IN THE SUPERIOR COURT FOR THE STATE OF ALASKA THIRD JUDICIAL DISTRICT AT ANCHORAGE

IN THE MATTER OF:

The Necessity for the Hospitalization of William S. Bigley

()

Case No. 3AN-08-01252 PR

TRANSCRIPT OF PROCEEDINGS

BEFORE

THE HONORABLE WILLIAM MORSE

Pages 1 - 23, inclusive
November 3, 2008
8:47 a.m.

APPEARANCES:

For WB: James Gottstein, Esq. For the State of Alaska: Laura Derry, Esq. (by telephone)

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1 ANCHORAGE, ALASKA; MONDAY, NOVEMBER 3, 2008; 8:47 a.m. 2 ---oOo---(8:47:35) 3 4 THE COURT: We're on record in 3AN-08-01252. 5 Mr. Gottstein is in the courtroom. 6 Ms. Derry, you're on the phone? 7 MS. DERRY: Yes, Your Honor. THE COURT: I set this on earlier this morning 8 simply to issue rulings on various pending motions. There 9 have been a flurry of filings, so I also want to use this 10 opportunity to see if there are -- if I'm missing 11 12 something. So -- and I just received a packet of 13 documents filed five minutes ago from Mr. Gottstein. 14 There was an original petition filed on the 21st 15 of October for commitment and medication, a second 16 petition for medication on October 27th. There is a motion to dismiss the 838 petition, the commitment petition, which was filed on October 30th. 19 MR. GOTTSTEIN: Your Honor, may I clarify? Do 20 you mind if I interrupt? 21 THE COURT: Go ahead. MR. GOTTSTEIN: The motion to dismiss 838 22

MS. DERRY: Yes, Your Honor. I ran it over before the close of business on Friday. THE COURT: All right. Did you file it in chambers? MS. DERRY: Your Honor, I filed it in the

probate and supplied a chambers copy. THE COURT: All right. I haven't seen that, so I won't rule on it until I get the opposition, but it

9 seems to me that it is highly unlikely that I'm going to grant a motion for summary judgment, because it seems to 11 me that there's almost certainly a dispute over facts.

But I haven't read the opposition yet, so I won't rule, but I will certainly rule here in the next -- shortly so 13 14 that the parties know whether the hearing on the 5th is 15 going forward.

I am denying the motion to hold the hearing at the courthouse. It will take place, at least the initial hearing -- on the assumption that it might be longer than one day, the first day is going to take place at API. I will review the request to have any subsequent hearings at the courthouse based on my observation of several things: Mr. Bigley's then current mental state and apparent ability to control himself and to -- and to

minimize any risk to the public; and secondly, since I

have not personally seen the API's new -- not so much the

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the police power emergency medication under AS 47.30.838. and so that's what that motion to dismiss 838 motion is about. It's still about the forced drugging petition. 3 4 THE COURT: The eight -- the motion to dismiss 838 is denied because I have issued an order committing 5 him already, and the State's second motion to commit is, 6 7 as far as I can tell, duplicative for an effort to perhaps 8 extend the timing, and so he's committed. 9 MR. GOTTSTEIN: Your Honor --THE COURT: The second petition is dismissed. 10

count -- Your Honor, I think it's count -- the forced

drugging petition really has two bases. One is the basis

that was under reviewing Myers, and then the second one is

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as far as I can tell. 13 MR. GOTTSTEIN: Your Honor, we're not -- none of this is about commitment. It's about forced drugging. 14

The second petition by the State for commitment is moot,

15 THE COURT: I appreciate that. But you filed a 16 motion to dismiss the 838 petition.

MR. GOTTSTEIN: The 838 count, which is part one of two counts of the forced drugging petition, but has nothing to do with the commitment. It has to do with emergency --

21 THE COURT: The motion to dismiss is denied.

22 The motion for summary judgment, I have just received from Mr. Gottstein a reply to an opposition that

I haven't seen yet. So I assume that the State filed an

opposition to the motion for summary judgment?

room itself, but I haven't been into API in quite some time. I will review that request after I experience the

entry process and the publicness, if you will, of the entire setting.

5 The motion for expedited consideration of the various motions concerning the depositions and discovery, 6 7 the motion for expedited consideration is granted.

The motion for a protective order, to the extent 9 that it asserts that there is no discovery permissible, is denied. Discovery is not only permissible implicitly by 10 825(b) and 839(d), but also the respondent has access to information by court order which, by virtue of AS 47.30.852 and 3 and probate rule 1(e) says that if a probate rule is not specific to a particular procedural 14 15 issue, then the civil rules apply.

I think that the civil discovery rules are applicable, at least the concept of discovery is applicable. They may need to be modified to reflect the timing requirements that are unique to these proceedings. But nonetheless, there is a due process right to discovery of information that is going to be used against you in any 22 hearing and particularly in one in which the requested remedy, the medication, the involuntary psychotropic medication, clearly requires that sort of disclosure of

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And the motion to quash the deposition notices
is denied with one caveat. And I think that this has
actually been corrected or there is a reference in the Ron
Adler notice of a 9:00 p.m. time, and then I saw in some
of the filings this morning that that was conceded to be a
typographic error. The State has not requested me to
change the specific timing of any deposition. I will
require the parties to coordinate the, I believe, three
depositions to accommodate, to the extent that is
reasonable, the three deponents.

And I don't know what the 9:00 p.m. Adler
deposition actually was intended to be. 9:00 p.m. might
not be reasonable, although the reason I'm hedging is that
I don't know what the shift schedules are of those three
individuals. I assume that the State's attorney normally
doesn't work at 9:00 p.m.

Have the two of you been able to rearrange deposition times? Mr. Gottstein.

MR. GOTTSTEIN: Your Honor, I think we have an understanding that if the Court denies the motion to quash, that we would hold Mr. Adler's deposition tomorrow, and it was meant to be 9:00 a.m., not 9:00 p.m., but --

THE COURT: That's fine. And that's supposed to be on the 4th of November?

25 MR. GOTTSTEIN: Correct.

1 this depends on what the State presents, but do you know

2 now whether you will have a direct testimony

3 case-in-chief? I appreciate you will have

4 cross-examination, but do you think you will have your own

5 affirmative, direct testimony?

6 MR. GOTTSTEIN: Yes, Your Honor. And I did file 7 a witness list, a preliminary witness list on Friday --

THE COURT: Maybe you have.

9 MR. GOTTSTEIN: -- and I assume you've got it 10 somewhere.

11 THE COURT: It's somewhere.

MR. GOTTSTEIN: I've got it somewhere too.

13 Yeah, you know, I think we might assume a day too. I

14 filed a lot of testimony, actually, in writing, written

15 testimony, and using that, I think can shorten things, but

16 I think still it will be a day.

THE COURT: Some of that testimony -- I mean, I know that there's a packet that just showed up today that

19 includes affidavits and some articles and a variety of

20 other things, and some prior testimony in prior cases. So

the State's going to have to sort of go through that and

22 raise whatever objections it wants. But at this point, if

23 we do go Wednesday and we need at least an additional day,

24 it's my understanding that Thursday is the normal day

25 for -- or Tuesdays and Thursdays are normal days for

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THE COURT: And Ms. Derry, are there any -- does

2 that resolve the scheduling problems of the three

3 depositions?

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MS. DERRY: I'll -- it definitely resolves

5 Mr. Adler's problem as long as -- he's down on the Kenai

6 Peninsula today, Your Honor, and so I won't be able to

7 speak to him, but I think that that will work for Ron, for

8 Mr. Adler, and I think that Mr. Gottstein and I can -- if

9 there are any other scheduling problems, can meet to

10 discuss that.

THE COURT: All right. Purely for scheduling purposes, if I'm recalling, we're to begin at -- is it

13 9:00 a.m. Wednesday morning?

MS. DERRY: Yes, Your Honor.

15 THE COURT: And the State has filed a lengthy

16 witness list. As a practical matter, how long do you

17 think the State's case-in-chief, setting aside

18 cross-examination, will last?

MS. DERRY: If I feel like I need to call mostof those witnesses, Your Honor, it would definitely take a

21 day.

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THE COURT: And that's direct only, without

23 cross?

MS. DERRY: Yes.

THE COURT: Mr. Gottstein, I appreciate that

1 API hearings?

MS. DERRY: No. Your Honor, it's Tuesday afternoon and Friday afternoon.

THE COURT: All right. So would the parties be ready to go Thursday in the day?

6 MS. DERRY: Yes, Your Honor.

7 MR. GOTTSTEIN: Yes, Your Honor.

8 THE COURT: I'll have to look and see what

9 exactly -- I don't remember whether I have this trial

10 that's about to start scheduled for Thursday or whether I

11 have something else. But obviously this case has time

12 requirements that will likely trump anything else. We'll

assume at that point that we're going both Wednesday and

14 Thursday. I will give you information to the contrary as

15 soon as I receive it, if I do receive it.

MS. DERRY: And Your Honor, my -- I would like to ask that the Court keep the hearing on Wednesday and

18 Thursday, if we go into that, to the four issues at hand

19 that Mr. Gottstein has brought up and that are clear in

20 both the statute and under Myers and Weatherhorn, that

21 the issues are whether or not the patient refused --

22 whether or not the patient is capable of informed consent

23 and whether or not the medicating is within the best

24 interest and the less restrictive alternative to protect

25 the patient.

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1 And if we could agree that those are the issues 2 and not the extraneous issue of whether -- of the 3 controversial issue of whether or not medications are 4 appropriate or the other things been adjudicated that are going to appeal now. If we can stick to the four issues 5 that are actually at hand of whether or not we can care 7 for Mr. Bigley, then this hearing -- my witness list would become markedly shorter, Your Honor. 9

THE COURT: I assume that we will be delineating those four issues. Are there other issues? MS. DERRY: It's -- the way that I'm reading the

11 12 multiple --

13 THE COURT: Let me -- let Mr. Gottstein speak, 14 because he'll tell us what the issues are beyond those 15 four.

16 MS. DERRY: Yes, Your Honor.

17 THE COURT: If any.

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18 MR. GOTTSTEIN: Your Honor, as an initial

19 matter, I note that the harm of the drugs and the relative

20 lack or -- lack of benefit or effectiveness, of course, is

an extremely important part of the best interests finding.

Okay. So -- but that's with respect to what I'm calling

the parens patriae account. With respect to the police

power account that they've also asserted under 47.30.838,

there's a lot of issues about that, whether or not they're

Page 11

1 entitled to -- entitled to an order, and there's been no

reported decision on that statute, and so I think we'll

have to sort out exactly what the requirements of that --

4 that statute are.

5 THE COURT: I'm not sure I understand what

you're saying. 6

MR. GOTTSTEIN: Excuse me?

8 THE COURT: I'm not sure I understand what you 9

are...

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10 Okay. Maybe I misunderstood something when I was referring to the 838. The 838 is the provision

regarding emergency psychotropic -- the administration of

emergency medication. Can I assume that at least going --

14 that the State simply wants to proceed on its 839?

15 MS. DERRY: Yes, Your Honor. What's happening

is that because Mr. Bigley has been committed, the 16

17 hospital is doing everything they can to help him, and

18 because of his condition, he is so severely psychotic that

19 he requires a tremendous amount of care and another option

20 would be to actually strap him down and restrain him on a

bed, and that is absolutely something that the hospital is

unwilling to do because Mr. Bigley isn't capable of

23 informed consent and he's not capable of rationalizing

24 things.

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The hospital actually sees that as a form of

1 torture, something from a horror movie from the 1950s, and

that's the position that we're in right now, Your Honor,

and we're asking that this can move forward and that we

can look to just simply sticking to what the statute says,

rather than bringing up the extraneous constitutional

issues that are really controversial and up in the air.

And Mr. Gottstein --

8 THE COURT: Ms. Derry, the question was, are you 9 proceeding under 838 and seeking emergency powers, which 10 would seem to be unnecessary if I granted your 839

11 petition?

12 MS. DERRY: Oh, no, Your Honor, I'm not 13 seeking -- the 838 motion, that's strictly Mr. Gottstein.

14 I have -- I have to seek an 839 petition because the

15 hospital is having to emergency medicate.

16 THE COURT: Are you asking me to do anything 17 under 838 or to somehow ratify whatever emergency 18 medication API is administering?

19 MS. DERRY: Your Honor, what's -- no. I'm 20 asking whether or not -- I'm asking to move forward on the medications petition under 839, which is required by

22 838 --

23 THE COURT: That's fine.

24 MS. DERRY: -- because --

25 THE COURT: So now let's assume I either -- I

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have two options. I deny the 839 petition, in which case

Mr. Gottstein, are you then seeking some additional

request under -- that would restrict API's 838 authority?

MR. GOTTSTEIN: Your Honor, I think there's some

confusion. There's certainly some confusion in my mind.

If you look at the petition, forced drugging petition,

there's two checked boxes, checked, one under the 838

ground -- but they're both made under AS 47.30.839. And

one is if the patient is incapable of giving or 9

10 withholding informed consent, and it seems that's what

11 Ms. Derry is speaking about.

12 There's another one that says if the hospital 13 seeks authority to administer emergency medications for 14 longer than a certain period of time, it has to get court approval to do so. And so that's also under -- that 15 16 application is made under 47.30.839, but the standards 17 applicable to that are under AS 47.30.838, and that's why

18 I called it the 838 count.

19 THE COURT: Let me look at 839 again.

20 839(a) allows API to seek court approval of the 21 administration of psychotropic medication in one of two 22 circumstances: Either that there will be repeated crisis

23 situations that would nominally authorize API on its own

24 to issue emergency medication, or if they want to use the

psychotropic medication in a noncrisis situation and he's

1 incapable of giving informed consent, the State -- correct me if I'm wrong -- the State clearly is seeking that

second authority, that there is a noncrisis situation and 3

he's not capable of giving informed consent. That at 5

least in the first instance that's true, right?

6 MS. DERRY: Yes, Your Honor. But also we're 7 referring -- what we're required to do under 838(c) is that because they aren't continually medicating Mr. Bigley 9 in a noncrisis situation, they're having to wait for him

to go into a crisis, and then if they can't use any other

11 form of treatment in order to help him get calmed down and

to ensure the safety of the other people, the other 12

13 patients at API, they've had to now, since this has gone

14 longer than 72 hours without making a decision, they are

required under Section C of 838 to seek this court order, 15

16 because it says that they can't administer psychotropic 17

medications during no more than three crisis periods 18 without the patient's informed consent, only with Court

19 approval.

20 THE COURT: So let's assume, just for purposes 21 of walking it through, that I grant the 839 petition because he's incapable of giving informed consent and I meet all the other Meyer/Weatherhorn criteria. Doesn't

24 that moot out the 838 -- the 839(a)(1) petition?

25 MS. DERRY: Yes, Your Honor. It's -- my MS. DERRY: It is. It also -- Your Honor,

between the two, of 839 (1) or (2), that's basically what

3 the hospital is having to do right now, that whether

4 they're --

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THE COURT: But I'm talking about your comment

that you want to somehow restrict the evidence.

7 MS. DERRY: Yes, Your Honor. I want to simply stick to the statute which is saying that we are asking

9 the Court to grant us the ability to treat Mr. Bigley

10 within the appropriate standard of care as seen all across

11 the United States and --

12 THE COURT: That's fine rhetoric, but you don't 13 get to say -- all I'm trying to figure out is how we focus 14 your presentation so that we deal with one set of evidence

15 rather than all sets of evidence, because that's what

16 you're asking for.

17 MS. DERRY: Yes.

THE COURT: So if you proceed under the

19 839(a)(2) criteria, that's a smaller set of evidence,

20 according to you, right?

MS. DERRY: Yes.

2.2 THE COURT: Okay. And then if I grant that

petition, it moots out the necessity for the broader set

24 of testimony?

25 MS. DERRY: Yes, Your Honor.

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1 understanding is that the hospital has done what's

necessary. They were adhering to the statute and

requesting a medications petition within the appropriate 3

amount of time under 838, which says that they couldn't

medicate without appropriate court order after the three 5

crisis periods, but they also were required to do anything 6

7 it takes in order to protect Mr. Bigley as well as the

other patients at the hospital, and because of that, they

9 have continued to emergency medicate if that is the last

resort without causing any harm to Mr. Bigley who has done

several things that are definitely disconcerting and have 11

caused his primary treating psychiatrist to be very, very

13 concerned about his well-being. And so the hospital

has --14

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THE COURT: Doesn't it make sense for the State to proceed under 839(a)(2) in the first instance and present only the information it thinks is necessary there? If I grant that petition, then any need for 839(a)(1)

19 authorization is moot?

MS. DERRY: Yes. I believe that, Your Honor.

21 THE COURT: And then if, on the other hand, I

22 deny your 839(a)(2) request, then the State can, if it

23 wants, present whatever additional information is

24 necessary to seek 839(a)(1) authority. Is that fair from

the State's perspective?

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THE COURT: Okay. Now, Mr. Gottstein gets to

make whatever constitutional arguments he wants under

whatever theory the State chooses to pursue first. So do

you see any problem, Mr. Gottstein, if we -- if the State

goes under 839(a)(2) first, under whatever it thinks is a

smaller subset of evidence, you respond to that, I'm going

7 to make a ruling, if I grant it, doesn't that moot out the

8 (a)(1) request?

MR. GOTTSTEIN: I think that, Your Honor, this

is where the Supreme Court stay really comes into effect, because the Alaska Supreme Court issued a stay on

essentially the same evidence that I presented to you,

13 Your Honor, and then you indicated --

14 THE COURT: Forget the stay. Just forget that

there's a stay for purposes of this discussion, and then 15

we'll go back to what the stay brings. If there was no 16

17 stay in place, doesn't the granting of the 839(a)(2)

18 petition, if that's what I do, moot out the (a)(1)?

19 MR. GOTTSTEIN: Yes, Your Honor. May I --

20 THE COURT: Okay.

21 MR. GOTTSTEIN: May I just say one other thing

22 about that. And, you know, in a lot of ways what you're

23 suggesting, you know, I could say that that really

24 benefits my client because the State is going to run out

of its authorization to use the police power authorization

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1 to emergency drug him during -- you know, during that 2 pendency because the statute gives them three -- basically 3 three 72-hour periods, and if they don't have a court

order at the end of three 72-hour crisis periods, they can

no longer do it. So I think that actually their petition

makes sense in that regard, and I'm perfectly fine to 7 limit it to the 839 -- you know, just the 839 -- what is

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THE COURT: (A)(2)

10 MR. GOTTSTEIN: (A)(2). You know, if that's the ruling and we're going to limit it to that, I'm very --I'm very happy with that.

13 THE COURT: Okay. We're both in agreement. 14 We're going -- we'll go with -- the State will present 15 what it thinks is necessary under 839(a)(2). If I grant 16 the petition, then I have to deal with the subsequent question of what do I do with the Supreme Court stay in effect in May in a different case with a different set of facts. Not a different set of facts, but a set of facts

20 that ended in May. 21 And one of the things that I am going to want 22 the State to tell me is where Mr. Bigley has been or when he has been at API, if at all, since May '08. And the 24 reason I want that is I want the Supreme Court, if I grant 25 any of the State's requests and authorize medication, I'm

if I grant that, then everything else is moot. If I don't

grant it, then I'm going to grant the State an opportunity

3 right then to supplement its evidentiary basis for the

second type of authorization. And then, Mr. Gottstein,

you can tell me when the time comes why you think you

might not have been prepared. If you're not, you're not.

I'll deal with that assertion when it's given to me and

when I've had a chance to see the evidence that both sides 9 present.

10 MR. GOTTSTEIN: Your Honor, I think I'll 11 probably just continue preparation.

12 MS. DERRY: I'm sorry. I didn't hear you, 13 Mr. Gottstein.

14 THE COURT: He's going to continue preparation. 15 That doesn't surprise me, given the several hundred pages of documents that have shown up already. But I'm not 17 being -- I'm not being -- I expected that. I'm not being 18 sarcastic.

At any rate, is there anything else out there that -- any motion that someone thinks has been filed that I haven't now dealt with, other than the motion for summary judgment?

MS. DERRY: I also had a motion for the protective orders to protect the people that Mr. Gottstein is going to depose from him issuing anything on his Web

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going to have to deal with the issue of the prior stay.

And if I rule that the prior stay is, in essence, obsolete

and overridden by subsequent events, I'm going to give 3

Mr. Bigley, Mr. Gottstein an opportunity to go to the

Supreme Court and petition for a stay of that

authorization order. 6

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7 And I want the Supreme Court to have in this record a history of when he's been -- at a minimum, when he's been at API, if at all, since the first authorization 9 10 order and the first stay.

11 MS. DERRY: Yes, Your Honor.

THE COURT: Is there anything else?

13 MR. GOTTSTEIN: Your Honor, may I have an idea of how much time I might have to prepare for an 838

hearing if we end up going to that? 15

16 MS. DERRY: Your Honor, Mr. Gottstein is arguing 17 that we're running out of time, and what's happening is

that we're actually being forced to deviate from the 18

19 statute as well as deviate from protecting Mr. Bigley's

due process because this case continues to be delayed 20

21 because of Mr. Gottstein --

22 THE COURT: This case is going to be done, if 23 not Thursday, then shortly after Thursday, at least from 24 the Superior Court's perspective. I'm going to issue an order in the first instance on the 839(a)(2) petition, and

1 site or making them look bad.

2 THE COURT: Is there any -- I'm not -- if you filed a response to that, I just haven't had a chance to 4 read it.

So is there an objection to me issuing an order that says that the depositions and the paperwork generated in this case cannot be disseminated to the -- to the public outside of the courtroom setting?

9 MR. GOTTSTEIN: Yes, Your Honor. I do object to that. And I have filed a response to that. And what I -what I proposed to Ms. Derry was that -- first off, her

request is with respect to depositions. And what I said,

that I'll hold those confidential for a week, and that she

14 can then make an application under Civil Rule 26 -- I

15 think it's C -- for a protective order. At that point

we'll know actually what the testimony is and the judge --16

17 and Your Honor will have a factual basis to make a

18 determination whether or not a protective order is 19

warranted.

20 THE COURT: Is there any objection to me issuing 21 a protective order that says, no deposition, no materials 22 can be disseminated to any member of the public except in 23 open court at least until November 12th, and then once we

24 actually identify what all that information is, we'll

fine-tune the protective order? State opposed to that?

	Page 22	
1	MS. DERRY: No, Your Honor.	
2	THE COURT: All right. That's the order.	
3	Anything else?	
4	MS. DERRY: Not from the State, Your Honor.	
5	THE COURT: Thank you.	
6	(End of recording)	
7	(9:19:26)	
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25 1 2	Page 23 TRANSCRIBER'S CERTIFICATE	
1	TRANSCRIBER'S CERTIFICATE	
1 2	TRANSCRIBER'S CERTIFICATE I, Deirdre J.F. Radcliffe, hereby certify that the	
1 2 3	TRANSCRIBER'S CERTIFICATE	
1 2 3 4 5	TRANSCRIBER'S CERTIFICATE I, Deirdre J.F. Radcliffe, hereby certify that the foregoing pages numbered through are a true and	
1 2 3 4 5	I, Deirdre J.F. Radcliffe, hereby certify that the foregoing pages numbered through are a true and accurate transcript of proceedings in Case No.	
1 2 3 4 5 6 7	I, Deirdre J.F. Radcliffe, hereby certify that the foregoing pages numbered through are a true and accurate transcript of proceedings in Case No. 3AN-08-01252 PR, In the Matter of WB, transcribed by me	
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