Original Received Probata Division

1 3 2008

Control of tel Courts

### IN THE SUPERIOR COURT FOR THE STATE OF ALASKA

## THIRD JUDICIAL DISTRICT

IN THE MATTER OF F.M.

3AN-02-00277 CI

# VOLUME I

## TRANSCRIPT OF PROCEEDINGS

March 5, 2003 -- Pages 1 through 198

March 10, 2003 -- Pages 198 through 223

### HEARING REGARDING BURDEN OF PROOF THAT DEFENDANT IS MENTALLY ILL AND REGARDING ADMINISTRATION OF MEDICATION

### BEFORE THE HONORABLE MORGAN CHRISTEN

Anchorage, Alaska March 5, 2003

#### APPEARANCES:

FOR THE PLAINTIFF: Jeff Killip Assistant Attorney General

State of Alaska

1031 West 4th Avenue, Suite 200 Anchorage, Alaska 99501

FOR THE DEFENDANT: James B. Gottstein 406 G Street, Suite 206 Anchorage, Alaska 99501

#### PROCEEDINGS

2 4403-41

1

4

5

13

3 8:52:51 AM

THE COURT: We're on record in Case No. 3AN-03-277.

It's a case regarding Faith Myers. Mr. Gottstein, before

I go any further, I'll just state your appearance. Mr. 6

Gottstein is present, for the record, as is Mr. Killip for

the State. Your client requested this be an open hearing, 9

is that correct?

10 MR. GOTTSTEIN: That's correct. She's not here yet, though, and she's supposed to be here. So, I don't know 11

what the hang-up is. Dr. Kletti, wasn't she --? 12

THE COURT: Right. She has the right to be present.

14 DR. KLETTI: Right. She was scheduled for

15 transportation to court this morning.

THE COURT: I was told that you all were ready. I 16

didn't realize that you weren't. We need to wait for her. 17 So we'll go ahead and go back off record and do that.

Well, actually, maybe I'll take up some housekeeping,

first, but we're not going to proceed in substance with

21 her, certainly.

22 I just have the one exhibit list. Counselor, do you

23 have --

25

24 MR. GOTTSTEIN: The respondent's?

THE COURT: Yes. Do you have an exhibit list, Mr.

### CONTENTS

WITNESSES-DIRECT CROSS REDIRECT RECROSS

FOR THE PLAINTIFF:

RACHEL HUMPHREYS 16 48

MIKE MYERS 52

DR. ROBERT HANOWELL 58/66/

DR. NICHOLAS KLETTI 101 108

FOR THE DEFENDANT:

FAITH MYERS 114 DR. GRACE JACKSON 164/167/

181 189

DR. LOREN MOSHER 170 179 EXHIBITS: ADMITTED

FOR THE PLAINTIFF: 1-7 -- photos of Faith Myers' apartment 47
8 -- one-page document handwritten by Faith Myers

FOR THE DEFENDANT:

C - report on the analysis of the olanzapine clinical trials 185 D - materials received from FDA under

Freedom of Information Act 184

L -- articles received from Dr. Grace Jackson DECISION BY THE COURT

HEARING ON MOTION FOR EXPEDITED CONSIDERATION

í

Killip?

MR. KILLIP: Your Honor, given the accelerated pace, 2 3 the witnesses just showed up. I had a chance to speak with one for almost an hour yesterday, but there are two 5 more I haven't had a chance to talk with and one of them presented me with some photographs. I don't have an 7 exhibit list that I've generated yet, but I can do it 8 right now.

THE COURT: Okay, that's fine. We can do it when we go off record for a minute. As long as Mr. Gottstein has it and has a chance to take a look, that's fine.

11 12 MR. GOTTSTEIN: Your Honor, I would note under AS

47.37.30(a)(6) that the petition must list the prospective 13 witnesses who will testify in support of commitment or

involuntary treatment, and only Dr. Hanowell was listed. 15

And I would object to any witness other than the one 16 specifically listed testifying. 17

THE COURT: All right. The objection is noted, but 18

again, I'm not going to make any substantive ruling until 19 20 your client gets here. My intention is to stay on record

21 just to get some housekeeping taken care of.

MR. GOTTSTEIN: Can I respond to that, Your Honor? 22

23 THE COURT: No, not yet.

MR. GOTTSTEIN: Okay. 24

25 THE COURT: Because we're not going to get into

Page 2

Page 170

Page 167

THE COURT: Mr. Gottstein? 1

2 DIRECT EXAMINATION (continued)

3 BY MR. GOTTSTEIN:

- Q Yeah. Dr. Jackson, can you explain why you failed 4 the exam? Or, you were failed, I guess I should say. 5
- Well, the Board of Examiners does not send you any 6
- 7 kind of feedback, but I was subjected to quite intense
- 8 cross-examination as to why I would not give a patient
- with psychotic symptoms medication for life. And I had
- done extensive research up to that point to prepare myself 10
- for -- for my philosophy of treatment. And I was not 11
- willing to purger myself in the cross-examination process 12 13 of board certification exam, so I did not pass that exam.
- 14 What do you mean by that? You were not prepared to
- 15 purger yourself?
- 16 A I could have lied. I could have told the examiners
- that the woman in the videotaped interview, who had 17
- 18 previously had a case of schizophrenia, needed to be on
- medication for life, which is what they were attempting to 19
- get out of me. Because they kept saying, well, she told you that she had previously been on these medicines. Why
- won't you give them to her now? And I had done a great
- 23 deal of research and had very good reasons why I would not
- continue a person, necessarily on life-long medication.
- But that, apparently, was not the answer that they were

phone. Do you want me to have him call back in 10

- minutes, or what do you want to do?
- 3 MR. GOTTSTEIN: Grace, can you? Let's take Dr.

4 Mosher.

5

13

17

1

6

11

12

13

14

15

17

THE COURT: That's your preference?

MR. GOTTSTEIN: Yes. 6

7 THE COURT: Ma'am, I'm very sorry to do this. We've

8 been trying to get Dr. Mosher on the line, and the

9 witnesses we typically go in order. And he was not

10 available by phone. I've just received an email that he's

called back in. 11

DR. JACKSON: That's absolutely fine. 12

THE COURT: All right. I appreciate it very much.

14 DR. JACKSON: Would you like me -- you'll call me

15 back?

16 THE COURT: Yes.

DR. JACKSON: Okay. Thank you.

18 THE COURT: You bet. Dr. Mosher, can you hear me? 19

DR. MOSHER: Yes. Long distant, but I can hear you.

20 THE COURT: All right. I'll try to speak into the

21 microphone more clearly. My name is Morgan Christen. I'm

22 a superior court judge and I'm assigned to this case. I

23 have you on a speaker phone on an overhead in the

24 courtroom, sir. And Mr. Gottstein has asked that you

25 testify. Are you able to do that at this time?

Page 168

2

3

5

6 7

8

9

10

11

13

14

15

16

I should say that my passed portion of the exam, which was based on a live patient interview in the morning, was based -- I passed that exam, and the reason for that or the tone of that was actually quite different. My examiners were more psycho-dynamically oriented individuals, and they accepted the fact that a life-long medication strategy was not necessarily in the best interest of all patients.

So, the board certification process, itself, is extremely relative. I would expect to encounter the exact difficulties when I sit for the examination again and I will give the same answers, based on the same scientifically-based knowledge.

THE COURT: I'll accept this witness as an expert and weigh her testimony accordingly.

Dr. Jackson, did you prepare a report and sign an 17 affidavit -- well -- excuse me, Your Honor. 18

19 THE COURT: That's okay. But could you get closer 20 to the microphone?

21 Q Yes. Did you notarize a statement -- have notarized 22 a statement in preparation for this hearing?

23 Yes, I did.

24 THE COURT: Mr. Gottstein, I'm sorry to do this to you, but I just got the email that Dr. Mosher is on the

DR. MOSHER: Well, I guess. I didn't prepare must, but anyway, I'll do my best.

3 THE COURT: All right. That's fine. I need to have 4 the oath administered to you. Could you please raise your 5 right hand?

DR. MOSHER: Okay.

7 THE CLERK: Do you swear or affirm that the 8 information you are about to give in this matter before the court is the truth, the whole truth, and nothing but 9 10 the truth?

DR. MOSHER: I do.

THE COURT: Sir, could you please state your full name and spell your last name?

DR. MOSHER: It's Loren Mosher, M-O-S-H-E-R-. THE COURT: All right. Thank you. Mr. Gottstein,

16 you may inquire.

DR. LOREN MOSHER

testified as follows on: 18

19 DIRECT EXAMINATION

BY MR. GOTTSTEIN: 20

21 Q Dr. Mosher, I can't express my appreciation enough

22 for your willingness to testify after just getting back

23 from Germany yesterday, and I just felt like I wanted to

24 express that.

25 Your affidavit has just been admitted. And I

- represented that you would have it notarized and send it.
- 2 Is that true?
- 3 A I just did that. It should be there tomorrow
- 4 afternoon.
- Q Thank you. Could you briefly -- because we've got a 5
- total of, I think 28 minutes left in this whole hearing, 6
- including to hear from Dr. Jackson -- discuss your
- 8 credentials, please?
- A I graduated from Stanford as an undergraduate, Q
- 10 Harvard Medical School, Harvard psychiatric training, more
- training at the National Institute of Mental Health, post-11
- doctoral fellowship in England, professor -- assistant 12
- 13 professor of psychiatry at Yale -- I'm sort of going
- chronologically -- from '68 to '80 I was the chief for the 14
- 15 Center for Studies of Schizophrenia, at the National
- 16 Institute of Mental Health from 1980 to '88 I was
- 17 professor of psychiatry at the Uniform Services University
- 18 of the Health Sciences in Bethesda, Maryland. That's a
- full-time, tenured, academic position. '88 to '96 I was 19
- the chief medical director of the Montgomery County
- Maryland Public Mental Health System. That's a bedroom
- 22 community to Washington, D.C. From '96 to '98 I was
- 23 clinical director of the San Diego County Public Mental
- 24 Health System. Since November of '98 I have been the
- 25 director and principle in Satiria (ph) Associates, a

Page 173

Page 174

- longer represented my interested and the \$1,000 a year
- that I was paying for them was just basically a waste of
- 3 money, while they pursued their own interests to the
- 4 detriment of what I consider to be the people they should
- be pursuing an interest for, and that's their patients. 5
- 6 So anyway, I'm not a member. I resigned in December of 1998. 7
- 8 Q So, is it fair to say that you have a philosophical
- Q disagreement with their approach, presently?
- 10 Well, yeah. I don't like how they do business.
- When you say do business, you mean practice 11
- psychiatry in the United States? 12
- A Well, we could take up the next half hour on that 13
- subject, but basically I feel that they have taken the 14
- person out of psychiatry and psychiatry has -- is now a 15
- dehumanizing, impersonal, non-individualized specialty 16
- 17 that is interested purely in pharmical therapy now.
- 18 That's big, broad brush strokes, but that's -- obviously
- that's not true of every single one, but that's my 19
- complaint about the organization. 20
- 21 Q Okay.
- 22 There's a -- if you want to read my letter of
- 23 resignation, you can look on my web site.
- 24 Okay, thank you.
- 25 THE COURT: Any objection?

Page 172

1

- private consulting firm that I formed, and I also hold
- clinical professorships at the University of California
- 3 San Diego School of Medicine, and at the Uniform Services
- 4 University of the Health Sciences in Bethesda, Maryland.
- 5 So that's briefly my credentials.
- 6 Dr. Mosher, did you mention being head of Q
- 7 schizophrenia research at the National Institute of Mental 8 Health?
- 9 Α Yeah, I said I was the head of the Center for
- 10 Studies of Schizophrenia from 1968 until 1980.
- 11 Okay. I move to qualify Dr. Mosher as an expert psychiatrist, especially in schizophrenia. 12
- 13 MR. KILLIP: Your Honor, just a couple questions. 14 VOIR DIRE EXAMINATION
- 15 BY MR. KILLIP:
- 16 Q Dr. Mosher, Jeff Killip with the Alaska Attorney
- 17 General's Office. I just want to ask you if you are
- 18 currently board certified in psychiatry?
- 19 Α I've been board certified since 1969.
- 20 Q Okay. And are you currently a member in good
- 21 standing with the American Psychiatric Association?
- 22 No, I am not. I resigned from the American Α
- 23 Psychiatric Association.
- 24 And do you have a reason for that? Q
- 25 A Yes, I have a reason for it. I felt like they no

- MR. KILLIP: No.
- THE COURT: All right. This witness will be 2 qualified
- 4 Thank you, Dr. Mosher. In the first sentence of the 0
- 5 introduce of your affidavit on page two, you talk about
- the biomedical model. I was going to ask you what you
- 7 mean by that. Have you already answered that, or would
- you like to expand on that?
- 9 Well, you know, what I mean by that is the phrase is
- 10 currently being used that, let's take, for example,
- schizophrenia is a brain disease. Well, that's a perfect 11
- 12 example of the medical model -- of the biomedical model.
- 13 When -- whereas, there is no evidence that schizophrenia
- 14 is, in fact, a brain disease. And so a hypothesis that
- schizophrenia is a brain disease, has been converted into 15
- a biomedical fact. And I disagree with converting 16
- hypotheses into beliefs in the absence of supporting 17
- evidence. 18
- 19 Okay, thank you. Now, in your opinion, is Q
- 20 medication the only viable treatment for schizophrenia
- 21 paranoid type?
- 22 A Well, no, it's not the only viable treatment. It is
- 23 one that will reduce the so-called positive symptoms, the
- 24 symptoms that are expressed outwardly for those kinds of
- 25 folks. And that way they may seem better, but in the long

1

2

3

4

5

6

8

9

11

Page 175

run, the drugs have so many problems, that in my view, if you have to use them, you should use them in as small a dose for as short a period of time as possible. And if you can supply some other form of social environmental treatment -- family therapy, psychotherapy, and a bunch of other things, then you can probably get along without using them at all, or, if at all, for a very brief period of time. But you have to be able to provide the other things. You know, it's like, if you don't have the other things, then your hand is forced. 10

MR. KILLIP: Excuse me. Your Honor. I just would renew our continuing objection about offering test on medical practice in the context of this hearing.

12 13 14 THE COURT: This hearing is going to last 20 more 15 minutes, and I'm going to let Mr. Gottstein use the time. Q Now, as a hypothetical question, if a woman who had 16 managed -- who has over a 25 year experience with 17 medications and has -- including navaine, paxil, risperdal 18 19 and zyprexa -- and then has managed to not -- to wean 20 herself from those for a year, would your recommendation be that she be placed back on them, particularly against 21 22 her will? 23 A Well, I think she is an absolute saint if she was

Page 177

Page 178

Well, it's just, you know, the degree to which you 1 have to force people to do anything..... 2

3 MR. KILLIP: Your Honor, I'm going to object. 4 ....is the degree to which it's going to be very 5 difficult to forge a good therapeutic relationship. And 6 in the field of psychiatry, it is the therapeutic 7 relationship which is the single most important thing. 8 And if you have been a cop, you know, that is, some kind 9 of a social controller and using force, then it becomes 10 nearly impossible to change roles into the role -- the 11 traditional role of the physician as healer advocate for 12 his or her patient. And so I think that that -- we should 13 stay out of the job of being police. That's why we have 14 police. So they can do that job, and it's not our job. 15

Now, if because of some altered state of consciousness, somebody is about to do themselves grievous harm or someone else grievous harm, well then, I would stop them in whatever way I needed to. I would probably prefer to do it with the police, but if it came to it, I guess I would do it. In my career I have never committed anyone. It just is -- I make it my business to form the kind of relationship that the person will -- that we can establish a ongoing treatment plan that is acceptable to both of us. And that may you avoid getting into the fight around whatever. And, you know, our job is to be healers,

Page 176

16

17

18

19

20

21

22

23

4

5

zyprexa, which is a thienobenzodiazepine derivative and

2 the thienobenzodiazepine valium-type drugs are very

able to get off of those drugs. Those drugs are

extraordinarily difficult to get off of, especially

addictive. And so, zyprexa, in particular, is difficult 3 to get off. And if she got off herself -- got herself off

of zyprexa, that's quite a remarkable feat in my clinical

experience. So I would be loath to put her back onto, especially zyprexa. But, you know, the other -- risperdal

is also problematic for getting off. Actually, they all 8

are, it's just a matter of degree. And if she got off for 10 a year, then I would certainly try to do whatever I can to

11 avoid putting her back on. And if she doesn't want them,

then that's even -- you know, if you can't negotiate some 12 drug that she may calm down on, like, for example, if she 13

if kind of agitated and anxious -- I don't know this 14

15 woman. I've never seen her face-to-face, so I can't

16 really speak to her particular problem without having seen her, but if she is, let's say, unhappy, agitated, and so 17

18 forth, then sometimes short-term use of drugs like valium

19 is quite helpful and it get's people through a crisis

20 without getting them back onto the neuroleptics drugs, the 21 anti-psychotic drugs.

22 Q Okay, thank you. Now, in your affidavit, you say

involuntary treatment should be difficult to implement and 23 used only in the direst of circumstances. Could you 24

25 explain why you have that opinion?

not fighters. 1

THE COURT: There's an objection to that question.

2 3 The objection was relevance?

> MR. KILLIP: Yes. THE COURT: Overruled.

Now, you say you've never committed anybody. But 7 you've had a lot of experience with -- or, I should say,

8 have you had a lot of experience with people with 9 schizophrenia?

10 A Oh, dear. I probably am the person on the planet

11 who has seen more acutely psychotic people off of

medication, without any medications, than anyone else on 12 the face of the planet today. 13

Thank you. 14 Q

15 Because of the Satiria Project that we did for 12

years where I would sit with people who were not on medications for hours on end. And I've seen them in my 17

18 private practice, and I see them to this day in my now,

19 very small, private practice. But --20

THE COURT: Sir, I think I understand the answer.

21 A I find that people who are psychotic and not

medicated are among the most interesting of all the 22

customers one finds. 23

Thank you, Dr. Mosher. 24 Q

25 THE COURT: That's a yes.

- Dr you know Dr. Grace Jackson? Q 1
- 2 A I do.
- 3 O Do you have an opinion on her knowledge of
- psychopharmacology? 4
- A I think she knows more about the mechanisms of 5
- actions of the various psychotropic agents than anyone who 6
- is a clinician, that I'm aware of. Now, there may be, you
- know, basic psychopharmacologists, you know, who do lab
- work who know more, but as far as a clinician, a
- 10 practitioner, I don't know anyone who is better-versed in
- the mechanisms, the actions, the effects and the adverse
- effects of the various psychotropic drugs. 12
- Thank you, Dr. Mosher. I have no questions, but 13 14
- perhaps the State will have some.
- MR. KILLIP: Yes, thank you. 15 DR. LOREN MOSHER 16
- 17 testified as follows on:
- 18 **CROSS-EXAMINATION**
- 19 BY MR. KILLIP:
- 20 Q Dr. Mosher, is it not your understanding that the
- use of anti-psychotic medications is the standard of care 21
- for treatment of psychosis in the United States, 22
- 23 presently?

2

- 24 A Yes, that's true.
- 25 Okay, so is it fair to say that your viewpoint --0

- Page 181
- THE COURT: Great. We're back on record. This is 1 2 Morgan Christen again. I have you back on the same 3
  - overhead speaker.

4

5

6

9

11

25

2

- DR. JACKSON: Yes, ma'am.
- THE COURT: What I'm going to do, I think, to save time, is to just remind you that you remain under oath and
- allow Mr. Gottstein to ask his questions. 8
  - DR. JACKSON: Um-hmm. Yes, ma'am. DR. GRACE JACKSON
- 10 testified as follows on:
  - DIRECT EXAMINATION (continued)
- BY MR. GOTTSTEIN: 12
- Q Thank you, Dr. Jackson. Obviously we're down to 10 13
- 14 minutes now, and I appreciate you waiting all day. And
- I'm going to have to be, obviously, a little bit -- or 15
- more than a little bit brief. 16
- 17 Did you -- we were just talking about an affidavit,
- 18 I think, that you signed, or a report that you swore. Did
- you do so? 19
- 20 Yes, that is correct. Yup. A
- 21 And is it -- can I --?
- THE COURT: Do I have this? Oh, you're just handing 22
- 23 it to me now, okay.
- 24 MR. GOTTSTEIN: I was in the middle of that.
  - THE COURT: I see. I beg your pardon.

Page 180

- 1 MR. GOTTSTEIN: Objection, relevance.
  - THE COURT: Overruled.
- Would you say that your viewpoint presented today 3
- falls within the minority of the psychiatric community? Yes, but I would just like to say that my viewpoint
- 6 is supported by research evidence. And so, that being the
- case, it's a matter of who judges the evidence as being 7
- stronger, or whatever. So, I'm not speaking just opinion, 8
- 9 I'm speaking from a body of evidence.
- 10 Thank you, Dr. Mosher.
- 11 THE COURT: Nothing further?
- 12 MR. KILLIP: Nothing.
- 13 MR. GOTTSTEIN: No, Your Honor.
- 14 THE COURT: All right. Sir, I appreciate your
- 15 testimony very much and want to thank you. It sounds like 16 the lawyers are done with you, so you can hang up.
- 17 DR. MOSHER: Okay. Well, good luck and I hope --
- what's her name, Ms. Myers? 18
- 19 THE COURT: Faith Myers. 20
  - DR. MOSHER: Gets out and without drugs. Thank you.
- THE COURT: Thank you, sir. All right. Do you want 21
- to try to call Dr. Jackson back? 22
- 23 MR. GOTTSTEIN: Yes, Your Honor.
- 24 THE COURT: All right. Dr. Jackson?
- 25 DR. JACKSON: Yes?

Page 182

- 1 MR. GOTTSTEIN: Exhibit D.
  - THE COURT: Thank you, sir.
- 3 0 What's the title of that?
- 4 This is an analysis of the olanzapine that is
- 5 zyprexa, the clinical trials, and I've called this A
- Dangerous Drug with Dubious Efficacy.
- 7 Okay.
- 8 MR. KILLIP: Excuse me, Your Honor. I just wanted
- 9 to note for the record that we've got about 20+ pages,
- half of them are stapled upside down. We're probably not 10
- 11 going to have a meaningful opportunity to look at this
- before cross-examination. I just want to make that 12
- 13 record.

14

- THE COURT: Yes, I have the same exhibit.
- 15 MR. KILLIP: Thank you.
- 16 MR. GOTTSTEIN: And I would note that I received
- 17 nothing from them before anything.
- 18 I think what I -- does this accurately -- well,
- 19 obviously it accurately describes the results of your
- 20 research into the drug olanzapine. Is that correct?
- 21 Α Yes, that's right.
- Okay. Have you -- I'm going to try -- I'm trying to 22 0
- get some stuff into the record here, Your Honor. And so -23
- 24 - and then we'll get to more substantive.
- 25 Did you send me some information regarding the

	Page 222		Page 224
1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25	MR. GOTTSTEIN:if that's what our decision is. THE COURT: If you could let me know, I'd sure appreciate it, because I'm MR. GOTTSTEIN: Absolutely, Your Honor. I included you in that.  THE COURT: Yeah, I appreciate it. Because, as I said, I'm I have a personal appointment out of the office that's actually a medical appointment I scheduled for some months and moved several times, myself, so I'd like to know as soon as I can, so that I can know how to handle that.  And I appreciate what you're both doing, which strikes me as you're both being very, very cooperative and trying your level best to get this done in a timely manner that jumps through all the hoops required by the statute and make sure that I have the information that I need to make the decision.  Is there anything further I can take up today, productively? No?  MR. KILLIP: I don't think so, Your Honor. THE COURT: All right. Well then, I'll let you both ring off. It's after 5:00 and I've kept you. Thanks very much for your help. I'll have Hilary confirm tomorrow morning about that time, but that should be at least in pencil on your calendars. And I'll let you know if I need	1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25	TRANSCRIBER'S CERTIFICATE I, Joanne Kearse, hereby certify that the foregoing pages numbered 1 through 222 are a true, accurate, and complete transcript of the hearings that took place on March 5, 2003 and March 10, 2003, In the Matter of F.M., Superior Ct. No. 3AN-03-277 PR, transcribed by me from a copy of the electronic sound recording to the best of my knowledge and ability.  Dated this 7th day of April, 2003.  JOANNE KEARSE
1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25	to speak to you sooner, after I get the report from the court-appointed visitor.  MR. KILLIP: Okay.  THE COURT: Thank you both very much.  MR. KILLIP: Thank you.  MR. GOTTSTEIN: Thank you.  THE COURT: Off record.  (Off record.)  5:03:47		