and being concerned to have these harms noticed and reversed where they happen.

In legal settings, both legal cases involving harms on medicines, or inquests after a death or committal hearings or appeals, parties for the government or services typically contrast the science that they supposedly depend on in respect of drug benefits and lack of harms with an apparent lack of science, or anecdotal quality to the evidence on a plaintiff's or claimant's side.

This position is rarely questioned. However, as a matter of fact, in so far as there is an appeal to company trials, there is no science on the government or services side.

With rare exceptions, the entirety of the clinical trial literature in the very best journals, and reviews of clinical trials, are ghostwritten. Without exception, there is no access to the data from these trials. Neither notional authors, nor regulators, nor anyone else has seen the data.

We know from FDA reviews of the company study reports in these trials, the FDA classified many of these trials as negative. These trials were then published by companies as positive.

We know from Study 329, a study of paroxetine (Paxil) in depressed teenagers, that the publication of this trial was fraudulent. The results were negative, but GlaxoSmithKline knowingly published them as positive. The Attorney General of New York took a fraud action against GSK in respect of this trial in 2004 and the company later resolved a Department of Justice action for \$3 Billion.

Study 329 was a trial run in the very best university hospitals in North America, with a distinguished authorship line and was published by the most highly regarded journal in child psychiatry. If this trial was fraudulent, fraud can be assumed to be the standard industry mode of operation. In many other trials, the claimed patients have not existed (1).

The greatest mismatch in all of 'science' can be found in psychotropic drug trials — with the published literature claiming benefits but the actual data when accessed indicating just the opposite — the treatment is not effective and is not safe.

Quite aside from the above points, the lack of access to any of the subjects in these trials and the fact the authors on the authorship line of these papers might never have met any of the patients or seen any of the problems treatment can cause, means these trials offer hearsay rather than material that meets scientific standards or legal rules of evidence.

Without access to the underlying data, no-one can be brought into a hearing and cross-examined as to what exactly happened in any of these trials. Have the harms a plaintiff complains of happened to others? In cases where this has been investigated, patient complaints invariably occur in the clinical trials whose publications claim these events do not happen on the company drug.

Companies regularly claim their drugs have no known serious side effects to be concerned about (2, 3, 4).

There is a further problem with company trials, which is they generate average effects. They do not tell us what has happened or will happen to an individual patient, which might be completely different. The published average will likely appear as a minor benefit but this minor benefit will be touted as evidence the drug works and is sold as a major benefit to everyone who receives this drug.

The claimed benefit in psychotropic drug trials typically involves a minor change in a rating scale score, while at the same time more people die from the active treatment than die on placebo. They typically die from suicide in psychotropic drug trials, with olanzapine having the highest rate of suicide in recorded clinical trial history.

More to the point, there may be people who do quite well and have significant rating scale changes; this may be of the order of 15–20% of trial participants. But an equal or larger number do much worse on treatment. The averaging of effects make the patients who do not fare well under the treatment disappear from view. Even worse, the main outcome in many clinical trials is the number of patients who improve on a rating scale by at least 50%, which is totally misleading when we are not told how many that deteriorate by this amount. These are the patients who end up on compulsory detention and treatment orders. The mental health system seems unable to comprehend that it might be generating the problems it then seeks to treat by pouring gasoline on the fire.

The system claims the science supports its point of view but in fact the only science in detention and treatment hearings comes from the patients subject to these hearings, whose views are discredited because they are labelled mad, when in fact when it comes to the adverse effects of a drug they are accurate more often than their doctors. They are also discredited in favor of adherence to what has been relentlessly called science by companies standing to profit from making this designation stick. Legal systems, at present, have a comprehensive inability to see how this company maneuver sabotages patients' rights within the mental health domain.

In company trials, there are a greater number of suicides and suicidal events on active treatment, including antidepressants, antipsychotics and antiepileptics than on placebo.

In company trials, there are a greater number of homicidal events on active treatment with antipsychotics, anticonvulsants, and antidepressants than on placebo.

In general, drug regulators have refused to issue appropriate warnings to this effect.

There is also a growing body of evidence that while psychotropic drugs may be useful for some patients with substance misuse problems, a significant number of people exposed to antipsychotics, anticonvulsants and antidepressants will develop substance misuse problems, involving alcohol, methamphetamine, cocaine and cannabis that they would not otherwise have had (5–17).

Stopping their psychotropic drugs can lead to a complete remission of their problems but mental health systems do not know this and instead compound the problems with further psychotropic drugs, often given in depot form.

In summary, primarily where patients who end up in mental health units are concerned, and especially those to end up on compulsory detention orders, there is a strong case to be made that the treatment they have been on will for many have been the main trigger to a deterioration leading to hospitalization. Our current systems rarely recognize the problems they are causing, because few

doctors have any training in recognizing adverse events and few realize the published medical literature on these drugs is not reliable. This leads in many cases (not all) to an inappropriate, medically dangerous, and legally indefensible over-riding of patient rights.

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IX. ADDENDA (MAY 2023)

AS 47.30.836(a)(3), and AS 47.30.839 Should be Repealed

As set forth in the body of this White Paper, the use of psychotropic drugs dramatically worsens patient outcomes and shortens life spans. While some people find the drugs useful, it is simply not possible to legitimately prove by clear and convincing evidence they are in an unwilling person's best interest and there are no less intrusive alternatives. For these reasons, this White Paper calls for forced drugging to be eliminated entirely. This addendum identifies the specific statutes that should be repealed to accomplish this.

Under AS 47.30.836, ¹⁵³ psychotropic drugs can only be administered to a psychiatric inpatient in a non-crisis situation if (1) they give informed consent, (2) pursuant to an Advance Directive, or (3) by court order if the person is found to be incompetent to give informed consent, i.e., forced drugging. Thus, AS 47.30.836(3) allowing forced drugging should be repealed. AS 47.30.839¹⁵⁴ is the statute that sets forth the forced drugging criteria and procedures and should be repealed.

AS 47.30.837(d)(1)(B) Should be Repealed

As Dr. Peter Gøtzsche has written:

People can be incompetent for some purposes and competent for others, and I firmly believe everyone is competent to decline psychotropic medication and electroshock, especially after they have had any experience with it. Thus, the key word is negotiation. ¹⁵⁵

Alaska Statutes should, but do not take this approach. Instead, AS 47.30.837¹⁵⁶ details how informed consent is obtained. AS 47.30.837(a) states the person has to be competent to make mental health or medical decisions and AS 47.30.837(d)(1) defines "competent" to mean the patient:

- (A) has the capacity to assimilate relevant facts and to appreciate and understand the patient's situation with regard to those facts, including the information described in (2) of this subsection;
- (B) appreciates that the patient has a mental disorder or impairment, if the evidence so indicates; denial of a significantly disabling disorder or impairment, when faced with substantial evidence of its existence, constitutes evidence that the patient lacks the capability to make mental health treatment decisions;

(https://www.akleg.gov/basis/statutes.asp#47.30.837)

¹⁵³AS 47.30.836. Psychotropic medication in non-crisis situation.

⁽https://www.akleg.gov/basis/statutes.asp#47.30.836)

¹⁵⁴ AS 47.30.839. Court-ordered administration of medication.

⁽https://www.akleg.gov/basis/statutes.asp#47.30.839)

¹⁵⁵ Gøtzsche, Peter C. (2015). Deadly Psychiatry and Organized Denial. Copenhagen: People's Press.

¹⁵⁶ AS 47.30.837. Informed Consent.

- (C) has the capacity to participate in treatment decisions by means of a rational thought process; and
 - (D) is able to articulate reasonable objections to using the offered medication;

The person has to be competent to both accept and decline the proposed drug(s). This is ignored in practice. Only if the person declines the drug(s) is there any consideration of incompetence. Incompetence is asserted despite the overwhelming evidence contained in the main body of this White Paper that the drugs are extremely counterproductive and harmful, which many patients are painfully aware of. For example, in the *Myers* case, the hospital's psychiatrist testified in his deposition that if the person agrees to take the drugs he considers the person competent and if the person does not agree to take the drugs, the person is incompetent and he would obtain a court order to drug the person against their will.

Thus, this section is only actually applied when someone declines the drug(s). Subsection (B) provides if the patient disagrees with the doctor that they are mentally ill, that is evidence they are incompetent to give informed consent. This is outrageous and should be repealed. There are very good reasons why someone might deny they are mentally ill, not the least of which is the pariah status it burdens someone with, euphemistically referred to as stigma. That someone does not want to admit to such a condition is not legitimate evidence of incompetence to decline the drug(s).