

IN THE SUPERIOR COURT FOR THE STATE OF ALASKA  
THIRD JUDICIAL DISTRICT AT ANCHORAGE

IN THE MATTER OF: )  
)  
Plaintiff, )  
)  
vs. )  
)  
WB: WILLIAM BIGLEY )  
)  
Defendant. )  
)

Case No. 3AN-08-00493 PR CI

\*\*\* CONFIDENTIAL \*\*\*

This was an open hearing. J. Gottstein.

VOLUME II

TRANSCRIPT OF MOTION HEARING

BEFORE THE HONORABLE SHARON GLEASON  
Superior Court Judge

Anchorage, Alaska  
May 14, 2008  
10:17 A.M.

APPEARANCES:

FOR THE STATE: Timothy M. Twomey, Esq.  
Assistant Attorney General  
1031 West 4th Avenue, Suite 200  
Anchorage, Alaska 99501

FOR THE DEFENDANT: James B. Gottstein, Esq.  
Law Project for Psychiatric Rights  
406 G Street, Suite 206  
Anchorage, Alaska 99501

1 3AN6308-79

2 10:17:01

3 THE COURT: Okay. We are back on record in a  
4 case involving Mr. Bigley, who is present here in the  
5 courtroom. And we have Mr. Twomey and Mr. Gottstein.

6 And I received paperwork from you,  
7 Mr. Gottstein, yesterday. And in it, it indicated you  
8 had not yet received the chart. Has that been  
9 remedied, or what is the status there?

10 MR. GOTTSTEIN: Your Honor, I received -- it  
11 was there when I got back from my supreme court oral  
12 argument, so yesterday.

13 THE COURT: All right. And I see a rather  
14 lengthy witness list. And I am concerned about the  
15 timeframe. So -- and it looks like three are simply  
16 to have available for cross examination of the  
17 materials you submitted, which I have reviewed; is  
18 that correct?

19 MR. GOTTSTEIN: Yes, Your Honor. I really  
20 only have three witnesses I plan to call.

21 THE COURT: Dr. Jackson, Dr. Hopson, and  
22 Camry Altaffer (phonetic)?

23 MR. GOTTSTEIN: Altaffer.

24 THE COURT: Altaffer. All right.

25 Mr. Twomey, are you ready to proceed?

1 MR. TWOMEY: Yes, Your Honor.

2 THE COURT: All right. And who would you  
3 seek to call first, Mr. Gottstein?

4 MR. GOTTSTEIN: Dr. Jackson. And her number  
5 is area code 910/208-3278.

6 THE COURT: All right. Thank you.

7 So did I indicate until noon today we could  
8 go, or did I -- is that what I had indicated? Or did  
9 I make any indication?

10 I have to go to an event at noon or there  
11 about. So we'll see where we are time-wise. I know  
12 it's an important issue for your client,  
13 Mr. Gottstein. If we need to find more time in the  
14 next couple of days, we can do so. So let's see what  
15 progress we can make up until noon.

16 MR. GOTTSTEIN: You indicated noon.

17 THE COURT: I did. All right. That was my  
18 recollection, but I didn't see it in the log notes.  
19 All right.

20 We are a little late getting started, which  
21 was not really my fault, but my reality, anyway.

22 MR. GOTTSTEIN: Your Honor, I gave the clerk  
23 exhibits for this morning.

24 THE COURT: I have them right here. A  
25 through F; is that correct?

1 MR. GOTTSTEIN: Yes, ma'am. And I gave them  
2 to Mr. Twomey.

3 THE COURT: Mr. Twomey, you have a copy, as  
4 well?

5 MR. TWOMEY: Yes. I received them this  
6 morning, Your Honor.

7 THE COURT: Do I have Grace Jackson on the  
8 phone?

9 THE WITNESS: Yes.

10 THE COURT: All right. Good morning,  
11 Ms. Jackson. My name is Judge Gleason. We have you  
12 on a speakerphone here in a courtroom in Anchorage,  
13 Alaska.

14 You have been called as a witness on behalf  
15 of the respondent, William Bigley. It is a matter  
16 here where I have the lawyer from the state and  
17 Mr. Gottstein present.

18 I am going to be recording your testimony  
19 here in just a moment. I will administer an oath to  
20 you. But any questions first?

21 THE WITNESS: No.

22 THE COURT: All right. If you'd raise your  
23 right hand, please.

24 (Oath administered.)

25 THE COURT: If you would then please state

1 and spell your full name.

2 THE WITNESS: Grace Elizabeth Jackson.  
3 That's G-R-A-C-E, Elizabeth, E-L-I-Z-A-B-E-T-H,  
4 Jackson, J-A-C-K-S-O-N.

5 THE COURT: All right. Thank you.  
6 Go ahead, please, Mr. Gottstein.

7 DR. GRACE JACKSON  
8 called on behalf of the respondent, testified  
9 telephonically as follows on:

10 DIRECT EXAMINATION

11 BY MR. GOTTSTEIN

12 Q Thank you, Dr. Jackson. First off, did you  
13 send me a copy of your curriculum vitae?

14 A Yes, I did.

15 Q And it's 11 pages?

16 A I believe that is correct, yes.

17 MR. GOTTSTEIN: I'd move to -- it's  
18 Exhibit A. I would move to admit.

19 THE COURT: Any objection there?

20 MR. TWOMEY: No, Your Honor.

21 THE COURT: All right. A will be admitted.  
22 (Exhibit A admitted.)

23 MR. GOTTSTEIN: Should I give this to the  
24 clerk at this point?

25 THE COURT: That's fine. You can hold on to

1 it, and we'll get it later, if that's easier for you.

2 BY MR. GOTTSTEIN

3 Q Okay. And if I might just take care of the  
4 other part of it, too. Did you also send me  
5 essentially an analysis of the neuroleptics,  
6 neurotoxicity of -- oops, I didn't number it -- 19  
7 pages.

8 A Yes, that's correct.

9 Q And is that your work?

10 A Yes, that is my work.

11 Q And this analysis is true to the best of your  
12 knowledge?

13 A That's correct.

14 MR. GOTTSTEIN: I would move to admit that,  
15 Your Honor.

16 THE COURT: That is Exhibit E?

17 MR. GOTTSTEIN: E.

18 THE COURT: All right. Any objection to E,  
19 Mr. Twomey?

20 MR. TWOMEY: No, Your Honor.

21 THE COURT: All right. E will be admitted.  
22 (Exhibit E admitted.)

23 BY MR. GOTTSTEIN

24 Q Thank you, Dr. Jackson. Could you briefly  
25 describe to the court your experience, training --

1 training, education and experience?

2 A Certainly. I attended medical school at the  
3 University of Colorado between 1992 and 1996.

4 Following that, I entered and successfully  
5 completed residency in psychiatry, which was performed  
6 actually within the U.S. Navy. And that residency was  
7 performed -- well, the internship was in 1996 through  
8 '97, the residency 1997 through 2000.

9 Subsequent to completing that residency  
10 program, I served as an active duty psychiatrist in  
11 the U.S. military. I actually transitioned out of the  
12 military in the spring of 2002, and I have been  
13 actually in self-employed status since 2002 working at  
14 a variety of different positions in order to have some  
15 flexibility for research, lecturing, writing, and  
16 clinical work, and also forensic consultation.

17 Q Could you describe -- so have you published  
18 papers?

19 A Yes. I have published papers in peer-review  
20 journals. I have contributed chapters to other books  
21 which have been edited by other mental health  
22 professionals, both in this country and overseas.

23 And I am also the author of my own book,  
24 which I published in the year 2005.

25 Q And what was the name of that book?

1 A That book is called Rethinking Psychiatric  
2 Drugs, a Guide for Informed Consent.

3 Q And have you testified as an expert --  
4 testified or consulted as an expert in  
5 psychopharmacology cases?

6 A Yes. I have served as a consultant in a  
7 number of cases involving psychiatric rights similar  
8 to this case.

9 Also involving disputes over the use of  
10 medications versus alternative treatments in regards  
11 to child treatments. I've served as a consultant to  
12 families or their doctors in other states in order to  
13 assist in the preparation of different treatment  
14 plans.

15 And I've also been involved as an expert  
16 witness in consulting on product liability cases.

17 Q Were you qualified as an expert in  
18 psychiatric and psychopharmacology in what's known as  
19 the Myers case in Alaska here in 2003?

20 A Yes, I was.

21 Q And did Dr. Moser testify I think something  
22 like that you -- that you knew more about the actions  
23 of these drugs on the brain than any clinician he knew  
24 in the United States?

25 MR. TWOMEY: Objection, hearsay, Your Honor.

1 THE WITNESS: I'm sorry. I'm getting a lot  
2 of beeps on my phone. Can you hear me all right?

3 THE COURT: Yes.

4 But, Mr. Gottstein, your response to the  
5 hearsay objection?

6 MR. GOTTSTEIN: It's actually in the  
7 testimony that was filed, I believe.

8 THE COURT: Well, then the testimony speaks  
9 for itself.

10 MR. GOTTSTEIN: Okay.

11 THE COURT: So you can go forward.

12 MR. GOTTSTEIN: I would move Dr. Jackson as  
13 an expert in psychiatry and psychopharmacology.

14 THE COURT: Any objection there, Mr. Twomey,  
15 or voir dire?

16 MR. TWOMEY: No, Your Honor.

17 THE COURT: All right. Then I will find the  
18 doctor so qualified in those two fields.

19 Go ahead, please, Mr. Gottstein.

20 BY MR. GOTTSTEIN

21 Q Dr. Jackson, in preparation for this case,  
22 have you reviewed the -- what's known as the -- well,  
23 the affidavit of Robert Whitaker?

24 A Yes, I have.

25 Q And what is your opinion on that affidavit?

1 A I believed it was very truthful. I thought  
2 it was a very accurate presentation of the history of  
3 this specific class of medications which we are  
4 discussing in this case, the antipsychotic  
5 medications.

6 And also a very succinct but accurate  
7 description of some of the problems that have emerged,  
8 not only in the conduct of the research, but also in  
9 terms of the actual lived experience of patients. So  
10 I felt it was a very accurate and very clear  
11 presentation of the information as I understand it  
12 myself.

13 Q Now, would it be fair to say that this  
14 information is not generally shared by most clinicians  
15 in the United States?

16 A Oh, I think that would be a very fair -- very  
17 fair statement.

18 Q And why would you say that is?

19 A Well, I think we have a short time here.  
20 It's really a broad subject. But quite succinctly  
21 what has happened is that the educational process  
22 throughout medicine, not just psychiatry, and also the  
23 continuing medical education process, even when  
24 physicians have completed the first steps of their  
25 training, have actually presented a very biased

1 depiction of the history, or actually omitting the  
2 history of many medications.

3 So a lot of this is a reflection of the  
4 educational process, both in the first stages of  
5 medical school and residency, and then what is  
6 occurring in the medical literature even now.

7 Q Let me stop you right there just for a  
8 minute. So were you trained in this way?

9 A Yeah. I was -- absolutely. I was trained in  
10 the traditional sense that basically serious --  
11 especially severe -- quote, severe mental illness or  
12 mental illnesses are diseases of the brain which  
13 require chemical treatments, i.e., medication  
14 treatments, and that in most cases, these medications  
15 must be used on a very chronic or even permanent  
16 basis.

17 Q And did something happen to cause you to  
18 change your mind or question that information?

19 A Lots of things happened. Probably one of the  
20 most important things is that I was fortunate enough  
21 to be trained -- or be training in a location that  
22 exposed me to some additional information.

23 In other words, some of the history, and also  
24 some of the alternative work which could be done that  
25 might be effective. So that was one part, is I did

1 begin to have an exposure to a different perspective.  
2 But the most -- probably the most important  
3 thing for me was the lived reality of my patients,  
4 just opening my eyes and really paying attention to  
5 see whether or not people were improving.

6 Q I'm sorry; I missed that a little bit. Could  
7 you go into that a little bit further, what you found?

8 A Sure. Well, what really happened is that  
9 internship -- I should probably just back up and say  
10 that I regard -- in retrospect, I look at the  
11 educational process as really an indoctrination.

12 And I think it's rather unique or heroic when  
13 people can begin to examine things more critically.  
14 And I was just lucky enough to have an exposure to  
15 some individuals who allowed me to do that.

16 But more specifically, I began to see that in  
17 clinic after clinic, whatever setting I was moving  
18 through, I was seeing the patients were in fact not  
19 improving, that in most cases, in fact, patients were  
20 getting sicker and sicker.

21 And there are two ways to react to that. One  
22 could either blame that on the underlying illness and  
23 say that we just don't have treatments yet that are  
24 effective, or one could even begin to pay attention  
25 and ask a broader question or more pointed question,

1 gee, is it possible that there's something about the  
2 way we are approaching these phenomena that is in fact  
3 getting in the way of recovery?

4 And once I began to ask that question, I  
5 basically had a 180-degree turnabout in terms of how I  
6 had to practice ethically and according to science.

7 Q And did that result in a -- I think you kind  
8 of testified to this -- in a change in direction more  
9 towards researching this issue?

10 A Oh, absolutely. Well, basically, it resulted  
11 in two things. It resulted in a great deal of  
12 conflict between myself and most conventional  
13 settings. It's why I'm an independent practitioner  
14 and not a person enjoying an academic appointment or  
15 an appointment in a facility.

16 So it really made -- I had to make a firm  
17 decision, was I going to be truthful to science or was  
18 I going to go after a \$200,000 a year job with nice  
19 perks and the respect of my colleagues?

20 So it was very clear to me that in order to  
21 honor the dictum first do no harm, I had to really  
22 stay truthful to the science. And that's really what  
23 necessitated my breakaway. So that's why I'm really  
24 an independent person who does my own research and  
25 tried to just help where -- you know, where the help

1 is actually needed or asked for.  
2 Q Thank you. And so then, just to kind of fill  
3 in then this, it's Exhibit C, your neurotoxicity  
4 analysis, that would be some of your, you know, more  
5 recent work, is that correct, or current state of your  
6 research into this issue?

7 A Yeah. Fairly current.  
8 I am trying to finish a second book this  
9 year. And what has really happened over the past two  
10 years is that I try to do clinical work to keep myself  
11 current with that.

12 But I also step aside. And probably every  
13 single day, I am working on the most current research  
14 in the field in order to, you know, lecture and to  
15 also write this second book.

16 What really happened about four years ago is  
17 I began to appreciate the fact that most physicians --  
18 and this isn't just a criticism of psychiatry, by any  
19 means. But most of us ignore something which is  
20 called target organ toxicity. We don't pay attention  
21 to how the treatments we're using might actually be  
22 adversely affecting the very target we are trying to  
23 fix or help improve or repair.

24 So in my case, about two years ago, I started  
25 to just begin focusing on the most current research

1 that looked at the brain-damaging effects of different  
2 kinds of interventions. And that is really what I've  
3 been focusing on.

4 So the document that you have there is a  
5 reflection of some of that research. I should say  
6 that it's not completely up to date, because some of  
7 the research I've been doing more recently even  
8 demonstrates that these drugs are more toxic than what  
9 I have written in this report.

10 Q Okay. Thank you. I want to get to that --  
11 get to that also a little bit more. But I'm also --  
12 are there other reasons why clinicians are not really  
13 understanding this -- this state of affairs?

14 A Sure. Well, I think there are so many things  
15 that happened.

16 I'll just take my example. I went to medical  
17 school in 1992, graduated in '96, and did my residency  
18 until 2000. This was a very pivotal time in what was  
19 occurring within the mental health field and also  
20 within the United States culturally. And if I just  
21 picked, like, maybe four key things.

22 One is the government decided to name this  
23 decade the decade of the brain. In doing so, it sort  
24 of attached a governmental license or the  
25 (indiscernible) of sanctioning regarding these

1 phenomena as brain diseases.  
2 The second thing that happened was the birth  
3 of something called evidence-based medicine. This  
4 was -- actually sort of became official through the  
5 Journal of the American Medical Association and other  
6 major journals to really elevate an importance, not  
7 the actual day-to-day observations that a doctor would  
8 be making and not the actual science of what causes  
9 illness, but clinical trials that are aimed at just  
10 improving or changing symptoms.

11 The third thing that happened was something  
12 that is called direct consumer advertising in 1997,  
13 which again was trying to market these drugs and make  
14 them more popular or appealing to the public.

15 And the fourth big thing that has really  
16 changed is something called the preemption doctrine.  
17 And also, the Daubert litigation.

18 Daubert was a supreme court decision in 1993  
19 that has really made it quite difficult for toxic tort  
20 litigation to occur, so that the implications of that  
21 for doctors -- and they don't realize this. It's very  
22 much behind the scenes -- is that the pharmaceutical  
23 industry began publishing as many papers that they  
24 could as fast as possible in the journals in order to  
25 meet the Daubert standard of something called weight

1 of evidence or preponderance of the evidence.  
2 So essentially what happened in the 1990s is  
3 that the journals, more than ever before in history,  
4 became a tool of marketing, a marketing arm for the  
5 drug companies. And drug companies shifted in terms  
6 of previous research in the United States.

7 Most of the research had previously been  
8 funded by the government and conducted in academic  
9 centers. In the 1990s, that was pretty much over, and  
10 most of the funding is now coming from the  
11 pharmaceutical industry. So that's really in a  
12 nutshell what happened in the 1990s when I was  
13 training.

14 Now, where are we now? What that means is  
15 that the journals that most doctors are relying upon  
16 for their continuing information continued to be  
17 dominated by pharmaceutical industry funded studies  
18 and by papers which are being written, if not entirely  
19 by the drug companies, then by authors who have part  
20 of their finances paid for by the drug companies.

21 And while I don't believe that it's  
22 necessarily going to buy us the information in an  
23 article, I think trials have to be funded by someone.  
24 Unfortunately what has happened is that there have  
25 been too many episodes of the suppressed information,

1 so that doctors cannot get the whole truth.

2 Q Well, I want to follow up on that. What do  
3 you mean by suppressed information?

4 A Well, one of the things that has happened  
5 repeatedly, and again, most doctors don't realize  
6 this, is that the pharmaceutical industry has not been  
7 forthcoming in terms of surrendering all of the  
8 information to the Food and Drug Administration that  
9 they were by law I believe, or at least under ethics,  
10 required to do.

11 For instance, in January of this year, the  
12 New England Journal of Medicine published a very  
13 important article that had been done. Actually, one  
14 of the key authors was a former reviewer at the Food  
15 and Drug Administration, who is now back in private  
16 practice, or somewhere.

17 And he and his co-authors had actually had  
18 access and reviewed the clinical trial database on the  
19 antidepressant medications. And they found that  
20 31 percent of the trials were never published. So  
21 31 percent of that information was never reported in  
22 the journals so that doctors could see it.

23 Okay. Well, you might say who cares. The  
24 point of it is that within that 31 percent, had they  
25 been published, the overall risk benefit understanding

1 of this category of medications would have been  
2 changed. Instead of favoring these drug treatments,  
3 it would have altered the whole face of the journals,  
4 and potentially the use of these medications would  
5 have become more limited.

6 Because that 31 percent of the information  
7 was showing that the medications were, A, not terribly  
8 effective or not more effective than placebo at all,  
9 and, B, it really began to reveal the full scope of  
10 the hazard. So by not publishing all this  
11 information, there is a false view of efficacy and  
12 safety.

13 I should say the same thing has happened with  
14 Vioxx. The same thing has happened with the  
15 cholesterol-lowering drugs. This is an epidemic right  
16 now, which is a real crisis in the integrity of  
17 medicine. It's not just psychiatry.

18 Q Does the same thing happen with respect to  
19 the neuroleptics?

20 A Absolutely, the same thing has happened with  
21 respect to the neuroleptics. I think you're a perfect  
22 example of someone who has tried to work to bring some  
23 of this hidden material to the forefront, because I  
24 still think there are concerns among professionals,  
25 and I hope among the public, that the Food and Drug

1 Administration still may not have seen all of the  
2 actual data that has been generated in the actual  
3 trials. So it is a continuing problem and a  
4 continuing concern.

5 And yes, I believe that most people -- I'll  
6 give you an example. When I was working in the VA  
7 clinic a couple summers ago in Oregon, I attended a  
8 dinner lecture where a speaker for a specific  
9 antipsychotic medication slipped out some information  
10 that I thought was extremely important. He said that  
11 the FDA and the public still has not seen information  
12 on Abilify, Aripiprazole, another antipsychotic.

13 And he alluded to the fact that there was a  
14 severe problem with cardiac toxicity, but he would not  
15 go any further. He was speaking on behalf of another  
16 company. But he said that it would be possible to  
17 contact him and perhaps he could share that  
18 information.

19 Well, my point is, why are the rest of the  
20 doctors not getting this information that Abilify is  
21 eight times more toxic to the heart than the other  
22 antipsychotics? I sort of filed that away in the  
23 background of my head and said, boy, you know, I'd  
24 like to have this information.

25 But the point is, doctors are not getting the

1 information. And that's a real problem both for them  
2 and it's a problem for their patients.

3 Q Is it fair to say that you've really devoted  
4 your life to -- or your work at this point to  
5 ferreting out this sort of information and making it  
6 available?

7 A Right. As best I can. And you know, it's --  
8 it's really sort of a Catch 22. I would love to have  
9 the respect of my peers. I would love to be at  
10 Harvard teaching. You know, I would love to be an  
11 academic able to teach medical students.

12 But unfortunately, the system is so skewed  
13 still in the direction of the pharmaceutical companies  
14 and their products that I can't, you know, even get a  
15 foot in the door.

16 So yes, I am full-time researcher trying to  
17 do my best to understand this material accurately, and  
18 fairly, and objectively, and then to actually act  
19 responsibly in response to that knowledge.

20 Q So in reviewing this information, is it  
21 important to carefully look at the data and analyze  
22 what's actually presented?

23 A It's extremely important to look at the  
24 methodology. I don't think -- unless a person is  
25 actually working at the Food and Drug Administration

1 or one of the actual clinical trial researchers, you  
2 know, actually producing the data that you would  
3 actually -- that a person like myself would have  
4 access to the raw data.

5 But what I can analyze and ask questions  
6 about is to go to people who have either performed  
7 these studies, or when I read the published studies,  
8 which is usually what I have access to, to really use  
9 good critical thinking in terms of analyzing the  
10 methods that have been used.

11 And you might -- I'm not sure if we're going  
12 to have time to discuss methodology, but this is one  
13 of the key things that any physician really has to pay  
14 attention to.

15 It's not just the fact that there might be 10  
16 or 20 studies that say a particular medication is  
17 either good, bad, or indifferent. It's actually  
18 important to -- you know, before even looking at that  
19 conclusion, to address how the study was performed so  
20 that one can make a well-informed and an appropriate  
21 judgment as to whether or not the conclusion should  
22 even be considered.

23 Q And so without going too much into it, could  
24 you describe a couple of methodological concerns that  
25 you have with respect to the second generation of

1 neuroleptic studies of which Risperdal is a member?

2 A Certainly. One of the things that has  
3 happened is that the database or the research  
4 (indiscernible), which is actually used to approve  
5 medications in this country, psychiatric medications,  
6 and then used to continue to argue in their favor,  
7 especially in product liability litigation or in a lot  
8 of cases. That data set is very limited in terms of  
9 generalizability.

10 What most people don't realize is that when a  
11 drug is being approved, the people performing the  
12 research want to pick the healthiest or the least sick  
13 or the least damaged patients, so that they can try  
14 and produce good outcomes. So that is one of the main  
15 concerns that all of us doctors have about clinical  
16 trials is that we recognize the fact that the  
17 generalizability is limited.

18 What do I mean by that? Well, they usually  
19 want to pick people who don't have additional  
20 illnesses, such as diabetes, heart disease, lung  
21 problems, liver disease.

22 Well, that's going to rule out a large number  
23 of people who are actually existing in the real world,  
24 because once they've been on many of these  
25 medications, they are guaranteed to have some of these

1 problems.

2 Number two is they eliminate the use of  
3 additional drugs, meaning additional medication.  
4 Well, that eliminates another huge portion of the  
5 United States population, because most of the people  
6 who are being seen in mental health settings are  
7 actually receiving more than one, and in some cases,  
8 you know, as many as 10 or even 20 medications for  
9 various conditions.

10 So it makes it very difficult to extrapolate  
11 to the real-world setting the information that they  
12 get or they find in a clinical trial.

13 Another problem is the length of a clinical  
14 trial. A clinical trial usually is cut off at six  
15 weeks. That's it. And the drug companies understand  
16 and actually choose the six-week cut off for a very  
17 good reason. They know that generally speaking, they  
18 can't continue to produce favorable results after six  
19 weeks.

20 And then another big problem with these  
21 methodologies is the fact that they really are  
22 enrolling people who have previously been receiving  
23 medications.

24 So what does that mean and why does that  
25 alter or bias the results? Well, one of the problems

1 in the antipsychotic medication literature, as in the  
2 antidepressant literature, is the fact that patients  
3 are brought into the study and they have previously  
4 been taking a medication, in some cases right up to  
5 the day that they enter the study.

6 And then the first seven to ten days in most  
7 of these trials involve taking the patients off of  
8 those previous or pre-existing medications. So seven  
9 to ten days, the person is abruptly cut off from their  
10 previous drug.

11 Now the real stage of the trial begins. So  
12 that first seven- to ten-day window is something that  
13 is called a washout. And sometimes what they'll do is  
14 they'll give everybody a sugar pill in those first  
15 seven to ten days and call it a placebo washout.

16 Now, the use of the term washout has two  
17 meanings. Washout meaning whatever other drugs the  
18 person may have been taking before, those are supposed  
19 to wash out of the system. And the second part -- and  
20 the second meaning of washout is that if someone  
21 begins to improve too much in those seven to ten days,  
22 they are removed from the study.

23 Q So may I interrupt you?

24 A Sure.

25 Q Are you saying that when people are withdrawn

1 from the drugs they were taking previously and they  
2 improve when they get taken off the drugs, then they  
3 are eliminated from the study?

4 A That's right. They take them out of the  
5 study. Because they only want to have people  
6 remaining in the study who are going to continue to  
7 look -- you know, either continue to look bad on the  
8 placebo if they continue to stay -- if they are  
9 randomized to the placebo part of the trial.

10 Or if they are then switched back on to an  
11 active medication, something chemically active instead  
12 of a sugar pill, their withdrawal symptoms, having  
13 been cut off of a previous drug, will hopefully  
14 respond to having another drug that was similar to the  
15 previous drug, you know, put back into their system.

16 So you understand completely, they remove  
17 people -- and this is important in terms of this case.  
18 Because for instance, in the Zyprexa trials, a full  
19 20 percent of the people improved so much in the first  
20 seven to ten days when they were taken off their  
21 previous drugs that they kicked all those people out  
22 of the trial.

23 If they had retained them in the trial, they  
24 could not have gotten results that made Zyprexa look  
25 like it was any better than a sugar pill. It would

1 trials that I have seen in the regular journals, I  
2 have no reason to believe that anything other than  
3 this procedure has been used repeatedly.

4 In other words, the placebo washout and  
5 actually switching people or removing people who  
6 improve too much, it's sort of a standard protocol  
7 that you have a certain score in terms of symptoms.  
8 And if people don't meet that cutoff, in other words,  
9 they begin to improve too quickly, they don't get to  
10 stay in the study.

11 So I have no reason to believe that  
12 Risperidone was any different than Zyprexa in terms of  
13 this method of eliminating people who -- and you know,  
14 favoring or biasing the result of the study.

15 Q In the interest of moving forward, is it fair  
16 to say there are other methodological problems with  
17 these studies?

18 A Oh, absolutely. What many of these studies  
19 will do is to allow certain concomitant treatments.  
20 In other words, certain additional medicines during  
21 the study so that you can't really be sure that the  
22 results they are claiming are the result of the actual  
23 interventional drug. For instance, Risperdal instead  
24 of a benzodiazepine or an antihistamine.

25 Another thing is the way that the data

1 have biased the results in favor of the sugar pill.

2 Q So now, did you -- did you analyze the  
3 studies that the FDA used in --

4 THE COURT: And I am going to cut off here  
5 and say what would be helpful to me, Mr. Gottstein, is  
6 as I understand it, API is proposing Risperdal here,  
7 correct?

8 MR. GOTTSTEIN: Yes.

9 THE COURT: And so if we focused exclusively  
10 on that, I think given our time constraint and the  
11 proposal, I think that would be the most helpful for  
12 me.

13 MR. GOTTSTEIN: Well, Your Honor, one of the  
14 problems is that we didn't know until Monday that --  
15 you know, that it was Risperdal.

16 THE COURT: But now that we do, if we could  
17 focus on that, I think that would help.

18 BY MR. GOTTSTEIN

19 Q Well, are all these -- are all these things  
20 that you mentioned also applicable to the Risperdal  
21 studies?

22 A As far as I know. And I have no reason to  
23 believe from what I've read in the literature -- I  
24 haven't had time to read the FDA review on Risperidone  
25 as I have done with olanzapine. But based on the

1 themselves get reported. And one of the things that  
2 is frequently done is to use something called LOCF, or  
3 last observation carried forward. So what that means  
4 is if you were to enter a study for instance, and they  
5 started you on Risperdal, and you start to have a  
6 severe side effect, let's say Parkinsonian symptoms,  
7 and you dropped out of the study at two weeks, but the  
8 study is supposed to end at six weeks, they will carry  
9 forward your score to the six-week mark.

10 Now, this will sometimes -- people will  
11 actually drop out when they have a higher score and  
12 they'll carry that forward, as well. But the use of  
13 LOCF statistics, especially when they carry forward  
14 people who are dropping out on placebo, those are  
15 people who are dropping out because they are in  
16 withdrawal. They have been cut off from a previous  
17 drug.

18 And so they carry forward an end result,  
19 which is not a reflection of the underlying illness,  
20 let's say, but a reflection of this introductory bias,  
21 the placebo washout.

22 So the fact they report all of these LOCF  
23 data, meaning the fact that they are just carrying  
24 forward the results or the statistics from people who  
25 drop out of the study early, biases the results in



1 favor of the drug, when in fact it's not an accurate  
2 reflection of what's really going on in the study.

3 And that happens quite often, and that  
4 certainly happened in the Risperdal/Risperidone  
5 literature.

6 Q So just to kind of finish up this part, would  
7 it just generally be fair to say that it would be  
8 pretty difficult for a practicing psychiatrist in  
9 clinical practice to have this information that you  
10 are providing to the court?

11 A Oh, it would be almost impossible. It's --  
12 it would be something you would really have to devote  
13 your study to.

14 And actually, you know, not only would it be  
15 difficult for the ordinary doctor to know this is  
16 going on, but he or she would read what is published  
17 in the regular journals and see that the results are  
18 promising, like 70 to 80 percent response rates,  
19 meaning a good response with patient satisfaction, et  
20 cetera.

21 And then he or she would be in the real-world  
22 setting, and maybe be lucky see 30 or 40 percent of  
23 the patients able to even tolerate the drug. So it  
24 not only is something that would be hard for doctors  
25 to know, but what they're actually being exposed to is

1 would probably be living, you know, if they were  
2 lucky, 72, 74 years of age for men in the United  
3 States these days. And we are really talking about  
4 something which drops the lifespan down into the 60s.

5 So at the worst what is going on is that we  
6 are actually contributing to morbidity, actually  
7 shortening people's life spans. And that's -- and  
8 that is either through an acute event like a stroke or  
9 a heart attack or something called a pulmonary  
10 embolism, or we are talking about more chronic  
11 illnesses that eventually take their tolls, things  
12 like diabetes and heart failure.

13 So at the very worst, what is going on in the  
14 United States is an epidemic of early suffering or  
15 mortality that was not present before these  
16 medications were being used, you know, by such a  
17 prevalence -- in such high numbers.

18 The second thing that is going on is that we  
19 are arguably worsening the long-term prognosis of  
20 people, and in directions that were not previously  
21 seen or talked about. And I think my affidavit speaks  
22 to this. And also Mr. Whitaker's affidavit speaks to  
23 the history and the actual historical outcomes when  
24 individuals were being offered something other than  
25 just the medication or the priority on medication.

1 so far removed from reality that they are very  
2 unlikely to understand what is going on in the real  
3 world.

4 Q Okay. So what is going on in the real world?  
5 What is the impact of drug -- well, specifically  
6 Risperdal on patients?

7 A Well, the real effects in the real world  
8 are -- are really in two categories. And as a doctor,  
9 you know, I am sort of thinking in terms of safety  
10 first. I sort of think of, boy, what do I really have  
11 to look out for here if somebody comes into my office  
12 and they are receiving this medication or I am asked  
13 to begin it?

14 So one of the things that, you know, we are  
15 really talking about is safety. Are people dying on  
16 these drugs? Do people die from taking Risperidone?  
17 Yes. People are actually experiencing shorter life  
18 spans.

19 Initially it was felt that the life spans for  
20 people on medications like Risperidone were perhaps  
21 shortened maybe ten or 15 years. And I think that's  
22 even been elevated in the most recent government  
23 studies to more like 20- or 25-year shorter life  
24 spans. So instead of a male -- and we're usually  
25 talking about, you know, males with mental illness,

1 And so that is the other big thing in terms of what's  
2 going on.

3 What's going on is that people are suffering  
4 in great numbers, and that people are dying early, and  
5 that people are having what might have previously been  
6 a transient, that is a limited episode, converted into  
7 a chronic and more disabling form of experience.

8 Q Is -- are these drugs brain damaging?

9 A Well, I try and not sound like I am, you  
10 know, really off -- off my rocker. Because people  
11 probably wouldn't like it if I actually used a term  
12 for what's happening.

13 But I sort of say we have unfortunately  
14 contributed to a population of CBI patients, meaning  
15 chemically brain injured.

16 I was in the military, so I am very used to  
17 TBI patients, traumatic brain injury from, you know,  
18 concussions and explosions and what's going on in Iraq  
19 and Afghanistan.

20 But what is the elephant in the room that  
21 people aren't addressing in psychiatry and neurology  
22 is this population of CBI, chemically brain injured.

23 So yes, I actually would say that what we  
24 have created, and I think Mr. Bigley is an example of  
25 this, is that we are creating dementia on a very large

1 scale.

2 Q And that's -- isn't -- that's a lot of what  
3 you referred to as your affidavit, but Exhibit E here,  
4 your neurotoxicity paper addresses, isn't it?

5 A Yes, that's correct. That's really the  
6 tragedy of me being born at the time I happened to be  
7 born and having to actually live through this and  
8 watch this still happening.

9 But that is, in a nutshell, these are not  
10 antipsychotics and they are not neuroleptics. They  
11 are prodementics. Or they are medications that are  
12 actually contributing to an epidemic of dementia.

13 I think the states will probably be  
14 bankrupted by this in about 20 years. But we are a  
15 little bit away from that so far.

16 Q So is that associated with cognitive  
17 declines?

18 A Oh, this is associated with cognitive  
19 decline, it's associated with behavioral decline,  
20 where people really have a hard time, you know,  
21 modulating self-control and actually modulating their  
22 anger and modulating their emotional expression. So  
23 cognitive and behavioral.

24 Q Now, are there physical negatives associated  
25 with these drugs, not just -- you mentioned brain --

1 damage to the brain, but --

2 THE COURT: And here again, I have to say,  
3 it's more helpful for me to hear specifically about  
4 the drug that the state's proposing in this case.  
5 BY MR. GOTTSTEIN

6 Q Is what you're -- Dr. Jackson, is your  
7 testimony -- does it apply to Risperidone?

8 A Certainly. One of the things that's been  
9 interesting about Risperidone is that it was the  
10 first, quote, unquote, new or -- well, I should back  
11 up and say it's actually the second of the newer,  
12 quote, unquote, atypicals. The first one was approved  
13 in the United States in 1989.

14 But Risperidone is usually referred to as the  
15 first of the new drugs. That's a little bit  
16 incorrect. But Risperidone was approved by the Food  
17 and Drug Administration in 1993, and really entered  
18 use in 1994.

19 What's been clear in the published studies  
20 since its entry into the market is that it is probably  
21 the closest to some of the older drugs. 6-milligram  
22 and above doses, it replicates Haldol. So even the  
23 notion that this is a newer and safer medication has  
24 been completely borne out by neuroscience research,  
25 that that was a hopeful expectation that has really

1 not been satisfied.

2 One of the interesting things about  
3 Risperidone compared to some of the other drugs, also,  
4 is that it seems to have an association with tumors of  
5 the pituitary, prolactinomas. And as prolactin levels  
6 stay elevated, men experience sexual side effects,  
7 breast enlargement.

8 But there's also been a long risk, not only  
9 in terms of the bones, osteoporosis, but whether or  
10 not the prolactin itself could, you know, have any  
11 other effect say on the heart or be a reflection of  
12 heart damage.

13 So Risperidone is sort of unique in terms of  
14 this connection to brain tumors or the pituitary  
15 tumor. So that is one thing.

16 The other thing that Risperidone, like the  
17 other newer medication, is known for is diabetes. So  
18 that is one of the main concerns. Not that diabetes  
19 can't be treated or can't be regulated in some way,  
20 but because of the fact diabetes itself presents risk  
21 for further damage to the brain.

22 And I think it's only in the past, say, three  
23 or four years that researchers in the Netherlands have  
24 been publishing a series of papers that really  
25 demonstrates some of the early dementia changes that

1 occur in people with diabetes, even if their sugars  
2 have been fairly well controlled.

3 So diabetes itself is tipping into more than  
4 just an endocrine disease, but it is becoming a  
5 neurological disorder as well.

6 Risperidone, like the other antipsychotics  
7 new and old, but especially these newer medicines,  
8 like Seroquel, which is another one, and Risperidone  
9 all present risks for other damages to the endocrine  
10 system, like the thyroid gland.

11 And when you actually disrupt thyroid  
12 hormone, you also contribute to further damage to the  
13 brain in terms of dementia and cognitive abilities.  
14 So Risperidone does that, as well.

15 The other thing with all these medicines,  
16 there is the risk for strokes and for heart attacks,  
17 and also for leg clots and pulmonary edema. So the  
18 risk for sudden death is always there. And that's  
19 certainly one of the big concerns with Risperidone.

20 So diabetes, thyroid disease, heart disease,  
21 sudden death, you know, osteoporosis, breast  
22 enlargement, sexual changes, and the fact that many of  
23 these other problems in the body, again, have an  
24 indirect but a potentially very significant effect on  
25 the brain function itself. So those are concerns.

1 Risperidone in animal studies, because we  
 2 really haven't been doing this yet in humans, also has  
 3 been shown to increase the levels of a protein called  
 4 apolipoprotein D, like delta. And this in some  
 5 studies has been connected with an increased  
 6 deposition of something called amyloid, amyloid  
 7 protein or amyloid plaques. And this is one of the  
 8 main causes or markers of Alzheimers dementia.  
 9 So we have some good evidence from the animal  
 10 studies to understand why it is that patients who  
 11 already have Alzheimers dementia or people with  
 12 dementia who have been placed on medicines like  
 13 Risperidone deteriorate faster and have a progression  
 14 of their underlying dementia in terms of the actual  
 15 brain tissue changes themselves.  
 16 So Risperidone unfortunately seems to be a  
 17 medicine that I predict probably in about four or five  
 18 years, you will see the neurologist will say, hey,  
 19 people are getting Alzheimers on this medication, or  
 20 changes that are precursor to Alzheimer's. I am  
 21 predicting that in about four or five years, that that  
 22 may be something that we begin to see.  
 23 There is already a black box warning on these  
 24 drugs, including Risperidone, that these drugs are not  
 25 to be used in elderly people who already have

1 dementia. But what you're not being told is that  
 2 these are medications that are actually causing  
 3 dementia in people who don't already have it.  
 4 Q Okay. Now, you refer to them sometimes as  
 5 antipsychotics. Would you call -- does Risperidone  
 6 have an antipsychotic property?  
 7 A Well, I think what these medications do is  
 8 that they -- they actually will stop annoying  
 9 behaviors. And they can make a person so confused or  
 10 sedated, they can actually inhibit so much brain  
 11 activity, either electrically or chemically, that the  
 12 symptoms which some people call psychotic or  
 13 schizophrenic seem to be at bay. So from that  
 14 standpoint, people, you know, have called them  
 15 antipsychotics.  
 16 But there is nothing specific about the  
 17 effects of any class of medication in psychiatry,  
 18 either a medication is slowing down brain function and  
 19 brain process or it is speeding them up and enhancing  
 20 certain brain functioning and processes.  
 21 So this whole class of medication which had  
 22 been historically referred to as neuroleptics or  
 23 antipsychotics, are in fact medications that are  
 24 chemical lobotomizers. And I tried to mention some of  
 25 that history in my affidavit.

1 The use of the term antipsychotic was really  
 2 an historic euphemism, once it became unacceptable to  
 3 mention what these drugs were really doing.  
 4 And in fact, what was very important is that  
 5 in the '60s, and probably throughout the 1960s,  
 6 doctors were being encouraged it actually give high  
 7 enough doses of these drugs to cause brain damage, to  
 8 actually cause Parkinsonian symptoms. And they were  
 9 trained to believe that until you produced  
 10 Parkinsonian symptoms in a patient, the drugs were not  
 11 yet at the level that would actually improve the  
 12 psychosis itself.  
 13 And that has since been borne out as  
 14 something that was a complete fallacy and a huge  
 15 mistake. So one thing --  
 16 Q If I can stop you.  
 17 A Sure.  
 18 Q Did you -- and we kind of want to move a  
 19 little bit faster, if we can. If you can try and  
 20 really focus on the exact question I ask.  
 21 A Sure.  
 22 Q But did you -- you reviewed some of  
 23 Mr. Bigley's history for this, didn't you?  
 24 A Yes, I did.  
 25 Q And was that that kind of dosing given to

1 Mr. Bigley during that period?  
 2 A Yes. You had shared with me some of the --  
 3 some of the records. And I have to say it was limited  
 4 due to our time constraints.  
 5 But the very first hospitalization was -- I  
 6 just about fell out of the chair when I saw what had  
 7 happened. I think at one point he was receiving 60,  
 8 that's 60, 20 milligrams of Haldol three times a day  
 9 is I think what I read in the record.  
 10 The dose of Haldol that is now recognized as,  
 11 quote, blocking enough dopamine receptors to produce  
 12 antipsychotic effects, meaning the dose that would  
 13 typically be thought to be helpful, is 5 milligrams.  
 14 He was receiving 60 milligrams. So he was receiving a  
 15 dose that was guaranteed to actually cause Parkinson's  
 16 disease, and that dose has been shown.  
 17 So the short answer to your question is I  
 18 looked at the doses. And in my opinion, that was  
 19 really the beginning of, you know, a long demise.  
 20 Q Did -- do you recall if those records  
 21 indicated that Mr. Bigley's symptoms continued in  
 22 spite of doses that induced Parkinsonism?  
 23 A Right. That's why I think the doctor --  
 24 well, I know it did, because the doctors themselves  
 25 were surprised, which made me appreciate the fact that

1 I was reading a record from 1980 and another record  
2 from 1981.

3 Backing up 27 years ago, 28 years ago, the  
4 doctors apparently had been trained in this -- still  
5 in the philosophy of care that you administer until  
6 you get these side effects. And once you see those  
7 side effects, you know the psychosis will be  
8 eradicated.

9 And so when the doctor wrote the note, his  
10 delusions continue in their severity and same  
11 intensity despite the fact he now has Parkinson side  
12 effects, I'm reading to myself, oh, this is  
13 fascinating. This is what they used to teach doctors  
14 is that they had to give doses to produce Parkinson's  
15 in order to heal the psychosis.

16 But of course, they eventually learned that  
17 that did not heal the psychosis. In fact, for many  
18 people, including Mr. Bigley, it seemed to make things  
19 worse.

20 Q So is that -- does Risperdal cause psychosis  
21 in some people?

22 A Sure. All of these medications cause  
23 psychosis in people. Because of the fact that as you  
24 damage the brain and you leave unresolved the initial  
25 cause of a person's psychosis, you are really not

1 treating the initial problems.

2 I know that Mr. Whitaker has also explained  
3 some of this in his affidavit. But the thinking had  
4 always been that as you block certain receptors in the  
5 brain, research demonstrates that the body reacts to  
6 that. And as much as you may try to block something,  
7 the brain tries to increase or up-regulate some of  
8 those receptors.

9 And so some patients appear to become more  
10 sensitive to those changes. And as their brain  
11 responds or adapts to the presence of the drug, it can  
12 sometimes go the opposite direction and make the  
13 initial symptoms worse. That is called  
14 supersensitivity psychosis.

15 Q So is it fair to say that drugs like --  
16 including Risperdal cause psychosis when it's given  
17 and also when it's withdrawn?

18 A It can be both, either. And it's also fair  
19 to say that what many people go on to demonstrate is  
20 something which is called tardive, that's  
21 T-A-R-D-I-V-E, in many different formations, or many  
22 different varieties.

23 For instance, there have been papers written  
24 on the subject of tardive psychosis. And what that  
25 means is it's a delayed onset. Tardive basically

1 means delayed onset. So for tardive psychosis, the  
2 implication is that you might start off thinking that  
3 you have things licked and that you've really  
4 delivered something that seemed to improve things.

5 Q So --

6 A But then as -- yeah, as time wears on, things  
7 actually are being induced or stirred up by the drug  
8 itself.

9 Q So as I understand it, the withdrawal  
10 psychosis symptoms are caused by changes in the brain  
11 as a result of the drug such as Risperdal; is that  
12 correct?

13 A Right. I should preface.

14 Q Okay. And --

15 A Yeah.

16 Q And then over time, is it possible if someone  
17 is off the drugs for a fairly lengthy period of time  
18 that the brain will then re-adjust and the symptoms  
19 will go away?

20 A They are not only possible, but actually been  
21 demonstrated in many cases. The key here is to  
22 understand how to actually assist people who are  
23 trying to come off of medications if they're still  
24 taking them, and how to deliver effective intervention  
25 so that they're not left with no help or no treatment

1 at all.

2 Q So is it fair to say that when someone comes  
3 off these drugs, that they -- they ought to be given a  
4 fair -- that their initial condition would worsen and  
5 they ought to be given, you know, a fairly lengthy  
6 period of time to see where they can get to off the  
7 drugs?

8 A I think that's fair. I think there are two  
9 phases to drug withdrawal. There is an immediate  
10 phase which reflects changes as the drug is actually  
11 leaving the brain. And that can take some time. And  
12 also changes in the brain receptors, you know, the  
13 ones that I mentioned previously that seem to increase  
14 in number as the drug is being taken and given. But  
15 that is sort of an immediate phase of withdrawal.

16 There is a longer-term phase of withdrawal in  
17 terms of what the brain has experienced in terms of  
18 rewiring or anatomic structural damage. And so that  
19 long-term phase of withdrawal means that someone might  
20 appear to be better for a while, and then five or six  
21 months later might have some setbacks.

22 And many people unfortunately are still not  
23 trained enough to understand the fact that the  
24 recovery process, the rehabilitation or repair of the  
25 brain actually can require many months. So I think it

1 would be fair to say that withdrawal takes some time.

2 Q Okay. I'm going to try to move it to another  
3 topic here.

4 THE COURT: And, Mr. Gottstein, just to give  
5 you a head's up, we've been close to an hour here. So  
6 what's your timeframe?

7 MR. GOTTSTEIN: Well, I -- I'm really  
8 concerned about that, too, and especially we've got --  
9 I think this is important, obviously, and I know Your  
10 Honor does, too.

11 One of my big concerns is I've got people  
12 standing by for cross examination.

13 THE COURT: So maybe we need to finish up. I  
14 have really tried to indicate several times that  
15 hearing about medications generally is not as helpful  
16 as hearing about what is -- what the state's proposal  
17 is in this particular case.

18 MR. GOTTSTEIN: Well, and I understand, Your  
19 Honor, that she is actually saying all of this applies  
20 to Risperdal.

21 BY MR. GOTTSTEIN

22 Q But one of the things that the state's  
23 proposed is -- or the hospital has proposed is to  
24 include a benzodiazepine, I think Ativan, was it, and  
25 Clonopin I think. What can you say about that

1 combination?

2 A Well, I don't think the combination is  
3 anything that really eliminates or speaks to the  
4 problems I've already identified. It certainly is not  
5 going to prevent Risperidone's effects in terms of  
6 causing, you know, or enhancing dementia that's  
7 already there. It's not going to prevent diabetes.  
8 It will prevent the other problems.

9 So while I think it's better to use perhaps  
10 benzodiazepine briefly for someone who is having  
11 certain kinds of problems, its addition in this case,  
12 in no way avoids the concerns or the problems of  
13 Risperidone by itself.

14 Q Okay. Now, you indicated before that you  
15 reviewed I think the -- was it the submission for  
16 representation hearing and attachments to that?

17 A I have to go back to the documents. I  
18 reviewed the affidavits I believe by --

19 Q Was one of those Paul Cornils?

20 A Yes. Mr. Cornils is the one that I have  
21 read, and the affidavit by -- is it Bassman or  
22 Bassman?

23 Q Bassman, Dr. Bassman.

24 A Dr. Bassman. And also have read

25 Mr. Whitaker's affidavit and portions of the record,

1 yes.

2 Q Now, do you have any comments about  
3 Mr. Cornils' affidavit?

4 A Well, I thought the plan that Mr. Cornils had  
5 outlined was an exceedingly thorough, and one that I  
6 was, to be quite honest, envious of. If I were in the  
7 situation of API or a provider at that facility, I  
8 would want to have many of Mr. Cornils' and plans like  
9 this.

10 So I thought this looked like a very solid  
11 and a very reasonable proposal, you know, as a first  
12 step.

13 Q Okay. And from what you can tell, how much  
14 of -- what do you think is seen in Mr. Bigley's  
15 behavior is a result of brain damage from the drugs?

16 A Gosh, I think at this point it becomes very  
17 difficult to separate out in my opinion what would be  
18 appropriate outrage at what had happened even 28 years  
19 ago and what's biological. I think it's -- it's  
20 reasonable to address both psychological contributions  
21 and the biological. So I can't give you an exact  
22 answer to that.

23 Q Okay. Now, do you think that it's wise to  
24 continue with this neuroleptic medication for -- at  
25 this point?

1 A I think it would be very unwise for a lot of  
2 reasons.

3 Q Okay. And finally, this I think will be my  
4 last question. What would you say about if -- about  
5 Mr. Bigley saying, quote, you just wanted to throw me  
6 in a cage, lock me up like an animal, take all my  
7 money, and try to poison me, end quote?

8 A Well, if one just heard that without  
9 understanding the context or this person's history,  
10 one might think that sounds a bit outrageous or a bit  
11 extreme. But having read even the few notes from this  
12 person's medical history, I would say that sadly  
13 enough, that's exactly what has been happening to this  
14 man for 28 years.

15 MR. GOTTSTEIN: I have no further questions,  
16 Your Honor.

17 THE COURT: Thank you.

18 Mr. Twomey, go ahead, please.

19 MR. TWOMEY: Yes. Thank you, Your Honor.

20 DR. GRACE JACKSON  
21 testified telephonically as follows on:

22 CROSS EXAMINATION

23 BY MR. TWOMEY

24 Q Dr. Jackson, have you ever practiced medicine  
25 in the State of Alaska?

1 A No, I have not.

2 Q Are you familiar with the standard of care  
3 for physicians practicing psychiatry in Anchorage,  
4 Alaska?

5 A Actually, I sort of don't know how to respond  
6 to the words standard of care. That is a legal term.  
7 But maybe if you explain what you mean by that, I  
8 could answer your question more clearly.

9 Q Are you critical of psychiatrists based on  
10 the fact that they prescribe neuroleptics?

11 A I'm not critical of psychiatrists per se. I  
12 am critical of the lack of attention or consideration  
13 of informed consent and science.

14 Q Would you agree that psychotropic medication  
15 is widely accepted within the psychiatric community as  
16 an effective treatment for psychosis, particularly  
17 schizophrenia?

18 A Oh, I would agree that it has wide  
19 acceptance. But I would disagree with the imputation  
20 or the inference that it is, you know, effective.

21 Q And that's despite the fact that the Food and  
22 Drug Administration has approved these medicines?

23 A No. It's based on the fact that the Food and  
24 Drug Administration, by its own admission, doesn't  
25 receive all the information that they need to even

1 weigh on the safety or effectiveness of these drugs.

2 Q So you are critical of the process, is that  
3 correct, in terms of approving these drugs?

4 A Oh, I am critical of the process of  
5 approving, and I am critical of the process of  
6 oversight after they are approved, and I am critical  
7 of the way in which they are used.

8 Q Have you ever met Mr. Bigley?

9 A No, I have not.

10 Q Have you reviewed his entire medical history?

11 A No. I have reviewed some select portions of  
12 it.

13 Q Are you being paid for your testimony today?

14 A Yes. I will be paid for my testimony.

15 Q What do you charge?

16 A Usually I charge \$2,000 for a full day of  
17 court hearings, or \$1,000 for a half a day. And  
18 Mr. Gottstein or the Law Project for Psychiatric  
19 Rights had agreed to compensate me according to my  
20 usual wage or rate of \$1,000 for a half a day.

21 Q How much time have you spent reviewing and  
22 preparing for today's testimony?

23 A Probably about ten hours. Those are not  
24 being reimbursed, by the way. I am only being paid  
25 for my testimony today.

1 Q What is your understanding of what it is that  
2 the state is proposing to do with regard to Mr. Bigley  
3 at this point?

4 A Well, my understanding of the situation is  
5 that the state was going to be doing business as  
6 usual. And that is to continue sort of the in and out  
7 cycle of hospitalizations, revamping previous or new  
8 treatment plans, and then discharging, and then sort  
9 of repeating that process over again as it might  
10 become necessary.

11 Q And what do you base that understanding upon?

12 A I have looked at the records. I have also  
13 reviewed -- let me see if I can cite the right  
14 document for you, because I want to be sure I  
15 understand how it's been referenced.

16 Mr. Gottstein had sent me a copy of the  
17 motion for less-intrusive alternatives. And  
18 basically, I am basing my understanding of the state's  
19 proposal on that motion.

20 Q Does Mr. Bigley suffer from dementia?

21 A I really can't diagnose Mr. Bigley from being  
22 in North Carolina, not having reviewed his full  
23 medical records and not having met with him.

24 But I can say that from what I know already  
25 of his previous treatments and from what I have seen

1 in the records that have been made available to me, I  
2 would say it would not be unreasonable to suggest that  
3 he is chemically brain injured at this point.

4 And there are elements which would support an  
5 argument for dysmentia, if not dementia. There are  
6 two different ways of using that term. But I would  
7 hesitate -- to answer your question, Mr. Twomey, I  
8 would not want to apply a diagnosis in a haphazard  
9 fashion on a patient I have not met.

10 Q Does Mr. Bigley have diabetes at this point  
11 in time?

12 A There is nothing I have seen in the records  
13 that were given to me that showed diabetes. But on  
14 the other hand, I should say there is nothing that  
15 demonstrates he has been tested for the same.

16 Q Would you agree with me that many drugs have  
17 side effects, yet it is still appropriate for  
18 physicians to prescribe such medicines?

19 A Oh, I -- sure, I would agree that many, many  
20 medications have side effects. And their use really  
21 is dependent upon an accurate and fully informed  
22 consent. Unfortunately, that is lacking in the case  
23 of most psychiatric drugs.

24 Q Is it your opinion that Risperidone should  
25 not be prescribed in any case?

1 A I would have to think about that. You sort  
2 of catch me off guard. There may be some uses that we  
3 have not fully thought through.

4 For instance, I would have to review the  
5 literature on cancer and see if Risperidone has some  
6 possible uses in cancer.

7 But for the current indication of attempting  
8 to assist a person with psychotic symptoms, let's say,  
9 I would be concerned about its use as really taking  
10 people further away from the intended result.

11 Q Have you ever prescribed Risperidone in your  
12 practice?

13 A Certainly I did when I was in my medical  
14 school -- in medical training, and while I was in the  
15 service.

16 And if I have been -- in studying since that  
17 time, the Department of Corrections or in the  
18 Veteran's Administration system, where people were  
19 previously on that drug, I do not endanger people by  
20 abruptly stopping therapies or treatments.

21 But I have not started any patients on  
22 Risperidone since I came to the realization of what  
23 these medications are doing and what the alternatives  
24 are.

25 Q And what did you come --

1 Q Are you able to quantify in Mr. Bigley's case  
2 any of the risks presented by Risperidone at this  
3 point in time?

4 A I'm sorry; your question was quantify?

5 Q Yes. In terms of likelihood or percentage.

6 A Oh, likelihood or percent. Gosh, you know,  
7 that is an interesting question. I don't think I've  
8 ever been asked that before. I don't typically  
9 quantify for anyone percentages of what might happen.

10 But I'll tell you, there is one exception,  
11 and that is in terms of what's been published on the  
12 possibility of tardive, T-A-R-D-I-V-E -- tardive  
13 dyskinesia. And to address that, I should probably  
14 mention that one of the studies that I have found very  
15 important, you know, since it was published in 2006 is  
16 a study that found that Risperidone and the other  
17 drugs like it actually had a 5 percent prevalence of  
18 tardive dyskinesia. This was just in the first years  
19 of their use.

20 And for people who have been on the  
21 medications for longer than just starting them, you  
22 know, for just being on them brand-new, say like  
23 within the first month, 20 percent of the patients on  
24 drugs like Risperidone had already developed tardive  
25 dyskinesia.

1 A (Indiscernible.)

2 Q I'm sorry. When did you come to the  
3 realization --

4 A The first awareness was in 2001. But I  
5 really crystallized that view, so about 2001, and then  
6 2002.

7 Q Okay. So am I correct in understanding that  
8 since that date, you have not started any of your  
9 patients on Risperidone?

10 A That's correct.

11 Q Okay. But you have continued patients on  
12 Risperidone; is that correct?

13 A Certainly. I would not endanger people by  
14 abruptly stopping treatments that other doctors have  
15 begun.

16 Q Okay. What dangers are presented by what you  
17 say, abruptly stopping treatment?

18 A Well, if a person is not going to have care  
19 from a doctor who will be able to monitor the  
20 interruption or cessation of therapy, some patients  
21 can have problems. So that would be the main one, is  
22 to be able to have continued oversight, to not just  
23 cut people off and not be able to see how they're  
24 doing as the medication is actually leaving their  
25 system.

1 So I usually tell people that you know there  
2 is, you know, a real risk, not just an imaginary risk,  
3 that the new drug, including Risperidone, is a  
4 medicine that can cause tardive dyskinesia, even in  
5 the first years of use. And I think it's really  
6 important for patients to know that that is a real  
7 risk.

8 So as high as 5 to 20 percent of the patients  
9 on Risperidone will develop tardive dyskinesia  
10 symptoms in the first years of use.

11 Q Is that a risk that is commonly understood in  
12 the psychiatric community?

13 A No, not at all. Most doctors ignore this.  
14 They don't really pay attention to it.

15 That's why this paper was so important when  
16 it was published. It was published by Jose DeLeon in  
17 2006 in Kentucky. And it was based on doing a  
18 cross-sectional survey of inpatients and outpatients  
19 over 500 patients that were participating in another  
20 study.

21 And fortunately, these authors are the people  
22 doing the study. Once they were finding that so many  
23 people on the new drugs, even people who had just  
24 started the new drug, were having tardive dyskinesia,  
25 they took the time to write it up and publish it.

1 It's not commonly known, but it should be.

2 Q Does Mr. Bigley suffer from tardive  
3 dyskinesia?

4 A I don't know. I haven't evaluated him in  
5 person to know if he has those symptoms. I haven't  
6 seen them mentioned in the records that were shown to  
7 me. I have seen references to Parkinsonian symptoms  
8 before. And Parkinsonian symptoms, even if they are  
9 historical, are believed to place people at greater  
10 risk for developing or having tardive dyskinesia, as  
11 well.

12 Q Are you able to quantify the risk of tardive  
13 dyskinesia in Mr. Bigley's case at this point?

14 A Oh, I would -- quite realistically, I would  
15 say that he should have tardive dyskinesia. It is  
16 astounding to me that he doesn't already have it.

17 And I would say that there is a high  
18 likelihood that Mr. Bigley will have it within the  
19 next five to ten years if he's placed back on  
20 Risperidone.

21 There is also a high likelihood he is simply  
22 just going to die in the next five years if he is  
23 placed on Risperidone. I don't think that's really  
24 unreasonable or irrational to make that comment based  
25 on what he's had before.

1 Q Exhibit E, your analysis of neuroleptic  
2 toxicity, has that been peer reviewed?

3 A Oh, that document itself has not been peer  
4 reviewed, but all the studies that I have cited have  
5 been peer reviewed and appear in mainstream or major  
6 journals.

7 MR. GOTTSTEIN: I have nothing further for  
8 you. Thank you.

9 THE COURT: Mr. Gottstein.

10 MR. GOTTSTEIN: Yes.

11 DR. GRACE JACKSON

12 testified telephonically as follows on:

13 REDIRECT EXAMINATION

14 BY MR. GOTTSTEIN

15 Q Dr. Jackson, I would like to just briefly go  
16 through maybe what you reviewed. Did you review  
17 the -- I think it was called submission for  
18 representation hearing and exhibits to that, including  
19 the affidavit of -- affidavits of Mr. Whitaker,  
20 Dr. Bassman, Paul Cornils, and then the medical  
21 records attached to that?

22 A I don't believe I know -- I can tell you what  
23 I've looked at. I don't believe I've looked at  
24 everything you might be citing because it was a very  
25 large document, that I communicated to you I was

1 having problems opening.

2 I have looked at and reviewed the affidavit  
3 of Dr. Bassman, the affidavit of Mr. Cornils. I have  
4 reviewed the motion for less-intrusive alternative. I  
5 have reviewed Mr. Whitaker's affidavit.

6 And I have also reviewed portions of the  
7 medical history. And I can tell you exactly which  
8 ones I have seen. I have seen hospital records from  
9 the initial hospitalization dated -- date of admission  
10 was April 15. That's 4/15/1980, the discharge  
11 summary.

12 I have then reviewed the admission -- or I'm  
13 sorry, the discharge note, discharge summary from a  
14 hospitalization which was in February of 1981 through  
15 May of 1981.

16 And I believe the last portion of the records  
17 that I had been sent would be the hospital record --  
18 this was February of 2007, API hospitalization No. 68.

19 And then again, I think the last thing that I  
20 had seen was a medical progress note which was signed  
21 by a Dr. Lucy Curtis dated March 16, 2007, and an API  
22 contact of March 19, 2007 with regard to blood tests  
23 for Depakote.

24 And that is the extent of the records that I  
25 have seen. Oh, I have also seen the log -- log sheet

1 from Monday, May 12th, 2008.

2 Q Okay. Thank you. Now, you testified that --  
3 that it would be preferable I think to gradually  
4 withdraw someone from Risperidone because of problems  
5 with abrupt withdrawal; is that correct?

6 A Right. I think a lot of that depends on  
7 context. It's hard to make a general statement. It  
8 depends on the previous dose and if there is an  
9 emergency situation.

10 Q Now, what about if someone refuses to take  
11 it?

12 A If someone refuses to take it, again, I think  
13 it depends on the context. I think if someone is  
14 refusing to take it, there is no reason to start it  
15 over again for the sake of doing a withdrawal. It  
16 really depends on the context.

17 Q Okay. With respect to tardive dyskinesia, is  
18 this 5 -- 5 percent, is that considered cumulative for  
19 example, that 5 percent per year? So the second year  
20 would tend to be 10 percent, third year 15 percent?  
21 Is that your understanding?

22 A Well, I believe the idea of cumulative risk  
23 really came out of a Yale study, and was mostly  
24 speaking about the older antipsychotic medicines.  
25 Nobody that I know of has yet published data on



1 cumulative incidents or the cumulative, you know, risk  
2 for the newer medications.

3 And the study that I had just briefly  
4 mentioned, Jose DeLeon study that was published two  
5 years ago, was unfortunately not able to really give  
6 us an incidence or cumulative incidence. It was more  
7 a cross-sectional shotgun, people who had never been  
8 on the drugs who were just newly started.

9 And 5 percent of those people who were just  
10 beginning these new drugs developed tardive dyskinesia  
11 early in the course of their exposure. In that study,  
12 20 percent of those who had already been on the  
13 atypicals for just a short period of time had TD.

14 Q Thank you. And then Mr. Twomey asked you  
15 about your analysis not being peer reviewed. That was  
16 true of your analysis of olanzapine in 2003 in the  
17 Myers case, isn't it?

18 A That's correct, that analysis  
19 (indiscernible).

20 Q And that is your analysis of olanzapine,  
21 which is Zyprexa? Has that been borne out by  
22 subsequent studies and revelations?

23 A It's actually been borne out in terms of the  
24 attachment of black box warnings that pretty much were  
25 pertinent to my testimony.

1 THE COURT: He can be excused. That's fine.  
2 That's fine, Mr. Bigley. You can be excused.  
3 You're all right.

4 All right. So, Dr. Bassman, do you have  
5 cross examination?

6 MR. TWOMEY: Well, I may not, Your Honor,  
7 depending on whether we can have a stipulation that  
8 Dr. Bassman is not familiar with the standard of care  
9 here in Anchorage.

10 THE COURT: Any disagreement with that?

11 MR. GOTTSTEIN: I think you should explore  
12 that with Dr. Bassman.

13 THE COURT: All right. I cannot go after  
14 12:00 today. I just have to go on record in that  
15 regard.

16 MR. TWOMEY: Your Honor, my preference would  
17 be to --

18 MR. GOTTSTEIN: I don't think that that's  
19 relevant to his testimony.

20 THE COURT: Well, you can certainly explore  
21 the issue on cross. The standard of care in Alaska, I  
22 think --

23 MR. GOTTSTEIN: I would stipulate to that.

24 THE COURT: All right. That Dr. Bassman is  
25 not familiar with the standard of care as to what

1 MR. GOTTSTEIN: Okay. I have no further  
2 questions.

3 THE COURT: Follow-up at all on those topics,  
4 Mr. Twomey?

5 MR. TWOMEY: I have nothing further, Your  
6 Honor.

7 THE COURT: All right. Thank you very much,  
8 Dr. Jackson. You can be excused at this time.

9 THE WITNESS: Thank you, Your Honor.

10 THE COURT: Okay. Bye bye.

11 THE WITNESS: Bye bye, now.  
12 (Witness excused.)

13 THE COURT: Your next witness is Dr. Hopson.

14 MR. GOTTSTEIN: Your Honor, I've --  
15 Dr. Bassman and Mr. Whitaker both had to adjust their  
16 schedules to be available for a cross examination.  
17 I'm wondering if maybe we could do their cross  
18 examination now.

19 THE COURT: Do you have questions for either  
20 Dr. Bassman -- it was Dr. Bassman or who else?

21 That's fine. Go ahead.

22 MR. BIGLEY: I'm truly sorry, okay.

23 THE COURT: That's all right. Go ahead.

24 MR. GOTTSTEIN: Bill -- he would like to be  
25 excused.

1 issue specifically?

2 MR. TWOMEY: As to the administration of  
3 Risperidone by psychiatrists in the State of Alaska.

4 THE COURT: I am showing Dr. Bassman as a  
5 Ph.D., correct?

6 MR. GOTTSTEIN: And his testimony was really  
7 on less-intrusive alternatives.

8 THE COURT: So Dr. Bassman is not testifying  
9 about medication administration at all? I mean, I'd  
10 have to go back and look at his affidavit.

11 MR. GOTTSTEIN: There's some in there. But  
12 it's mainly about --

13 THE COURT: But he is a psychologist, not a  
14 psychiatrist?

15 MR. GOTTSTEIN: Correct.

16 THE COURT: So your proposed stipulation,  
17 just to state it again, Mr. Twomey?

18 MR. TWOMEY: Well, one moment, Your Honor. I  
19 want to take a look at Dr. Bassman -- or Ronald  
20 Bassman's affidavit. If I could have a stipulation  
21 that Ronald Bassman is not a medical doctor, but he  
22 is --

23 THE COURT: That's fine.

24 MR. TWOMEY: That his affidavit goes only to  
25 the issue of a less-restrictive alternatives.

1 MR. GOTTSTEIN: Less intrusive, I think.  
 2 MR. TWOMEY: Less-intrusive alternative.  
 3 THE COURT: All right. Is that the entirety  
 4 of your proposed stipulation?  
 5 MR. TWOMEY: Yes, Your Honor.  
 6 THE COURT: All right. That Dr. Bassman is  
 7 not a medical doctor, and his affidavit is intended to  
 8 focus exclusively on the less-intrusive alternative.  
 9 Am I stating it correctly, your position, Mr. Twomey?  
 10 MR. TWOMEY: Yes, Your Honor.  
 11 THE COURT: All right. Mr. Gottstein, is  
 12 that stipulation acceptable?  
 13 MR. GOTTSTEIN: That's fine.  
 14 THE COURT: All right. So that then with  
 15 that stipulation, Mr. Twomey, you are not seeking to  
 16 have Dr. Bassman for cross; am I correct?  
 17 MR. TWOMEY: That's correct, Your Honor.  
 18 THE COURT: That brings us then next,  
 19 Mr. Gottstein, there was another individual you  
 20 indicated.  
 21 MR. GOTTSTEIN: Yes. Mr. Whitaker.  
 22 MR. TWOMEY: If we could have a stipulation,  
 23 Your Honor, that Mr. Whitaker is a journalist and not  
 24 a medical doctor.  
 25 THE COURT: Any disagreement with that

1 proposed stipulation?  
 2 MR. GOTTSTEIN: Well, I can stipulate that he  
 3 is not a medical doctor. But he is also an expert in  
 4 the study in analyzing clinical trials. He actually  
 5 had a business that did that, that was so well thought  
 6 of that it was purchased. So he's an expert in the  
 7 analysis of clinical studies.  
 8 THE COURT: The state's proposing the  
 9 stipulation that Dr. Whitaker is a journalist.  
 10 MR. GOTTSTEIN: It's Mr. Whitaker.  
 11 THE COURT: I'm sorry, Mr. Whitaker. And I  
 12 see that as the first phrase of paragraph 1, that he  
 13 is a journalist. So there is no dispute there; is  
 14 that correct?  
 15 MR. GOTTSTEIN: Correct.  
 16 THE COURT: And what is the balance of the  
 17 stipulation that, Mr. Gottstein, you were proposing?  
 18 MR. GOTTSTEIN: Well, I think the affidavit  
 19 speaks for itself. But I would just -- and it talks  
 20 about his history of and expertise in analyzing  
 21 clinical studies.  
 22 THE COURT: From the perspective of a  
 23 journalist; is that agreeable?  
 24 MR. GOTTSTEIN: But he also had a business of  
 25 analyzing clinical studies, and people paid money to

1 get that -- those analyses.  
 2 THE COURT: Is that discussed in the --  
 3 MR. GOTTSTEIN: I think that it is. 1D.  
 4 THE COURT: 1D. On what page is that?  
 5 MR. GOTTSTEIN: It's the first page.  
 6 THE COURT: Oh, I see. So --  
 7 MR. TWOMEY: Well, Your Honor, I'll stipulate  
 8 that he owned a company from 1994 to 1998 when he sold  
 9 the company. And --  
 10 THE COURT: It reported on the clinical  
 11 development of new drugs?  
 12 MR. TWOMEY: Yes.  
 13 THE COURT: All right. Is that agreeable?  
 14 That's what the individual said in that affidavit.  
 15 MR. GOTTSTEIN: Yeah. And I certainly would  
 16 stipulate to that. Also he is an expert on this -- on  
 17 the analysis of clinical studies.  
 18 MR. TWOMEY: Well, the analysis of clinical  
 19 studies is not at issue in this case, Your Honor. I  
 20 propose that we stipulate that Mr. Whitaker has no  
 21 direct testimony pertaining to Mr. Bigley or the  
 22 treatment proposed for Mr. Bigley in this case.  
 23 THE COURT: How about -- does the affidavit  
 24 simply speak for itself? I mean, I haven't heard  
 25 anything yet that's not in the affidavit. You

1 certainly have the right to cross if there are topics  
 2 you wanted to explore. But is it --  
 3 MR. GOTTSTEIN: (Indiscernible.)  
 4 THE COURT: Well, no. But --  
 5 MR. TWOMEY: I am not really particularly  
 6 interested in cross examining this witness on issues  
 7 that don't relate to Mr. Bigley.  
 8 THE COURT: Is there any reference at all in  
 9 this to Mr. Bigley? As I understand it, there is  
 10 none.  
 11 MR. GOTTSTEIN: No.  
 12 THE COURT: All right. So, Mr. Twomey, can  
 13 the affidavit stand as written?  
 14 MR. TWOMEY: Yes.  
 15 THE COURT: No stipulation from either side?  
 16 It's simply he is the journalist as indicated in his  
 17 affidavit. All right. Very good.  
 18 Then that brings us to -- Mr. Twomey, do you  
 19 seek to cross examine Mr. Cornils on his affidavit?  
 20 MR. TWOMEY: Yes, Your Honor.  
 21 THE COURT: All right. And then who else is  
 22 available right now?  
 23 MR. GOTTSTEIN: We've got Dr. Hopson and  
 24 Ms. Altaffer here.  
 25 THE COURT: All right. Well, what can we

1 accomplish in the remaining 20 minutes most  
2 effectively here?

3 MR. TWOMEY: Your Honor, I'd like to proceed  
4 with Dr. Hopson's testimony. He is the medical  
5 director of API and has made arrangements to be here  
6 again today.

7 THE COURT: Any objection there,  
8 Mr. Gottstein?

9 MR. GOTTSTEIN: No. That's fine.

10 THE COURT: All right. Let's hear then from  
11 Dr. Hopson.

12 (Oath administered.)

13 THE CLERK: Sir, for the record, could you  
14 please state and spell your first and last name.

15 THE WITNESS: Yes. It's Raymond Duane  
16 Hopson. It's R-A-Y-M-O-N-D, D-U-A-N-E, H-O-P-S-O-N.

17 THE COURT: Thank you. Go ahead, please,  
18 Mr. Gottstein.

19 DR. RAYMOND HOPSON

20 called as a witness on behalf of respondent, testified  
21 as follows on:

22 DIRECT EXAMINATION

23 BY MR. GOTTSTEIN

24 Q Thank you, Dr. Hopson. I asked Mr. Twomey if  
25 we could stipulate to the admission, to speed things,

1 of Exhibits B, C, D, and F. Do you have any  
2 objections to that?

3 MR. TWOMEY: No objection.

4 THE COURT: There is no objection,  
5 Mr. Twomey.

6 MR. TWOMEY: No objection, Your Honor.

7 THE COURT: All right. To B, C, D, and F,  
8 then, those will be admitted, as well as A and E,  
9 which were previously admitted.

10 (Exhibits B, C, D, and F admitted.)

11 THE COURT: Go ahead then.

12 BY MR. GOTTSTEIN

13 Q Okay. Dr. Hopson, let me give you those  
14 Exhibits, if I may. Well, actually, I'm not going to  
15 give you B. Well, I'll give it to you just in case  
16 you want to refer to it.

17 MR. GOTTSTEIN: I'm sorry, Your Honor.

18 THE COURT: That's all right.

19 BY MR. GOTTSTEIN

20 Q Dr. Hopson, you -- you were in the courtroom  
21 on Monday when Dr. Khari testified, weren't you?

22 A Yes, I was.

23 Q Okay. And so you heard Dr. Khari's testimony  
24 about people who -- that people who are committed --  
25 committed but not medicated, and she didn't know how

1 many people that was. Do you know how many that was?

2 MR. TWOMEY: Objection, relevance, Your  
3 Honor.

4 THE COURT: I'll allow it.

5 Go ahead, Dr. Hopson.

6 A At any one particular time I do not. It  
7 changes from day to day. We have roughly four to five  
8 admissions per day.

9 I did -- after that came up, I did ask our  
10 data analysis to do a scan for the last five years of  
11 the number of involuntary court commitments that we've  
12 had, and it shows a progressive decline from roughly  
13 6.5 per month to 4 per month currently. So we have a  
14 downward decline in our number of involuntary  
15 commitment -- medication administration commitments.

16 BY MR. GOTTSTEIN

17 Q But isn't that that most of those people have  
18 accepted the medication without going to court; isn't  
19 that true?

20 A No. You wouldn't go to court if they were  
21 accepting them voluntarily.

22 Q That's my point. So the question is, how  
23 many committed patients, people who have been  
24 committed, are not being given neuroleptic  
25 medications?

1 A I wouldn't have a specific number on that.  
2 Again, it would vary from day to day. But I know  
3 there are some for sure.

4 Q Some, so that's more than one?

5 A Sure.

6 Q But you don't know how many?

7 A No.

8 Q Okay. I want to refer you to, if I can find  
9 my copy here, to Exhibit -- Exhibit C. Are you  
10 familiar with that document?

11 A I have never seen it before.

12 Q Are you familiar with the circumstances  
13 surrounding that discharge?

14 A No, I am not. I would have to review that.

15 Q Do you recall that Mr. Bigley was  
16 involuntarily committed in September, right around  
17 actually Labor Day, September of 2007?

18 MR. TWOMEY: Objection, relevance, Your  
19 Honor.

20 THE COURT: What is the relevance?

21 MR. GOTTSTEIN: It's to a less-intrusive  
22 alternative. I'd show that rather than deal with --  
23 that they just discharged him after they had him  
24 committed when they couldn't drug him.

25 MR. TWOMEY: Your Honor, we are dealing with

1 the present commitment and the petition that is now  
2 pending for administration of medication, not what may  
3 have happened in September of last year.

4 THE COURT: Well, how does this tie into  
5 today, Mr. Gottstein?

6 MR. GOTTSTEIN: Well, there is a pattern  
7 of -- under the supreme court's opinion in Myers,  
8 Mr. Bigley is entitled to a less-intrusive  
9 alternative.

10 And the hospital absolutely refuses to  
11 consider doing that. And so they go into court and  
12 say that he is so -- so gravely disabled that he has  
13 to be locked up.

14 And then when they can't drug him, they all  
15 of a sudden -- he's not so disabled and they discharge  
16 him. In that case, it was after --

17 THE COURT: Well, why don't we ask about the  
18 hospital's plans, if this petition for administration  
19 of drugs today were to be denied.

20 Did you understand my question, Dr. Hopson?  
21 What would be API's plan for Mr. Bigley -- and I have  
22 no opinion sitting here today. I haven't heard all  
23 the evidence on how I am going to rule on this  
24 petition. But if I were to deny that, what do you see  
25 as the appropriate course of care for Mr. Bigley?

1 appropriate course of conduct for Mr. Bigley?

2 And that's really what your question is, am I  
3 correct? Well, you can follow up on your own --

4 MR. GOTTSTEIN: Yeah. That's an excellent --  
5 a better question than I was going to ask probably.  
6 Thank you.

7 THE WITNESS: Well, the plan would be to --  
8 he is on a commitment. We would keep Mr. Bigley and  
9 work with his guardian to try to once again secure  
10 housing for him, which is a challenge at this point.

11 THE COURT: So do you see that there is any  
12 services that API could provide other -- in the  
13 absence of providing medication?

14 THE WITNESS: Well, certainly within the  
15 hospital, you know, we have the safety and the  
16 security in the milieu. And to a degree, that does  
17 help some patients.

18 There is research to show that psychosocial  
19 treatments are no more effective than placebo in some  
20 patients. In Mr. Bigley's case, it tends to agitate  
21 him more to be in the hospital because we are a  
22 non-smoking facility.

23 And the best I have ever seen Mr. Bigley, if  
24 I may comment, was a couple of years ago when he was  
25 agreeing to take some medication, and he was --

1 THE WITNESS: Well, unfortunately, you know,  
2 Mr. Bigley is in a very difficult -- this is his 75th  
3 admission.

4 And he -- he does have a pattern of coming  
5 into the hospital, and then because he either doesn't  
6 accept treatment or we're not granted the act through  
7 statute to treat him, he eventually gets released from  
8 the hospital, because we are an acute care facility.

9 And once a patient is no longer of imminent  
10 danger to self or others, we have to release them if  
11 they ask to be released. And since we're not able to  
12 commit him, that's what we do.

13 And on the streets of Anchorage, Mr. Bigley  
14 is very well known. He is incorrigible. He has been  
15 arrested multiple times. He has been --

16 THE COURT: My question was -- let me go back  
17 and say right now there is an order in place that  
18 allows the state -- for API to have Mr. Bigley remain  
19 at API.

20 THE WITNESS: Right.

21 THE COURT: But there is a separate petition  
22 that's pending on the involuntary medication. So my  
23 question is, assuming that the order on the commitment  
24 is in place, and it is, then -- and the petition for  
25 the meds were denied, then what do you see as the

1 because of that, he was able to have suitable housing.  
2 And he was happy. He was not on the streets, and he  
3 was doing well at that time.

4 THE COURT: Thank you. Go ahead, please.  
5 BY MR. GOTTSTEIN

6 Q So can you cite the studies that you are  
7 saying that psychosocial rehabilitation is no more  
8 effective than placebo?

9 A Yes. It's by Hogarty and Ulrich, which I  
10 believe are researchers that you have cited on your  
11 Web site, as well.

12 Q What year?

13 A 1998, May through August.

14 Q In what publication?

15 A Journal of Psychiatric Research. They report  
16 that relapse rates are reduced by 50 percent with  
17 medication as a standard of care, and that  
18 psychosocial treatment without medication is as  
19 ineffective as placebo.

20 THE COURT: What's the definition of  
21 psychosocial treatment?

22 THE WITNESS: That would be the treatment you  
23 would receive just for being in the hospital without  
24 any medication, the structure, the milieu.

25 THE COURT: Okay. Thank you. Go ahead,

1 please.

2 BY MR. GOTTSTEIN

3 Q So you testified that he is agitated -- gets  
4 agitated by being in the hospital; is that correct?

5 A Yes.

6 Q And he doesn't like being locked up?

7 A I don't think anyone does.

8 Q And he has been pretty successful out on  
9 pass, hasn't he?

10 A Well, I think that depends. His behavior on  
11 pass, you know, it's certainly as demonstrated here.

12 He is still really agitated in the open environment.

13 Q But there is testimony recently that he was  
14 given a pass and he came back even without escort;  
15 isn't that true?

16 A Right. There have been times when we have  
17 allowed him to do some therapeutic passes. Those  
18 therapeutic passes -- also it must be said that  
19 because we are an acute care facility -- are for part  
20 of discharge planning and not part of just the  
21 treatment, you know, the --

22 THE COURT: Could you give me an example?

23 THE WITNESS: Yes. As we are working on  
24 someone's final discharge plan, we usually will allow  
25 a couple of therapeutic passes, maybe with their case

1 been on medication. He just deteriorates without it.

2 BY MR. GOTTSTEIN

3 Q But you would agree that Mr. Bigley's  
4 situation is pretty unique, wouldn't you?

5 A Well, he certainly is a -- he has chronic  
6 schizophrenia. He's had it for many years. And  
7 individuals -- he's been through multiple medications  
8 I'm sure through the years. And because of that, I  
9 think it does make his situation unique, absolutely.

10 Q And in Mr. Bigley's case, isn't it true that  
11 this issue of losing his housing really tends to  
12 cause -- you know, cause a problem with him being in  
13 the community?

14 A Yes, I think it does.

15 Q And you'd think even though it's not the  
16 hospital's mission, that it probably would be -- kind  
17 of make things be on more of an even kilter if he  
18 could come to API when he didn't have other housing?

19 A Well, there again, I think Mr. Bigley is  
20 brought to the hospital when he deteriorates to the  
21 degree that he is frightening other people, people in  
22 the banks, people in downtown offices, when he gets  
23 thrown out of his housing. You know, those are the  
24 times that he's brought to the hospital for evaluation  
25 and treatment recommendations.

1 manager or with a family member to go visit an  
2 assisted-living home, that sort of thing.

3 But it would not be just part of their daily  
4 process to live at the hospital and go out on a daily  
5 pass.

6 BY MR. GOTTSTEIN

7 Q But there's no reason why that couldn't be  
8 true, is there?

9 A Absolutely. That is not our mission. We are  
10 the state's acute care hospital. And if we started  
11 housing patients and just letting them go out on pass  
12 all day, we would be full of patients like that, and  
13 we wouldn't be able to fulfill our mission totally.  
14 That's what the assisted-living homes and structured  
15 case management is for.

16 Q And that works for many people, right,  
17 structured living and assisted-living homes, correct?

18 A It does.

19 Q But it doesn't work for Mr. Bigley, does it?

20 A It has when he's been on medication, yes.

21 THE COURT: And is it a prerequisite for most  
22 or all assisted-living homes that the individuals have  
23 adequate medication?

24 THE WITNESS: It's not a prerequisite. And  
25 in fact, he's been in multiple homes where he has not

1 Q Yeah. And he would be much happier if he was  
2 let out during the day and --

3 A There again, that would not be -- the  
4 implication there is Mr. Bigley could come to the  
5 hospital and sleep at night and be let out during the  
6 day, to be on a daily pass every day. And that would  
7 not at all be in the mission of the hospital.

8 THE COURT: So if you had -- which clearly  
9 you don't. But if you had unlimited resources here,  
10 how would you approach this problem?

11 THE WITNESS: Well --

12 THE COURT: I mean, setting aside API, just  
13 generally, what do you see as the best outcome for  
14 Mr. Bigley?

15 THE WITNESS: Well, the ideal thing, which  
16 many states do have, is very intensive case management  
17 that, you know, funds someone to work with him on an  
18 outpatient basis.

19 And I know that's where Mr. Cornils has come  
20 into the picture. And you know, if that could ever be  
21 established, if he was willing to work with Mr. Bigley  
22 and vice versa, that would be ideal.

23 In that case, you know, it might be that  
24 Mr. Bigley wouldn't have to come to the hospital ever  
25 if he were doing well in an outpatient setting.

1 THE COURT: And is that type of resource  
2 available in our community?

3 THE WITNESS: Well, I know that Mr. Cornils  
4 has worked with him. I don't know at this point where  
5 that relationship is. I haven't spoken with  
6 Mr. Cornils.

7 THE COURT: All right. Thank you. Go ahead,  
8 please.

9 BY MR. GOTTSTEIN

10 Q Okay. I think actually I want to leave that  
11 topic.

12 If the hospital was authorized to administer  
13 the drugs with -- you know, when he didn't want to,  
14 and he refused to take them, how would it be  
15 administered?

16 A If -- you're saying that if a court order for  
17 involuntary administration of medications was granted  
18 by the court?

19 Q Right.

20 A Well, our process says we would offer him  
21 some oral medication. And if he refused, then we  
22 would medicate him with some intramuscular, IM  
23 medication.

24 Q And that is an injection?

25 A Yes.

1 Q And if he -- if he refused to do that, would  
2 he be held down and injected?

3 A There are cases where that happens. It's  
4 done in a very -- you know, staff are trained in  
5 particular ways to do that where it's safe, doesn't  
6 harm the patient.

7 But quite frequently, my experience says when  
8 you get down to that point, even with the most  
9 agitated patient, they will agree to take the  
10 injection. So you don't have to lay hands on. We  
11 never want to lay hands on patients.

12 Q Okay. Now, normally, if a patient agrees to  
13 take the medication, then of course you will -- then  
14 that's pretty much the end of the question, right, and  
15 they are given the medication; is that correct?

16 A If that's what the doctor recommends.

17 Q Yes. But what happens if they change their  
18 mind after they take it and they don't like it?

19 A It happens all the time. The doctor will  
20 decide, you know, perhaps they're doing well. Not all  
21 of our patients take medications. Not all of the  
22 patients leave on medication.

23 Q And what if then it's decided -- the doctor  
24 decides, well, the person really should be on the  
25 medication?

1 A If they felt they were of imminent risk to  
2 themselves or a danger to themselves or others and  
3 unsafe to leave the hospital, if the patient was  
4 wanting to leave the hospital, they would consider  
5 petitioning the court.

6 Q That I think is a separate issue. I am  
7 talking about in terms of the medication. If they --  
8 if they initially agreed to take the medication, then  
9 decided that they didn't like it, and the doctor  
10 thought, well, they really needed to do that, wouldn't  
11 then a petition for involuntary administration of  
12 medication --

13 A Not automatically, no. The patient, if they  
14 were doing well enough, they could be considered just  
15 to stay in the hospital, if they were there  
16 voluntarily or if they were on a commitment. It  
17 doesn't always continue to the medication  
18 administration.

19 Q But it does sometimes?

20 A On occasion. I said currently four times per  
21 month.

22 Q Okay. Of people that initially agreed to  
23 take the medication?

24 A Of our involuntary -- we petition the court  
25 approximately four times per month currently out of

1 the roughly 120 admissions per month that we get.

2 THE COURT: So have you had -- do you do  
3 petitions only for commitment but without petitioning  
4 for the involuntary medication?

5 THE WITNESS: Yes, we do.

6 THE COURT: Go ahead, please.

7 BY MR. GOTTSTEIN

8 Q Okay. I would like to refer you to  
9 Exhibit F.

10 A Okay.

11 Q So -- and it's I think now 5507. I have  
12 highlighted it, it says: Declined a.m. meds. Do you  
13 see that there?

14 A Uh-huh.

15 Q So if he had -- and these were neuroleptics,  
16 weren't they?

17 A No. He is not prescribed any neuroleptic  
18 medication, because we know that is the issue here and  
19 he doesn't want them.

20 He has a stomach medication that is  
21 prescribed for him. And sometimes he will take it and  
22 sometimes he won't. But we certainly offer it to him.

23 MR. GOTTSTEIN: Okay. I have no further  
24 questions.

25 THE COURT: Mr. Twomey.

1 MR. TWOMEY: Yes. Thank you, Your Honor.  
2 DR. RAYMOND HOPSON  
3 testified as follows on:

4 CROSS EXAMINATION

5 BY MR. TWOMEY

6 Q Dr. Hopson, have you had an opportunity to  
7 listen this morning to Dr. Grace Jackson's testimony?

8 A Yes.

9 Q Is there anything that you would like to  
10 comment upon, having heard her testimony as it relates  
11 to Mr. Bigley's case?

12 A Well, certainly. I certainly respect her  
13 knowledge and her research. I think it's pretty  
14 clear, and she kind of skirted around that. To me it  
15 seemed like that she certainly is not in the  
16 mainstream of clinical practice, that she's a  
17 researcher, and she certainly has devoted a lot of  
18 time and energy to the research that she does.

19 But as far as the mainstream, the standard of  
20 practice based on evidence-based medicine, you know,  
21 you evaluate patients. And a physician is --

22 MR. GOTTSTEIN: Your Honor, I think this  
23 really requires -- he's getting into scientific  
24 evidence and would require a Daubert --

25 THE COURT: Well, he was -- you were saying

1 United States uses algorithms, which are specific  
2 guidelines that you approach the treatment of  
3 schizophrenia. And those recommendations are for  
4 antipsychotic medications if the symptoms are  
5 interfering with their daily functioning.

6 So to not treat someone with the severity of  
7 the illness that Mr. Bigley has, I think we would be  
8 remiss in doing that. For years, I --

9 THE COURT: When you say when to not treat,  
10 do you mean to not use medication to treat --

11 THE WITNESS: Yes. Yes. In my private  
12 practice for years before my current position, I had  
13 multiple patients that I did not treat that were  
14 schizophrenic that managed -- that had enough support  
15 and safety in their environment to function well. And  
16 I think that's wonderful.

17 But I think in this particular case, and each  
18 patient I think must be taken on a case-by-case basis,  
19 that we have to look at what's going to be the best  
20 for them.

21 THE COURT: All right. Thank you. It's  
22 12:03.

23 I'll just say it's high school graduation  
24 week, and I need to get going here very shortly.

25 So with that said, where were we in the

1 that you disagreed with Dr. Jackson's analysis; is  
2 that correct?

3 THE WITNESS: To summarize it quickly for  
4 you, I would disagree with it because, you know, the  
5 standard of care certainly -- the --

6 THE COURT: And let me just respond to  
7 Mr. Gottstein's objection, which is to say, can he  
8 respond from the perspective of the standard of care  
9 as a psychiatrist here in Anchorage as opposed to a  
10 research analyst? I am hearing that -- you are the  
11 clinical director of API?

12 THE WITNESS: The medical director.

13 THE COURT: Medical director.

14 MR. GOTTSTEIN: Well, I think if we're  
15 limiting it to the standard of care in Anchorage, yes.

16 But in terms of refuting Dr. Jackson, I think  
17 we have to go through the whole Daubert, and I should  
18 be entitled to, you know, get his -- you know, what he  
19 cites and all that.

20 THE COURT: Why don't you just give us your  
21 perspective as the medical director. Go ahead.

22 THE WITNESS: Well, certainly, there are  
23 patients that we don't medicate.

24 And I think each physician is obligated to  
25 consider the best for their patient. And half of the

1 middle of questions?

2 MR. GOTTSTEIN: Well, I think I --  
3 DR. RAYMOND HOPSON,  
4 testified as follows on:

5 REDIRECT EXAMINATION

6 BY MR. GOTTSTEIN

7 Q Isn't it true that these algorithms have  
8 really come into disrepute because they were corrupted  
9 by pharmaceutical money?

10 A It's my understanding the Texas Medication  
11 Algorithm Project is currently followed in 26 states  
12 in the United States.

13 Q So you are unfamiliar with Allen Jones'  
14 report on how the pharmaceutical companies really  
15 corrupted that process?

16 A I am unfamiliar with that. I would say that,  
17 you know, I think there are going to be individuals,  
18 like the doctor that testified earlier, that are going  
19 to have their viewpoints on it.

20 But a large number of clinicians obviously  
21 around the United States continue to support these  
22 types of algorithms.

23 Q And you are unfamiliar with actual payments  
24 being made to the people that were -- served on those  
25 panels to make those recommendations?

1 A Yes.  
 2 MR. GOTTSTEIN: Okay. No further questions.  
 3 THE COURT: Okay. Any redirect? We're done.  
 4 MR. TWOMEY: I'm not sure where we were, Your  
 5 Honor. I think I was questioning.  
 6 THE COURT: I think you might have been.  
 7 MR. GOTTSTEIN: Oh, I thought -- I thought we  
 8 were on cross.  
 9 THE COURT: Oh, no. The clerk agrees with  
 10 you there, Mr. Twomey. Go right ahead. I think I  
 11 was, and that's what got us a little off track there.  
 12 So go right ahead.  
 13 DR. RAYMOND HOPSON,  
 14 testified as follows on:  
 15 RE CROSS EXAMINATION  
 16 BY MR. TWOMEY  
 17 Q Dr. Hopson, have you had an opportunity to  
 18 review the affidavit of Robert Whitaker?  
 19 A Yes.  
 20 Q All right. Do you have any comments upon the  
 21 conclusions set forth in his affidavit?  
 22 A I would have to see his direct conclusions  
 23 again. It's been a few weeks. However, I would  
 24 disagree with them.  
 25 MR. GOTTSTEIN: Objection, Your Honor, in

1 terms of this would not be based on again the Daubert  
 2 objection.  
 3 THE COURT: Well, he's indicated he's not --  
 4 I guess I don't find Dr. Hopson's testimony in this  
 5 particular point that helpful when he indicated he  
 6 hadn't reviewed this in a few weeks. So if there is  
 7 specific points you wanted to bring up, and then we  
 8 can see.  
 9 But I have to leave here. So what we can do  
 10 is continue this tomorrow. I want to give each side  
 11 an opportunity.  
 12 I also don't want to have the doctor  
 13 inconvenienced any more than necessary. So what is  
 14 your thought on how to proceed?  
 15 MR. TWOMEY: How much more time do you have  
 16 available?  
 17 THE COURT: Negative five minutes.  
 18 MR. TWOMEY: Well, then I guess we will have  
 19 to come back tomorrow.  
 20 THE COURT: I can do 10:00 a.m. tomorrow. Is  
 21 that convenient for both sides? And we can take up  
 22 Dr. Hopson then. I apologize for that. But let's do  
 23 10:00 a.m. tomorrow.  
 24 And then you'll have an opportunity if you'd  
 25 like to look at the affidavit again, knowing that

1 that's the next question.  
 2 Anything further today, Mr. Twomey?  
 3 MR. TWOMEY: No, Your Honor.  
 4 THE COURT: All right. And 10 to 12, will  
 5 that complete -- that is an extra two hours,  
 6 Mr. Gottstein. I am going to assume that is more than  
 7 sufficient. Am I reasonable in that assumption?  
 8 MR. GOTTSTEIN: I think it should be.  
 9 THE COURT: Well, I guess it has to be, is  
 10 what I am indicating.  
 11 MR. GOTTSTEIN: Oh, okay. Yeah.  
 12 You said you wanted to cross examine  
 13 Mr. Cornils?  
 14 MR. TWOMEY: Yes, Your Honor. Or yes.  
 15 THE COURT: All right. So he will be  
 16 available, as well, tomorrow.  
 17 So 10:00 a.m. tomorrow. We can go off  
 18 record. Thank you all. We'll see you tomorrow.  
 19 Thank you.  
 20 (Off record.)  
 21 12:06:22  
 22  
 23  
 24  
 25

1 TRANSCRIBER'S CERTIFICATE  
 2 I, Jeanette Blalock, hereby certify that the  
 3 foregoing pages numbered 103 through 194 are a true,  
 4 accurate, and complete transcript of proceedings in  
 5 Case No. 3AN-08-00493 PR, In the Matter of WB: William  
 6 Bigley, Motion Hearing held May 14, 2008, transcribed  
 7 by me from a copy of the electronic sound recording,  
 8 to the best of my knowledge and ability.  
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 12 Date Jeanette Blalock, Transcriber  
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