# Drug Class Review on Atypical Antipsychotic Drugs

# Final Report EVIDENCE TABLES

April 2006



The purpose of this report is to make available information regarding the comparative effectiveness and safety profiles of different drugs within pharmaceutical classes. Reports are not usage guidelines, nor should they be read as an endorsement of, or recommendation for, any particular drug, use or approach. Oregon Health & Science University does not recommend or endorse any guideline or recommendation developed by users of these reports.

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Author, year Study design Quality	Eligibility criteria	Interventions (drug, dose, duration)	Wash-out period
Aripiprazole vs olanzapine Cornblatt, 2002 Abstract & Poster Only FDA Study 98213 RCT, multicenter, open label FAIR	Clinically stable schizophrenia or schizoaffective disorder on a stable dose of oral typical antipsychotic, risperidone or quetiapine for at least one month	aripiprazole 30mg/d olanzapine 10-15mg/d Duration: 26 weeks	NR
McQuade, 2004 Multicenter, RCT, DB Inpatients Funding: Otsuka America Pharmaceuticals	Schizophrenia, in acute relapse, requiring hospitalization, 18 years of age and older, a Positive and Negative Syndrome Scale (PANSS) total score of ≥60 and a score of ≥4 on a least 2 of the following PANSS items: delusions, hallucinatory behavior, conceptual disorganization, suspiciousness	N=317 aripiprazole (N=156): 15-30 mg/d olanzapine (N=161): 10-20 mg/d 26 week duration	2 days minimum or 1 dept cycle after the most recent dept antipsychotic injection
Aripiprazole vs Risperidone  Potkin, 2003b RCT, DB, placebo-controlled, parallel, multicenter  Inpatients  Funding: Bristol-Myers Squibb	Acute, psychosis in patients diagnosed with schizophrenia and schizoaffective disorder  Exclusion criteria: psychiatric disorder other than schizophrena, schizoaffective disorder requiring pharmacotherapy, history of violence, recent history of suicide ideation/attempts, clinically significant neuroloical abnormality other than tardive dyskinesia or EPS, current diagnosis of psychactive substance dependence, history of alcohol/drug abuse, treatment with an investigational study drug within 4 weeks before washout, acute/unstable medical condition	aripiprazole: 20 mg/day:(N=101) aripiprazole: 30 mg/day:(N=101) risperidone: 6 mg/day:(N=99) placebo:(N=103)	7 days

Funding: Bristol-Myers Squibb

#### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design Quality	Allowed other medications	Method of outcome assessment timing of assessment	Age Gender Ethnicity
Aripiprazole vs			
olanzapine			
Cornblatt, 2002 Abstract & Poster Only	NR	Battery of 10 neurocognitive tests assessing verbal and visual secondary memory, verbal fluency, executive function, working	Mean age: 40 65% male
FDA Study 98213		memory, vigilance, and manual dexterity.	60% white
RCT, multicenter, open label		Assessed at baseline, 8 and 26 wks	31% African American
FAIR		Neurocognitive data were reduced to 3 factors using principal components of factor analysis: secondary verbal memory, general cognitive function, executive functioning	6% Hispanic 3% Asian and other
McQuade, 2004 Multicenter, RCT, DB	lorazepam up to 4mg/day allowed, not within 4 hours of efficacy/safety assessments	Body weighing, Positive and Negative Syndrome Scale and Clinical Global Impressions-Improvement	Mean Age: 38.4 Male: 72% Ethnicity NR
Inpatients			,
Funding: Otsuka America Pharmaceuticals			
Aripiprazole vs Risperidone			
Potkin, 2003b RCT, DB, placebo-controlled, parallel, multicenter	NR	Positive and Negative Syndrome Scale (PANSS), Clinical Global Impression scores (CGI), effects on weight, prolactin, corrected QT interval, Simpson-Angus Scale (SAS), Barnes Akathisia Rating Scale (BAS), Abnormal Involuntary Movements Scale	Mean age: 38.9 years 70% Male Ethnicity NR
Inpatients		(AIMS)	

Funding: Bristol-Myers Squibb

#### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design Quality Aripiprazole vs	Other population characteristics	Number Screened/ Eligible/ Enrolled	Withdrawn/ Lost to fu/ Analyzed
olanzapine			
Cornblatt, 2002 Abstract & Poster Only FDA Study 98213 RCT, multicenter, open label FAIR	Baseline PANSS 70 - 74 Baseline IQ: Vocabulary 30 - 33 Block Design 30 - 32 Information score 12 - 14 NAART scores 35 - 36	NR/NR/255	146/NR/NR
McQuade, 2004 Multicenter, RCT, DB	In-Patient population: 100%	NR/NR/378	72%/approx.10%/317
Inpatients			
Funding: Otsuka America Pharmaceuticals			
Aripiprazole vs Risperidone			
Potkin, 2003b RCT, DB, placebo-controlled, parallel, multicenter	100% inpatient	NR/NR/404	162/0/242
Inpatients			

Autho	r, year
Study	design

Quality Results

Aripiprazole vs olanzapine

Cornblatt, 2002 Secondary verbal memory: SS difference

Abstract & Poster Only aripiprazole > olanzapine (p<0.02 at 8 wks, p<0.04 at 26 wks) FDA Study 98213 aripiprazole SS difference to baseline (pp<0.001 at 8 and 26 wks)

RCT, multicenter, open label General cognitive function: NS difference from baseline or between drugs

Executive functioning: NS difference from baseline or between drugs

**FAIR** 

McQuade, 2004 At Week 26:

Multicenter, RCT, DB % of Patients who had > 7% increase in body weight:

O: 37% vs A: 14%; (p<.001)

Inpatients Mean Change in Body Weight from Baseline:

O: +4.23 kg (9.40lb) vs A: -1.37 kg (3.04lb); (p<.001)

Funding: Otsuka America

Pharmaceuticals O: +79.4 mg/dL vs A: -

O: +79.4 mg/dL vs A: +6.5 mg/dL; (p<.05)
Mean Changes in Fasting HDL Cholestrol Levels:
O: -3.39 mg/dL vs A: +3.61 mg/dL; (p<.05)

Reduction in Symptoms of Schizophrenia:

Mean Changes in Fasting Triglyceride Levels:

"No clinically meaningful differences between the aripirazole and olanzapine groups."

#### Aripiprazole vs Risperidone

Potkin, 2003b PANSS score: P-value=drug vs placebo

RCT, DB, placebo-controlled, Total: A20: -14.5 (p=.001) vs A30: -13.9 (p=.003) vs R6: -15.7 (p<.001) vs placebo: -5.0

parallel, multicenter BPRS score: A20: -3.5 (p=.004) vs A30: -3.3 (p=.01) vs R6: -3.9 (p<.001) vs placebo: -1.7

CGI-score: A20: -0.2 (p=.03) vs A30: -0.6 (p=.006) vs R6: -0.7 (p<.001) vs placebo: -0.2

Inpatients

Body weight:

Funding: Bristol-Myers Squibb Mean increase in body weight from baseline to endpoint:

A20: 1.2 kg vs A30: 0.8 kg vs R6: 1.5 kg vs placebo: -0.3 kg

Serum Prolactin Levels:

Mean changes in serum prolactin levels from baseline to endpoint:

A20: -6.6 ng/mL vs A30: -6.4 ng/mL vs R6: 47.9 ng/mL vs placebo: 0.1 ng/mL

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Author, year Study design		
Quality	Method of adverse effects assessment	Adverse effects reported
Aripiprazole vs olanzapine		
Cornblatt, 2002 Abstract & Poster Only FDA Study 98213 RCT, multicenter, open label FAIR	Weight and serum cholesterol	Endpoint weight change (LOCF): aripiprazole -0.8 kg, olanzapine 3.5 kg (based on graphical representation), p< 0.01 Change in Serum cholesterol at 26 weeks (not clear if LOCF): aripiprazole -12 mg/dL, olanzapine 8 mg/dL, p<0.001 Spontaneously reported adverse events: based on bar graph: higher rates of insomnia, nausea, anxiety, agitation, and akathisia with aripiprazole higher rates of somnolence, headache and weight gain with olanzapine
McQuade, 2004 Multicenter, RCT, DB	Patient self-report	Headache: O: 32% vs A: 23% Insomnia: O: 30% vs A: 32% Anxiety: O: 25% vs A: 20%
Inpatients		Somnolence: O: 23% vs A: 8%
Funding: Otsuka America Pharmaceuticals		
Aripiprazole vs Risperidone		
Potkin, 2003b RCT, DB, placebo-controlled, parallel, multicenter	Medical examination, patient self-report	Whole body: A20: 58% vs A30: 61% vs R6:53% vs placebo: 59% Cardiovascular system: A20: 1% vs A30: 7% vs R6: 15% vs placebo: 1% Digestive System: A20: 65% vs A30: 52% vs R6: 66% vs placebo: 53% Musculoskeletal System: A20: 6% vs A30: 6% vs R6: 7% vs placebo: 5%
Inpatients		Respiratory System: A20: 9% vs A30: 17% vs R6: 22% vs placebo: 8% Skin and appendages: A20: 7% vs A30: 11% vs R6: 8% vs placebo: 7%
Funding: Bristol-Myers Squibb		Blurred vision: A20: 3% vs A30: 5% vs R6: 8% vs placebo: 1% Urogenital System: A20: 1% vs A30: 4% vs R6: 1% vs placebo: 3%

Author, year		Total withdrawals;		
Study design		withdrawals		
Quality	EPS	due to adverse events	Comments	
Aripiprazole vs				
olanzapine				
Cornblatt, 2002 Abstract & Poster Only FDA Study 98213 RCT, multicenter, open label	NR	NR/NR/NR		
FAIR				
McQuade, 2004	EPS-Related Adverse Events:	229 withdrawals; Approx. 30	%	
Multicenter, RCT, DB	Low: O: 16% vs A: 17% Parkinsonism events: O: 12% vs A: 11%	due to adverse events		
Inpatients	Akathsia: O: 3% vs A: 6%			
Funding: Otsuka America Pharmaceuticals				

#### Aripiprazole vs Risperidone

Potkin, 2003b RCT, DB, placebo-controlled, parallel, multicenter	Incidence of EPS-related adverse events: A20: 32 vs A30: 31% vs R6: 31% vs placebo: 20%	162; 44
Inpatients	Mean change in Simpson-Angus Scale scores from baseline to endpoint:	
	A20: -0.16 vs A30: -0.09 vs R6: -0.18 vs placebo: -0.29	
Funding: Bristol-Myers Squibb		
	Mean change in Barnes Akathisia Rating Scale Global Scores from baseline to endpoint:	
	A20: 0.15 vs A30: 0.18 vs R6: 0.14 vs placebo: 0.11	
	7.20.00 10 7.00.00 10 10 10 10 placebox 0111	
	Mean change in Abnormal Involuntary Movement Scale scores from baseline to endpoint:	
	A20: -0.27 vs A30: -0.5 vs R6: -0.6 (p=.03 against placebo) vs	

placebo: 0.1

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Final Report Update 1

#### Drug Effectiveness Review Project

#### Evidence Table 1. Head-to-head trials in patients with schizophrenia

	Interventions	
Eligibility criteria	(drug, dose, duration)	Wash-out period
Hospitalized patients 18-65 yrs, with	180	2-9 days
schizophrenia; minimum BPRS score (items 1-	18 weeks	
7) of 42, and have failed to respond to standard		
treatment with typical antipsychotics (at least 1		
trial of 4-6 wks, 400-600mg chlorpromazine or		
equivalents) due to insufficient effectiveness or		
intolerable side effects		
	Hospitalized patients 18-65 yrs, with schizophrenia; minimum BPRS score (items 1-7) of 42, and have failed to respond to standard treatment with typical antipsychotics (at least 1 trial of 4-6 wks, 400-600mg chlorpromazine or equivalents) due to insufficient effectiveness or	Hospitalized patients 18-65 yrs, with schizophrenia; minimum BPRS score (items 1-7) of 42, and have failed to respond to standard treatment with typical antipsychotics (at least 1 trial of 4-6 wks, 400-600mg chlorpromazine or equivalents) due to insufficient effectiveness or

Funding: Eli Lilly

Author, year			Age
Study design		Method of outcome assessment	Gender
Quality	Allowed other medications	timing of assessment	Ethnicity
Bitter, 2004	Episodic use of benzodiazepines not	PANSS	Mean age 38
Bitter, 1999 (Abstract Only)	allowed, stable doses of chronically	CGI	48% white
RCT	used benzodiazepines allowed with	19 visits over 20 weeks	60% male
Multi-center, Hungary & South	max doses, anticholingergic meds to		
Africa	treat new or worsening EPS allowed	Kane criteria for Response:	
	but all other uses not allowed	BPRS(1-7) improvement >20% +CGI-S <3 or BPRS(1-7) final	
GOOD		score <35	
		Other assessments of Response:	
Funding: Eli Lilly		PANSS total score:	
		>/= 20%, 30%, 40% or 50%	

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design		Number Screened/	Withdrawn/
Quality	Other population characteristics	Eligible/ Enrolled	Lost to fu/ Analyzed
Bitter, 2004 Bitter, 1999 (Abstract Only) RCT Multi-center, Hungary & South Africa	Not reported, stated to have NS differences	189/150/147	7/NR/140 for efficacy assessments 62/NR/147 for safety assessments

GOOD

Funding: Eli Lilly

#### Author, year Study design

Quality	Results
Bitter, 2004	Change in PANSS total:
Bitter, 1999 (Abstract Only)	clozapine -37.9
RCT	olanzapine -37.7 (NS)
Multi-center, Hungary & South	Change in PANSS positive
Africa	clozapine -11.8
	olanzapine -11.7 (NS)
GOOD	Change in PANSS negative
	clozapine -7.7
Funding: Eli Lilly	olanzapine -7.6 (NS)
	Change in CGI-S
	clozapine -1.5
	olanzapine -1.4 (NS)
	Kane criteria:
	clozapine 60.8%
	olanzapine 57.9% (NS)
	PANSS criteria for Response: NS differences between groups
	Discontinue study due to lack of efficacy:
	clozapine 4.2%
	olanzapine 5.3%

Author, year	
Study design	

Quality	Method of adverse effects assessment	Adverse effects reported
Bitter, 2004	EPS measured by: SAS, AIMS, and HAS	
Bitter, 1999 (Abstract Only)	scales	Weight gain:
RCT	Adverse events reported by patients	9.5%, 9.2%, p=0.958
Multi-center, Hungary & South	categorized by COSTART dictionary	Mean change in weight: NS
Africa	Lab tests, weight, ECG also monitored	Somnolence:
		14.9%, 2.6%, p=0.008
GOOD		Dizziness:
		8.1%, 1.3%, p=0.049
Funding: Eli Lilly		Hypersalivation:
		6.8%, 1.3%, p=0.089
		Postural hypotension:
		5.4%, 1.3%, p=0.163
		Back Pain
		0.0%, 5.3%, p=0.045
		NS difference on CBC parameters
		EPS:
		Baseline to Endpoint on SAS, AIMS, or HAS: NS difference
		Treatment emergent akathisia (HAS >/= 3) or dyskinesia: NS Difference
		Treatment emergent parkinsonism: not reported in either group

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year		Total withdrawals;	
Study design		withdrawals	
Quality	EPS	due to adverse events	Comments
Bitter, 2004	EPS:	Overall: 85 (58%)	Refractoriness includes intolerance, does
Bitter, 1999 (Abstract Only)	Baseline to Endpoint on SAS, AIMS, or HAS: NS difference	Due to adverse events:	not use Kane criteria.
RCT	Treatment emergent akathisia (HAS >/= 3) or dyskinesia: NS	clozapine 7	
Multi-center, Hungary & South	Difference	olanzapine 7	
Africa	Treatment emergent parkinsonism: not reported in either group	)	

GOOD

Funding: Eli Lilly

#### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
Conley, 2003 Kelly 2003 DB. Cross-over	Schizophrenia	olanzapine: 50 mg/d, and clozapine: 450 mg/day, each for 8 weeks	1 week washout of conventional antipsychotics
Inpatients			
Funding: NIHM grant			

#### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year			Age
Study design		Method of outcome assessment	Gender
Quality	Allowed other medications	timing of assessment	Ethnicity
Conley, 2003	NR	Weekly rating of Brief Psychiatric Rating Scale (BPRS), and	Mean age: 38 years
Kelly 2003		Clinical Global Impression Severity Scale (CGI-S)	
DB. Cross-over			

Inpatients

Funding: NIHM grant

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year			
Study design		Number Screened/	Withdrawn/
Quality	Other population characteristics	Eligible/ Enrolled	Lost to fu/ Analyzed
Conley, 2003	100% inpatients	NR/NR/13	NR/NR/13

Kelly 2003 DB. Cross-over

Inpatients

Funding: NIHM grant

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## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year	
Study design	

Quality	Results
Conley, 2003	Change scores from baseline:
Kelly 2003	clozapine vs olanzapine:
DB. Cross-over	Total BPRS: C: -6.5 vs O: -1.0
	Positive: C: -1.7 vs O: -0.5
Inpatients	Negative: C: +0.5 vs O: +1.3
	Activation: C: -1.7 vs O: -0.6
Funding: NIHM grant	Anxiety/depression: C: -2.5 vs O: -1.6
	Hostility: C: -1.1 vs O: -0.1
	CGI-S: C: -0.3 vs O: +0.1
	Laboratory Values:
	Baseline fasting blood glucose (mg/dL): O: 94.6 + 14.4; C: 92.8 +10.2
	Change in fasting blood glucose (mg/dL): O: 3.4 + 27.8; C: 10.8 + 2.9
	Baseline total cholestrol (mg/dL): O: 198.0 + 44.0; C: 209.6 + 28.6
	Change in total cholestrol (mg/dL): O: 4.3 + 35.6; C: 37.6 + 41.2
	Baseline serum triglycerides (mg/dL): O: 141.4 + 40.4; C: 181.0 + 146.2
	Change in serum triglycerides (mg/dL): O: 6.6 + 33.1; C: 162.8 + 258.1
	Baseline alanine aminotransferase (ALT) (IU/L): O: 42.4 + 49.8; C: 22.0 + 13.5
	Change in alanine aminotransferase (ALT) (IU/L): O: -12.3 + 28.2; C: 14.6 + 20.0
	Baseline aspartate aminotranferase (AST) (IU/L): O: 23.7 + 15.9; C: 18.0 + 5.1
	Change in aspartate aminotranferase (AST) (IU/L): O: -3.6 + 7.0; C: 10.4 + 11.5
	Baseline lactate dehydrogenase (LDH) (IU/L): O: 153.4 + 45.5; C: 128.6 + 6.7
	Change in lactate dehydrogenase (LDH) (IU/L): O: -1.6 + 41.3; C: 88.2 + 125.5

Autho	r, year	
Study	design	ì

Method of adverse effects assessment	Adverse effects reported	
Patient self-report	Dry mouth: O: 8(80%), C: 2(20%)	<u>.</u>
	Blurry vision: O: 4(40%), C: 0	
	Urinary hesitancy: O: 0, C: 1(10%)	
	Constipation: O: 6(60%), C:1(10%)0	
	Tachcardia: O: 2(20%), C: 0	
	Diarrhea: O: 3(30%), C: 0	
	Nausea: O: 9(90%), C: 6(60%)	
	Dyspepsia: O: 3(30%), C: 7(70%)	
	Headache: O: 6(60%), C: 4(40%)	
	Somnolence: O: 10(100%), C:10(10%)	
	Lethargy: O: 6(60%), C: 9(90%)	
	Myoclonus: O: 1(10%), C: 3(30%)	
	Stuttering: O: 0, C: 2(20%)	
	Sialorrhea: O: 1(10%), C: 8(80%)	
	Sweating: O: 1(10%), C: 5(50%)	
	Urinary frequency: O: 1(10%), C: 4(40%)	
	Dysphagia: O: 0, C: 2(20%)	
	Orthostasis: O: 3(30%), C: 1(10%)	
	Dizziness: O: 6(60%), C: 6(60%)	
	Increased appetite: O: 4(40%), C: 5(50%)	
		Patient self-report  Dry mouth: O: 8(80%), C: 2(20%) Blurry vision: O: 4(40%), C: 0 Urinary hesitancy: O: 0, C: 1(10%) Constipation: O: 6(60%), C:1(10%)0 Tachcardia: O: 2(20%), C: 0 Diarrhea: O: 3(30%), C: 0 Nausea: O: 9(90%), C: 6(60%) Dyspepsia: O: 3(30%), C: 7(70%) Headache: O: 6(60%), C: 4(40%) Somnolence: O: 10(100%), C:10(10%) Lethargy: O: 6(60%), C: 9(90%) Myoclonus: O: 1(10%), C: 3(30%) Stuttering: O: 0, C: 2(20%) Sialorrhea: O: 1(10%), C: 5(50%) Urinary frequency: O: 1(10%), C: 4(40%) Dysphagia: O: 0, C: 2(20%) Orthostasis: O: 3(30%), C: 1(10%) Dizziness: O: 6(60%), C: 1(10%) Dizziness: O: 6(60%), C: 1(10%)

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## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year		Total withdrawals;	
Study design		withdrawals	
Quality	EPS	due to adverse events	Comments
Conley, 2003	SAS scores	6 withdrawals/ 1 withdrawal	
Kelly 2003	decreased by 1.3 clozapine	due to adverse events	
DB. Cross-over	increased 0.3 olanzapine		
	Akathisia		
Inpatients	20% clozapine		
	20% olanzapine		
Funding: NIHM grant	1 subject received benztropine while on olanzapine		

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#### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year			
Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
InterSePT; Meltzer, 2003 Meltzer, 2002ab (Abstract Only), Potkin, 2003a Meltzer, 1996 RCT - open label, masked ratings Multi-site - 67 sites, 11 countries (US, Europe, South	Patients with schizophrenia, or schizoaffective disorder considered to be at high risk for committing suicide by meeting at least one of the following criteria: 1) a history of previous attempts or hospitalizations to prevent a suicide attempt in the 3 years before enrollment, 2) moderate to severe current suicidal ideations with depressive symptoms, or 3) command hallucinations for self-harm within 1 week of enrollment.	Clozapine or olanzapine Dose determined by treating clinician Duration: 2 years	none
Africa, South America)			
GOOD			

Funding: Pfizer, Inc

Author, year Study design Quality	Allowed other medications	Method of outcome assessment timing of assessment	Age Gender Ethnicity
InterSePT; Meltzer, 2003 Meltzer, 2002ab (Abstract Only), Potkin, 2003a Meltzer, 1996 RCT - open label, masked ratings Multi-site - 67 sites, 11 countries (US, Europe, South Africa, South America) GOOD Funding: Pfizer, Inc	Any required to treat patient and reduce risk of suicide Both groups seen weekly/biweekly - clozapine group for blood montoring, olanzapine for vital sign monitoring	Type 1: a significant suicide attempt (successful or not), hospitalization to prevent suicide. These outcomes were assessed by a masked, 3-person Suicide Monitoring Board (SMB)  Type 2: Ratings from masked psychiatrist (on-site) on the CGI-Suicide Severity or "much worse" or "very much worse" from baseline. Occurance of a Type 1 event was also considered having met criteria for a Type 2 event.(assessed at 4-8 wk intervals)  Other: time to suicide attempt (SMB validated), time to hospitalization to prevent suicide (SMB validated), number of: suicide attempts, hospitalizations to prevent suicide, and interventions to prevent suicide (non-SMB validated)  Blinded psychiatrists assessed: PANSS, ISST, CDS and Covi-Anxiety scales Unblinded psychiatrists assessed: SOF, ESRS	Mean age 37.1 yrs % male: 61.4% Ethnicity: 71% White 15% Black 1.3% Oriental 13% Other

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year			
Study design		Number Screened/	Withdrawn/
Quality	Other population characteristics	Eligible/ Enrolled	Lost to fu/ Analyzed
InterSePT;	62% Schizophrenic	1065 screened	24 (2.4%) never
Meltzer, 2003	38% Schizoaffective	980 eligible and	received drug
Meltzer, 2002ab (Abstract	Mean # suicide attempts: 3.4	enrolled (490 per	380 (39%) withdrew
Only),	83% had attempted suicide at least once	group)	early:
Potkin, 2003a	63% had attempted suicide in last 36 mths		10% withdrew consent
Meltzer, 1996	84% had been hospitalized to prevent		8% due to AE's
RCT - open label, masked	suicide attempt		7% lost to follow-up
ratings	27% Treatment resistant		980 analyzed
Multi-site - 67 sites, 11	NS difference at baseline on PANSS, CGI-		
countries (US, Europe, South	SS, ISST, CDS, and Covi-Anxiety scales		ITT analysis includes
Africa, South America)			any data obtainable on
			patients who left the
GOOD			study, method of
Frankis av Diiman Ing			analyzing data for those
Funding: Pfizer, Inc			whose data were not
			obtainable was not
			reported

# Author, year Study design

Quality	Results
InterSePT;	Type 1 events (C vs O)
Meltzer, 2003	HR 0.76 (95% CI 0.58 to 0.97)
Meltzer, 2002ab (Abstract	Cox-proportional hazard model (including treatment, # prior suicide attempts, active substance or alcohol abuse, country, sex
Only),	and age group as variables): HR 0.74 (95% CI 0.57 to 0.96)
Potkin, 2003a	Clozapine also superior on individual measures (significant suicide attempts, hospitalizations to prevent suicide)
Meltzer, 1996	Kaplan-Meier estimates indicate SS reduction in 2-year event rate in clozapine group (p=0.02, NNT = 12)
RCT - open label, masked	Type 2 events: (C vs O)
ratings	HR 0.78 (95% CI 0.61 to 0.99)
Multi-site - 67 sites, 11	Other outcomes:
countries (US, Europe, South	Drop-outs due to unsatisfactory antisuicidal effect: 1% vs 0% (p - 0.03) (as determined by treating physician)
Africa, South America)	olanzapine: SS higher rates of antidepressants and anxiolytics used
	olanzapine: SS higher rates of rescue interventions to prevent suicide
GOOD	Suicide deaths: NS (5 clozapine, 3 olanzapine)
	Predictive Factors:
Funding: Pfizer, Inc	Risk of suicide: clozapine SS < olanzapine in:
	Schizophrenic patients, No hospitalizations to prevent suicide w/in 36 mths, 2-3 lifetime suicide attempts,
	no hx alcohol abuse, smokers, high ISST, Cov-Anxiety Scale and CDI scale scores

#### Author, year Study design

Quality	Method of adverse effects assessment	Adverse effects reported
InterSePT;	NR	Overall number NR, but stated NS difference
Meltzer, 2003		Rate of serious AE NR, but stated NS difference
Meltzer, 2002ab (Abstract		Most frequent Aes:
Only),		clozapine: hypersalivation, somnolence, weight gain, and dizziness
Potkin, 2003a		olanzapine: weight gain, somnolence, dry mouth, and dizziness
Meltzer, 1996		clozapine vs olanzapine:
RCT - open label, masked		Somnolence 45.9% vs 24.7% (p<0.001)
ratings		Weight Gain: 31.3% vs 55.6% (p<0.001)
Multi-site - 67 sites, 11		Dizziness: 26.9% vs 12.4% (p<0.001)
countries (US, Europe, South		<b>,</b>
Africa, South America)		Other AEs with SS difference:
,		clozapine causes SS lower rate:
GOOD		insomnia, akathisia, muscle rigidity, dry mouth
		olanzapine causes SS lower rate:
Funding: Pfizer, Inc		convulsions, postural hypotensin, syncope, dysarthria, consitpation, hypersalivation, dyspepsia,
<b>3</b>		nausea, vomiting, urinary incontinence, weakness, WBC count decreased (5.8% vs 0.8%)
		Other outcomes clozapine SS lower rate than olanzapine:
		Suicidal ideation, suicide attempts, laceration, depression, mood alteration, mood disorder, drug abuse, alcoholism. All of these were also considered under efficacy analysis. The comparisons
		here are based only on patients who received drug.

#### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year		Total withdrawals;	
Study design		withdrawals	
Quality	EPS	due to adverse events	Comments
InterSePT;	NR	379 total	Study powered to assess all significant
Meltzer, 2003		Due to AE: 8.4% clozapine,	suicide attempts
Meltzer, 2002ab (Abstract		6.7% olanzapine	(successful/nonsuccessful)
Only),			
Potkin, 2003a		When add in w/d due to	Drug and alcohol abuse found to be a
Meltzer, 1996		abnormal labs or lab test	significant predictor of suicide attempt,
RCT - open label, masked		procedure result: 9%	and SS > drug abuse in the olanzapine
ratings		clozapine, 6.7% olanzapine	group reported as AE. Baseline
Multi-site - 67 sites, 11		(NS)	prevalence of use not reported.
countries (US, Europe, South	1		
Africa, South America)			Mean doses seem non-comparable; mean
			dose clozapine = 274mg (+/- 155 SD),
GOOD			mean dose olanzapine = 16.6mg (+/-
			6.4mg SD)
Funding: Pfizer, Inc			

Author, year

Study design Interventions

QualityEligibility criteria(drug, dose, duration)Wash-out periodGlick 2004see abovenone

Subanalysis of InterSePT showing patterns of concomitant psychotropic medication (CPM) use

Funding: Novartis

Pharmaceuticals Corporation

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Author, year			Age
Study design		Method of outcome assessment	Gender
Quality	Allowed other medications	timing of assessment	Ethnicity
Glick 2004	Any required to treat patient and	for CPMs, all relevant medications were recorded in case report	see above
	reduce risk of suicide.	forms and included in the clinical trial databse. CPMs used	
Subanalysis of InterSePT	See results section for numbers of	after study drug randomization were identified and grouped into	
showing patterns of	patients taking CPMs	the following 4 classes: antipsychotics, antidepressants,	
concomitant psychotropic		sedatives/anxiolytics, and mood stabilizers. Once a CPM was	
medication (CPM) use		assigned to a psychotropic class, all cases of use for that	
		medication were included in the analysis.	
Funding: Novartis		Stimulants, antidementia drugs, and analgesics were not	
Pharmaceuticals Corporation		considered for this analysis, as these are used for	
		nonpsychiatric indications or for indications outside the scope of	
		InterSePT (eg, ADHD). Beta-blockers were excluded from the	
		analysis except for propanolol.	

Author, year			
Study design		Number Screened/	Withdrawn/
Quality	Other population characteristics	Eligible/ Enrolled	Lost to fu/ Analyzed
Glick 2004	see above	see above	NR/NR/NR

Subanalysis of InterSePT showing patterns of concomitant psychotropic medication (CPM) use

Funding: Novartis

Pharmaceuticals Corporation

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Results
Patients who received at least 1 Concomitant Psychotropic Medication (CPM) / study duration: Clozapine: 92.4% vs olanzapine: 91.8%
Mean number of CPM/patient: 3.8 (SD: 2.9) for clozapine vs 4.22 (SD: 3.16) for olanzapine
Patients receiving CPM and least squares mean (LSM) daily dose, clozapine vs olanzapine:
Antipsychotics: clozapine 85.6% vs olzanzapine 81.7%, p = NR
LSM daily dose:2.1mg (SD: 0.33 mg) vs 3.8mg (SD: 0.34mg), p<0.001
Antidepressants: clozapine 50.3% vs olanzapine 56.6%, p= NR
LSM daily dose:16.7mg (SD: 1.05mg) vs 20.7mg (0.97mg), p<0.01
Sedative/anxiolytics: clozapine 59.3% vs olanzapine 66.0%, p = NR
LSM daily dose:6.3mg (SD: 0.64mg) vs 10.1mg (0.61mg), p<0.001
Mood stabilizers: clozapine 25.0% vs olanzapine 30.2%, p = NR
LSM daily dose: 487.3mg (SD: 43.2mg) vs 620.6mg (SD: 39.9mg), p<0.05
Daily dose of CPM in suicide attempers (ATs) and nonattempters (NATs):
(Numbers of patients per group: ATs C=102, O=141; NATs: C=388, O=349 patients)
Antipsychotics: for ATs: C: 2.7 vs O: 4.8, p=0.15; and for NATs: C: 2.1 vs O:3.8, p=0.001
Antidepressants: for ATs: C:20.7 vs O: 23.8, p=0.20; and for NATs: C: 15.6 vs O:19.3, p<0.01
Sedatives/anxiolytics: for ATs: C:8.9 vs O: 12.1, p<0.05; and for NATs: C: 5.7 vs O:9.6 p<0.001
Mood stabilizers: for ATs: C: 535.7 vs O; 656.2, p=0.26; and for NATs: C: 503.9 vs 624.9, p<0.05

Author, year	
Study design	1

Study design		
Quality	Method of adverse effects assessment	Adverse effects reported
Glick 2004	NR in this paper, for general InterSePT, see above	NR in this paper, for general InterSePT, see above
Subanalysis of InterSePT showing patterns of concomitant psychotropic medication (CPM) use		
Funding: Novartis Pharmaceuticals Corporation		

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year		Total withdrawals;	
Study design		withdrawals	
Quality	EPS	due to adverse events	Comments
Glick 2004	NR in this paper, for general InterSePT, see above	NR in this paper, for general InterSePT, see above	_
Subanalysis of InterSePT showing patterns of concomitant psychotropic medication (CPM) use			
Funding: Novartis Pharmaceuticals Corporation			

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## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year			
Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
Tollefson, 2001*	Schizophrenia	olanzapine 15 mg/d,after first 2 weeks	2-9 days
Beasley, 1999 (abstract)	Diagnosis: DSM-IV	15–25 mg/d	
Beuzen, 1998 (abstract)		mean 21 mg	
		clozapine fixed dose escalation from 25	to
Funding: Eli Lilly		200 mg/d during days 1-8 of therapy;	
		after first 2 weeks, 200-600 mg/d	
		mean 303 mg	
		Duration: 18 weeks	

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year			Age	
Study design		Method of outcome assessment	Gender	
Quality	Allowed other medications	timing of assessment	Ethnicity	
Tollefson, 2001*	benzodiazepine (up to 40 mg daily	PANSS Total (positive; negative subscale)	Mean age (SD): 38.6	
Beasley, 1999 (abstract)	diazepam equivalent or 8 mg	CGI-S; BPRS total	(10.6) years	
Beuzen, 1998 (abstract)	lorazepam equivalent) for agitation,	BPRS+ CGI-S;PANSS total score (≥20%;≥30%;≥40%;≥50%	63.9% male	
	choral hydrate for insomnia, and	improvement;no improvement)	Ethnicity NR	
Funding: Eli Lilly	biperiden or benztropine mesylate (up	EPS rating scales: SAS total; AIMS non-global total; BAS global		
	to 4 mg daily) for EPS permitted	score		

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design		Number Screened/	Withdrawn/
Quality	Other population characteristics	Eligible/ Enrolled	Lost to fu/ Analyzed
Tollefson, 2001*	Schizophrenia subtypes: catatonic 3/180;	NR/NR/180	olanzapine
Beasley, 1999 (abstract)	disorganized 34/180; paranoid 101/180;	olanzapine: 90	36/2/90
Beuzen, 1998 (abstract)	undifferentiated 34/180; residual 8/180	clozapine: 90	clozapine
	Schizophrenia course: residual symptoms		37/2/90
Funding: Eli Lilly	81/180; no residual symptoms 3/180;		
	continuous 92/180; in partial remission		
	2/180; other pattern 2/180		

#### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Au	tho	r, y	yea	r
Stu	ıdy	de	sig	n

Study design			
Quality	Results		
Tollefson, 2001*	PANSS total (positive; negative subscales). Final equals change from baseline:		
Beasley, 1999 (abstract)	Olanzapine: (n= 89) -25.6,25.5(-6.8,7.6;-7.1,7.4)		
Beuzen, 1998 (abstract)	Clozapine: (n= 87) -22.1,23.1,p= 0.888 (-6.4,7.2;-5.6,6.9)		
Funding: Eli Lilly	CGI-S;BPRS total. Final equals change from baseline:		
	Olanzapine: (n= 89) -1.1,1.2;-15.2,15.3		
	Clozapine: (n= 87) -0.9,1.1;-14.0,13.3		
	BPRS+ CGI-S; PANSS total score (≥20%;≥30%;≥40%;≥50% improvement;no improvement):		
	Olanzapine: (n= 89) 34/89;53/89;41/89;24/89;9/89;11/89		
	Clozapine: (n= 87) 30/87:47/87:28/87:14/87:9/87:14/87		

#### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year

Study design		
Quality	Method of adverse effects assessment	Adverse effects reported
Tollefson, 2001*	AMDP-5 solicited adverse events scale	Olanzapine: somnolence 12/90; agitation 10/90; headache 10/90; insomnia 7/90; constipation
Beasley, 1999 (abstract)		6/90; weight gain 6/90; anxiety 5/90; rhinitis 5/90; dry mouth 4/90 (p = 0.043); vomiting 4/90;
Beuzen, 1998 (abstract)		influenza syndrome 3/90; asthenia 2/90; increased salivation 2/90, sweating 2/90; dizziness 1/90;
		fever 1/90; leucopenia 1/90; nausea 1/90
Funding: Eli Lilly		Clozapine: somnolence 22/90; agitation 4/90; headache 5/90; insomnia 3/90; constipation 17/90
		(p = 0.014); weight gain 6/90; anxiety 5/90; rhinitis 3/90; vomiting 5/90; influenza syndrome 5/90;
		asthenia 6/90; increased salivation 26/90 (p < 0.001); sweating 5/90; dizziness 8/90 (p = 0.017);
		fever 5/90; leucopenia 5/90; nausea 10/90 (p = 0.005); tooth disorder 4/90 (p = 0.043)
		AMDP-5 solicited adverse events scale (statistically significant):
		Olanzapine: drowsiness 23/89; hypersalivation 13/89; dry mouth 24/89 (p = 0.019) dizziness 6/89;

increased perspiration 8/89; hypotonia 2/89; tardive dyskinesia 5/89 (p = 0.026);

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Clozapine: drowsiness 41/86 (p = 0.003) hypersalivation 54/86 (p < 0.001); dry mouth 11/86; dizziness 26/86 (p = 0.001); increased perspiration 19/89 (p = 0.016); hypotonia 9/86 (p = 0.025);  $t\epsilon$ 

Mean weight change (SD): olanzapine 1.8 (5.0) kg; clozapine 2.3 (4.9) kg - no significant difference

Mean decrease in orthostatic blood pressure (SD):

olanzapine 0.5 (14.5) mmHg; 3.7 (18.1) mmHg - no significant difference

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## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year		Total withdrawals;	
Study design		withdrawals	
Quality	EPS	due to adverse events	Comments
Tollefson, 2001*	EPS rating scales: SAS	olanzapine 36/90 (40%)	General comments: Using 'absolute'
Beasley, 1999 (abstract)	total; AIMS non-global total; BAS	Due to AE 4 (4.4%)	observed group mean changes from
Beuzen, 1998 (abstract)	global score. Final equals change	clozapine 37/90 (41%)	baseline, difference in means was 3.5
	from baseline	Due to AE 13 (14.4%)	units in favour of olanzapine, and one-
Funding: Eli Lilly	Intervention: $(n = 88) -3.2, 4.8;$		sided lower 95% confidence limit, -2.2,
	-0.8, 2.2; -0.3, 0.9		indicating no clinical difference between
	Control: $(n = 84) - 1.4, 3.3$		treatments. Using 'adjusted' group mean
	(p = 0.006); -0.7, 2.5; -0.4, 1.0		changes from baseline, difference in
			means was 3.8 units in favour of
			olanzapine and one-sided lower 95%
			confidence limit,-1.9. Post-hoc ANCOVA:
			adjusted endpoint least squares means,
			80.3 olanzapine;83.4 clozapine,with one-
			sided CI of –3.7

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year			
Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
Clozapine vs			
risperidone			
Azorin, 2001	Diagnosis: schizophrenia (DSM-IV), Treatment-	clozapine 200-	Single-blind placebo
Double-blind, Multicenter	resistant: severe, chronic disease and poor	900 mg/day	period of at least 3 days
(France and Canada)	response to previous neuroleptic drugs (no	Mean dose 597.5 mg/day;	
	period of good functioning for ≥ 24 months	risperidone 2–15mg/day	
FAIR	despite use of two antipsychotic drugs; current	Mean dose 8.3 mg/day	
	episode without significant improvement for ≥ 6	individual dose titration	
Funding: Novartis	months despite use of antipsychotic equivalent	Duration: 12 weeks	
Pharmaceuticals Corporation	to haloperidol, 20 mg, for ≥ 6 weeks; total		
	BPRS ≥ 45: CGI ≥ 4)		

Author, year			Age
Study design		Method of outcome assessment	Gender
Quality	Allowed other medications	timing of assessment	Ethnicity
Clozapine vs			
risperidone			
Azorin, 2001	NR	Leaving study early, relapse	Mean age 37.8 years
Double-blind, Multicenter		BPRS	71% male
(France and Canada)		CGI-S	Ethnicity NR
		PANSS total	
FAIR		PANSS positive	
		PANSS negative	
Funding: Novartis		PANSS general psychopathology	
Pharmaceuticals Corporation		Calgary Depression Scale	
		Psychotic Anxiety Scale	
		Psychotic Depression Scale	

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## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design Quality	Other population characteristics	Number Screened/ Eligible/ Enrolled	Withdrawn/ Lost to fu/ Analyzed
Clozapine vs risperidone	Other population characteristics	Engible/ Enrolled	Lost to ful Allaly2ed
Azorin, 2001 Double-blind, Multicenter (France and Canada)	Mean PANSS score: 111 Mean BPRS score: 62 Mean CGI-S score: 5.5	NR/NR/273 olanzapine = 138 risperidone = 135	72/3/256

FAIR

Funding: Novartis Pharmaceuticals Corporation

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# Evidence Table 1. Head-to-head trials in patients with schizophrenia

Autho	r, year
Study	design

Study design	
Quality	Results
Clozapine vs	
risperidone	
Azorin, 2001	Mean change from Baseline to 12 weeks (ITT)
Double-blind, Multicenter	clozapine/risperidone:
(France and Canada)	BPRS: -23.3/-17.7 (ANCOVA p = 0.006)
	CGI-S: $-1.8/-1.4$ (p = 0.008)
FAIR	PANSS total:-37.5/-29.9 (p = 0.02)
	PANSS positive: -10.4/-8.3 (p = 0.02)
Funding: Novartis	PANSS negative: -8.8/-7.1 (p = 0.06)
Pharmaceuticals Corporation	PANSS general psychopathology: -18.3/-14.1 (p = 0.008)
	Calgary Depression Scale: -3.2/-2.3 (p = 0.10)
	Psychotic Anxiety Scale:18.5/-13.5 (p = 0.02)
	Psychotic Depression Scale: -24.8/-20.2 (p = 0.15)
	Responders (Kane criteria): 48.4%/43.1% (p<0.38)
	Improvement in BPRS of 20%, 30%, 40%: SS C>R, 50% NS

#### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year		
Study design		
Quality	Method of adverse effects assessment	Adverse effects reported
Clozapine vs		
risperidone		
Azorin, 2001	Blood counts weekly, vital signed daily x	Adverse Effects Reported:
Double-blind, Multicenter	11 days, then periodically.	clozapine 78.7%
(France and Canada)	EPS rated by ESRS every 2 weeks	risperidone 82.8% (p=0.44)
	Adverse events recorded.	AEs SS more frequent:

risperidone: EPS, insomnia, dry mouth

clozapine: convulsions, dizziness, sialorrhea, tachycardia, somnolence

Funding: Novartis

**FAIR** 

Pharmaceuticals Corporation

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## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design		Total withdrawals; withdrawals	
Quality	EPS	due to adverse events	Comments
Clozapine vs risperidone			
Azorin, 2001 Double-blind, Multicenter (France and Canada)	AEs SS more frequent: clozapine: convulsions, dizziness, sialorrhea, tachycardia, somnolence risperidone: EPS, insomnia, dry mouth	Overall 72 (26%) Due to adverse events: 28 (10%) clozapine: 11.6%, risperidone	BPRS score extracted from PANSS score
FAIR		10.3%	

Funding: Novartis Pharmaceuticals Corporation

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Author, year			
Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
Bellack, 2004	Patients with schizophrenia or schizoaffective	clozapine: 500mg/day; max 800 mg/day	None
Double-blind trial	disorder, including those with adjunctive	after 5 weeks	
Substudy within larger trial	medications or history of poor compliance and		
	substance abuse; at least two previous trials of		
POOR	a conventional antipsychotic at doses	after 5 weeks	
Funding, NIMLI grant	equivalent to 600 (1st trial) and 250-500 (2nd	Duration, 20 weeks	
Funding: NIMH grant	trial) mg/day chlorpromazine; and a rating of at least moderate on BPRS or SANS subscales	Duration. 29 weeks	
Bondolfi, 1998 Single-center Double-blind RCT	Chronic schizophrenia (DSM-II-R); Treatment- resistant: failed to respond or intolerant of ≥ 2 different classes of antipsychotic drugs in	clozapine: 150– 400 mg/day mean 291 mg/day;	3-7 days depending on psychotic symptoms
FAIR	appropriate doses for ≥ 4 weeks each; total PANSS 60–120	risperidone: 3– 12 mg/day mean 6.4 mg/day	
Inpatients			
		Duration: 8 weeks	
Funding: Janssen Research Foundation			

Funding: Janssen Research

Foundation

# Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design Quality  Bellack, 2004 Double-blind trial Substudy within larger trial  POOR  Funding: NIMH grant	Allowed other medications  Not specified	Method of outcome assessment timing of assessment  Maryland Assessment of Social Competence, Wisconsin Card Sorting Test, and SANS symptoms ratings tests, Proportion stopping early due to lack of efficacy. Administered at baseline, Week 17, and Week 29. Patient responses were videotaped for coding by blinded raters on verbal behavior	Age Gender Ethnicity  Not specified for full study population. Of 72 subjects assessed for social competence at baseline: mean age 41.4 years 73% male 58% Caucasian
Bondolfi, 1998 Single-center Double-blind RCT FAIR	lorazepam and oxazepam (sleep induction), biperiden and procyclidine (EPS),	Leaving study early Positive and Negative Syndrome Scale (PANSS) and Clinical Global Impression (CGI) taken at baseline and endpoint	Mean age: 37.2 years 70.9% Male Ethnicity NR
Inpatients	clothiapine (emergency treatment) as required		

Author, year			
Study design		Number Screened/	Withdrawn/
Quality	Other population characteristics	Eligible/ Enrolled	Lost to fu/ Analyzed
Bellack, 2004	Illness	NR/NR/107 enrolled	Total loss to f/u: 47%
Double-blind trial		Number per group NR	(MASC), 66% (WCST)
Substudy within larger trial			Loss of efficacy: 36%
			Subject withdrawal 32%
POOR			Adverse reactions 17%
			Number of withdrawals
Funding: NIMH grant			varied and crossover by
			test administered.

Bondolfi, 1998 NR/NR/86 Mean age at onset: 23 years 18/0/86 Mean age at first hospitalization: 26 years Single-center Double-blind **RCT** Mean # hospitalizations 6.1 clozapine: 43 risperidone: 43 Mean # months in hospital: 36.6 **FAIR** 100% inpatient Inpatients Schizophrenia type: paranoid: 58% Funding: Janssen Research disorganized: 27.9% Foundation undiffereniated: 8.1% residual: 5.8%

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#### Author, year Study design Quality

Results
Symptoms:
Change in CGI:
risperidone: -1.42 (95%CI -1.93 to -0.99);
clozapine: -1.48 (95%CI -2.11 to -0.99)
Withdrawal due to lack of efficacy:
38% of risperidone
15% of clozapine (SS different, p-value NR)
Social Skill and Problem Solving:
At week 29:
risperidone: SS decrease in perseverative errors
clozapine: SS decrease in verbal score
Change in Effect Size for verbal behavior:
risperidone: 0.33 (95%CI: 0.01to 0.79);
clozapine: -0.037 (95%CI -0.47 to 0.30).
clozapine vs risperidone (p value)
Proportion with 20% improvement:
67% vs 65% (p = 0.30)
Mean Change at 8 weeks (ITT) All NS
PANSS total: -23.2 vs -27.4
PANSS positive: -6.7 vs -8.3
PANSS negative: -6.1 vs -6.0
PANSS general psychopathology: -10.4 vs 12.2
Survival Analysis indicated risperidone patients responded faster than clozapine patients

Autho	r, year
Study	design

Study design		
Quality	Method of adverse effects assessment	Adverse effects reported
Bellack, 2004 Double-blind trial Substudy within larger trial	NR	NR
POOR		
Funding: NIMH grant		

Bondolfi, 1998 Single-center Double-blind

**RCT** 

Inpatients

**FAIR** 

Rating Scale: ESRS): endpoint mean values and SDs not reported Other adverse events: UKU, mean endpoint data and SDs not reported

Patient self-report

Funding: Janssen Research Foundation

Adverse effects reported, risperidone vs clozapine:

EPS symptoms (Extrapyramidal Symptom Asthenia/lassitude/increased fatigability: 28% vs 51% (p<0.05)

Weight gain: 23% vs 37% (p=0.24)

Sleepiness/sedation: R: 30% vs C: 47% (NS) Failing memory: R: 21% vs C: 35% (NS)

Concentration difficulties: R: 16% vs C: 26% (NS) Increased duration of sleep: R: 19% vs C: 21% (NS)

Nausea/vomiting: R: 16% vs C: 21% (NS) Orthostatic dizziness: R: 12% vs C: 21% (NS) Reduced duration of sleep: R: 14% vs C: 7% (NS) Diminished sexual drive: R: 9% vs 5% (NS)

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Author, year		Total withdrawals;	
Study design		withdrawals	
Quality	EPS	due to adverse events	Comments
Bellack, 2004	NR	17% of withdrawals due to	While some differences apparent between
Double-blind trial		AE's but numbers per drug not	drugs on results for verbal score and
Substudy within larger trial		clear	problem solving, changes not considered
			clinical important by authors. Lack of ITT,
POOR			low power, and poor reporting make result
			difficult to interpret or generalize.
Funding: NIMH grant			

Bondolfi, 1998	EPS:	Overall 18 (21%)	Differences at baseline: # months in
Single-center Double-blind	"No significant difference between the groups at endpoint in	Due to adverse events: 2.3%	hospital, PANSS positive; analyses
RCT	the mean total ESRS scores, the different cluster scores, or	(2.3% in each group)	presented focus on within group
	the different cluster scores on the parkisonism scales" - data		differences more than between group
FAIR	not reported		comarisons.
	Proportion scoring 0 (clozapine vs risperidone) at week 8 on		Dose of clozapine low.
Inpatients	ESRS:		
	Total with 0 on ESRS total score: 37% vs 54% (NS)		
Funding: Janssen Research	% with 0 on ESRS parkisonism score: 37% vs 61% (p = 0.03)		
Foundation	% with 0 on ESRS dysotonia: 98% vs 95% (NS)		
	% with 0 on ESRS dyskinesia: 84% vs 84% (NS)		

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Author, year Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
Breier, 1999	Diagnosis: schizophrenia (DSM-IV); Partial	clozapine: 200-	Mean 18 days
Single Center double-blind	response to neuroleptic drugs: (i) history of	600 mg/day; fixed dose	
RCT	residual positive and/or negative symptoms	mean 403.6 mg/day;	
(NIH Clinical Center)	after ≥ 6 week trial of therapeutic dose of	risperidone: 2-9 mg/day; fixed dose	
Unclear if Inpatient	neuroleptic agent; (ii) at least minimum level of	mean 5.9 mg/day	
	positive (4 positive BPRS items > 8) and/or	Duration: 6 weeks	
FAIR	negative (SANS score > 20) symptoms at time		
	of evaluation for study; (iii) at least minimum	fluphenazine treatment	
Funding: Eli Lilly	level of positive and negative symptoms after	for ≥ 2 weeks; then, 66% patients	
	prospective trial of ≥ 2 weeks of fluphenazine, 20 mg/day (range 10–30 mg/day)	underwent drug-free period	
	3 3 4 3 4 3 4 4 3 4 4 4 7 7		
Chowdhury, 1999	Schizophrenia by ICD10, aged 15–60 years; duration of illness > 6 months and received at	Clozapine initial dose 50 mg/d, increased by 50 mg to 150 mg/d by week 2. By	7 days
Funding: NR	least one full course of treatment with	week 3, dose range 250–300 mg/d.	
r ariang. Tere	conventional antipsychotic drugs (either	Risperidone 1mg twice daily starting	
	chlorpromazine, 600–800 mg daily, haloperidol		
	or trifluoperazine in equivalent doses) without	onwards. After week 1, 6 mg daily up to	
	adequate response; patients intolerant to	maximum 8 mg/d	
	traditional neuroleptic drugs because of	Duration:16 weeks	
	intractable neurological and non-neurological		
	side-effects, necessitating withdrawal of drug	Mean maximum daily dose, clozapine,	
	or inadequate dosing	343 mg daily; risperidone, 5.8 mg	
	1 0	3 7, 1, 3	

Author, year Study design	Allowed other medications	Method of outcome assessment	Age Gender
Rectangle Center double-blind RCT (NIH Clinical Center) Unclear if Inpatient	benztropine mesylate (EPS) as required	timing of assessment  Leaving study early Physiological monitoring (laboratory tests)  Mental state (BPRS; SANS; Hamilton Rating Scale – depression)	Ethnicity  Mean,age: 35.0 years, range 18–55 years 66% male Ethnicity NR
FAIR			
Funding: Eli Lilly			
Chowdhury, 1999	NR	PANSS scores total (positive, negative, general subscales) Treatment success rate (> 20% reduction from baseline on	Mean age (SD): clozapine 30.3 (8.78)
Funding: NR		PANSS) total; positive; negative, general subscales	years risperidone 32.43 (9.79) years clozapine 73.3% male risperidone 76.7% male Ethnicity NR

Author, year Study design Quality	Other population characteristics	Number Screened/ Eligible/ Enrolled	Withdrawn/ Lost to fu/ Analyzed
Breier, 1999 Single Center double-blind RCT (NIH Clinical Center) Unclear if Inpatient	History: duration of illness, about 12.5 years; chronic schizophrenia; partial response to neuroleptic drugs*	NR/NR/29	NR/NR/29
FAIR			
Funding: Eli Lilly			
Chowdhury, 1999	Paranoid subtype, clozapine 56.67%; risperidone 60%;	NR/72/60 clozapine: 30	14/3/NR
Funding: NR	Other subtypes included hebephrenia, residual and undifferentiated	risperidone: 30	

Autho	r, year
Study	design

olday doolgi.	
Quality	Results
Breier, 1999	Mean Change in score (clozapine/risperidone, p value)
Single Center double-blind	BPRS total:-6.36/-4.73 (p = 0.19)
RCT	BPRS Positive symptoms: -2.5/-1.0 (p = 0.04)
(NIH Clinical Center)	BPRS Responders (20% improvement): 35.7%/20% (p = 0.34)
Unclear if Inpatient	SANS: -2.14/4.4 (p = 0/54)
	HAM-D: -4.5/-1.92 (p=0.25)
FAIR	
Funding: Eli Lilly	
Chowdhury, 1999	PANSS scores total (postive, negative, general subscales):
	Clozapine: (n= 30) 93.16 (SD 9.57) (22.0,SD 6.74;23.67,SD 6.46;47.53,SD 7.18)(n= 30) 92.97,SD 14.80 (21.67,SD
Funding: NR	5.92;23.73,SD 8.66;47.57,SD 8.72)
-	Risperidone: (n= 24) 50.0,SD 17.80 (10.08,SD 3.06;14.08,SD 6.66;25.83,SD 8.74)(n= 22) 50.45,SD 20.74 (10.04,SD
	3.26;14.55,SD 8.33;25.86,SD 9.98)
	Treatment success rate (> 20% reduction frombaseline on PANSS) total; positive; negative; general subscales:
	Clozapine: 80%;80%;73.33%;80%66.7%;66.7%;63.33%;66.7%

Autho	r, year
Study	design

Quality	Method of adverse effects assessment	Adverse effects reported
Breier, 1999	SAR-S; neuroendocrine serum level	Mean change in SAR-S
Single Center double-blind	montitoring	clozapine: -0.93
RCT		risperidone: +0.26 (p=0.05)
(NIH Clinical Center)		Mean Change in serum Prolactin:
Unclear if Inpatient		clozapine: -41.1ng/ml
FAIR		risperidone: +11.8 (p=0.001)
FAIR		Growth Hormone, coristol: changes NS
Funding: Eli Lilly		
Chowdhury, 1999	NR	Clozapine: tachycardia 76.66%; hypersalivation 60%; sedation 60%; weight gain 43.33%;
Funding: NR		constipation 30%; leucocytosis 26.66%. (1 patient suffered an episode of seizure) Risperidone: constipation 50%; dry mouth 46.66%; weight gain 43.33%; akathisia 36.67%; insomnia 33.33%; tachycardia 30%; impotence 26.66%

Author, year Study design Quality Breier, 1999 Single Center double-blind RCT (NIH Clinical Center) Unclear if Inpatient	EPS	Total withdrawals; withdrawals due to adverse events NR/NR	Comments
FAIR			
Funding: Eli Lilly			
Chowdhury, 1999	NR	clozapine: 6/30 (20%)	
Funding: NR		Due to AE: 4/30 (13.3%) risperidone: 8/30 (26.7%) Due to AE: 3/30 (10%)	

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year			
Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
Daniel, 1996	Patients with chronic schizophrenia or	clozapine or risperidone; dose titrated by	7 days
Crossover design	schizoaffective disorder, with treatment failures	clinician	
	or intolerant to conventional antipsychotic side	x 6 weeks. Dose was held stable during	
POOR	effects	weeks 5 & 6.	
Funding: NR		mean clozapine dose: 375mg/d (range 75	-
		800mg)	
		mean risperidone dose:	
		6.1mg/d (range 1-10mg)	

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# Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year			Age
Study design		Method of outcome assessment	Gender
Quality	Allowed other medications	timing of assessment	Ethnicity
Daniel, 1996	estazolam, lorazepam for insomnia,	Blinded rating of Symptoms by the PANSS, Severity of illness by	Mean age 33.8 years (22-
Crossover design	lorazepam for agitation, benztropine for	the CGI severity subscale, Cognition by: IQ, Wechsler Memory	51)
	EPS. Other psychoactive drugs	Scale, Semantic Fluency, the Boston Naming test, Rey Figure,	35% male
POOR	continued, but no dose changes	Facial Recognition, the Continuous Performance Test, and the	ethnicity NR
	allowed. Drugs used: valproic acid,	Wisconsin Card Sorting Test. Tests completed weekly	
Funding: NR	fluoxetine, paroxetine, sertraline,		
	clonazepam, and clorazepate		

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## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design		Number Screened/	Withdrawn/
Quality	Other population characteristics	Eligible/ Enrolled	Lost to fu/ Analyzed
Daniel, 1996 Crossover design	Mean age at onset: 22.7 (15-32) mean # prior hospitalizations: 3.9 (1-10) mean # prior antipsychotic trials: 4.3 (2-8)	NR/NR/20 enrolled	3 withdrawn (during risperidone treatment): 1 due to adverse
POOR	95% outpatients		events, 1 due to adverse events and
Funding: NR			lack of effect, 1 withdrew after achieving satisfactory response, in order to obtain non-study drug 17 analyzed

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# Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year	
Study design	
Quality	Results
Daniel, 1996	No significant difference on PANSS total, positive or negative subscales, or CGI (data not reported).
Crossover design	
	No significant differences on cognitive tests (after application of Bonferroni adjustment for multiple comparisons)
POOR	
Funding: NR	

Author, year			
Study design			
Quality	Method of adverse effects assessment	Adverse effects reported	
Daniel, 1996	Adverse events assessed by a self-	7/17 (41%) required Anti-EPS meds while on risperidone	
Crossover design	administered multiple choice questionnaire	0 required Anti-EPS meds while on clozapine	
	on the severity of side effects of each drug	Prior to Bonferroni adjustment:	
POOR	(none, mild, moderate, severe) with	Sleepiness/lack of alertness: SS more with clozapine	
	respect to: insomnia, sleepiness, loss of	Restlessness/insomnia: SS more with risperidone	
Funding: NR	appetite, restlessness, lack of alertness,	Inability to think clearly/inability to concentrate:	
	nausea, inability to think clearly, memory	SS related to clozapine dose	
	problems, and inability to concentrate. A	After correction:	
	score of 0 to 3 was assigned to each	restlessness not significantly different	
	response.	no dose correlation apparent	

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## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design		Total withdrawals; withdrawals	
Quality	EPS	due to adverse events	Comments
Daniel, 1996	7/17 (41%) required Anti-EPS meds while on risperidone	Total: 3/20 (15%)	Results not reported by first
Crossover design	0 required Anti-EPS meds while on clozapine	Due to AE: 2/20 (10%)	intervention/second intervention. Not possible to evaluate effect of order of
POOR			assignment, although authors use Bonferroni adjustment to correct for this.
Funding: NR			

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## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
Klieser, 1991 Heinrich 1994 Klieser 1995 RCT, DB	Patients diagnosed with acute, paranoid schizophrenia	28 day study risperidone(N=20): 4mg/day risperidone(N=19): 8mg/day clozapine(N=20): 400mg/day	≥ 3days
Inpatients			
Funding: NR			

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## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year			Age
Study design		Method of outcome assessment	Gender
Quality	Allowed other medications	timing of assessment	Ethnicity
Klieser, 1991	Biperiden, short-acting lorazepam	Association for Methodology and Documentation in Psychiatry	Median age: 33 years
Heinrich 1994		(AMDP somatic scale), Brief Psychiatric Rating Scale (BPRS),	52.3% Male
Klieser 1995		Clinical Global Impression (CGI), Electrocardiogram (ECG),	Ethnicity NR
RCT, DB		Electroencephalogram (EEG), Extrapyramidal Scale (EPS),	
		complete pyhsical examination, blood samples- taken at 3 days,	
Inpatients		then weekly.	
Funding: NR		Brief Psychiatric Rating Scale (BPRS), Clinical Global	
		Impression (CGI), Simpson and Angus Scale for extrapyramidal	
		side effects (EPS), Association for Methodology and	
		Documentation in Psychiatry (AMDP), reports of adverse events,	
		clinical laboratory assessments, vital signs	

Final Report Update 1 Drug Effectiveness Review Project

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year		Normalian Canaan ad/	With drawn /
Study design		Number Screened/	Withdrawn/
Quality	Other population characteristics	Eligible/ Enrolled	Lost to fu/ Analyzed
Klieser, 1991	100% inpatient with diagnosis of	NR/NR/59	31/3/28
Heinrich 1994	schizophrenia		
Klieser 1995	Schizophrenia Diagnosis:		
RCT, DB	Disorganized: 1		
	Catatonic: 1		
Inpatients	Paranoid: 46		
	Paranoid/residual: 1		
Funding: NR	Unspecified: 2		
	Schizoaffective psychosis: 8		

# Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year
Study design

Results	
Clinical Global Impression at Enpoint (CGI):	
CGI Rating: very much/much improved:	
R4: 12 vs R8: 8 vs C: 12	
CGI Rating: minimally improved:	
R4: 3 vs R8: 5 vs C: 4	
CGI Rating: minimally worse or deteriorated:	
R4: 5 vs R8: 6 vs C: 4	
BPRS scores : baseline vs week 4 vs endpoint	
Activity:	
R4: 10.1 vs 5.1 vs 6.9, R8: 9.5 vs 4.7 vs 7.7, C400: 10.5 vs 5.9 vs 7.7	
Anergia:	
R4: 10.3 vs 6.9 vs 8.7, R8: 10.5 vs 8.7 vs 9.1, C400: 10.5 vs 6.9 vs 8.5	
Anxiety/depression:	
R4: 13.5 vs 7.6 vs 9.7, R8: 12.6 vs 8.3 vs 9.2, C400: 13.9 vs 6.2 vs 8.9	
Hostility:	
R4: 8.2 vs 4.4 vs 4.9, R8: 8.7 vs 3.5 vs 6.1, C400: 9.6 vs 5.7 vs 6.8	
Thought disturbances:	
R4: 13.8 vs 6.3 vs 8.5, R8: 11.3 vs 5.3 vs 9.1, C400: 13 vs 7.1 vs 8.5	
Total Score:	
R4: 55.5 vs 30.3 vs 38.7, R8: 52.6 vs 30.5 vs 41.2, C400: 57.4 vs 31.9 vs 40.3	

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## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year		
Study design Quality	Method of adverse effects assessment	Adverse effects reported
Klieser, 1991	Physical examination, patient self-report	28:7
Heinrich 1994	Triyotodi oxaminadon, padoni ooli Toponi	Withdrawals due to adverse events:
Klieser 1995		Sleep and vigilance: R4: 14(70%) vs R8: 11(58%) vs C400: 13(65%)
RCT, DB		Appetite: R4: 7(35%) vs R8: 3(16%) vs C400: 14(70%)
		Gastro-intestinal: R4: 10(50%) vs R8: 7(37%) vs C400: 15(75%)
Inpatients		Cardio-respiratory: R4: 4(20%) vs R8: 5(26%) vs C400: 9(45%)
•		Other vegetative: R4: 2(10%) vs R8: 7(37%) vs C400: 12(60%)
Funding: NR		Other disturbances: R4: 8(40%) vs R8: 7(37%) vs C400: 11(55%)
-		Neurologic: R4: 6(30%) vs R8: 7(37%) vs C400: 6(30%)
		% Patients worsened on the AMDP scale: R4: 89% vs R8: 79% vs C400: 85%

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year		Total withdrawals;		
Study design		withdrawals		
Quality	EPS	due to adverse events	Comments	
Klieser, 1991	Simpson and Angus Rating Scale scores (SAS): Mean change	31; 7		_
Heinrich 1994	from baseline			
Klieser 1995	Gait: R4: 0.2 vs R8: 0.4 vs C400: -0.1; p=NS			
RCT, DB	Arm dropping: R4: 0.2 vs R8: 0.2 vs C400: 0.2; p=NS			
	Shoulder shaking: R4: 0.4 vs R8: 0.1 vs C400: 0.1; p=NS			
Inpatients	Elbow rigidity: R4: 0.1 vs R8: 0.2 vs C400: 0.2; p=NS			
	Wrist rigidity: R4: 0.1 vs R8: 0.2 vs C400: 0.1; p=NS			
Funding: NR	Leg pendulousness: R4: 0.3 vs R8: 0.2 vs C400: 0.1; p=NS			
	Head dropping: R4: 0.1 vs R8: 0.2 vs C400: 0.1; p=NS			
	Glabella tap: R4: 0.1 vs R8: 0.1 vs C400: 0.0; p=NS			
	Tremor: R4: 0.1 vs R8: 0.1 vs C400: 0.2; p=NS			
	Salivation: R4: 0.0 vs R8: 0.2 vs C400: 0.7; p=0.007			
	Total score: R4: 0.1 vs R8: 0.2 vs C400: 0.1; p=NS			
	Akathisia: R4: 0.1 vs R8: 0.3 vs C400: 0.0; p=NS			

Author, year Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
Lindenmayer, 1998, open-label	Treatment-refactory schizophrenia	12 week study	NR
		Mean dose:	
Inpatients		clozapine: 363.02 mg/day, risperidone:	
		8.95 mg/day	
Funding: NR			

Wahlbeck, 2000	Diagnosis: schizophrenia (DSM-IV); Treatment-	clozapine 400 mg/day for 2 weeks;	1-3 days
Open-label RCT	resistant: persistent psychotic symptoms for <	9 ,	
	6 months while on medication from ≥ 2 different	9 ,	
POOR	classes of antipsychotic drugs in doses ≥ 1000	risperidone, 6 mg/day for 3 days; flexible	
	mg/day chlorpromazine for > 6 weeks each; in	thereafter up to 10 mg/day	
Funding: Scandinavian Society	addition, non-tolerance to haloperidol or non-	mean 7.8 mg/day	
for Psychopharmacology	response to haloperidol, > 40 mg/day	Duration: 10 weeks	
(SSP), Wilheim Stockmann			
Foundation, Finska		preceded by 6-week treatment with	
Lakaresallaskapet		haloperidol, ≤ 50 mg/day if no history of	
		previous treatment with haloperidol, > 40	
		mg/day, or haloperidol intolerance	

Author, year			Age	
Study design		Method of outcome assessment	Gender	
Quality	Allowed other medications	timing of assessment	Ethnicity	
Lindenmayer, 1998, open-label	Anticholinerics	Positive and Negative Syndrome Scale (PANSS), Clinical Global	Mean age: 39.29 years	
		Impressions (CGI), neurologic rating scales, plasma drug levels,	74.3% Male	
Inpatients		administered at baseline and endpoint	White: 25.7%	
			African-American: 37.1%	
Funding: NR			Hispanic: 37.1%	

Wahlbeck, 2000 Open-label RCT biperiden (EPS) and lorazepam (anxiety) as required

Leaving study early, relapse, Mental state (PANSS, CGI, PGI, Social Functioning Scale), Global assessment (GAF), Satisfaction with treatment (DAI-10)

Mean age 35.9 years; range, 24–55 years 55% male Ethnicity NR

POOR

Funding: Scandinavian Society for Psychopharmacology (SSP), Wilheim Stockmann Foundation, Finska Lakaresallaskapet

Atypical Antipsychotic Drugs

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## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, y	/ear
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Study design		Number Screened/	Withdrawn/
Quality	Other population characteristics	Eligible/ Enrolled	Lost to fu/ Analyzed
Lindenmayer, 1998, open-label	100% inpatient	NR/NR/35	3/0/32
	Schizophrenia:		
Inpatients	Disorganized: 5.7%		
	Paranoid: 40%		
Funding: NR	Undifferentiated: 54.3%		

Wahlbeck, 2000 Open-label RCT Duration of illness, ~ 12 years, range 0.5–33 years; treatment resistant\*

illness

.5-

9000/90/20

7/NR/19

POOR

Funding: Scandinavian Society for Psychopharmacology (SSP), Wilheim Stockmann Foundation, Finska Lakaresallaskapet

Atypical Antipsychotic Drugs

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Author, year Study design Quality

Results
Mean PANSS/CGI scores:
Clozapine: baseline vs week 6 vs week 12:
Positive factor: 17.5 vs 15.7 vs 13.8
Negative factor: 20.6 vs 17.5 vs 15.5
Cognitive factor: 17.2 vs 14.5 vs 13.4
Excitement factor: 9.0 vs 6.7 vs 6.2
Anxiety-depression factor: 8.2 vs 7.1 vs 6.3
CGI Global Severity: 4.8 vs 4.2 vs 3.9
CGI Global Improvement: 3.8 vs 3.3 vs 2.6
Risperidone: baseline vs week 6 vs week 12:
Positive factor: 18.5 vs 15.2 vs 15.5
Negative factor: 20.3 vs 18.1 vs 16.1
Cognitive factor: 16.7 vs 14.7 vs 13.4
Excitement factor: 7.5 vs 7.0 vs 6.8
Anxiety-depression factor: 7.4 vs 7.3 vs 5.5
CGI Global Severity: 4.7 vs 4.4 vs 3.9
CGI Global Improvement: 3.6 vs 3.5 vs 3.3
20% improvement on PANSS:
50% clozapine, 67% risperidone (p=0.65)
Hospital discharge: 60% clozapine, 78% risperidone (p=0.63)
Mean Change in score (clozapine/risperidone, p-value)
PANSS total: -10/-18 (NS)
PANSS positive -4/-4 (NS)
PANSS negative +1/-4 (p=0.056)
CGI-S -0.6/-1.3 (NS)
GAF: +4/+13 (NS)
SFS: -13/-9 (NS)
DAI: -0.8/-0.6 (NS)

Author, year Study design

Quality Method of adverse effects assessment Adverse effects reported

Lindenmayer, 1998, open-label NR

Seizure: 1, leukopenia: 2, hypertension: 1, tachycardia: 1

Inpatients

Funding: NR

Wahlbeck, 2000 Open-label RCT EPS symptoms (non-structured

NR

en-label RCT assessment)

**POOR** 

Funding: Scandinavian Society for Psychopharmacology (SSP), Wilheim Stockmann Foundation, Finska Lakaresallaskapet

Atypical Antipsychotic Drugs

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Author, year Total withdrawals;
Study design withdrawals
Quality EPS due to adverse events Comments

Lindenmayer, 1998, open-label NR NR; 5

Inpatients

Funding: NR

Wahlbeck, 2000 NR

Open-label RCT

**POOR** 

Funding: Scandinavian Society for Psychopharmacology (SSP), Wilheim Stockmann Foundation, Finska Lakaresallaskapet Overall: 6/20 ((30%) Due to AE: 3 (15%) Pilot study

11% risperidone 18% clozapine

Atypical Antipsychotic Drugs

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Author, year Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
Olanzapine vs risperidone			
Conley, 2001	Schizophrenia or Schizoaffective disorder by DSM-IV diagnosis, baseline PANSS score,	risperidone 2–6 mg/d (flexible dose); oral olanzapine 5–20 mg/d; oral	1 week gradual discontinuation
Funding: Janssen	60-120, aged 18-64 years; out- or inpatients	Duration: 8 weeks	
Pharmaceutica, L.P.	hospitalized ≤4 weeks	Both drugs given once daily according to following regimens: days 1–2, 2 mg risperidone or 10 mg olanzapine; days 3–7, 2–4 mg risperidone or 5–10 mg olanzapine; days 8–14, 2–6 mg	
		risperidone or 5–15 mg olanzapine; days 15–56, 2–6mg risperidone or 5–20 mg	
		olanzapine	

# Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year			Age
Study design		Method of outcome assessment	Gender
Quality	Allowed other medications	timing of assessment	Ethnicity
Olanzapine vs risperidone			
Conley, 2001	NR	Change scores: PANSS total; PANSS positive; PANSS negative; PANSS disorganized thoughts; PANSS uncontrolled hostility;	Mean age: risperidone 41.0 (11.0)
Funding: Janssen		PANSS anxiety/depression	years
Pharmaceutica, L.P.		Response: ≥20% reduction in PANSS; 40% reduction in PANSS;	olanzapine 38.9 (10.5)
		CGI-I much or very much improved	years
		CGI-S	72.7% male
		Change scores: ESRS total, questionnaire, parkinsonism, akathisia, and dyskinesia	Ethnicity NR

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# Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design		Number Screened/	Withdrawn/
Quality	Other population characteristics	Eligible/ Enrolled	Lost to fu/ Analyzed
Olanzapine vs risperidone		<del></del>	•
Conley, 2001	79% were outpatients	NR/NR/377 risperidone 188	risperidone 53/NR/188 olanzapine 43/NR/189
Funding: Janssen Pharmaceutica, L.P.	Schizophrenia (n= 325) or schizoaffective disorder (n= 52)	olanzapine 189	·
	Duration of illness: mean risperidone 16.5 (10.5) years, olanzapine 15.4 (10.6) years		

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year	
Study design	
Quality	Results
Olanzapine vs	
risperidone	
Conley, 2001	Change scores: PANSS total; PANSS positive; PANSS negative; PANSS disorganised thoughts; PANSS uncontrolled hostility; PANSS anxiety/depression:
Funding: Janssen	Risperidone: (n= 134) -16.0 (16.6);-5.6 (6.4);-3.5 (6.0);-2.9 (4.6);-1.4 (2.8);-2.5 (3.6)
Pharmaceutica, L.P.	Olanzapine: (n= 144) -15.4 (16.8);-4.8 (6.4);-3.3 (5.7);-3.5 (4.7);-1.7 (2.7);-2.2 (3.4)
	Response: ≥20% reduction in PANSS; 40% reduction in PANSS; CGI-I much or very much improved:
	Risperidone: 69/188;34/188;60/188(data not available for all participants)
	Olanzapine: 68/189;23/189;58/189 (data not available for all participants)
	CGI-S:
	Risperidone: (n= 133) not ill/verymild/mild n= 67, moderate/marked n= 62, severe/extremely severe n= 4
	Olanzapine: (n= 145) not ill/very mild/mild n= 69, moderate/marked n= 75, severe/extremely severe n= 1
	Change scores: ESRS total, questionnaire, parkinsonism, akathisia, and dyskinesia:
	Risperidone: (n= 133) -1.3 (4.6);-0.6 (2.4);-0.8 (3.4);-0.2 (1.0);-0.4 (2.4)
	Olanzapine: (n= 145) -1.6 (4.1);-0.5(2.4);-1.0 (3.3);-0.2 (0.8);-0.5 (2.2)

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year		
Study design		
Quality	Method of adverse effects assessment	Adverse effects reported
Olanzapine vs risperidone		
Conley, 2001	Change scores: ESRS total, questionnaire,	All risperidone versus olanzapine
-	parkinsonism,	Serious adverse events: 15/188 versus 22/189; psychosis: 8/188 versus 8/189; suicide attempt:
Funding: Janssen	akathisia, and dyskinesia	2/188 versus 5/189; agitation: 3/188 versus 3/189; depression: 3/188 versus 3/189; insomnia:
Pharmaceutica, L.P.		3/188 versus 2/189; hallucinations: 2 versus 3; drug abuse: 0 versus 3; cardiovascular symptoms: 0 versus 3; gastrointestinal disorders: 0 versus 3; other: 14 versus 21
		Weight gain: 3.4 lb (SD 7.8) versus 7.2 lb (SD 11.2); increase in body weight of 7%: 18/155 versus 44/161
		Less serious adverse events: somnolence: 69/188 versus 73/189; insomnia: 45 versus 35;
		headache: 41 versus 32; agitation: 29 versus 40; dry mouth: 21 versus 42; rhinitis: 30 versus 31;
		dizziness: 26 versus 27; anxiety: 20 versus 23; vision abnormalities: 12 versus 19

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## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year		Total withdrawals;		
Study design		withdrawals		
Quality	EPS	due to adverse events	Comments	
Olanzapine vs				
risperidone				
Conley, 2001	Extrapyramidal symptoms: 45/188 versus 38/189. Patients	Risperidone 53/188 (28.2%)		
	using antiparkinsonian medication: 61/188 versus 53/189	Due to AE 22/188 (11.7%)		
Funding: Janssen	Outcome: change scores: ESRS total, questionnaire,	Olanzapine 43/189 (22.8%)		
Pharmaceutica, L.P.	parkinsonism, akathisia, and dyskinesia	Due to AE 17/189 (8.99%)		
	Risperidone: $(n = 133) - 1.3 (4.6)$ ;			
	-0.6 (2.4); -0.8 (3.4); -0.2 (1.0);			
	-0.4 (2.4)			
	Olanzapine: $(n = 145) - 1.6 (4.1); -0.5$			
	(2.4): -1.0 (3.3): -0.2 (0.8): -0.5 (2.2)			

Author, year Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
Feldman, 2003 Sutton, 2001 (Tran, 1997 sub-analysis) RCT Multicenter, multinational (6 European, South Africa and US) Post-hoc Analysis of Negative symptoms in older patients	Subset of Tran - patients aged 50 to 65 years.	olanzapine 10-20mg/d risperidone 4-8mg/d Duration: 28 weeks mean dose for subset NR	NR
FAIR			
Funding: Eli Lilly			

Garyfallos, 2003 Funding: NR	50 acute ward patients fulfilling DSM IV criteria for schizophrenia, schizophreniform or schizoaffective disorder; at time of admission, they had not been on antipsychotic treatment	During stable period, mean doses: olanzapine: 18 mg/day (range: 10-20 mg/d) risperidone: 7.7 mg/day (range: 6-12 mg/d)	No antipsychotics 1 month prior to hospitalization
		8-week study	

Garyfallos, 2003

Funding: NR

Mean age: NR 68% male

Ethnicity: NR

#### Evidence Table 1. Head-to-head trials in patients with schizophrenia

if clinically indicated

Author, year Study design Quality	Allowed other medications	Method of outcome assessment timing of assessment	Age Gender Ethnicity
Feldman, 2003 Sutton, 2001 (Tran, 1997 sub-analysis) RCT Multicenter, multinational (6 European, South Africa and US) Post-hoc Analysis of Negative symptoms in older patients	NR	PANSS total, positive, negative and general psychopathology subscale scores SANS composite and summary subscale scores CGI-S	Mean age: 57 92.3% white 56.4% male
FAIR			
Funding: Eli Lilly			

Anticholergenic and lorazepam allowed PANSS evaluated at baseline and week 8

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#### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design		Number Screened/	Withdrawn/
Quality	Other population characteristics	Eligible/ Enrolled	Lost to fu/ Analyzed
Feldman, 2003	82% schizophrenia diagnosis	NR/NR/39	20/NR/39
Sutton, 2001	64% had prominent negative symptoms	19 olanzapine	
(Tran, 1997 sub-analysis) RCT	mean # prior episodes: 10	20 risperidone	
Multicenter, multinational (6			
European, South Africa and US)			
Post-hoc Analysis of			
Negative symptoms in older			
patients			
FAIR			
Funding: Eli Lilly			

Garyfallos, 2003 NR NR/NR/50 0/0/50

Funding: NR

#### Author, year Study design Quality

otaay accigii	
Quality	Results
Feldman, 2003	At 8 weeks:
Sutton, 2001	Mean change in total PANSS:
(Tran, 1997 sub-analysis)	olanzapine 27.2, risperidone 21.0 (NS)
RCT	Mean change in PANSS positive:
Multicenter, multinational (6	olanzapine -6.8, risperidone -6.5 (NS)
European, South Africa and	Mean change in PANSS General Psychopathology
US)	olanzapine: -10.8, risperidone: -10.0 (NS)
Post-hoc Analysis of	Mean change PANSS negative:
Negative symptoms in older	olanzapine: -8.8, risperidone: -4.9 (p = 0.032)
patients	Mean change SANS summary:
•	olanzapine: -3.6, risperidone: -2.1
FAIR	Mean change SANS composite
	olanzapine: -13.0, risperidone: -6.5
Funding: Eli Lilly	Mean change CGI-S
g ,	olanzapine -0.8, risperidone: -0.7
	At 28 weeks:
	Overall, change in scores decreased slightly
	Differences remained NS for all but PANSS negative (p=0.032)
	Differences on SANS remained NS for summary and composite scores
	Analysis of 5 components revealed SS on 2 items:
	Affective flattening:
	olanzapine: -5.2, risperidone -0.6 (p=0.033)
	Alogia
	olanzapine: -3.8, risperidone: -0.3 (p=0.007)
Garyfallos, 2003	Mean change in PANSS totals score at endpoint:
Garyialius, 2003	Mean change in PANSS totals score at endpoint:
Funding: ND	olanzapine: -26 vs risperidone: -32.7
Funding: NR	

Autho	r, year
Study	design

Quality	Method of adverse effects assessment	Adverse effects reported
Feldman, 2003	See Tran 1997	% Olanzapine, % Risperidone, (p-value)
Sutton, 2001		Weight gain
(Tran, 1997 sub-analysis)		25%, 0%, (p=0.047)
RCT		Mean weight gain:
Multicenter, multinational (6		4.7kg, 0.6kg (p=0.052)
European, South Africa and		With >20% incidence, but NS difference:
US)		somnolence 25%, 32%
Post-hoc Analysis of		agitation 10%, 21%
Negative symptoms in older		anxiety 30%, 5% (p=0.091)
patients		
		EPS:
FAIR		For measures of EPS, data for only 12 olanzapine and 9 risperidone available
		AIMS, BAS, and SAS NS difference, small changes
Funding: Eli Lilly		

Garyfallos, 2003 Weight, BMI, triglycerides, and total cholesterol were measured at both baseline and week 8 Weight Change: +4.2 (2.6) vs +2.0 (0.7), p<0.001

BMI Change: +1.4 (0.8) vs +0.7(0.3), p<0.001

Triglycerides: +43.5 (26.9) vs +7.5 (20.1), p<0.001

Cholestrol: +10.2 (23.1) vs + 0.7 (16.4) , p=NS

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#### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design		Total withdrawals; withdrawals	
Quality	EPS	due to adverse events	Comments
Feldman, 2003 Sutton, 2001 (Tran, 1997 sub-analysis) RCT Multicenter, multinational (6 European, South Africa and US) Post-hoc Analysis of Negative symptoms in older patients	EPS: For measures of EPS, data for only 12 olanzapine and 9 risperidone available AIMS, BAS, and SAS NS difference, small changes	Overall 20 6 due to adverse events	Small N; power for statistical differences lacking.  Length of current episode: 120 days for risperidone patients, 61 days for olanzapine patients, but NS difference olanzapine: 70% male; risperidone: 42% male
FAIR			

Garyfallos, 2003 NR NR; NR

Funding: NR

Funding: Eli Lilly

1-7)

Author, year
Study design
Quality

Guerje, 1998

Thomas, 1998

Funding: Eli Lilly

	Interventions	
Eligibility criteria	(drug, dose, duration)	Wash-out period
Diagnosis: schizophrenia, schizophreniform or	olanzapine 10-20mg/d risperidone 4-8mg/d	No longer than 9 days
schizoaffective disorders; Min score of 36 on BPRS as extracted from PANSS (items scored	Duration: 30 weeks	

1-week washout

Harvey, 2003a (Harvey, 2002a, Harvey, analysis of Jeste, 2003) **RCT** Multi-site; US, Austria, Israel,

Norway, Poland and The

Patients > 60 yrs with schizophrenia or schizoaffective disorder. PANSS scores 50-2002b, Harvey, 2002c all = Sub-120 at baseline. Inpatient, outpatient, nursing home, board and care patients

olanzapine: flexible dose 5-20mg/d mean modal dose: 11.46mg

risperidone 1-3mg/d mean modal dose: 1..95mg

Duration: 8-weeks

**FAIR** 

Netherlands

Funding: Pfizer, Inc

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Funding: Pfizer, Inc

# Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year			Age
Study design		Method of outcome assessment	Gender
Quality	Allowed other medications	timing of assessment	Ethnicity
Guerje, 1998	NR	BPRS total score at week 22 through 30	Mean age 35 - 36
Thomas, 1998		Reduction of ≥ 20% PANSS total score at week 30	58% male
		SF-36 and disease-specific Quality of Life in Schizophrenia	89% Caucasian
Funding: Eli Lilly		scale at week 30	
Harvey, 2003a	unclear	Attention: Continuous Performance Test (CPT), Trail Making	Mean age 71
(Harvey, 2002a, Harvey,		Test Part A (TMT)	36% male
2002b, Harvey, 2002c all = Sub	)-	Memory: Serial Verbal Learning Test (SVLT)	60% white
analysis of Jeste, 2003)		Executive Function:	
RCT		WCST, TMT part B	
Multi-site; US, Austria, Israel,		Verbal fluency: category and phonologic fluency tests	
Norway, Poland and The		Measured at baseline, 4 and 8 wks, or at early termination	
Netherlands		Tests translated into local language	
		PANSS weekly	
FAIR		HAM-D, BQoL, and MMSE at baseline and endpoint	

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## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year			
Study design		Number Screened/	Withdrawn/
Quality	Other population characteristics	Eligible/ Enrolled	Lost to fu/ Analyzed
Guerje, 1998 Thomas, 1998	Duration of Hospitalization prior 12 months:	NR/NR/65 olanzapine = 21	36/0/62
momas, 1990	means 12 to 19 days	risperidone = 21	
Funding: Eli Lilly	Baseline PANSS means 89 to 95 Baseline BPRS: means 32 to 35	haloperidol = 23	
Harvey, 2003a (Harvey, 2002a, Harvey, 2002b, Harvey, 2002c all = Sub- analysis of Jeste, 2003) RCT Multi-site; US, Austria, Israel, Norway, Poland and The Netherlands	N Prior Admits: 5.65 mean total PANSS score: 77 mean MMSE: 25 mean BQoL: 4.66 mean HAM-D: 7.66 mean ESRS: 11.4	NR/NR/176 79 olanzapine 74 risperidone	67/NR/153 55 olanzapine 54 risperidone

FAIR

Funding: Pfizer, Inc

Author, year	
Study design	1

Quality	Results
Guerje, 1998	Compared with risperidone-treated patients, olanzapine-treated patients showed greater reduction in PANSS total (and
Thomas, 1998	PANSS psychopathology, and BPRS total score.
	Greater proportion also achieved reduction of 20% or more on PANSS total score at week 30.
Funding: Eli Lilly	At week 30, olanzapine-treated patients had better profile of quality of life (SF-36 and disease-specific Quality of Life in Schizophrenia scale)

Harvey, 2003a Attention:

(Harvey, 2002a, Harvey, SS change from baseline in both groups on TMT-A, not CPT

2002b, Harvey, 2002c all = Sub-NS difference between groups

analysis of Jeste, 2003) Memory:

RCT SS change from baseline in both groups on both tests

Multi-site; US, Austria, Israel, NS difference between groups

Norway, Poland and The Executive domain:

Netherlands olanzapine: NS change from baseline on any test

risperidone: SS change from baseline on TMT-B, WCST total errors, and verbal fluency

FAIR NS difference between groups

Analysis of categories of improvement (markedly, substantially, slightly or not improved)

Funding: Pfizer, Inc NS difference between drugs on any test except TMT-A: olanzapine SS > substantial or markedly improved, AND SS> not

improved

MANCOVA analysis of change in scores from baseline as function of medication: NS differences between groups MANCOVA analysis of completer/non-completer status and endpoint scores: NS differences between groups

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#### Evidence Table 1. Head-to-head trials in patients with schizophrenia

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QualityMethod of adverse effects assessmentAdverse effects reportedGuerje, 1998Spontaneous reporting and BAS and SASTrend for olanzapine-treated patients to evidence fewer treatment-emergent adverse effectsThomas, 1998scales for EPS.

NR

Funding: Eli Lilly

Harvey, 2003a ESRS at baseline and endpoint (wk 8) (Harvey, 2002a, Harvey, 2002c all = Subanalysis of Jeste, 2003) RCT Multi-site; US, Austria, Israel,

Norway, Poland and The

Netherlands

**FAIR** 

Funding: Pfizer, Inc

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## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Funding: Pfizer, Inc

Author, year Study design Quality	EPS	Total withdrawals; withdrawals due to adverse events	Comments
Guerje, 1998 Thomas, 1998	No differences found by rating scales or spontaneously reported adverse events.	36/NR	3 risperidone patients withdrawn due to "sponsor decision"
Funding: Eli Lilly			
Harvey, 2003a (Harvey, 2002a, Harvey, 2002b, Harvey, 2002c all = Sub- analysis of Jeste, 2003) RCT Multi-site; US, Austria, Israel, Norway, Poland and The Netherlands	NR D-	67/NR	Analysis of correlations of baseline scores on individual tests to significant change in test showed some significant findings.  Dose comparisons: higher relative doses of olanzapine used than risperidone.
FAIR			

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
Harvey, 2003b (Harvey,	Schizophrenia or schizoaffective disorder;	olanzapine 5-20mg/d	1 week
2002a,b,c & Harvey, 2003a all	baseline PANSS score 60-120; age 18-64 yrs;	risperidone 2-6mg/d	
= Sub-group analysis of	inpatient or outpatient (hospitalized = 4wks at</td <td>once daily dosing</td> <td></td>	once daily dosing	
Conley, 2001)	screening); not refractory to treatment with	titration unclear	
RCT	olanzapine or risperidone)	Duration: 8 weeks	
Multicenter, US			

FAIR

Funding: Pfizer, Inc

Author, year			Age
Study design		Method of outcome assessment	Gender
Quality	Allowed other medications	timing of assessment	Ethnicity
Harvey, 2003b (Harvey,	not specified	PANSS scores at wks 0, 2, 4, 6 and 8	Mean age 40
2002a,b,c & Harvey, 2003a all		Cognitive tests:	73% male
= Sub-group analysis of		California Verbal learning	Ethnicity NR
Conley, 2001)		Continuous performance test	
RCT		Spatial working memory	
Multicenter, US		Verbal fluency exam	
		Trail-making test - parts A and B	
FAIR		Wisconsin card-scoring test	
Funding: Pfizer, Inc		Given at baseline and 8 wks	
		Because tests have multiple dependent measures, only parts of	
		each test were collected at the sites and forwarded for analysis.	
		Variables analyzed were selected by a consensus of "experts in	
		neuropsychology and clinical trials"	

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## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year			
Study design		Number Screened/	Withdrawn/
Quality	Other population characteristics	Eligible/ Enrolled	Lost to fu/ Analyzed
Harvey, 2003b (Harvey,	Mean # prior hospitalizations: 6.3	NR/NR/377*	96/11/n varied by test
2002a,b,c & Harvey, 2003a all	Mean Total PANSS score: 81	189 olanzapine	and timepoint (range
= Sub-group analysis of		188 risperidone	258-363)
Conley, 2001)		*an unknown number o	f
RCT		patients were enrolled	
Multicenter, US		at 2 additional sites,	
		whose data were	
FAIR		removed after it was	
		deemed low quality."	
Funding: Pfizer, Inc			

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## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year	
Study design	

Quality	Results
Harvey, 2003b (Harvey,	Overall:
2002a,b,c & Harvey, 2003a all	SS changes from baseline for each drug on all measures except category fluency and SWMT (5-s delay). After Bonferroni
= Sub-group analysis of	adjustment, CVLT delayed recognition showed NS difference to baseline.
Conley, 2001)	
RCT	Olanzapine vs Risperidone:
Multicenter, US	NS difference on any variable
FAIR	Treatment x time effects:
	WCST total errors: risperidone > olanzapine (p = 0.042), BUT NS after Bonferonni adjustment.
Funding: Pfizer, Inc	
	Stratification by improvements of 0.5 or 1.0 SD: NS difference btwn drug
	40% improved by 0.5 SD
	15% improved by 1.0 SD
	Anticholinergic med effects: NS
	Analyses of effect of smoking status and dose: NS

Author, year Study design

QualityMethod of adverse effects assessmentAdverse effects reportedHarvey, 2003b (Harvey,ESRS at wks 0, 2, 4, 6 and 8NR

Harvey, 2003b (Harvey, 2002a,b,c & Harvey, 2003a all = Sub-group analysis of

Conley, 2001)

RCT

Multicenter, US

**FAIR** 

Funding: Pfizer, Inc

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## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Funding: Pfizer, Inc

Author, year Study design		Total withdrawals; withdrawals	
Quality	EPS	due to adverse events	Comments
Harvey, 2003b (Harvey, 2002a,b,c & Harvey, 2003a all = Sub-group analysis of Conley, 2001) RCT Multicenter, US	NR - check anticholinergic med use?	96 ((25%) 39 (10.3% of total N) due to adverse events	Analysis of correlations of baseline scores on individual tests to significant change in test showed some significant findings.  Mean doses not reported
FAIR			

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## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Autnor, year			
Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
Jerrel, 2002	Medicaid patients age 18-54, with	olanzapine, risperidone or continue on	Acute treatment prior to
Open-label RCT with economic	schizophrenia or schizoaffective disorder and	typical antipsychotic as prescribed.	randomization using short-
analysis	>/= 2 acute psychiatric hospitalizations within	Doses determined by treating physician.	acting typical
	12 months, and noncompliant with outpatient	Average doses:	antipsychotics.
FAIR	treatment and not taking atypical	olanzapine: 12-15mg/d	Discontinuation and
	antipsychotics for 6-8 weeks or more during the	risperidone: 4-6mg/d	titration determined by
Funding: South Carolina	prior 3 months. Patients screened during	haloperidol: 14-17mg/d	treating physician
Department of Mental Health	acute inpatient stay.	Duration: 12 months	

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year			Age
Study design		Method of outcome assessment	Gender
Quality	Allowed other medications	timing of assessment	Ethnicity
Jerrel, 2002	Discretion of treating physician	PANSS, BPRS, DIS-III-R depression and Mania Modules, RFS,	Mean age 36.91
Open-label RCT with economic		SAS-SM, DISCUS, CUAD, CSQ-8, S-A EPS, BAS every 3	68% male
analysis		months	29% white
		Prescribing of study and other allowed drugs, refills, and other	
FAIR		compliance indicators were abstracted from medical records.	
		Service utilization: number and duration of hospitalizations,	
Funding: South Carolina		outpatient service use per 3-month follow-up period	
Department of Mental Health			

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# Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year			
Study design		Number Screened/	Withdrawn/
Quality	Other population characteristics	Eligible/ Enrolled	Lost to fu/ Analyzed
Jerrel, 2002	72% schizophrenic	NR/343/343	235/none reported/108
Open-label RCT with economic	Mean prior inpatient admits: 9.75	Final group of 108:	Patients or physician
analysis	Acute hospitalization days in past 6 mos:	olanzapine 30	could withdraw patient
	12.56	risperidone 36	after randomization but
FAIR	Atypical antipsychotic use: 29%	Typicals 42	prior to receiving
	Supplemental antipsychotic use: 17%		medication.
Funding: South Carolina	Anti-EPS med use: 72%		74 patients refused
Department of Mental Health	Taking mood stabilizer: 49%		146 physicians refused
			to have patients
			enrolled

Client satisfaction:

NS by group, but increased over 1st 3 months (p<0.03)

Author, year Study design	
Quality	Results
Jerrel, 2002	Treatments Received:Logistic regression analysis:
Open-label RCT with economic analysis	Prescribed assigned med sgnifcantly decraased over time (OR 0.19 (95% CI 0.09 to 0.43), but NS between groups Compliance with assigned med, odds of being prescribed a supplemental antipsychotic, odds of being prescribed a mood stabilizer were higher with risperidone vs typicals, and olanzapine vs typicals, but no difference between atypicals.
FAIR	PANSS positive:
	NS group x time interaction, but scores SS decreased over time
Funding: South Carolina	PANSS negative:
Department of Mental Health	NS group x time interaction, but scores SS decreased over time BPRS:
	NS group x time interaction, but scores SS decreased over time
	DIS-II-R Mania and Depression scores:
	NS group x time interaction, but scores SS increased over time <b>CUAD:</b>
	NS group x time interaction, but scores SS decreased over time <b>RFS:</b>
	NS group x time interaction, but role functioning SS decreased over time
	Self-report Psych Funciton:
	NS group interaction effect
	Time to Discharge:
	Kaplan-Meier Survival Analysis and Cox proportional hazard analysis:
	NS difference between groups
	Time to Rehospitalization:
	Kaplan-Meier Survival Analysis and Cox proportional hazard analysis: NS difference between groups:

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#### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design

Quality	Method of adverse effects assessment	Adverse effects reported
Jerrel, 2002	Use of Anti-EPS drugs, DISCUS, S-A EPS,	Use of Anti-EPS drugs:
Open-label RCT with economic	GBAS	SS decrease in use over time (OR 0.51 (95% CI 0.28 to 0.90), but no difference between groups
analysis		After controlling for time-dependent effects of anticholinergic drug use:
		DISCUS:
FAIR		SS time effect; decrease from baseline to 12 mths (p =0.0007)
		S-A EPS
Funding: South Carolina		SS time effect; lower scores from baseline to 12 mths (p<0.0001)
Department of Mental Health		GBAS:
		SS decrease in ratings baseline to 12 mths (p=0.002)

# Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year		Total withdrawals;	
Study design		withdrawals	
Quality	EPS	due to adverse events	Comments
Jerrel, 2002	Use of Anti-EPS drugs:	NR (3 patients not included in	Study focused on patients with recent
Open-label RCT with economic	SS decrease in use over time (OR 0.51 (95% CI 0.28 to 0.90),	rehospitalization analysis due	hospitalizations and who were either non-
analysis	but no difference between groups	to never being discharged from	compliant with treatment or whose
	After controlling for time-dependent effects of anticholinergic	index hospitalization)	treatment was not stabilized.
FAIR	drug use:		
	DISCUS:		
Funding: South Carolina	SS time effect; decrease from baseline to 12 mths (p =0.0007)		
Department of Mental Health	S-A EPS		
	SS time effect; lower scores from baseline to 12 mths		
	(p<0.0001)		
	GBAS:		
	SS decrease in ratings baseline to 12 mths (p=0.002)		

Funding: AstraZeneca, Canada

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year			
Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
Jeste, 2003 Jeste, 2002 Jeste, 2001 RCT Multinational (US, Israel, Poland, Norway, The Netherlands, Austria) 1 full paper 2 conf proc	Patients aged 60+ with chronic schizophrenia or schizoaffective disorder; without dementia; with baseline PANSS score range 50-120, inpatient (hospitalized = 4wks at screening) or outpatient (including nursing home, boarding care and hospitalized patients receiving only board and care)</td <td>olanzapine: flexible dose 5-20mg/d mean modal dose: 11.1 mg risperidone 1-3mg/d mean modal dose: 19 mg Duration: 8-weeks</td> <td>1 week washout period</td>	olanzapine: flexible dose 5-20mg/d mean modal dose: 11.1 mg risperidone 1-3mg/d mean modal dose: 19 mg Duration: 8-weeks	1 week washout period
FAIR			
Funding: Janssen Research Foundation			
Purdon, 2000 David 1999 Jones 1998 Multicenter, Canada Double-blind RCT	Schizophrenia; 'early phase'– first 5 years of illness, PANSS < 90	olanzapine: 5–20 mg/day; risperidone: 4–10 mg/day; haloperidol: 5–20 mg/day; Duration: 54 weeks;	1 week
FAIR			

Author, year Study design Quality	Allowed other medications	Method of outcome assessment timing of assessment	Age Gender Ethnicity
Jeste, 2003 Jeste, 2002 Jeste, 2001 RCT Multinational (US, Israel, Poland, Norway, The Netherlands, Austria) 1 full paper 2 conf proc	lorazepam	Change from baseline PANSS total score Clinical Improvement defined as 20% decrease in total PANSS Secondary measures: HAM-D, CGI-s and CGI change Cognitive assessments (see Harvey 2003) Assessed at weeks 0, 1, 2, 3, 4, 6, 8	Mean age: 71.1 35% male 77% white 17% black 3% Hispanic 2% Asian
FAIR			
Funding: Janssen Research Foundation			
Purdon, 2000 David 1999 Jones 1998 Multicenter, Canada Double-blind RCT	No other antipsychotics, but other meds allowed as needed	Leaving study early; Mental state: PANSS, Cognitive function: GCIS, neuropsychological test battery, QOL: QLS, SF-36, and resource utilization Symptoms assessed weekly x 6 weeks, then monthly Cognitive assessments at baseline, 6, 30 and 54 weeks	Mean age: 29 years 71% male Ethnicity NR
FAIR			

Funding: AstraZeneca, Canada

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## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year			
Study design		Number Screened/	Withdrawn/
Quality	Other population characteristics	Eligible/ Enrolled	Lost to fu/ Analyzed
Jeste, 2003 Jeste, 2002 Jeste, 2001 RCT Multinational (US, Israel, Poland, Norway, The Netherlands, Austria) 1 full paper 2 conf proc	85% schizophrenia 15% schizoaffective disorder mean baseline PANSS score: 77.1	203/176/175	41/1/174
FAIR			
Funding: Janssen Research Foundation			
Purdon, 2000 David 1999 Jones 1998 Multicenter, Canada Double-blind RCT	Mean duration of disease 2.63 PANSS total: NR	NR/NR/65 olanzapine = 21 risperidone = 21 haloperidol = 23	37/NR/65 for symptoms, 55 for neurocognitive outcomes
FAIR			
Funding: AstraZeneca, Canad	a		

Author, year Study design

Quality	Results
Jeste, 2003	Baseline PANSS score reduced by >=20%:
Jeste, 2002	58% risperidone, 59% olanzapine (within groups P<0.005).
Jeste, 2001	Change in mean Ham-D score:
RCT	-1.8 risperidone (p<0.01, within group)
Multinational (US, Israel,	-1.5 olanzapine (p<0.05, within group).
Poland, Norway, The	CGI improved in 32.5% risperidone, 36% olanzapine.
Netherlands, Austria)	Between-group differences NS for PANSS, Ham-D, and CGI.
1 full paper 2 conf proc	
FAIR	
Funding: Janssen Research	
Foundation	
Purdon, 2000	olanzapine/risperidone (p-value)
David 1999	Symptoms:
Jones 1998	Mean change PANSS total: NR
Multicenter, Canada	Mean change PANSS positive:-2.14/-1.19 (0.72)
Double-blind RCT	Mean change PANSS negative: -2.76/-0.67 (0.72)
	Mean change PANSS gen psychopathology: -2.52/-1.33 (0.92)
FAIR	NR: QOL, resource utilization
Frankis v. Astro Zono o .	Cognitive outcomes:
Funding: AstraZeneca, Canada	Cognitive Domains: olanzapine superior to risperidone on 2 of 6 domains:
	Motor skills: mean change o/r (p-value) 0.90/0.08 (p=0.04)
	Nonverbal fluency and construction:
	0.81/-0.09 (p=0.006)
	Individual measures:
	olanzapine superior on 4 of 18 (grooved pegboard, verbal list learning, Hooper visual organization test, Rey-Taylor complex
	figure copy)
	General Cognitive Index: Comparison of change from baseline to wk 54:
	olanzapine superior to risperidone (data NR) p=0.004
	Within group changes significant at:
	olanzapine: wk 6, 30 and 54
	risperidone: wk 54

Author, year	
Study design	

Quality	Method of adverse effects assessment	Adverse effects reported
Jeste, 2003	Elicited by investigator	Risperidone vs olanzapine:
Jeste, 2002	ESRS	Somnolence 13.8% vs 13.6% (ns)
Jeste, 2001	EPS medications	Insomnia 16.1% vs 10.2% (ns)
RCT	Weight	Dizziness 10.3% vs 11.4% (ns)
Multinational (US, Israel, Poland, Norway, The		EPS 9.8% vs 15.9% (ns) 7% Weight gain 5.1% vs 14.8% (p=0.043)
Netherlands, Austria)		7/0 Weight gain 3.1/0 v3 14.0/0 (p=0.043)
1 full paper 2 conf proc		
FAIR		
5 " 1 5 1		
Funding: Janssen Research Foundation		
Touridation		
Purdon, 2000	EPS: ESRS, Barnes Akathisia scale, Anti-	ESRS: olanzapine/risperidone (p-value)
David 1999	EPS medications	Total score NR
Jones 1998		Parkisonism: -1.43/+1.33 (p=0.14)
Multicenter, Canada		Dystonia: -0.05/-0.14 (p=0.91)
Double-blind RCT		Dyskinesia: -0.57/+0.19 (p=0.12)
EAIR		Receiving EPS meds within 48hrs of last visit:
FAIR		olanzapine: 3/20 (15%), risperidone: 9/20 (45%)

Funding: AstraZeneca, Canada

Author, year		Total withdrawals;	
Study design		withdrawals	
Quality	EPS	due to adverse events	Comments
Jeste, 2003	EPS 9.8% vs 15.9% (ns)	Total: 41/175 (23%)	
Jeste, 2002	7% Weight gain 5.1% vs 14.8% (p=0.04	Due to AE: 5.7% risperidone,	
Jeste, 2001		5.7% olanzapine	
RCT			
Multinational (US, Israel,			
Poland, Norway, The Netherlands, Austria)			
1 full paper 2 conf proc			
Tun paper 2 com proc			
FAIR			
Funding: Janssen Research Foundation			
Purdon, 2000	ESRS: olanzapine/risperidone (p-value)	Overall 37 (57%)	Analysis of effect of Anti-EPS meds on
David 1999	Total score NR	olanzapine: 43%	cognitive outcomes revealed one domain
Jones 1998	Parkisonism: -1.43/+1.33 (p=0.14)	risperidone: 67%	where significant effects were apparent at
Multicenter, Canada	Dystonia: -0.05/-0.14 (p=0.91)	haloperidol 61%	6 and 54 weeks (immediate recall).
Double-blind RCT	Dyskinesia: -0.57/+0.19 (p=0.12)	Due to adverse events:12	
	Receiving EPS meds within 48hrs of last visit:	(18%)	
FAIR	olanzapine: 3/20 (15%), risperidone: 9/20 (45%)	olanzapine: 2 (9.5%)	
Funding: AstroZonosa Consd	•	risperidone 3 (14%)	
Funding: AstraZeneca, Canad	a	haloperidol 7 (30%)	

Author, year			
Study design		Interventions	Mark and marks
Quality Ritchie, 2003	Eligibility criteria Patients > 60 with schizophrenia taking typical	(drug, dose, duration) Starting dose:	Wash-out period 4 weeks, while assigned
Pragmatic RCT Multicenter, Australia	antipsychotics (depot or oral)	olanzapine 5mg/d; 10mg after washout complete mean dose after switch: 9.9mg	drug titrated up. Depot drugs stopped on day 0, while assigned drug
POOR		risperidone 0.5mg/d, 1mg after washout complete	started
Funding: Eli Lilly		mean dose after switch: 1.7mg Doses titrated by unblinded clinicians Duration: "Completion of switch"; stable dose of atypical and not on typical for 2 consecutive visits. Visit schedule = 14 days for those previously on oral neuroleptics, and "dose cycle: for depot drugs	
Tollefson, 1999a Tollefson, 1999b (Tran, 1997 sub- analysis) RCT Multicenter, multinational (6 European, South Africa and US) Post-hoc Analysis of Depression, Mood disturbance,	Diagnosis: schizophrenia, schizophreniform or schizoaffective disorders (DSM-IV), age 18-65, Min score of 42 on BPRS as extracted from PANSS (items 1-7); inpatient or outpatient	olanzapine: 10–20 mg/d mean dose: 17.2 mg/d risperidone: 4–12 mg/d mean dose: 7.2 mg/d Duration: 28 weeks	Washout: 2–9 days
QOL			
FAIR			
Funding: Eli Lilly			

Author, year			Age
Study design		Method of outcome assessment	Gender
Quality	Allowed other medications	timing of assessment	Ethnicity
Ritchie, 2003	NR	BPRS, SANS, MADRS, MMSE, WHO-QOL(BREF)	Mean age 70
Pragmatic RCT		Assessed at baseline and each visit	19% male
Multicenter, Australia			Ethnicity NR
		Initial switch phase followed by 6-month and 1-year (not	
POOR		complete at this publication) follow-up, but timing of	
		assessments not clear	
Funding: Eli Lilly			

Tollefson, 1999a Tollefson, 1999b (Tran, 1997 subanalysis) **RCT** Multicenter, multinational (6 European, South Africa and US) Post-hoc Analysis of Depression, Mood disturbance, QOL

benzodiazepines (limited use for agitation), chloral hydrate, diperiden or depression) benztropine (up to 6mg/d) for treatment Heinrichs-Carpenter QOL Scale of EPS only

PANSS (total, positive, negative, general psychopathology and Measured weekly x 8 wks, then every 4 wks

Mean age 36 65% male 75% white

**FAIR** 

Funding: Eli Lilly

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### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year			
Study design		Number Screened/	Withdrawn/
Quality	Other population characteristics	Eligible/ Enrolled	Lost to fu/ Analyzed
Ritchie, 2003	Mean chlorpromazine equivalents	80/74/66	14/0/61
Pragmatic RCT	Depot 326mg	olanzapine: 34	
Multicenter, Australia	Oral 273mg	risperidone: 32	
	48.5% had TD at baseline		
POOR	Mean non-psychotropic drugs:		
	2.0/patient		
Funding: Eli Lilly	Mean major physical ailments:		
	1.2/patient		
	Mean major surgical procedures (lifetime):		
	0.4		

mean length of current episode: 154 days

Prominent negative symptoms: 80%

80% had <4 prior episodes

Tollefson, 1999a Tollefson, 1999b (Tran, 1997 subanalysis) RCT

Multicenter, multinational (6 European, South Africa and US)

Post-hoc Analysis of Depression, Mood disturbance, QOL

FAIR

Funding: Eli Lilly

82% diagnosis = schizophrenia NR/NR/339 161/11/339

Atypical Antipsychotic Drugs

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Author, year Study design Quality

Quality	Results
Ritchie, 2003	Successful Switch:
Pragmatic RCT	Crude OR 2.7(95% CI 0.7 to 10.2)*
Multicenter, Australia	*Not based on an ITT population
	Recalculated crude RR based on ITT: O vs R
POOR	1.28 (95% CI 0.99 1.74)
	Mean time to complete switch:
Funding: Eli Lilly	olanzapine 40.6 days
	risperidone 40.4 days
	Symptoms:
	NS difference btwn groups on change in BPRS, SANS, MADRS
	SS improvement within groups on BPRS, SANS, MADRS
	QOL:
	Olanzapine: within group SS change on physical, psychological well-being and health satisfaction
	Risperidone: within group changes NS
	O vs R: SS difference on change in psychological well-being score (p=0.002) (ANCOVA analysis)
Tollefson, 1999a Tollefson,	Overall Results: see Tran 1997 (HTA report tables)
1999b (Tran, 1997 sub-	PANSS Mood item (scored 1-7):
analysis)	At 8 wks mean change:
RCT	olanzapine 1.13
Multicenter, multinational (6	risperidone 0.85 (p=0.006)
European, South Africa and	At 28 wks:
US)	olanzapine > risperidone (p=0.004, data not reported)
Post-hoc Analysis of	PANSS Depression Cluster (PDC):
Depression, Mood disturbance,	
QOL	olanzapine: 59% improvement vs risperidone: 45% improvement (p=0.045)
EAID	Of those with >/= 20% improvement in total PANSS, Kaplan-Meier analysis of maintenance of response to 28 wks:
FAIR	olanzapine > risperidone (p=0.001)
Funding Fillilly	Relapse Risk (from wk 8 to wk 28)
Funding: Eli Lilly	If change from baseline < 7 points PDC: NS difference
	If change from baseline >/= 7 points: RR RvsO 8.55 (95% CI 2.99 to 24.47)

See Tran 1997

Author, year Study design

Quality	Method of adverse effects assessment	Adverse effects reported
Ritchie, 2003	EPS:	EPS
Pragmatic RCT	SAS, AIMS, BARS	SAS and BARS:
Multicenter, Australia	Other:	SS change from baseline (reduction) in both groups
	"standard reporting of adverse events,	NS difference btwn groups
POOR	weight changes, and a study-specific	AIMS:
	proformas was used for assessing side	SS change from baseline in olanzapine group, not in risperidone group;
Funding: Eli Lilly	effects associated with elevated prolactin	NS difference btwn groups
	and cholinergic antagonism"	Other:
		Sedation and hypotension/dizziness > olanzapine (NS)
		GI symptoms > risperidone (NS)
		Changes in libido (increases) > olanzapine (NS)
		Weight gain: SS within groups
		mean increase: olanzapine 2.8kg, risperidone 2.1kg (NS)

Tollefson, 1999a Tollefson, 1999b (Tran, 1997 sub-

analysis) RCT

Multicenter, multinational (6 European, South Africa and

US)

Post-hoc Analysis of

Depression, Mood disturbance,

QOL

**FAIR** 

Funding: Eli Lilly

See Tran 1997

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Author, year		Total withdrawals;	
Study design		withdrawals	
Quality	EPS	due to adverse events	Comments
Ritchie, 2003	EPS	Overall 14 (21%)	Not ITT
Pragmatic RCT	SAS and BARS:	Due to adverse events: 3 (in	Only switch data presented, 6-month and
Multicenter, Australia	SS change from baseline (reduction) in both groups NS difference btwn groups	risperidone arm = 9%)	1 year follow-up data to come.
POOR	AIMS: SS change from baseline in olanzapine group, not in		
Funding: Eli Lilly	risperidone group; NS difference btwn groups		

Tollefson, 1999a Tollefson, NR
1999b (Tran, 1997 subanalysis)
RCT
Multicenter, multinational (6
European, South Africa and
US)
Post-hoc Analysis of
Depression, Mood disturbance,
QOL

**FAIR** 

Funding: Eli Lilly

See Tran 1997

Further analysis presented to show relationship of PANSS-mood items and QLS.

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# Evidence Table 1. Head-to-head trials in patients with schizophrenia

Autnor, year Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
Tran, 1997	Diagnosis: schizophrenia, schizophreniform or	olanzapine,	Washout: 2-9 days
Edgell, 2000	schizoaffective disorders (DSM-IV), age 18-65,	10-20 mg/day;	
	Min score of 42 on BPRS as extracted from	risperidone,	
Funding: Eli Lilly	PANSS (items 1-7); inpatient or outpatient	4–12 mg/	

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year			Age
Study design		Method of outcome assessment	Gender
Quality	Allowed other medications	timing of assessment	Ethnicity
Tran, 1997	benzodiazepines (limited use for	PANSS total (primary) and positive, negative, general	Mean age=36.21
Edgell, 2000	agitation), chloral hydrate, diperiden or	psychopathology and depression item; the 18-item BPRS total	64.9% male
	benztropine (up to 6mg/d) for treatment	extracted from the PANSS; the Clinical Global Impressions-	74.6% white
Funding: Eli Lilly	of EPS only	Severity of Illness Scale (CGI-S); Scale for the Assessment of	
		Negative Symptoms (SANS); quality of life was assessed with	
		the Quality of Life Scale	
		Timing: weekly during the first 8 weeks of double-blind therapy	
		and every 4 weeks thereafter	

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year			
Study design		Number Screened/	Withdrawn/
Quality	Other population characteristics	Eligible/ Enrolled	Lost to fu/ Analyzed
Tran, 1997	81.7% diagnosis of schizophrenia	NR/NR/339	Withdrawn=161
Edgell, 2000	55.5% paranoid subtype	olanzapine 172	(47.5%)/Lost to fu=11
	Course of illness	risperidone 167	(3.2%)/analyzed=331
Funding: Eli Lilly	39.8% continuous		olanzapine 166
	34.5% episodic with interepisode residual		risperidone 165
	symptoms		
	Age of onset of illness: 23.7 years		
	Length of patients' current episodes: 153.8		
	days		
	80.4% had less than 10 previous episodes		
	before entry into the study		
	41.9% were inpatients		

#### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year
Study design
Quality

Results
Olanzapine, risperidone, p-value

Tran, 1997 Edgell, 2000

Mean changes:

Funding: Eli Lilly

PANSS Total: -28.1, -24.9, p=NS PANSS positive: -7.2, -6.9, p=NS PANSS negative: -7.3, -6.2, p=NS

PANSS general psychopathology: -13.5, -11.8, p=NS

PANSS depression item: -1.1, -0.7, p=0.004 BPRS total score: -17.0, -15.2, p=NS SANS summary score: -4.3, -2.9, p=0.020

CGI-S score: -1.1, -1.0, p=NS

Improvement in PANSS total score ≥20%: 102 (61.5%), 104 (63%), p=NS ≥30%: 88 (53%), 72 (43.6%), p=NS ≥40%: 61 (36.8%), 44 (26.7%), p=0.049 ≥50%: 36 (21.7%), 20 (12.1%), p=0.020

Mean changes in Quality of Life Scale scores:

Total score: 13.4, 8.8, p=NS

Common objective and activities: 1.6, 1.2, p=NS

Instrumental role: 1.7, 1.1, p=NS Interpersonal relations: 5.4, 2.8, p=0.011 Intrapsychic foundation: 4.8, 3.7, p=NS

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Author, year		
Study design		
Quality	Method of adverse effects assessment	Adverse effects reported
Tran, 1997	Adverse events were detected by clinical	Olanzapine, risperidone, p-value
Edgell, 2000	evaluation and spontaneous report at each	Mean change in weight (kg): 4.1, 2.3, p=0.015
	visit and mapped, classified, and recorded	Corrected QTc interval prolongation: -4.9 vs 4.4, p=0.019
Funding: Eli Lilly	using a system based on the U.S. Food	Prolactin concentrations (% pts with elevation above standard reference ranges): 51.2%, 94.4%,
	and Drug Administration Coding	p<0.001
	Symptoms and Thesaurus for Adverse	Hospitalization rate (days/month): 3.9, 4.5, p=NS
	Reaction Terms (CPSTART). In addition,	
	adverse events were solicited by the	Weight gain: olanzapine > risperidone (data nr, p-value nr)
	investigative site using the 40-item	Nausea, amblyopia, extrapyramidal syndrome, increased salivation, suicide attempt, abnormal
	Association for Methodology and	ejaculation, back pain, creatine phosphokinase increases, and urinary tract infection: risperidone
	Documentation in Psychiatry (AMDP-5)	> olanzapine (data nr, p-value nr)
	adverse event questionnaire. EPS,	
	akathisia and dyskinesia were further	Solicited treatment-emergent adverse events (AMDP-5)
	assessed with the SAS, BAS, AIMS	Backache: 11 (6.6%), 22 (13.3%), p=0.040
		Blurred vision: 16 (9.6%), 34 (20.6%), p=0.005
		Breathing difficulties: 12 (7.2%), 24 (14.5%), p=0.031
		Delayed ejaculation: 3 (1.8%), 12 (7.3%), p=0.016
		Early waking: 20 (12%), 40 (24.2%), p-0.004
		Increased dreams/nightmares: 19 (11.4%), 32 (19.4%), p=0.043

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year		Total withdrawals;	
Study design		withdrawals	
Quality	EPS	due to adverse events	Comments
Tran, 1997	Olanzapine, risperidone, p-value	olanzapine, risperidone, p-	_
Edgell, 2000	Dystonic events: 1.7%, 6%, p=0.043	value	
	Parkinsonian events: 9.9%, 18.6%, p=0.022	Withdrawals: 73 (42.4%), 88	
Funding: Eli Lilly	Any EPS event: 18.6%, 31.1%, p=0.008	(52.7%), NS	
	Akathisia events: 9.9%, 10.8%, p=NS	Withdrawals due to adverse	
	Dyskinetic events: 2.3%, 3%, p=NS	events: 17 (9.9%), 17 (10.2%),	
	Residual events: 1.7%, 0.6%, p=NS	NS	
	Treatment-emergent dyskinetic symptoms (categorical		
	analysis of AIMS according to Schooler and Kane criteria):		
	4.6%, 10.7%, p=0.049		

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
van Bruggen, 2003	Adolescents/young adults aged 16-28, first or	6-10 week study	NR
	second psychotic episode, schizophrenia,	Median doses:	
Inpatients	schizofreniform, schizoaffective disorder	olanzapine: 15 mg/day, risperidone: 4 mg/day	
Funding: Dutch Health		3 ,	
Research and Development			
Council and Eli Lilly			

Author, year			Age
Study design		Method of outcome assessment	Gender
Quality	Allowed other medications	timing of assessment	Ethnicity
van Bruggen, 2003	Antidepressants, benzodiazepines, mood stabilizers, anticholinergics	PANSS	Mean age: 21 Years 79% Male
Inpatients	-		Ethnicity NR
Funding: Dutch Health Research and Development Council and Eli Lilly			

Author, year

Study design		Number Screened/	Withdrawn/
Quality	Other population characteristics	Eligible/ Enrolled	Lost to fu/ Analyzed
van Bruggen, 2003	Adolescents/voung adults aged 16-28	NR/NR/44	NR/NR/31

Inpatients

Funding: Dutch Health Research and Development Council and Eli Lilly

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# Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year	
Study design	

Quality	Results
van Bruggen, 2003	Mean change in scores from baseline to endpoint:
	PANSS Total: O: -15.1 vs R: -15.0
Inpatients	Positive Symptoms: O: -0.3 vs R: -3.2
	Negative Symptoms: O: -1.9 vs R: -1.9
Funding: Dutch Health	Depression Symptoms: O: 2.1 vs R: 0.7
Research and Development	Agitation/excitement: O: -0.7 vs R: 0.4
Council and Eli Lilly	Disorganization: O: 1.1 vs R: 0.8
	General psychopathology: O: -6.6 vs R: -6.3
	Achievement of remission at Endpoint: O: 28% vs R: 11%

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Study design	Made Later Lancator	All and the transfer of	
Quality	Method of adverse effects assessment	Adverse effects reported	
van Bruggen, 2003	Barnes Akathisia Scale (BAS), Simpson-	Somnolence: O: 25% vs R: 66%	
	Angus Scale (SAS), Abnormal Involuntary	Excessive thirst: O: 17% vs R: 53%	
Inpatients	Movement Scale (AIMS), 40-item	Decreased libido: O: 17% vs R: 53%	
	Associatin for Methodology and	Excessive appetite: O: 42% vs R: 42%	
Funding: Dutch Health	Documentation in Psychiatry (AMDP-5)	Akathisia: O: 33% vs R: 32%	
Research and Development		Headache: O: 33% vs R: 5%	
Council and Eli Lilly		Dry Mouth: O: 25% vs R: 32%	
		Dizziness: O: 25% vs R: 26%	
		Difficulty falling asleep: O: 25% vs R: 26%	
		Heaviness in legs: O: 25% vs R: 21%	
		Menstral difficulties: O: 25% vs R: 0%	
		Hypersalivation: O: 17% vs R: 26%	
		Increased perspiration: O: 17% vs R: 21%	
		Palpitations: O: 17% vs R: 16%	
		Blurred vision: O: 17% vs R: 16%	
		Decreased appetite: O: 8% vs R: 16%	
		Nausea: O: 8% vs R: 16%	
		Vomiting: 0: 8% vs R: 16%	
		Breathing difficulties: O: 0% vs R: 16%	
		Backache: O: 0% vs R: 16%	

Chills: O: 8% vs R: 16%

Author, year		Total withdrawals;		
Study design		withdrawals		
Quality	EPS	due to adverse events	Comments	
	<u> </u>			

Inpatients

Funding: Dutch Health Research and Development Council and Eli Lilly

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## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
Mori, 2004	Hoyu Mental Hospital inpatients being treated	N= 77	NR
	with typical antipsychotics and antiparkinsonian	Final Doses:	
Inpatients	anticholinergic drugs and with symptoms	olanzapine (N=20): 16.5 mg/day	
	corresponding to DSM-IV criteria for	perospirone (N=18) 37.3 mg/day	
Funding: NR	schizophrenia	quetiapine (N=4): 432.5 mg/day	
		risperidone (N=19): 7.37 mg/day	
		4 weeks duration	

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

	Method of outcome assessment	Age Gender
Allowed other medications	timing of assessment	Ethnicity
NR	Digit Span Distractibility Test (DSDT)	Mean age: 59.9 years 50.6% Male
•		Allowed other medications timing of assessment

# Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design		Number Screened/	Withdrawn/
Quality	Other population characteristics	Eligible/ Enrolled	Lost to fu/ Analyzed
Mori, 2004	Schizophrenia Diagnoses:	NR/NR	NR/NR/77
	Disorganized: 23(29.8%)		
Inpatients	Paranoid: 10(12.9%)		
•	Undifferentiated: 34(44.1%)		
Funding: NR	,		

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year	
Study design Quality	Results
Mori, 2004	Changes in percentages of correct responses in neutral DSDT tests:
	Mean at baseline vs Mean after switching antipsychotics vs Mean after withdrawal of anticholinergics
Inpatients	Olanzapine: 0.32 vs 0.34 vs 0.42
•	Perospirone: 0.39 vs 0.46 vs 0.44
Funding: NR	Quetiapine: 0.43 vs 0.36 vs 0.44
_	Risperidone: 0.36 vs 0.37 vs 0.43
	Changes in percentages of correct responses in distractibility DSDT tests:
	Mean at baseline vs Mean after switching antipsychotics vs Mean after withdrawal of anticholinergics
	Olanzapine: 0.35 vs 0.39 vs 0.41
	Perospirone: 0.43 vs 0.46 vs 0.47
	Quetiapine: 0.42 vs 0.36 vs 0.41
	Risperidone: 0.26 vs 0.32 vs 0.39
	PANSS totals:
	Mean at baseline vs Mean after switching antipsychotics vs Mean after withdrawal of anticholinergics
	Olanzapine: 82.1 vs 73.8 vs 69.4; P<0.0001
	Perospirone: 72.4 vs 72.6 vs 77.2; P<0.05
	Quetiapine: 78.8 vs 73.7 vs 72.9; P<0.001
	Risperidone: 81.2 vs 74.9 vs 71.5; P<0.0001
	General psychopathology:
	Mean at baseline vs Mean after switching antipsychotics vs Mean after withdrawal of anticholinergics
	Olanzapine: 40.9 vs 37.2 vs 35.0; P<0.0001
	Perospirone: 37.1 vs 36.8 vs 39.5; P<0.005
	Quetiapine: 38.4 vs 36.2 vs 35.8; P<0.001
	Risperidone: 40.0 vs 36.8 vs 35.1; P<0.0001

Author, year Study design

 Quality
 Method of adverse effects assessment
 Adverse effects reported

 Mori, 2004
 NR
 NR

Inpatients

Funding: NR

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## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year		Total withdrawals;	
Study design		withdrawals	
Quality	EPS	due to adverse events	Comments

Inpatients

Funding: NR

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
Yamashita, 2004	Schizophrenia	olanzapine: 2.5-20.0 mg/day	4 weeks
		perospirione: 4.0-48.0 mg/day	
Inpatients		quetiapine: 50.0-750.0 mg/day	
		risperidone: 1.0-12.0 mg/day	
Funding: NR			

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year			Age
Study design		Method of outcome assessment	Gender
Quality	Allowed other medications	timing of assessment	Ethnicity
Yamashita, 2004	NR	Pittsburgh Sleep Quality Index (PSQI), Positive and Negative Syndrome Scale (PANSS)	Mean age: 59.9 years 52.1% Male
Inpatients		cynaisine coale (i / iiice)	Ethnicity NR
Funding: NR			

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design		Number Screened/	Withdrawn/
Quality	Other population characteristics	Eligible/ Enrolled	Lost to fu/ Analyzed
Yamashita, 2004	100% In-patient	NR/92	NR
	Schizophrenia Diagnoses:		
Inpatients	Disorganized: 29(31.5%)		
	Paranoid: 11(11.9%)		
Funding: NR	Undifferentiated: 52(56.5%)		

# Evidence Table 1. Head-to-head trials in patients with schizophrenia

Autho	r,	ye	ar
Study	d	esi	gn

Quality	Results
Yamashita, 2004	PSQI Results:
	Change in Score After Switched From Typical to Atypical
Inpatients	Olanzapine vs Perospirone vs Quetiapine vs Risperidone
	Sleep quality:050 vs 0.2 vs -0.33 vs -0.35; P=.063
Funding: NR	Sleep latency: -0.45 vs -0.22 vs -0.59 vs -0.35; P=.76
	Sleep duration: -0.55 vs 0.69 vs -0.22 vs -0.25; .0009
	Habitual sleep efficiency: -0.80 vs 0.47 vs -0.44 vs -0.65; P=.0024
	Sleep disturbances: -0.20 vs 0.04 vs -0.11 vs -0.25; P=.36
	Use of sleep medications: -0.05 vs 0.13 vs -0.07 vs -0.30; P=.50
	Daytime dysfunction: -0.65 vs 0.21 vs -0.15 -0.30; P=.0018

Author, year Study design

Quality Method of adverse effects assessment Adverse effects reported

Yamashita, 2004 Patient self-report NR

Inpatients

Funding: NR

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## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year		Total withdrawals;
Study design		withdrawals
Quality	FPS	due to adverse events Comments
-auy	Li 0	due to daverse events comments

Inpatients

Funding: NR

Author, year			
Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
Olanzapine vs			
Ziprasidone			
Harvey 2004	Patients with a primary diagnosis of	Week 1: fixed dosages	1 day of washout in which
Harvey, 2002d	schizophrenia or schizoaffective disorder (any	Ziprazadone: 40mg bid days 1&2; 80mg	all psychotropic
(abstract)	subtype, chronic or subchronic) as defined by	bid days 3-7	medications were
Harvey, 2002e	DSM-IV between 18-55y who had persistent	Olanzapine: 5mg qd days 1&2; 10mg	discontinued
(abstract)	psychotic symptoms for the week prior to	days 3-7	
RCT, multicenter	hospital admission. Females were required not	•	
cognition study	to be of child-bearing potential. Patients must	Ziprazadone 40, 60, or 80 mg bid;	
	have been hospitalized no more than 2	Olanzapine 5, 10, 15, qd	
Study patients remained	consecutive weeks immediately before		
inpatients during weeks 3-6	screening and, if discharged per protocol, must	Duration 6 wks	
unless the met all protocol	have been in an outpatient environment that		
criteria for hospital discharge	assured continued safety and contact with the		
	treatment team the remainder of the study. At		
Funding: Pfizer, Inc	screening, pts had to have ≥4 on CGI-S and ≥4		
	on at least oneof the following PANSS:		
	delusions, conceptual disorganization, or		
	hallucinatory behavior. At baseline patients		
	were required to have a score ≥4 on the GCI-S		
	and ≥3 on the CGI-I compared with screening		
	scores and to meet the PANSS scores		
	described for screening.		

Author, year Study design		Method of outcome assessment	Age Gender
Quality	Allowed other medications	timing of assessment	Ethnicity
Olanzapine vs Ziprasidone			
Harvey 2004 Harvey, 2002d (abstract) Harvey, 2002e (abstract) RCT, multicenter cognition study  Study patients remained inpatients during weeks 3-6 unless the met all protocol criteria for hospital discharge	During inpatient treatment, lorazepam allowed for control of agitation or insomnia (at investigator's discretion) and benztropine was permitted for control of EPS	Efficacy variables included change from baseline in scores on cognitive tests of attention, memory, executive function, and verbal fluency. The following cognitive tests were performed at baseline and at 6 weeks of treatment (or endpoint):  Attention: Continuous performance test, and Trail making test, part A  Memory: Rey auditory verbal learning test, and Digit span distraction test  Executive functions: Wisconsin card-sorting test (WCST), and Trail making test, Part B  Verbal fluency: category and letter fluency	Mean age: 37.7y Male: 65.4% White: 52.4% Black: 32.3% Asian: 2.2% Hispanic: 10.4% Other: 2.6%
Funding: Pfizer, Inc		Clinical assessments: PANSS at weeks 1,3, 6 and early termination. CGI-S and CGI-I	
		Movement disorders assessed with Barnes Akathisia Scale (BAS) on days 0, 21, and 42 and Abnormal Involuntary Movement Scale (AIMS) on days 0 or 42 or early termination	

# Evidence Table 1. Head-to-head trials in patients with schizophrenia

Funding: Pfizer, Inc

Author, year			
Study design		Number Screened/	Withdrawn/
Quality	Other population characteristics	Eligible/ Enrolled	Lost to fu/ Analyzed
Olanzapine vs			
Ziprasidone			
Harvey 2004	Schizoaffective schizophrenia:	NR/NR/269	NR/NR/154 completed
Harvey, 2002d	Ziprazadone: 38.2%	olanzapine 133	study (unclear as to the
(abstract)	Olanzapine: 35.3%	ziprasidone 136	number analyzed per
Harvey, 2002e	(total population: 36.8%)		test)
(abstract)	Schizophrenia:		
RCT, multicenter	Ziprazadone: 61.8%		
cognition study	Olanzapine: 64.7%		
-	(total population: 63.2%		
Study patients remained			
inpatients during weeks 3-6			
unless the met all protocol			
criteria for hospital discharge			
1 3			

Author, year Study design	
Quality	Results
Olanzapine vs Ziprasidone	
Harvey 2004	SS improvements in most measures within group
Harvey, 2002d (abstract) Harvey, 2002e	The only between-groupssignificant dfference was found in Category Fluency: olanzapine > ziprasidone (p<0.05) but correction for repeated measures makes finding NS
(abstract)	Statistically significant differences were found between baseline and enpoint for ziprazadone in these domains:
RCT, multicenter cognition study	Attention: both Cognitive performance test and Trail making, part A Memory domain: Rey auditory verbal learning test and delayed recall
Study patients remained inpatients during weeks 3-6 unless the met all protocol criteria for hospital discharge	Statistically significant differences were found between baseline and enpoint for olanzapine in these domains: Attention: both Cognitive performance test and Trail making, part A Memory domain: Rey auditory verbal learning test and delayed recall Exceutive functioning: WCST categories completed and Trail making, part B Verbal fluency: Category fluency
Funding: Pfizer, Inc	rollar naction. Category naction

Autho	r, year	
Study	desigr	1

Study design		
Quality	Method of adverse effects assessment	Adverse effects reported
Olanzapine vs Ziprasidone		
Harvey 2004 Harvey, 2002d (abstract) Harvey, 2002e (abstract) RCT, multicenter cognition study	NR	NR
Study patients remained inpatients during weeks 3-6 unless the met all protocol criteria for hospital discharge		
Funding: Pfizer, Inc		

Author, year		Total withdrawals;
Study design		withdrawals
Quality	EPS	due to adverse events Comments
Olanzapine vs		
Ziprasidone		
Harvey 2004	NR	Total withdrawals: 115/269
Harvey, 2002d		(42.7%)
(abstract)		ziparzadone: 48.5% vs
Harvey, 2002e		olanzapine 36.8%, p=0.0449
(abstract)		
RCT, multicenter		Withdrawals due to all AEs:
cognition study		15/269 (5.6%)
		ziprazadone: 7.4% vs
Study patients remained		olanzapine 3.0%
inpatients during weeks 3-6		
unless the met all protocol		Withdrawals due to AEs
criteria for hospital discharge		related to study drug: 5/269
		(1.86%)
Funding: Pfizer, Inc		

Author, year Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
QUEST; Mullen, 2001	Psychosis and: schizophrenia, schizoaffective disorder, bipolar disorder, major depressive	quetiapine 50-800 mg/d in divided doses (maximum mean dose=329 mg/d)	NR
FAIR	disorder, MDD), delusional disorder, Alzheimer's Disease, schizophreniform	risperidone 1-3 mg/d in divided doses (maximum mean dose=5 mg/d at day 64,	
Funding: AstraZeneca Pharmaceuticals	disorder, vascular dementia, or substance	and 4.65 by day 112)	

Mullen, 1999 (QUEST sub-Psychosis and: schizophrenia, schizoaffective quetiapine mean dose at completion: NR group) disorder, bipolar disorder, major depressive 253.9 mg/d;oral disorder (MDD), delusional disorder, risperidone mean dose at completion: 4.4 Funding: AstraZeneca Alzheimer's Disease, schizophreniform mg/d; oral Pharmaceuticals disorder, vascular dementia, or substance Duration: 4 months abuse dementia

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Author, year			Age
Study design		Method of outcome assessment	Gender
Quality	Allowed other medications	timing of assessment	Ethnicity
QUEST; Mullen, 2001	Any mood stabilizers or	CGI (baseline, weekly, up to week 4and then monthly to 4	Mean age=45.4
	antidepressants prescribed must have	months), PANSS, HAM-D (baseline, 2 months, and 4 months)	51.1% male
FAIR	been at a stable dose for at least 2		73.1% white
	weeks before randomization		16.7% black
Funding: AstraZeneca			5.9% hispanic
Pharmaceuticals			2.7% asian
			1.5% other

Mullen, 1999 (QUEST sub-<br/>group)NR% change from baseline HAM-D scores (schizoaffective;<br/>schizophrenia)Mean age:<br/>quetiapine 45.1<br/>risperidone 46.2Funding: AstraZenecaPANSSquetiapine 50.9% male<br/>risperidone 54.3 % male<br/>Ethnicity NR

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Study designNumber Screened/ Eligible/ EnrolledWithdrawn/ Lost to fu/ AnalyzedQualityOther population characteristicsEligible/ EnrolledLost to fu/ AnalyzedQUEST; Mullen, 2001DSM-IV diagnosis Schizophrenia: 32.5%NR/NR/72832.2% withdrawn/lost to fu NR/analyzed variedFAIRSchizoaffective disorder: 29.5% Bipolar I disorder: 13.3%by outcomeFunding: AstraZenecaMajor depressive disorder: 10.4%
QUEST; Mullen, 2001  DSM-IV diagnosis Schizophrenia: 32.5%  FAIR Schizoaffective disorder: 29.5% Bipolar I disorder: 13.3%  Funding: AstraZeneca  DSM-IV diagnosis NR/NR/728 32.2% withdrawn/lost to fu NR/analyzed varied by outcome
Schizophrenia: 32.5% fu NR/analyzed varied by outcome  FAIR Schizoaffective disorder: 29.5% by outcome  Bipolar I disorder: 13.3%  Funding: AstraZeneca Major depressive disorder: 10.4%
FAIR Schizoaffective disorder: 29.5% by outcome Bipolar I disorder: 13.3% Funding: AstraZeneca Major depressive disorder: 10.4%
Bipolar I disorder: 13.3% Funding: AstraZeneca Major depressive disorder: 10.4%
Funding: AstraZeneca Major depressive disorder: 10.4%
Pharmaceuticals Delusional disorder: 1.9%
Alzheimer's dementia: 1.4%
Schizophreniform disorder: 0.9%
Other medical demential: 0.7%
Vascular dementia: 0.1%
Substance abuse dementia: 0.1%
Other: 7%
Age at first diagnosis: 28.6
Psychiatric hospitalizations in last 4
months: 0.3
Duration of current symptoms: 163 wks
Use of illicit drugs
Past use: 32.2%
Current clocked problems 6.29/
Current alcohol problem: 6.2%
Previous alcohol problem: 30.4%
Mullen, 1999 (QUEST sub- Special characteristics: included those > NR/NR/751 NR
group) 65 years quetiapine 554
Diagnosis: risperidone 175
Funding: AstraZeneca bipolar: 83/554;20/175
Pharmaceuticals major depressive disorder: 75/554;26/175
schizoaffective: 158/554;57/175
schizophrenia: 218/554;67/175
all non-mood diagnoses: 316/554;103/17

Autho	r, year
Study	design

Study design	
Quality	Results
QUEST; Mullen, 2001	quetiapine, risperidone, p-value
	Withdrawal due to lack of efficacy: 57 (10.3%), 10 (5.8%)
FAIR	
	Mean changes:
Funding: AstraZeneca	PANSS positive score: -3.2 vs -2.5, p=NS
Pharmaceuticals	PANSS negative score: -3.1 vs -2.8, p=NS
	PANSS total score: -13 vs -11.8, p=NS
	HAM-D: -5.4 vs -4.0, p=0.028
	CGI-I: quetiapine=risperidone (logistic regression model adjusting for differences in baseline EPS, diagnoses, age, and age at diagnosis p=0.087

Mullen, 1999 (QUEST subgroup)

Quetiapine:—41.6%;—41.6%

Risperidone:—34.6%;—31.4% (no significant difference between groups)

Quetiapine group had significantly (p= 0.028) greater improvement on Hamilton Rating Scale (depression) than risperidone group

Higher percentage in quetiapine group had improvement in CGI at each visit compared with risperidone group

No statistically significant differences between groups in PANSS scale

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Study design Quality	Method of adverse effects assessment	Adverse effects reported	
QUEST; Mullen, 2001	EPS checklist that measured the severity	Deaths: 0 vs 4 (2.3%)	
	of 22 EPS (including 15 motor system	Any event 400 (72.3%), 107 (61.1%), NS	
FAIR	symptoms and 7 parkinsonian symptoms)	Somnolence: 173 (31.3%), 27 (15.4%), p<0.05	
	using a 5-point scale (0=none, 1=a little,	Dry mouth: 80 (14.5%), 12 (6.9%), p<0.05	
Funding: AstraZeneca	2=moderate 3=quite a bit; 4=extreme)	Dizziness: 70 (12.7%), 12 (6.9%), p<0.05	
Pharmaceuticals		Insomnia: 65 (11.8%), 17 (9.7%), NS	
	Safety was assessed through adverse	Headache: 52 (9.4%), 11 (6.3%), NS	
	event, defined as the development of any new medical condition or the deterioration	Agitation: 34 (6.1%), 3 (1.7%), p<0.05	
	of a preexisting medical condition after	Withdrawals due to	
	exposure to drug	Dry mouth: 2 (0.4%), 1 (0.6%)	
		Dizzines: 6 (1.1%), 0	
		Weight gain: 14 (2.5%), 6 (3.4%), p-value nr	
		Weight loss: 4 (0.7%), 0	

Mullen, 1999 (QUEST subgroup)

Funding: AstraZeneca Pharmaceuticals EPS checklist Anti-EPS medication Adjusted study medication dose NR

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Author, year		Total withdrawals;		
Study design		withdrawals	_	
Quality	EPS	due to adverse events	Comments	
QUEST; Mullen, 2001	Quetiapine, risperidone	Withdrawals due to AE: 48		_
	Patients reporting EPS at LOCF: 38.6%, 39.2%, logistic	(8.7%), 9 (5.1%)		
FAIR	regression model of the presence of any EPS in months 14	Total withdrawals: 176		
	showed odds of a risperidone-treated patient having any EPS	(31.8%), 59 (33.7%)		
Funding: AstraZeneca	event were 1.33 times the odds of a quetiapine-treated patient			
Pharmaceuticals	having any EPS event, p=NS			
	At least moderate EPS during trial: 161 (29.8%), 70 (40.9%);			
	1.94 times the odds for risperidone, p=0.003			
	Substantial EPS: 38 (7%), 35 (20.5%); 3.5 time the odds for			
	risperidone, p<0.001			
	Anti-EPS medication use in patients with baseline EPS: 93/293			
	(31.7%), 47/91 (51.6%), p<0.001			

Mullen, 1999 (QUEST subgroup)

Funding: AstraZeneca Pharmaceuticals Extrapyramidal events (EPS checklist) declined in both groups; NR no significant differences between groups in overall occurrence. Odds of risperidone-treated patient having treatment-emergent EPS requiring adjustment of medication or anti-EPS medication 5.6 times greater than odds of quetiapine-treated patient having similar event (p< 0.001). Extrapyramidal symptoms rated as 'at least moderate' (EPS checklist) occurred more frequently at each visit in risperidone participants.

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Author, year Study design Quality Reinstein, 1999 (QUEST subgroup)	Eligibility criteria  Psychosis and: schizophrenia, schizoaffective disorder, bipolar disorder, major depressive	Interventions (drug, dose, duration) quetiapine: flexible (mean 253.9 mg/d); oral	Wash-out period NR
Funding: AstraZeneca Pharmaceuticals	disorder (MDD), delusional disorder, Alzheimer's Disease, schizophreniform disorder, vascular dementia, or substance abuse dementia	risperidone: flexible (mean 4.4 mg/d); oral Duration: 4 months	
Sajatovic, 2002 (QUEST subgroup analysis, Mullen 2001) Multicenter, open label RCT FAIR Funding: AstraZeneca Pharmaceuticals	Psychosis and: schizophrenia, schizoaffective disorder, bipolar disorder, major depressive disorder (MDD), delusional disorder, Alzheimer's Disease, schizophreniform disorder, vascular dementia, or substance abuse dementia. No significant medical disorders, no current clozapine treatment or history of non-response to clozapine, and no history of drug-induced agranulocytosis. For this analysis, Mood Disorder was classified as: 1) schizoaffective disorder, 2) bipolar disorder, and 3) MDD	quetiapine 50-800mg/d risperidone 1-6 mg/d Duration: 4 months	none

Author, year Study design		Method of outcome assessment	Age Gender
Quality	Allowed other medications	timing of assessment	Ethnicity
Reinstein, 1999 (QUEST subgroup)	NR	CGI PANSS DAI-10	NR
Funding: AstraZeneca Pharmaceuticals		HAM-D	
Sajatovic, 2002 (QUEST subgroup analysis, Mullen 2001)	Any deemed medically necessary.  Additional antipsychotics allowed only	PANSS CGI	Mean age 45 73 % white
Multicenter, open label RCT	after attempt to stabilize on assigned drug for 1 month. No depot drugs,	HAM-D	51% male
FAIR	clozapine or olanzapine allowed. Mood stabilizers and antidepressants could		
Funding: AstraZeneca Pharmaceuticals	be continued if dose stable x 2 wks. Rescue meds allowed.		

Final Report Update 1

#### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design Quality	Other population characteristics	Number Screened/ Eligible/ Enrolled	Withdrawn/ Lost to fu/ Analyzed
Reinstein, 1999 (QUEST subgroup)	adult outpatients with psychotic disorders	NR/NR/751	NR
Funding: AstraZeneca Pharmaceuticals			

Sajatovic, 2002 (QUEST subgroup analysis, Mullen 2001) Multicenter, open label RCT 33.7% taking mood stabilizers
33.7 taking antidepressants

NR/NR/729 Of these, 419 with NR/NR/419

enter, open label RCT 57% of total population classified as "mood mood disorders

disorder"

**FAIR** 

Funding: AstraZeneca Pharmaceuticals

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# Author, year Study design

r percentage from quetiapine group had
(p= 0.028)

Sajatovic, 2002 (QUEST sub- Psychosis Efficacy:

group analysis, Mullen 2001) NS difference on PANSS or CGI, reported in Muller 2001

Multicenter, open label RCT Depression: HAM-D Scores

FAIR Change from baseline to LOCF: quetiapine ~5.6, risperidone ~4 (p=0.028)

% Change from baseline: Funding: AstraZeneca quetiapine, risperidone, p-value Pharmaceuticals All patients: -44.6%, -34.4, p=0.0015

Mood disorders: -44.1, -35.7, p=0.0364

NS by individual diagnosis

Non-mood disorders: -45.6, -31.1, p=0.0083

HAM-D score >/=20

Mood disorders: -47%, -34%, p=0.0051 Non-mood disorders: Q>R, p=0.008

HAM-D score 10-19, or <10 NS difference for either group.

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Author, year	
Study design	1

Study design		
Quality	Method of adverse effects assessment	Adverse effects reported
Reinstein, 1999 (QUEST	EPS checklist	NR
subgroup)	Anti-EPS medication	
	Adjusted study medication dose	
Funding: AstraZeneca		
Pharmaceuticals		
Sajatovic, 2002 (QUEST sub-	Substantial EPS defined as 1) use of Anti-	Patients with Mood disorders:
group analysis, Mullen 2001)	EPS med, 2) decrease in dosage, or 3)	risperidone > quetiapine (p<0.001, numbers not reported)
Multicenter, open label RCT	discontinuation. Assessed by symptom	Patients without Mood disorders:
widilicenter, open laber NC1	checklist provided by AstraZeneca (not	NS difference (p=0.063)
FAIR	provided)	No difference (p=0.005)
LAIN	provided)	
Funding: AstraZeneca		
Pharmaceuticals		
Hamadadadad		

Author, year		Total withdrawals;	
Study design		withdrawals	
Quality	EPS	due to adverse events	Comments
Reinstein, 1999 (QUEST subgroup)	EPS checklist: extrapyramidal events in both groups declined over treatment period, with no significant differences between groups in overall occurrence; risperidone group more likely to	NR	
Funding: AstraZeneca Pharmaceuticals	have extrapyramidal event and more likely (p < 0.001) to be one requiring adjustment of study medication or adjunctive medication than quetiapine group		
Sajatovic, 2002 (QUEST sub- group analysis, Mullen 2001) Multicenter, open label RCT FAIR Funding: AstraZeneca Pharmaceuticals	NR	NR	Analysis of effect of EPS on HAM-D scores by ANCOVA: subset of patients who had at worst mild akinesia, hypokinesia or akathisia at baseline and did not get worse during trial showed quetiapine superior to risperidone on HAM-D score (p=0.017) - not clear which group of patients, size of group, or timing of assessments.

Author, year			
Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
Simpson, 2004	Between Ages 18-55 yrs, females not of	Olanzapine (n= 133): daily mean dose-	NR
multicenter, DB, Parallel,	childbearing potential, hospitalized no more	11.3 mg	
flexible-dose	than 2 consecutive weeks immediately before	Ziprasidone (n= 136): daily mean dose-	
	screening, schizophrenia/schizoaffecive	129.9 mg	
Inpatients	disorder, persistent psychotic symptoms for the	6 weeks duration	
	week before hospitalization, score of ≥4 before		
Funding: Pfizer, Inc	screening on CGI, score of >4 on at least one		
	of the Positive and Negative Syndrome Scale,		
	normal laboratory results, normal ECG results,		
	negative reults on urine drug screen a entry		

Final Report Update 1

Drug Effectiveness Review Project

# Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year			Age
Study design		Method of outcome assessment	Gender
Quality	Allowed other medications	timing of assessment	Ethnicity
Simpson, 2004	Lorazepam, benztropine.	Brief Psychiatric Rating Scale (BPRS), Clinical Global	Mean age: 37.7 years
multicenter, DB, Parallel,		Impression (CGI), CGI improvement scale, Positive and negative	Male: 176/269(65%)
flexible-dose		Syndrome Scale, Calgary Depression Scale for Schizophrenia,	Female: 93/269(35%)
		fasting lipid profiles, fasting glucose, insulin measurements,	White: 141/269(52%)
Inpatients		electrocardiography, monitoring of vital signs and body weight	Black: 65/269(24%)
			Asian: 6/269(2%)
Funding: Pfizer, Inc			Hispanic: 28/269(10%)
			Other: 7/269(3%)

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Withdrawn/

Lost to fu/ Analyzed

115 (42.6%)/NR/269

#### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design **Number Screened/** Quality Other population characteristics **Eligible/ Enrolled** In-Patient population: 100% 367/269/269

Simpson, 2004 multicenter, DB, Parallel,

flexible-dose

Inpatients

Funding: Pfizer, Inc

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### Author, year Study design

Results
BPRS Total Scores:
Difference at endpoint: p=0.77, Cl=-2.36 to 3.18
CGI Severity Scale: p=0.95, CI -0.27 to 0.29
Positive and Negative Syndrome Scales: Cl= -4.44 to 5.21
CGI Improvement Scale:
Very much improved: Z: 15.1% vs O: 17.8%
Much improved: Z: 34.1% vs O: 38.8%
Calgary Depression Scale for Schizophrenia:
p=0.38, 95% CI= -0.48 to 1.24
Serum lipid profile results- Median changes:
Total cholestrol: O: +19.5 mg/dl vs Z: -1 mg/dl; p<0.0001
Triglycerides: O: +26 mg/dl vs Z: -2 mg/dl; p=0.77
LDL cholestrol: O: +13 mg/dl vs Z: -1 mg/dl; p=0.78
Homocystine levels: O: -1.06 mg/dl vs Z: -0.38 mg/dl; p<0.005
Apolipoprotein B levels: O: +9.0 mg/dl vs Z: -3.0 mg/dl; p<0.0001
Glucose metabolism results- Median changes:
Fasting serum glucose levels: Z: 1.0 mg/dl vs O: 1.0 mg/dl
Fasting serum insulin levels: O: +3.30 vs Z: +0.25; p=0.051
C-peptide levels: O: +0.46 vs Z: +0.16; p=0.07
Uric acid levels-Median changes: O: + 0.65 vs Z: +0.10; p<0.004

Final Report Update 1

### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Autho	r, y	/ea	ar
Study	de	si	gn

Quality	Method of adverse effects assessment	Adverse effects reported	
Simpson, 2004	Patient report, physical examinations	Body as a whole: Z: 52(38.2%) vs O: 39(29.3%)	
multicenter, DB, Parallel,		Cardiovascular: Z: 7(5.1%) vs O: 10(7.5%)	
flexible-dose		Digestive: Z: 55(40.4%) vs O: 41(30.8%)	
		Endocrine: Z: 1(0.7%) vs O: 0(0%)	
Inpatients		Hematic and lymphatic: Z: 3(2.2%) vs O: 5(3.8%)	
•		Metabolic and nutritional: Z: 5(3.7%) vs O: 14(10.5%)	
Funding: Pfizer, Inc		Musculoskeletal: Z: 8(5.9%) vs O: 8(6.0%)	
		Nervous: Z: 82(60.3%) vs O: 64(48.1%)	
		Respiratory: Z: 24(17.6%) vs O: 16(12.0%)	
		Skin and appendages: Z: 14(10.3%) vs O: 10(7.5%)	
		Special senses: Z: 8(5.9%) vs O: 6(4.5%)	
		Urogenital: Z: 9(6.6%) vs O: 5(3.8%)	

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Drug Effectiveness Review Project

# Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design		Total withdrawals; withdrawals		
Quality	EPS	due to adverse events	Comments	
Simpson, 2004 multicenter, DB, Parallel, flexible-dose	Scales used: Extrapyramidal Symptom Rating Scale, Barnes akathisia scale, Abnormal Involuntary Movement Scale (AIMS)			
Inpatients				
Funding: Pfizer, Inc				

Author, year Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
Zhong, 2004	Men or women, aged 18-65 years old, with a	Quetiapine 50 mg/d, increased to 400	NR
Poster Only	diagnosis of catatonic, disorganized, paranoid,	mg/d by day 5, then flexibly dosed in	
RCT	or undifferentiated schizophrenia according to	range of 200-880 mg/d (mean dose=525	
	DSM-IV; PANSS total score of ≥ 60 at baseline	mg)	
	(Day 1); a baseline score of ≥ 4 on one or more	Risperidone 2 mg/d, increased to 4 mg/d	
	of the PANSS items for delusions, conceptual	by day 5, then flexibly dosed in range of 2	-
	disorganization, hallucinatory behavior, and suspiciousness/persecution; CGI-S score ≥ 4	8 mg/d (mean dose=5.2 mg)	
	at baseline	Duration: 8 weeks	
		Setting: hospitalized for ≥ 7 days following	l
		randomization	

#### Quetiapine vs Risperidone vs Fluphenazine

Funding: Pfizer, Inc

Kelly, 2005 RCT, DB	treatment-resistant schizophrenia, medically healthy	N=38 400 mg/day quetiapine, or 4 mg/day risperidone, or 12.5 mg/day fluphenazine	NR
Thyroid results from Conley 2003 (different from the Conley 2003 above)	,	6 weeks duration	

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Author, year			Age
Study design		Method of outcome assessment	Gender
Quality	Allowed other medications	timing of assessment	Ethnicity
Zhong, 2004	NR	PANSS total and subscale: change from baseline to Day 56;	Mean age 39.94
Poster Only		proportion of patients with CGI-C ratings of "much improved" or	75.7% male
RCT		"very much improved" at the final assessment, and response	50.8% black
		rate, which was defined as the proportion of patients who	38.7% white
		achieved at least a 40% reduction on PANSS total and subscale	7.6% Hispanic
		scores at the end of treatment	2.9% other ethnicity
		Timing: days 1, 4, 8, 15, 28, 42 and 56	

### Quetiapine vs Risperidone vs Fluphenazine

Kelly, 2005 RCT, DB  Thyroid results from Conley 2003 (different from the Conley 2003 above)	lorazepam, benztropine, oral hypoglycemics, laxatives, diuretics, nonsteroidal anti-inflammatory agents, antibiotics, antihypertensives	Blood drawn at baseline, and at end of study. Tests included: total serum thyroxine, free thyroxine index, serum T3 resin uptake, TSH	Mean age: 43.8 Male: 73% Black: 60% White: 40%	
Funding: Pfizer, Inc				

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Author, year Study design		Number Screened/	Withdrawn/
Quality	Other population characteristics	Eligible/ Enrolled	Lost to fu/ Analyzed
Zhong, 2004	Glucose (mg/dL): 99.7	NR/NR/673	351 (52.1%)
Poster Only	Weight (kg): 86.6	quetiapine 338	withdrawn/analyzed nr
RCT	Prolactin (ng/mL): 22.65	risperidone 335	•
	PANSS total scores: 92.5	·	

#### Quetiapine vs Risperidone vs Fluphenazine

Kelly, 2005 NR NR/NR/38 NR/NR/30 RCT, DB

Thyroid results from Conley 2003 (different from the Conley 2003 above)

Funding: Pfizer, Inc

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### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Study design	
Quality	Results
Zhong, 2004	Change from baseline to endpoint for PANSS total scores: quetiapine=risperidone, p-value nr
Poster Only	Proportions of patients with ≥ 40 reduction in PANSS total, positive, negative, and general pathology scores:
RCT	quetiapine=risperidone, p-values nr
	CGI-C (% patients who were "much" or "very much" improved by Day 56): quetiapine=risperidone, p-values nr

#### Quetiapine vs Risperidone vs Fluphenazine

Funding: Pfizer, Inc

Kelly, 2005	Change in Thyroid Function Test Results: Mean + SD Change
RCT, DB	Total serum thyroxine: Q: -2.37 + 1.48 vs R: -0.01 + 1.02 vs F: 0.62 + 1.91; p=.01
	Free thyroxine index: Q: -0.76 + 0.68 vs R:0.07 + 0.48 vs F: 0.22 + 0.62; p=NS
	Serum T3 resin uptake: Q: -0.00 + 2.76 vs R: 0.38 + 1.92 vs F: 0.30 + 1.36; p=NS
Thyroid results from Conley	Thyroid-stimulating hormone: Q: -0.86 + 1.6 vs R: -0.28 + 1.05 vs F: -0.49 + 1.68; p=NS
2003 (different from the Conley	
2003 above)	
·	

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Author, year
Study design
Quality

Zhong, 2004

Poster Only

**RCT** 

# Method of adverse effects assessment

Change from baseline to the endpoint on the SAS, AIMS, BARS; the incidence of reported adverse events related to EPS

and the incidence of treatment-emergent adverse events; and reporting of laboratory Dry mouth: 41 (12.1%), 17 (5.1%) test results, vital sign measurements and clinically significant changes in weight, glucose, prolactin, and ECG results

Adverse effects reported Quetiapine, risperidone, p-values not provided

Somnolence: 89 (26.3%), 66 (19.8%) Headache: 51 (15.1%), 56 (16.8%) Dizziness: 48 (14.2%), 32 (9.6%)

Agitation: 5 (17%), 3 (10%)

Withdrawals due to somnolence: 2 (0.6%), 1 (0.3%)

Withdrawals due to akathisia: 0, 4 (1.2%) Withdrawals due to dystonia: 0, 6 (1.8%)

EPS-related adverse events: 43 (12.7%) vs 73 (21.9%), p<0.01 BARS improvement: quetiapine > risperidone, p-value nr SAS and AIMS improvement: quetiapine=risperidone Sexual adverse events: 2 (0.6%), 15 (4.5%), p-value nr

Change in plasma prolactin (ng/mL) All patients: -11.5, +35.5, p<0.001

Females: -12, +63 (estimated from graph), p<0.001 Mean change in glucose levels (mg/dL): 3.9, 4.5 % pts with blood glucose levels ≥ 230: 1.8, 1.7

Mean change in weight (kg): 1.6, 2.2 % pts with ≥ 7% gain: 10.4 vs 10.4

### Quetiapine vs Risperidone vs Fluphenazine

Kelly, 2005 RCT, DB

NR

NR

Thyroid results from Conley 2003 (different from the Conley 2003 above)

Funding: Pfizer, Inc

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Author, year		Total withdrawals;
Study design		withdrawals
Quality	EPS	due to adverse events Comments
Zhong, 2004		Withdrawals due to adverse
Poster Only		events (# patients; population
RCT		analyzed nr): 20 vs 23

### Quetiapine vs Risperidone vs Fluphenazine

Kelly, 2005 NR NR RCT, DB

Thyroid results from Conley 2003 (different from the Conley 2003 above)

Funding: Pfizer, Inc

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Author, year			
Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
Risperidone: Oral vs			
Injectable			
Chue, 2005	Inpatients and outpatients aged 18-65 years,	N=640	2 weeks of all
RCT, double-dummy,	schizophrenia, total PANSS score >50, no	All patients received flexible does of 1-6	antipsychotics
multicenter, DB	clinical relevant abnormal biochemistry,	mg of oral risperidone for first 8 weeks,	
	hematology or urninalysis, remained stable	then randomized to either injectable or	
inpatients and outpatients	with CGI scores during last 4 weeks of risperidone run-in	oral (double-dummy)	
Funding: Janssen Research	·		
Foundation			

Author, year			Age
Study design		Method of outcome assessment	Gender
Quality	Allowed other medications	timing of assessment	Ethnicity
Risperidone: Oral vs			
Injectable			
Chue, 2005	NR	PANSS, CGI	Mean age: 40 years
RCT, double-dummy,			Male: 414(64.5%)
multicenter, DB			White: 562(87.8%)
			Black: 35 (5%)
inpatients and outpatients			Asian: 16 (2.5%)
			Hispanic: 1 (0%)
Funding: Janssen Research Foundation			Other: 26 (4%)

Final Report Update 1

### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design Quality Risperidone: Oral vs Injectable	Other population characteristics	Number Screened/ Eligible/ Enrolled	Withdrawn/ Lost to fu/ Analyzed
Chue, 2005	Schizophrenia types:	779/642/640	NR
RCT, double-dummy, multicenter, DB	Paranoid: Oral: 195(60.7) vs Inj: 200 (62.7%)		
municemen, DB	Undifferentiated: Oral: 56(17.4%) vs Inj:		
inpatients and outpatients	57(17.9%)		
	Residual: Oral: 48(15%) vs Inj: 43(13.5%)		
Funding: Janssen Research	Disorganized: Oral: 20(6.2%) vs Inj:		
Foundation	16(5%)		
	Catatonic: Oral: 2(6%) vs Inj: 3(9%)		

Author, year Study design

Quality	Results
Risperidone: Oral vs	
Injectable	
Chue, 2005	Changes at Endpoint: Mean + SD; 95% CI:
RCT, double-dummy,	PANSS total: Oral: -6.3+ 0.7 vs Inj: -5.4 +0.7; -0.90, 2.78
multicenter, DB	Positive symptoms: Oral: -2.0+0.3 vs Inj: -1.7+0.3; -0.34,0.99
	Negative symptoms: Oral: -1.6+0.3 vs Inj: -1.5+0.3; -0.59,0.82
inpatients and outpatients	Disorganized thoughts: Oral: -1.2+0.2 vs Inj: -1.1+0.2; -0.34, 0.71
	Uncontrolled: Oral: -0.4+0.1 vs Inj: -0.3+0.1; -0.22,0.57
Funding: Janssen Research	Anxiety/depression: Oral: -1.0+0.2 vs Inj: -0.9+0.2; -0.25,0.57
Foundation	

Author, year Study design

QualityMethod of adverse effects assessmentAdverse effects reportedRisperidone: Oral vs<br/>InjectableInjectableChue, 2005<br/>RCT, double-dummy,<br/>multicenter, DBPatient self-reportInsomnia: oral: 9% vs inj: 9.7%<br/>Anxiety: oral: 7.2% vs inj: 10%<br/>Headache: oral: 7.2% vs inj: 8.2%<br/>Psychosis: oral: 4.7% vs inj: 5.3%

Funding: Janssen Research

Foundation

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# Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design		Total withdrawals; withdrawals		
Quality	EPS	due to adverse events	Comments	
Risperidone: Oral vs Injectable				
Chue, 2005 RCT, double-dummy, multicenter, DB	NR	NR		
inpatients and outpatients				
Funding: Janssen Research Foundation				

Final Report Update 1

Funding: AstraZeneca

### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design		Interventions		
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period	
Risperidone vs Quetiapine				
Knogtoring 2004	askizanbrania askizanbrania related psychotic	N. 54	ND	
Knegtering, 2004 open-label	schizophrenia, schizophrenia-related psychotic illness	quetiapine(N=25): 200-1200 mg/d	NR	
open laser		risperidone (N=26): 1-6 mg/d		
Inpatients and outpatients		, , ,		

Final Report Update 1

### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design		Method of outcome assessment	Age Gender
Quality	Allowed other medications	timing of assessment	Ethnicity
Risperidone vs Quetiapine			
Knegtering, 2004 open-label	NR	Antipsychotics and Sexual Functioning Questionnaire (ASFQ), Utvalg for Kliniske Undersogelser (UKU), PANSS	Mean age: 70.5% Male
Inpatients and outpatients			
Funding: AstraZeneca			

Final Report Update 1 Drug Effectiveness Review Project

### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design		Number Screened/	Withdrawn/		
Quality	Other population characteristics	Eligible/ Enrolled	Lost to fu/ Analyzed		
Risperidone vs Quetiapine					
Knegtering, 2004	Clinical Diagnoses:	NR/51	NR		
open-label	Brief psychoic disorder: 3(5.8%)				
•	Schizophreniform disorder: 8(15.6%)				
Inpatients and outpatients	Schizophrenia: 29(56.8%)				
,	Schizoaffective disorder: 2(3.9%)				
Funding: AstraZeneca	Delusional disorder: 1(1.9%)				
	Psychosis: 7(13.7%)				

Final Report Update 1

#### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design

Quality Results

Risperidone vs Quetiapine

Knegtering, 2004 Patients Reporting Sexual Dysfunction at Endpoint:

open-label Q: 4/25(16%) vs R: 12/24(50%); p=0.006

Inpatients and outpatients Prolactin levels (Mean + SD) and Sexual Dysfunction:

Prolactin:

Funding: AstraZeneca Male: Q: 12.1 + 10.1 vs R: 47.1 + 24.1; P=0.00

Female: Q: 18.0 + 21.5 vs R: 78.1+ 55.4; P=0.001

Decreased libido:

Male: Q: 4/19(21%) vs R: 6/15(40%); P=0.12

Female: Q: 0 vs R: 3/10(30%); P=0.07

Decreased erection:

Male: Q: 2/15(11%) vs R: 5/15(33%); P=0.05

Decreased vaginal lubrication:

Female: Q: 0 vs R: 3/9(38%); P=0.05

Decreased orgasm:

Male: Q: 1/16(6%) vs R: 4/15(27%); P=0.05 Female: Q: 4/15(27%) vs R: 3/8(38%); P=0.06

Ejaculation dysfunction:

Male: Q: 2/14(14%) vs R: 4/14(29%); P=0.18

Sexual dysfunction:

Male: Q: 4/19(21%) vs R: 8/14(57%); P=0.02 Female: Q: 0 vs R: 4/10(40%); P=0.04

PANSS total scores: Q: 5.4+12.3 vs R: 8.4+11.2; P=0.43

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Author, year Study design

otady acoign		
Quality	Method of adverse effects assessment	Adverse effects reported
Risperidone vs Quetiapine		
Knegtering, 2004 open-label	NR	NR
Inpatients and outpatients		
Funding: AstraZeneca		

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Drug Effectiveness Review Project

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design		Total withdrawals; withdrawals	
Quality	EPS	due to adverse events	Comments
Risperidone vs Quetiapine			<del>-</del>
Knegtering, 2004 open-label	NR	NR	
Inpatients and outpatients			
Funding: AstraZeneca			

Author, year Study design Quality	Eligibility criteria	Interventions (drug, dose, duration)	Wash-out period
Risperidone vs Olanzapine vs Clozapine vs Haloperidol			
Volavka, 2001 RCT, DB Inpatients Funding: NIMH, Foundation of Hope, Raleigh, NC, Eli Lilly	Treatment-resistant, inpatients with DSM-IV diagnosis of schizophrenia, or schizoaffective disorder	14 week trial: clozapine (N=40): target for weeks 1-8: 500 mg/day, mean dose for weeks 9-14: 526.6 mg/day olanzapine (N=39): target for weeks 1-8: 20 mg/day, mean dose for weeks 9-14: 30.4 mg/day risperidone (N=41): target for weeks 1-8: 8 mg/day, mean dose for weeks 9-14: 11.6 mg/day haloperidol (N=37): target for weeks 1-8: 20 mg/day, mean dose for weeks 9-14: 25.7 mg/day	NR

Author, year Study design		Method of outcome assessment	Age Gender
Quality	Allowed other medications	timing of assessment	Ethnicity
Risperidone vs Olanzapine			
vs Clozapine vs Haloperidol			
Volavka, 2001	Benztropine, propranolol, lorazepam,	PANSS - hostility item-conducted at baseline and endpoint,	Mean age: 40.33 years
RCT, DB	diphenhydramine hydrocholide, chloral	PANSS, Extrapyramidal Symptom Rating Scale- conducted at	84% Male
	hydrate	baseline, 8 weeks and endpoint, Glucose levels taken at weeks	29% Caucasian
Inpatients		1, 8, 14, Total Aggression Severity (TAS), Plasma levels of	58.4% African-American
Funding: NIMH, Foundation of		prolactin, tested at weeks 1, 5, 8, 10,12, 14	10.9% Hispanic 2% Asian-Pacific Islander
Hope, Raleigh, NC, Eli Lilly			2 /0 ASIAHTI ACIIIC ISIAHUEI

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design Quality	Other population characteristics	Number Screened/ Eligible/ Enrolled	Withdrawn/ Lost to fu/ Analyzed
Risperidone vs Olanzapine vs Clozapine vs Haloperidol			
Volavka, 2001 RCT, DB	Schizophrenia: 135(86%) Schizoaffective disorder: 22(14%) 100% Male for testing of prolactin levels of	NR/167/157	0/0/157 22 analyzed with Total Aggression Severity
Inpatients	plasma		(TAS) 101 analyzed for
Funding: NIMH, Foundation of Hope, Raleigh, NC, Eli Lilly			glucose and cholestrol levels and weight gain 16 analyzed for prolactin levels of plasma

Author, year Study design

Quality Results

Risperidone vs Olanzapine vs Clozapine vs Haloperidol

Volavka, 2001 PANSS mean scores- hostility item: baseline vs endpoint

RCT, DB clozapine: 2.68 vs 2.24

olanzapine: 2.35 vs 2.24

Inpatients risperidone: 2.40 vs 2.49

haloperidol: 2.42 vs 2.95

Funding: NIMH, Foundation of

Superiority over haloperidol at 14 weeks:

Hope, Raleigh, NC, Eli Lilly

clozapine: (p<0.007) olanzapine: (p<0.02) risperidone: (p=NR)

haloperidol: (p=NR)

Mean glucose level changes from baseline at 8 weeks and 14 weeks:

clozapine: 17.1, 4.4; (p=NS) haloperidol: 8.4, 10.6; (p=NS) olanzapine: 1.9, 14.3; (p<0.02) risperidone: -1.3, 2.7; (p=NS)

Mean change from baseline in cholestrol levels: 8 weeks, 14 weeks

clozapine: 14.7, 16.3 mg/dl; (p=NS) haloperidol: -4.9, -4.4 mg/dl; (p=NS) olanzapine: 12.3, 20.1 mg/dl; (p<0.002) risperidone: 4.2, 9.2 mg/dl; (p=NS)

Overall analysis of variance, effect of medication type on TAS: (p<0.013)

Comparison of clozapine vs haloperidol: (p<0.007)

Overall analysis of variance, effect of medication type on PANSS: (p=0.008)

Negative relationship between TAS vs PANSS: (p=0.0004)

Clozapine's efficacy increased with TAS, efficacy of risperidone and olanzapine decreased with TAS

Olanzapine superior to haloperidol: (p<0.012), olanzapine superior to risperidone: (p<0.016), clozapine to haloperidol: (p<0.06

Pair-wise comparisons significant increase in prolactin levels:

Haloperidol vs clozapine: (p<.002) Haloperidol vs olanzapine: (p<.026) Olanzapine vs clozapine: (p=NS)

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Author, year Study design

Quality Method of adverse effects assessment Adverse effects reported

Risperidone vs Olanzapine vs Clozapine vs Haloperidol

Funding: NIMH, Foundation of

Hope, Raleigh, NC, Eli Lilly

Volavka, 2001 RCT, DB

Inpatients

Physical examination

Weight gain (kg), mean change from baseline

olanzapine: 7.3 (7.6), p<0.0001 clozapine: 4.8(6.1), p<0.0003 risperidone: 2.4(6.3), p=0.09 haloperidol: 0.9(5.7), NS

Association of cholesterol change and weight gain at endpoint

four groups combined, p=0.0008 clozapine group, p=0.008 olanzapine group, p=0.035

after baseline cholesterol and weight were introduced as covariates in the analyses

clozapine group, p<0.03 olanzapine group, p=0.06

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#### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Total withdrawals; Study design withdrawals

Quality EPS due to adverse events Comments

Risperidone vs Olanzapine vs Clozapine vs Haloperidol

Volavka, 2001 Mean Extrapyramidal Symptoms scores from baseline: 0;0

RCT, DB clozapine: at 8 weeks: 5.3; (p<0.03), at 14 weeks: 5.1;

(p<0.005)

Inpatients olanzapine: at 8 weeks: 3.7; (p<<0.0008), at 14 weeks: 3.8;

(p<0.0001)

Funding: NIMH, Foundation of risperidone: at 8 weeks: 4.7; (p<0.002), at 14 weeks: 4.8;

Hope, Raleigh, NC, Eli Lilly (p<0.005)

haloperidol: at 8 weeks: 4.7; (p=NR), at 14 weeks: 4.4; (p=NR)

Atypical Antipsychotic Drugs

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## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
Risperidone vs Ziprasidone			
Addington, 2004	schizophrenia, schizoaffective disorder, 18-65	ziprasidone 40-80 mg b.i.d. (N=149) or	>3 days washout of anti-
DB, RCT, parallel	years of age, PANSS total score <u>&gt;</u> 60, a score of >4 on 2 of the PANSS core items	risperidone 3-5mg b.i.d. (N=147) 8 weeks duration	psychotics, anticholinergic agents,
Funding: Pfizer, Inc			beta-blockers

Author, year Study design		Method of outcome assessment	Age Gender
Quality	Allowed other medications	timing of assessment	Ethnicity
Risperidone vs Ziprasidone			
Addington, 2004 DB, RCT, parallel	NR	Positive and Negative Syndrome Scale (PANSS), Clinical Global Impression-Severity of Illness Scale (CGI-S), CGI-Improvement scale (CGI-I), Brief Psychiatric Rating Scale (BPRSd),	Mean age: 35 years 72.5% Male Ethnicity NR
Funding: Pfizer, Inc		Movement Disorder Burden (MDB), Global Assessment of	EUIIIICILY INK
		Functioning (GAF), Montogomery-Ashberg Depression Rating	
		Scale (MADRS), UKU Side Effect Rating Scale, Simpson-Angus	
		Rating Scale, Barnes Akathisia Scale, Abnormal Involuntary	
		Movement Scale (AIMS), Movement Disorder Burden (MDB),	
		laboratory data, vital signs, body weight, ECG	

Final Report Update 1 Drug Effectiveness Review Project

### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design Quality Risperidone vs Ziprasidone	Other population characteristics	Number Screened/ Eligible/ Enrolled	Withdrawn/ Lost to fu/ Analyzed
Addington, 2004 DB, RCT, parallel	NR	NR/NR/296	NR/NR 198
Funding: Pfizer, Inc			

#### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design

Quality Results

Risperidone vs Ziprasidone

Addington, 2004 Efficacy evaluations: LS mean change from baseline to last visit:

DB, RCT, parallel PANSS total: Z: -25.8 vs R: -27.3

CGI-S: Z: -1.1 vs R: -1.2

Funding: Pfizer, Inc PANSS negative subscale: Z: -6.4 vs R: -6.4

BPRSd total: Z: -15.2 vs R: -15.9 BPRSd core: Z: -5.5 vs R: -6.0 GAF: Z: 16.5 vs R: 15.6

Body weight increase (>7% change):

Z: 10(8.2%) vs R: 20(16.0%)

Body weight decrease (>7% change):

Z: 9(7.4%) vs R: 3(2.4%)

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Autho	r, year
Study	design

Quality Method of adverse effects assessment 
Adverse effects reported Risperidone vs Ziprasidone Addington, 2004 Patient self-report, laboratory tests, Treatment-emergent adverse events reported: DB, RCT, parallel Sexual dysfunction questionnaire Z: 113 (75.8%) vs R: 122(83.0%) Funding: Pfizer, Inc Events reported by patients: Insomnia: Z: 37(24.8%) vs R: 18(12.2%) Somnolence: Z: 31(20.8%) vs R: 26(17.7%) Agitation: Z: 24(16.1%) vs R: 20(13.6%) Headache: Z: 23(15.4%) vs R: 27(18.4%) Akathisia: Z: 19(12.8%) vs R: 30(20.4%) Tremor: Z: 15(10.1%) vs R: 14(9.5%) Sexual Dysfunction Questionnaire: Symptom absent at baseline and present at last visit: Erectile dysfunction: Z: 8% vs R: 10% Ejaculatory dysfunction: Z: 3% vs R: 11% Increased libido: Males: Z: 1% vs R: 5% Females: Z: 10% vs R: 0% Decreased libido: Males: Z: 9% vs R: 15% Females: Z: 5% vs R: 3% Orgastic dysfunction: Males: Z: 5% vs R: 13% Females: Z: 0% vs R: 0%

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### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design		Total withdrawals; withdrawals		
Quality	EPS	due to adverse events	Comments	
Risperidone vs Ziprasid	one			
Addington, 2004	Simpson-Angus scores:	98 withdrawals;		
DB, RCT, parallel	Z: -0.57 (0.33) vs R: -0.23 (0.33); p=.04 Barnes Akathisia scores:	18 withdrawals due to adver events	se	
Funding: Pfizer, Inc	Z: -0.28 vs R: +0.28 (0.21); p=.04 AIMS scores: Z: -0.04 (0.17) vs R: -0.25 (0.17); p=.3 MDB scores: Z: 0.20 vs R: 0.35; p=.015			
	Number of patients who experienced a movement disorder adverse event: R: 54(36.7%) vs Z: 44(29.5%)			

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Author, year Study design Quality Risperidone vs Olanzapine vs Quetiapine vs Clozapine	Eligibility criteria	Interventions (drug, dose, duration)	Wash-out period
Atmaca, 2003 Inpatients Funding: NR	Schizophrenia Exclusion: Co-morbid Axis I disorders, severe physical illness, history of alcohol/substance abuse, history of lipid-lowering treatment, presence of endocrinologic disorder, autoimune, pulmonary, inectious diseases, neoplasms.	6 week study quetiapine(N=14): olanzapine(N=14): risperidone(N=14): clozapine(N=14): control group w/no treatment(N=11):	≥2 weeks
Risperidone vs Olanzapine vs Clozapine			
Naber, 2001 Funding: Eli Lily, Janssen-Cilag, Novartis	Diagnosis of schizophrenia was confirmed by experienced clinicans relying on criteria according to DSM-IV	olanzapine(N=36): 12.92 mg, risperidone(N=28): 3.55mg, clozapine(N=36): 194.44mg	NR

Author, year Study design Quality	Allowed other medications	Method of outcome assessment timing of assessment	Age Gender Ethnicity
Risperidone vs Olanzapine vs Quetiapine vs Clozapine			
Atmaca, 2003	Biperiden hydrochloride, benzodiazepines	Positive and Negative Syndrome Scale (PANSS), body mass index (BMI), weight, fasting serum leptin and triglyceride levels:	Mean age: 30.2 years 54.6% Female
Inpatients	benzoulazopines	taken at baseline and endpoint	Ethnicity NR
Funding: NR			
Risperidone vs Olanzapine vs Clozapine			
Naber, 2001	No	SWN (subjective well-being under neuroleptic treatment), a self-	Mean age: 34.2 years 54% male
Funding: Eli Lily, Janssen- Cilag, Novartis		rating scale, was being developed and compared with the PANSS; this group of patients was assessed at baseline and right before discharge	Ethnicity: NR

Author, year

Study design Number Screened/ Withdrawn/

Quality Other population characteristics Eligible/ Enrolled Lost to fu/ Analyzed

Risperidone vs Olanzapine vs Quetiapine vs Clozapine

Atmaca, 2003 29% psychotropic drug naïve NR/NR/71 NR/NR/64

Inpatients

Funding: NR

Risperidone vs Olanzapine vs Clozapine

Naber, 2001 NR Unclear / unclear / 100 NR/NR/100

Funding: Eli Lily, Janssen-

Cilag, Novartis

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Author, year Study design

Quality Results

Risperidone vs Olanzapine vs Quetiapine vs Clozapine

Atmaca, 2003 Mean scores changes at Endpoint:

Quetiapine:

Inpatients Body weight: 4.41; (p<.05), PANSS score: (p<.01), BMI: (p=.26)

Olanzapine:

Funding: NR Body weight: 8.92; (p<.01), PANSS score: (p<.001), BMI: (p<.05)

Risperidone:

Body weight: 0.54; (p=.91), PANSS score: (p<.01), BMI: (p=.71)

Clozapine:

Body weight: 6.52; (p<.01), PANSS score: (p<.01), BMI: (p<.05)

No treatment/control group:

Body weight: -1.32; (p=.82), PANSS score: (p<.01), BMI: (p=.62)

# Risperidone vs Olanzapine vs Clozapine

Naber, 2001 Change in PANSS mean scores from admission to discharge:

clozapine vs risperidone vs olanzapine

Funding: Eli Lily, Janssen-

Cilag, Novartis

Total scores: -25.5 vs -12.56 vs -23.55 Positive scores: -6.77 vs -5.29 vs -8.34 Negative: -6.06 vs -2.74 vs -5.23

Change in mean SWN scores, admission to discharge:

clozapine vs risperidone vs olanzapine
Total scores: +8.78 vs +8.40 vs +18.97
Mental Functioning: +1.78 vs +0.92 vs +3.77
Social Integration: +1.42 vs +1.34 vs +4.33
Emotional Regulation: +2.00 vs +2.04 vs +3.48

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Author, year Study design

Quality Method of adverse effects assessment Adverse effects reported

Risperidone vs Olanzapine vs Quetiapine vs Clozapine

Atmaca, 2003 weight, body mass index,

NR

fasting serum leptin and triglyceride Inpatients levels taken at baseline and endpoint

Funding: NR

Risperidone vs Olanzapine vs Clozapine

Naber, 2001 Change in mean SWN scores, admission to discharge:

clozapine vs risperidone vs olanzapine

Funding: Eli Lily, JanssenPhysical Functioning: +1.58 vs +1.65 vs +4.86

Cilag, Novartis Self-control: +1.6 vs +2.16 vs +2.83

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Author, year Study design		Total withdrawals; withdrawals	
Quality Risperidone vs Olanzapine vs Quetiapine vs Clozapine	EPS	due to adverse events	Comments
Atmaca, 2003 Inpatients	NR	NR; NR	
Funding: NR			
Risperidone vs Olanzapine vs Clozapine			
Naber, 2001 Funding: Eli Lily, Janssen-Cilag, Novartis	NR	NR; NR	There were two groups of patients, one group n=212 and was divided into typicals vs atypicals. The second group was n=100, and was divided between clozapine, risperidone, and olanzapine. It was unclear if the two groups were the same. Olanzapine and risperidone pts were psuedo-randomized; clozapine was given because of insufficient antipsychotic treatment or severe motor symptoms under previous medications. Olanzapine pts were significantly younger than risperidone.

Author, year			
Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
Switched to Ziprasidone from	1		
Olanzapine, Risperidone, or			
Typical Antipsychotic			
medication			
Weiden 2003	Men or women aged 18 to 55, DSM-IV	Flexible dose of ziprasidone though week	
open-label	schizophrenia or schizoaffective disorder	6 (40-160mg/d)	switched:
CCT	outpatients status for ≥ 3 months; treatment	Maan zinrozadana dailu daasi	Complete discontinuation:
(3 separate open-label studies on switching to Z from O, R, or	with current antipsychotic within 25% of recommended dosage for ≥ 3 months with at	Mean ziprazadone daily dose: 91mg for those switched from	previous drug was stopped the day before
Typicals)	least partial response (CGI-I score <4 since the	•	the switch to Z:
i ypidais)	initiation of current antipsychotic); inadequate	90mg for those switched from olanzapine;	· · · · · · · · · · · · · · · · · · ·
	response to or poor tolerability of current	92mg for those switched from risperidone	reduction: a 50%
Funding: Pfizer, Inc	medication; and 8th grade reading level.	ě ,	reduction in dosage of
-	-	6-week duration	previous antipsychotic for
			the first wk of Z followed
			by discontinuation of
			previous starting wk 2
			Delayed dose reduction:
			previous drug reduced by
			50% starting on the fourth
			day of Z treatment and was discontinued by the
			second wk of Z treatment
			occoria wit of 2 treatment

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design		Method of outcome assessment	Age Gender
Quality	Allowed other medications	timing of assessment	Ethnicity
Switched to Ziprasidone from	1		
Olanzapine, Risperidone, or			
Typical Antipsychotic			
medication			
Weiden 2003	Other psychotropic agents were not	PANSS and CGI were conducted by investigators or trained	Mean age: 37.6 years
open-label	allowed (except for anti-EPS agents)	research assistants	Age range: 18-61years
CCT			65.5% male
(3 separate open-label studies			
on switching to Z from O, R, or			Ethnicity: NR
Typicals)			

Funding: Pfizer, Inc

### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year			
Study design		Number Screened/	Withdrawn/
Quality	Other population characteristics	Eligible/ Enrolled	Lost to fu/ Analyzed
Switched to Ziprasidone from	1		
Olanzapine, Risperidone, or			
Typical Antipsychotic			
medication			
Weiden 2003	Mean baseline PANSS total score	NR/ NR/ 270	Unclear: numbers
open-label	Conventional: 67.5 (SD: 16.3)		analyzed changed
CCT	Olanzapine: 65.6 (SD: 16.7)		depending on the test
(3 separate open-label studies	Risperidone: 71.0 (SD: 19.0)		
on switching to Z from O, R, or			
Typicals)	Mean baseline CGI-S		
	Conventional: 3.5 (SD: 0.74)		
	Olanzapine: 3.5 (SD: 0.81)		
Funding: Pfizer, Inc	Risperidone: 3.7 (SD: 0.74)		

Author, year Study design

Quality Results

Switched to Ziprasidone from Olanzapine, Risperidone, or Typical Antipsychotic

medication Weiden 2003

all results were health indices

open-label

CCT

(3 separate open-label studies on switching to Z from O, R, or

Typicals)

Funding: Pfizer, Inc

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#### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design

Quality Method of adverse effects assessment 
Adverse effects reported

Switched to Ziprasidone from Olanzapine, Risperidone, or **Typical Antipsychotic** 

medication

Weiden 2003 open-label

CCT (3 separate open-label studies

Typicals)

Funding: Pfizer, Inc

throughout the study; vital signs and body weight were measured at baseline and

weekly. EPS were assessed at baseline on switching to Z from O, R, or and at enpoint using the Simpson-Angus

> Barnes Akathisia scale for akathisia. Metabolic and endocrine lab tests were

performed at screening and endpoint

AEs incidence and severity were recorded Mean body weight change in patients from baseline to week 6; p-values for baseline vs wk 6:

Olanzapine (n=99): -1.8 kg (estimated from figure), p<0.0001

Risperidone (n=55): - 0.86kg, p<0.002

Conventional antipsychotics (n=102): +0.27kg, p=0.3

scale for Parkinsonisn side effects and the Median change in prolactin levels baseline to wk 6 (approximated from figure; p-values for baseline vs wk 6)

> Olanzapine (n=92): -2 mg/ml, p=0.6 Risperidone (n=49): -32 mg/ml, p<0.0001

Conventional antipsychotics (n=81): -4 mg/ml, p<0.05

Median change in triglyceride levels baseline to wk 6; p-values for baseline vs wk 6:

Olanzapine (n=91): -50 mg/dL, p<0.0001 Risperidone (n=50): -29 mg/dL, p<0.01

Conventional antipsychotics (n=82): -17mg/dL, p=NS (estimated from graph)

Median change in total nonfasting cholesterol levels baseline to wk 6; p-values for baseline vs wk

Olanzapine (n=91): -21 mg/dL, p<0.0001 (estimated from graph) Risperidone (n=50): -18mg/dL, p<0.01 (estimated from graph)

Conventional antipsychotics (n=82): - 3 mg/dL, p= NS (estimated from graph)

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Author, year		Total withdrawals;	
Study design		withdrawals	
Quality	EPS	due to adverse events	Comments
Switched to Ziprasidone from	1		
Olanzapine, Risperidone, or			
Typical Antipsychotic			
medication			
Weiden 2003	Mean Simpson-Angus scores:	The studies were completed	
open-label	Significant % improvement after switching from:	by 72%, 79%, and 79% of	
CCT	Conventional antipsychotics: 48% improvement, p<0.0001,	patients switched from	
(3 separate open-label studies	effect size 0.493	conventional antipsychotics,	
on switching to Z from O, R, or	Risperidone: 45% improvement, p<0.001, effect size: 0.381	olanzapine, and risperidone,	
Typicals)		respectively	
	Concomitant antiparkinsonian drug use decreased for patients		
	who switched from conventional antipsychotics: 58% at	Discontinuations due to AEs	
Funding: Pfizer, Inc	baseline to 14.8% after 6 wks	after swtiching from:	
	Concomitant antiparkinsonian drug use decreased for prior	Conventional antispychotics:	
	risperidone pts from 26% to 8.6% at 6 weeks	11%	
		Olanzapine: 6%	
		Risperidone: 9%	

### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year Study design		Interventions	
Quality	Eligibility criteria	(drug, dose, duration)	Wash-out period
CATIE STUDY			
Lieberman 2005	Patients age 18-65, DSM-IV criteria for	olanzapine 7.5mg	Overlap in the
(CATIE Study)	schizophrenia, be appropriate candidates for	quetiapine 200mg	administration of the
Row 1 of 3	oral therapy (patients assessment in	risperidone 1.5mg	antipsychotic agent that
	conjunction with clinician), have adequate	perphenazine 8mg	patients received before
Funding: NIHM grant,	decisional capacity to decide to participate.	ziprasidone 40mg	the study entry was
Foundation of Hope of Raleigh	,		permitted for the first four
N.C.		The dose of medications was flexible, ranging from one to four capsules daily, and was based on the study doctor's judgment	weeks after randomization to allow a gradual transition to study medication

Author, year			Age
Study design		Method of outcome assessment	Gender
Quality	Allowed other medications	timing of assessment	Ethnicity
CATIE STUDY			
Lieberman 2005	Concomitant medications were	Primary outcome measure:	Mean age: 40.6 years
(CATIE Study)	permitted throughout the trial, except	-discontinuation of treatment for any cause	26% Female
Row 1 of 3	for additional antipsychotic agents.	Secondary outcome	Ethnicity: white 60%;
		-PANSS	black 35%; hispanic 12%;
Funding: NIHM grant,		-CGI	5% other
Foundation of Hope of Raleigh	1,	-Laboratory measures	
N.C.			

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### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year		Normalis and Operation and A	MCd. dans
Study design Quality	Other population characteristics	Number Screened/ Eligible/ Enrolled	Withdrawn/ Lost to fu/ Analyzed
CATIE STUDY	Cirio population onal actoricace	Lingibio, Linionou	Loot to fai Amary Lou
Lieberman 2005	depression 28%	NR/NR/1493	NR/NR/1460
(CATIE Study)	alcohol dependence or alcohol abuse 25%		
Row 1 of 3	drug dependence or drug abuse 29%		
	obsessive-compulsive disorder 5%		
Funding: NIHM grant,	other anxiety disorder 14%		
Foundation of Hope of Raleigh,			
N.C.			

## Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year
Study design

Study design	
Quality	Results
CATIE STUDY	
Lieberman 2005	The time to the discontinuation of treatment for any cause: hazard ratio (95%CI)
(CATIE Study)	olanzapine vs quetiapine: 0.63(0.52-0.76)
Row 1 of 3	olanzapine vs risperidone: 0.75(0.62-0.90)
	olanzapine vs perphenazine: 0.78(0.63-0.96), NS after adjustment
Funding: NIHM grant,	olanzapine vs ziprasidone: 0.76(0.60-0.97), NS after adjustment
Foundation of Hope of Raleigh,	quetiapine vs risperidone: 1.19(0.99-1.42)
N.C.	quetiapine vs perphenazine: 1.14(0.93-1.39)
	quetiapine vs ziprasidone: 1.01(0.81-1.27)
	risperidone vs perphenazine: 1.00(0.82-1.23)
	risperidone vs ziprasidone: 0.89(0.71-1.14)
	perphenazine vs ziprasidone: 0.90(0.70-1.16)
	The time to the discontinuation of treatment for lack of efficacy: hazard ratio (95%CI)
	olanzapine vs quetiapine: 0.41(0.29-0.57)
	olanzapine vs risperidone: 0.45(0.32-0.64)
	olanzapine vs perphenazine: 0.47(0.31-0.70)
	olanzapine vs ziprasidone: 0.59(0.37-0.93), NS after adjustment
	quetiapine vs risperidone: 0.49(NR)
	quetiapine vs perphenazine: 0.47(NR)
	quetiapine vs ziprasidone: 0.69(NR)
	risperidone vs perphenazine: 0.59(NR)
	risperidone vs ziprasidone: 0.93(NR)
	perphenazine vs ziprasidone: 0.44(NR)

Author, year Study design Quality	Method of adverse effects assessment	Adverse effects reported
CATIE STUDY		·
Lieberman 2005 (CATIE Study) Row 1 of 3  Funding: NIHM grant, Foundation of Hope of Raleigh N.C.	AIMS global severity Barnes Akathisia Rating Scale Simpson-Angus Extrapyramidal Signs Scale	olanzapine vs quetiapine vs risperidone vs perphenazine vs ziprasidone, p value Hospitalization for exacerbation of schizophrenia, $no(\%)$ : $33(11\%)$ vs $68(20\%)$ vs $51(15\%)$ vs $41(16\%)$ vs $33(18\%)$ , p<0.001 Hospitalization risk ratio: $0.29$ vs $0.66$ vs $0.45$ vs $0.51$ vs $0.57$ Any serious adverse events, $no(\%)$ : $32(10\%)$ vs $32(9\%)$ vs $33(10\%)$ vs $29(11\%)$ vs $19(10\%)$ , p=0.47 Any moderate or severe spontaneously reported adverse event, $no(\%)$ : $122(36\%)$ vs $113(34\%)$ vs $123(36\%)$ vs $79(30\%)$ vs $65(35\%)$ , p=0.10 Insomnia: $55(16\%)$ vs $62(18\%)$ vs $83(24\%)$ vs $66(25\%)$ vs $56(30\%)$ , p,0.001 Hypersonmia: $104(31\%)$ vs $103(31\%)$ vs $96(28\%)$ vs $74(28\%)$ vs $45(24\%)$ , p=0.18 Utrinary hesitancy, dry mouth, constipation: $79(24\%)$ vs $105(31\%)$ vs $84(25\%)$ vs $57(22\%)$ vs $37(20\%)$ , p,0.001 Decreased sex drive, arousal, ability to reach orgasm: $91(27\%)$ vs $69(20\%)$ vs $91(27\%)$ vs $64(25\%)$ vs $35(19\%)$ , p=0.59 Gynecomastia, galactorrhea: $7(2\%)$ vs $6(2\%)$ vs $14(4\%)$ vs $4(2\%)$ vs $6(3\%)$ , p=0.15 Menstrual irregularities: $11(12\%)$ vs $5(6\%)$ vs $16(18\%)$ vs $7(11\%)$ vs $8(14\%)$ , p=0.17 Incontinence, nocturia: $18(5\%)$ vs $15(4\%)$ vs $25(7\%)$ vs $6(2\%)$ vs $10(5\%)$ , p=0.04 Orthostatic faintness: $31(9\%)$ vs $38(11\%)$ vs $37(11\%)$ vs $29(11\%)$ vs $24(13\%)$ , p=0.08 Discontinuation of treatment owing to intolerability, $no(\%)$ -discontinuation: $62(18\%)$ vs $49(15\%)$ vs $34(10\%)$ vs $40(15\%)$ vs $28(15\%)$ , p=0.04 -weight gain or metabolic effects: $31(9\%)$ vs $34(10\%)$ vs $40(15\%)$ vs $2(1\%)$ vs $6(3\%)$ , p<0.001 -extrapyramidal effects: $8(2\%)$ vs $10(3\%)$ vs $11(3\%)$ vs $22(8\%)$ vs $7(4\%)$ , p=0.002 -sedation: $7(2\%)$ vs $9(3\%)$ vs $3(1\%)$ vs $7(3\%)$ vs $10(3\%)$ v

Author, year Study design		Total withdrawals; withdrawals	
Quality	EPS	due to adverse events	Comments
CATIE STUDY			
Lieberman 2005 (CATIE Study) Row 1 of 3  Funding: NIHM grant, Foundation of Hope of Raleigh, N.C.	olanzapine vs quetiapine vs risperidone vs perphenazine vs ziprasidone, p value Simpson-Angus Extrapyramidal Signs Scale mean score >= 1: 23(8%) vs 12(4%) vs 23(8%) vs 15(6%) vs 6(4%), p=0.47	olanzapine vs quetiapine vs risperidone vs perphenazine vs ziprasidone, p value Total withdrawals, no(%): 210(64%) vs 269(82%) vs 245(74%) vs 192(75%) vs 145(79%) discontinuation due to intolerability: 62(18%) vs 49(15%) vs 34(10%) vs 40(15%) vs 28(15%), p=0.04	

Author, year

Study design Interventions

Quality Eligibility criteria (drug, dose, duration) Wash-out period

Lieberman 2005 (CATIE Study) Row 2 of 3 (for results and AEs)

Funding: NIHM grant,

Foundation of Hope of Raleigh,

N.C.

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Author, year			Age
Study design		Method of outcome assessment	Gender
Quality	Allowed other medications	timing of assessment	Ethnicity

Lieberman 2005 (CATIE Study) Row 2 of 3 (for results and AEs)

Funding: NIHM grant, Foundation of Hope of Raleigh,

N.C.

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#### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year

Study design Number Screened/ Withdrawn/

Quality Other population characteristics Eligible/ Enrolled Lost to fu/ Analyzed

Lieberman 2005 (CATIE Study) Row 2 of 3 (for results and AEs)

Funding: NIHM grant,

Foundation of Hope of Raleigh,

N.C.

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#### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year
Study design
Quality

#### Results

Lieberman 2005 (CATIE Study) Row 2 of 3 (for results and AEs)

Funding: NIHM grant, Foundation of Hope of Raleigh, N.C. The time to the discontinuation of treatment owing to intolerability: hazard ratio (95%CI)

olanzapine vs quetiapine: 0.84(NR) olanzapine vs risperidone: 0.62(0.41-0.95) olanzapine vs perphenazine: 0.49(NR) olanzapine vs ziprasidone: 0.28(NR) quetiapine vs risperidone: 0.65(0.42-1.00) quetiapine vs perphenazine: 0.97(NR)

quetiapine vs ziprasidone: 0.87(NR) risperidone vs perphenazine: 0.60(0.36-0.98) risperidone vs ziprasidone: 0.79(0.46-1.37) perphenazine vs ziprasidone: 0.19(NR)

Duration of successful treatment: hazard ratio (95%CI)

olanzapine vs quetiapine: 0.53(0.43-0.67) olanzapine vs risperidone: 0.69(0.55-0.87) olanzapine vs perphenazine: 0.73(0.57-0.93) olanzapine vs ziprasidone: 0.75(0.58-0.94) quetiapine vs risperidone: 1.30(1.04-4.63) quetiapine vs perphenazine: 1.28(1.00-1.64) quetiapine vs ziprasidone: 1.06(0.85-1.33) risperidone vs perphenazine: 0.72(NR) risperidone vs ziprasidone: 0.74(NR) perphenazine vs ziprasidone: 0.25(NR)

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<sup>\*</sup>p=0.004 for the interaction between treatment and time

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# Evidence Table 1. Head-to-head trials in patients with schizophrenia

Autho	r, year
Study	design

Study design Quality	Method of adverse effects assessment	Adverse effects reported
Lieberman 2005	moniou or uniono oniono uccoccinioni	Weight gain >7%: 92(30%) vs 49(16%) vs 42(14%) vs 29(12%) vs 12(7%), p<0.001
(CATIE Study)		Weight change, lb, mean(SE): 9.4(0.9) vs 1.1(0.9) vs 0.8(0.9) vs -2.0(1.1) vs -1.6(1.1), p<0.001
Row 2 of 3 (for results and		Weight change, lb/month, mean(SE): 2(0.3)vs 0.5(0.2) vs 0.4(0.3) vs -0.2(0.2) vs -0.3(0.3),
AEs)		p<0.001
Funding: NIHM grant,		AIMS global severity score >= 2: 32(14%) vs 30(13%) vs 38(16%) vs 41(17%) vs 18(14%), p=0.23
Foundation of Hope of Raleigh	,	Barnes Akathisia Rating Scale global score >= 3: 15(5%) vs 16(5%) vs 20(7%) vs 16(7%) vs
N.C.		14(9%), p=0.24
		Simpson-Angus Extrapyramidal Signs Scale mean score >= 1: 23(8%) vs 12(4%) vs 23(8%) vs
		15(6%) vs 6(4%), p=0.47
		Laboratory values, change from baseline, mean(SE) after adjustment, p value
		-blood glucose, mg/dl: 13.7(2.5) vs 7.5(2.5) vs 6.6(2.5) vs 5.4(2.8), p=0.59
		-glycosylated hemosglobin, %: $0.40(0.07)$ vs $0.04(0.08)$ vs $0.07(0.08)$ vs $0.09(0.09)$ vs $0.11(0.09)$ , p=0.01
		-cholesterol, mg/dl: 9.4(2.4) vs 6.6(2.4) vs -1.3(2.4) vs 1.5(2.7) vs -8.2(3.2), p<0.001
		-tryglycerides, mg/dl: 40.5(8.9) vs 21.2(9.2) vs -2.4(9.1) vs 9.2(10.1) vs -16.5(12.2), p<0.001
		-prolactin, ng/dl: -8.1(1.4) vs -10.6(1.4) vs 13.8(1.4) vs -1.2(1.6) vs -5.6(1.9), p<0.001
		Prolonged corrected QT interval, no(%): 0(0%) vs 6(3%) vs 7(3%) vs 2(1%) vs 2(1%), p=0.03

Author, year

Study design

Quality

Total withdrawals;

withdrawals

due to adverse events

Comments

Lieberman 2005 (CATIE Study) Row 2 of 3 (for results and AEs)

Funding: NIHM grant,

Foundation of Hope of Raleigh,

N.C.

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Author, year

Study design Interventions

Quality Eligibility criteria (drug, dose, duration) Wash-out period

Lieberman 2005 (CATIE Study) Row 3 of 3 (for results only)

Funding: NIHM grant,

Foundation of Hope of Raleigh,

N.C.

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Author, year			Age
Study design		Method of outcome assessment	Gender
Quality	Allowed other medications	timing of assessment	Ethnicity

Lieberman 2005 (CATIE Study) Row 3 of 3 (for results only)

Funding: NIHM grant, Foundation of Hope of Raleigh,

N.C.

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#### Evidence Table 1. Head-to-head trials in patients with schizophrenia

Author, year

Study design Number Screened/ Withdrawn/

Quality Other population characteristics Eligible/ Enrolled Lost to fu/ Analyzed

Lieberman 2005 (CATIE Study) Row 3 of 3 (for results only)

Funding: NIHM grant,

Foundation of Hope of Raleigh,

N.C.

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#### Author, year Study design

olday design	
Quality	Results
Lieberman 2005	Patients's decision to discontinue treatment: hazard ratio (95%CI)
(CATIE Study)	olanzapine vs quetiapine: 0.56(0.42-0.75)
Row 3 of 3 (for results only)	olanzapine vs risperidone: 0.67(0.50-0.90)
	olanzapine vs perphenazine: 0.70(0.50-0.98)
Funding: NIHM grant,	olanzapine vs ziprasidone: 0.63(0.43-0.93)
Foundation of Hope of Raleigh,	quetiapine vs risperidone: 0.21(NR)
N.C.	quetiapine vs perphenazine: 0.46(NR)
	quetiapine vs ziprasidone: 0.63(NR)
	risperidone vs perphenazine: 0.95(NR)
	risperidone vs ziprasidone: 0.21(NR)
	perphenazine vs ziprasidone: 0.27(NR)

Author, year Study design

Quality Method of adverse effects assessment Adverse effects reported

Lieberman 2005 (CATIE Study) Row 3 of 3 (for results only)

Funding: NIHM grant,

Foundation of Hope of Raleigh,

N.C.

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Author, year Total withdrawals;
Study design withdrawals
Quality EPS due to adverse events Comments

Lieberman 2005 (CATIE Study) Row 3 of 3 (for results only)

Funding: NIHM grant, Foundation of Hope of Raleigh, N.C.

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Author, year Quality rating	Randomization adequate?	Allocation concealment adequate?	Groups similar at baseline?	Eligibility criteria specified?	Outcome assessors masked?	Care provider masked?
Aripiprazole vs Olanzapine						
Cornblatt, 2002 FDA Study 98213 RCT, multicenter, open label FAIR	NR	NR	Small differences, favoring aripiprazole, on age (younger), IQ tests (with exception of NAART scores) and PANSS scores (Total, Positive, Negative)	Yes	Not reported	No
McQuade 2004 RCT, multicenter, double-blind FAIR	NR	NR	Yes	Yes	NR	Yes
Aripiprazole vs Risperidone						
Potkin 2003 FAIR	NR	NR	Yes	Yes	NR	Yes
Clozapine vs						
Azorin, 2001 Anand, 1998 Double-blind, Multicenter (France and Canada) FAIR	Method not reported	Method not reported	No, Significantly more women and lower baseline BPRS score in the risperidone arm	Yes	Not reported	Yes
Bellack, 2004 Double-blind trial Substudy within larger trial POOR	Not reported if randomized	Method not reported	Not reported	Yes	Not reported	Yes
Bondolfi, 1998 Single-center Double-blind RCT FAIR	Method not reported	Method not reported	Similar, but number of months in hospital: clozapine: 12.3, risperidone 24.3	Yes	Not reported	Yes

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Author, year Quality rating Aripiprazole vs Olanzapine	Patient masked?	Attrition?	Loss to follow-up: differential/high?	Intention-to-treat (ITT) analysis?	Quality rating
Cornblatt, 2002 FDA Study 98213 RCT, multicenter, open label FAIR	No	Not reported	Not reported	Unclear - some reported as LOCF, others not.	Fair (based on poster and published abstract only)
McQuade 2004 RCT, multicenter, double-blind FAIR	Yes	Yes; 72% early discontinuation	No/No	8 patients excluded from "incidence of weight gain" analysis; 3 because they didn't receive study meds and other 5 because they did not have on-treatment weight measurements	Fair
Aripiprazole vs Risperidone					
Potkin 2003 FAIR	Yes	Yes	Unable to determine, groups not reported.	No: 392/404 analyzed	Fair
Clozapine vs Risperidone					_
Azorin, 2001 Anand, 1998 Double-blind, Multicenter (France and Canada) FAIR	Yes	Yes	No	Yes	Fair
Bellack, 2004 Double-blind trial Substudy within larger trial POOR	Yes	Not by drug	Overall loss to follow-up very high (47-66%), differences by drug not apparent	No	Poor
Bondolfi, 1998 Single-center Double-blind RCT FAIR	Yes	Yes	No	Yes	Fair

Author, year Quality rating Breier, 1999 Single Center double-blind RCT	Randomization adequate? Method not reported	Allocation concealment adequate? Method not reported	Groups similar at baseline? Some differences, NS: Months previously hospitalized:	Eligibility criteria specified? Yes	Outcome assessors masked? Not reported	Care provider masked? Yes
(NIH Clinical Center) Unclear if Inpatient FAIR			clozapine 8.8, risperidone 12.5 Length of illness (yrs): clozapine 13.9, risperidone 11.1			
Daniel, 1996 Crossover design POOR	Method not reported	Method not reported	yes (crossover study)	Yes	Not reported	Not reported
Wahlbeck, 2000 Open-label RCT FAIR	Yes	Method not reported	No, Significantly more women in the risperidone arm	Yes	No, open-label	No, open-label
Klieser 1995; Heinrich 1994 Double-blind, single center, parallel FAIR	NR	NR	Unclear; more males and patients older in clozapine group	Yes	Yes	Yes
Lindenmayer 1998 Open-label Pragmatic trial POOR	Not randomized- patients assigned to treatment based on their willingness to accept weekly blood drawings.	s No	No significant differences in characteristics, N=21 clozapine, 14 risperidone.	Yes	No, "independent", bu open label	No t
Clozapine vs Olanzapine						
Tollefson, 2001 Beasley 1999 Beuzen 1998	Method not reported	Method not reported	Some differences. Proportion with disorganized type Schizophrenia 23% in O group, 14% in C, while undifferentiated = 13% in O, 24% in C. Also, those with continuous course = 54% in O, 48% in C. Mean age, and other important characteristics not reported per group.	Yes	Yes	Yes

Author, year Quality rating	Patient masked?	Attrition?	Loss to follow-up: differential/high?	Intention-to-treat (ITT) analysis?	Quality rating
Breier, 1999 Single Center double-blind RCT (NIH Clinical Center) Unclear if Inpatient FAIR	Yes	Not reported	Not reported	Yes	Fair
Daniel, 1996 Crossover design POOR	Not reported	Yes	No	No	Poor
Wahlbeck, 2000 Open-label RCT FAIR	No, open-label	Yes	Overall = 35% Differential drop-out: clozapine 50%, risperidone 11%	Yes	Fair
Klieser 1995; Heinrich 1994 Double-blind, single center, parallel FAIR	Yes	Yes: 28/59 (47.5%) withdrew.	No	Yes for some outcomes, unclear for others	Fair
Lindenmayer 1998 Open-label Pragmatic trial POOR	No	Yes: 5 clozapine vs 2 risperidone withdrawn (24% vs 14%)		No: 32/35 analyzed (2 clozapine, 1 risperidone patient not analyzed)	Poor
Clozapine vs					
Olanzapine Tollefson, 2001 Beasley 1999 Beuzen 1998	Yes	Yes	No	Yes (LOCF methods)	Fair

Author, year Quality rating	Randomization adequate?	Allocation concealment adequate?	Groups similar at baseline?	Eligibility criteria specified?	Outcome assessors masked?	Care provider masked?
Bitter, 2004 RCT Multi-center, Hungary & South Africa GOOD	Method not reported	stated to be "double blind"	Stated to be, data not reported	Yes	Unclear	Yes
InterSePT; Meltzer, 2003 Meltzer, 2002 (AO), Potkin, 2003 Meltzer, 1996 RCT - open label, masked ratings Multi-site - 67 sites, 11 countries (US, Europe, South Africa, South America) GOOD	Yes	Method not reported	yes, data on alcohol and drug abuse missing	Yes	Yes, for most outcomes. Blinding for reporting of AE's not clear	No
Conley, 2003 Kelly 2003 Double-blind, single center, crossover POOR	NR	NR	No	Yes	NR	Yes
Olanzapine vs Risperidone						
Conley, 2001 Double-blind, Multicenter FAIR	Yes	Yes	Similar, but mean age: olanzapine 38.9 yr (SD 10.5); risperidone 41.0 yr (SD 11.0), p = 0.04		Yes	Yes

Author, year Quality rating	Patient masked?	Attrition?	Loss to follow-up: differential/high?	Intention-to-treat (ITT) analysis?	Quality rating
Bitter, 2004 RCT Multi-center, Hungary & South Africa GOOD	Yes	Yes	Overall High: 58%  NS difference between groups	Yes, using LOCF	Fair
InterSePT; Meltzer, 2003 Meltzer, 2002 (AO), Potkin, 2003 Meltzer, 1996 RCT - open label, masked ratings Multi-site - 67 sites, 11 countries (US, Europe, South Africa, South America) GOOD	No	Yes	Overall high: 39%, but similar in groups	Yes, but method not clearly described	Good for efficacy, Poor for AE
Conley, 2003 Kelly 2003 Double-blind, single center, crossover POOR	Yes	Yes; 3 withdrew during olanzapine assigned as first drug (23%)	One publication states 3 withdrew during olanzapine assigned as first drug (23%), other publication states that 6 withdrew during olanzapine phase.	No	Fair
Olanzapine vs Risperidone					
Conley, 2001 Double-blind, Multicenter FAIR	Yes	Yes	No	Yes	Good

Author, year Quality rating	Randomization adequate?	Allocation concealment adequate?	Groups similar at baseline?	Eligibility criteria specified?	Outcome assessors masked?	Care provider masked?
Feldman, 2003 Sutton, 2001 (Tran, 1997 subanalysis) RCT Multicenter, multinational (6 European, South Africa and US) Post-hoc Analysis of Negative symptoms in older patients FAIR	Method not reported	Method not reported	Unclear - Length of current episode: 120 days for risperidone patients, 61 days for olanzapine patients, but NS difference olanzapine: 70% male; risperidone: 42% male	Yes	NR	Yes
Garyfallos 2003 CCT POOR	NR	NR	Yes	No	No	No
Harvey, 2003a Harvey 2002a Harvey 2002b Harvey 2002c RCT Multi-site; US, Austria, Israel, Norway, Poland and The Netherlands FAIR	Method not reported	Method not reported	Yes	Yes	Not clear - states some outcomes masked, but not which or how.	Yes
Harvey, 2003b (Harvey, 2002a,b,c & Harvey, 2003a Sub-group analysis) RCT Multicenter, US FAIR	Method not reported	Method not reported	Yes	Yes	Not clear	Not clear
Jerrel, 2002 Open-label RCT with economic analysis FAIR	Method not reported	Method not reported	Although randomization stratified, and an adaptive randomization procedure used, SS difference on baseline atypical antipsychotic use present. 4 other variables NS	Yes	No	No

Author, year Quality rating Feldman, 2003 Sutton, 2001 (Tran, 1997 subanalysis) RCT Multicenter, multinational (6 European, South Africa and US) Post-hoc Analysis of Negative symptoms in older patients FAIR	Patient masked? Yes	Attrition? Yes	Loss to follow-up: differential/high?  High overall 51%  Difference in drop-out rates not SS: olanzapine: 60% risperidone 47%	Intention-to-treat (ITT) analysis?  Yes, as defined by Gilings and Koch.	Quality rating Fair
Garyfallos 2003 CCT POOR	No	Yes	No	Yes	Poor
Harvey, 2003a Harvey 2002a Harvey 2002b Harvey 2002c RCT Multi-site; US, Austria, Israel, Norway, Poland and The Netherlands FAIR	Yes	Yes	Overall 38% Not differential	Stated LOCF methods, but numbers reported vary by test applied.	Fair
Harvey, 2003b (Harvey, 2002a,b,c & Harvey, 2003a Sub-group analysis) RCT Multicenter, US FAIR	Yes	Yes	Overall: 96 (25%) Not differential	Stated LOCF methods, but numbers reported vary by test applied.	Fair
Jerrel, 2002 Open-label RCT with economic analysis FAIR	No	Yes	Overall 69% - entirely due to refusals after randomization Due to adaptive randomization, unclear if differences between groups existed	Yes	Fair

Author, year Quality rating Jeste, 2003 Jeste, 2002	Randomization adequate? Method not reported	Allocation concealment adequate? Method not reported	Groups similar at baseline? Yes	Eligibility criteria specified? Yes	Outcome assessors masked? Yes; method not reported	Care provider masked? Yes; method not reported
Jeste, 2001 RCT Multinational (US, Israel, Poland, Norway, The Netherlands, Austria) 1 full paper 2 conf proc FAIR	)	reported			reported	reported
Jones, 1998 Purdon, 2000 David 1999 Multicenter, Canada Double-blind RCT FAIR	Yes	Method not reported	Yes	Yes	Not clear	Not clear (dose adjustments)
Lieberman 2005 (CATIE Study)	NR	Yes, "done under double blind conditions"	Few minor differences	Yes	Yes	Yes
Tollefson, 1999a Tollefson, 1999b (Tran, 1997 sub-analysis) RCT Multicenter, multinational (6 European, South Africa and US) Post-hoc Analysis of Depression, Mood disturbance, QOL FAIR	Method not reported	Method not reported	Unclear - not well reported	Yes	NR	Yes

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Author, year Quality rating Jeste, 2003 Jeste, 2002 Jeste, 2001 RCT Multinational (US, Israel, Poland, Norway, The Netherlands, Austria 1 full paper 2 conf proc FAIR	Patient masked? Yes; method not reported	Attrition? Yes	Loss to follow-up: differential/high? No; No	Intention-to-treat (ITT) analysis? Yes	Quality rating Fair
Jones, 1998 Purdon, 2000 David 1999 Multicenter, Canada Double-blind RCT FAIR	Yes	Yes	Overall 57% olanzapine 43% risperidone 67% haloperidol 61%	Yes	Fair
Lieberman 2005 (CATIE Study)	Yes	Yes (74%)	NR	NEED DAVIS REFERENCE	Good/Fair
Tollefson, 1999a Tollefson, 1999b (Tran, 1997 sub-analysis) RCT Multicenter, multinational (6 European, South Africa and US) Post-hoc Analysis of Depression, Mood disturbance, QOL FAIR	Yes	Yes	Overall 47.5% olanzapine 57.6% risperidone 47.3%	Yes	Fair

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## Evidence Table 2. Quality assessment of head-to-head trials in patients with schizophrenia

Author, year Quality rating	Randomization adequate?	Allocation concealment adequate?	Groups similar at baseline?	Eligibility criteria specified?	Outcome assessors masked?	Care provider masked?
Tran, 1997 FAIR	Method not reported	Method not reported	Unclear - not well reported	Yes	NR	Yes
van Bruggen 2003 POOR	NR	NR	Yes (but appears baseline characteristics exclude 2 patients not analyzed). Groups imbalanced: 18 randomized to O, 26 to R.	Yes	Not clear (states "independent")	NR

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## Evidence Table 2. Quality assessment of head-to-head trials in patients with schizophrenia

Author, year			Intention-to-treat (ITT)		
Quality rating	Patient masked?	Attrition?	Loss to follow-up: differential/high?	analysis?	<b>Quality rating</b>
Tran, 1997 FAIR	Yes	Yes	Overall 47.5% olanzapine 57.6% risperidone 47.3%	Yes	Fair
van Bruggen 2003 POOR	NR	NR	Yes- 2/26 risperidone vs 0/18 olanzapine not included in analysis	No: 2 risperidone patients excluded	Poor

Author, year Quality rating	Randomization adequate?	Allocation concealment adequate?	Groups similar at baseline?	Eligibility criteria specified?	Outcome assessors masked?	Care provider masked?
Olanzapine vs	-					
Ziprasidone Simpson 2004 FAIR	NR	NR	69% olanzapine vs 62% ziprasidone male (NS); otherwise similar	Yes	NR (states double blind, but no details)	- Used masked blister packs, and included "A, B, or C" corresponding to low, medium, or high dose.
Quetiapine vs Risperidone						_
QUEST; Mullen, 2001 Mullen, 1999 Reinstein, 1999 FAIR	Method not reported	Method not reported	Yes	Yes	No	No
Sajatovic, 2002 (QUEST sub- group analysis, Mullen 2001) Multicenter, open label RCT FAIR	Method not reported	Method not reported	Yes	Yes	No	No
Zhong, 2004 RCT	Poster Only - no quality	assessment possi	ble.			
Knegtering 2004 Open, single center, parallel POOR	NR	NR	Yes	Yes	No	No
Risperidone vs Ziprasidone						
Addington, 2004 RCT, multicenter, double-blind FAIR	NR	NR	Yes	Yes	Yes	Yes

Author, year Quality rating	Patient masked?	Attrition?	Loss to follow-up: differential/high?	Intention-to-treat (ITT) analysis?	Quality rating
Olanzapine vs Ziprasidone				•	<u>,                                     </u>
Simpson 2004 FAIR	Used masked blister packs, and included "A, B, or C" corresponding to low medium, or high dose.		High- 37/136 (27.2%) ziprasidone, 25/133 (18.8%) olanzapine (p=0.10)	Yes	Fair
Quetiapine vs Risperidone					_
QUEST; Mullen, 2001 Mullen, 1999 Reinstein, 1999 FAIR	No	No	NR	Yes, using LOCF	Fair
Sajatovic, 2002 (QUEST sub- group analysis, Mullen 2001) Multicenter, open label RCT FAIR	No	No	NR	Yes, using LOCF	Fair
Zhong, 2004 RCT					
Knegtering 2004 Open, single center, parallel POOR	No	All 51 patients who were analyzed completed the 6-week study period	No loss to follow-up	Not clear - 51 patients "whose data could be analyzed" are reported on	Poor
Risperidone vs Ziprasidone					
Addington, 2004 RCT, multicenter, double-blind FAIR	Yes	Yes	No loss to follow-up	Unclear. "ITT" defined as "all randomized patients with a baseline and >/= 1 post-baseline evaluation	Fair

Author, year Quality rating	Randomization adequate?	Allocation concealment adequate?	Groups similar at baseline?	Eligibility criteria specified?	Outcome assessors masked?	Care provider masked?
Multiple Comparisons	adoquato:	uuoquuto.	Croups chimar at basemie.	орсошса.	muonou.	machou .
Olanzapine vs Quetiapine vs						
Risperidone						
Yamashita 2004, RCT, single center, blinding unclear FAIR	NR	NR	No	Yes	NR	Blinding unclear
Mori 2004, RCT, single center, blinding unclear POOR	NR	NR	Yes for age, dose, illness duration, and gender. No others reported in tabular format or described in text.		NR	Blinding unclear
Citrome 2001, Volavka 2002, 2004b, 2004c; Lindenmayer 2003, 2004 FAIR	NR	NR	Yes	Yes	Yes	Yes
Chue 2005, RCT, multicenter, double blind, double dummy POOR	NR	NR	No; oral risperidone group had a "marginally signficant" greater number of previous hospitalizations	Yes	Yes	Yes
Clozapine vs Risperidone vs						
Olanzapine vs Quetiapine						
Atmaca 2003 FAIR	NR	NR	Yes	Yes	NR	Yes
Quetiapine vs Risperidone vs Fluphenazine						
Kelly 2005 (adverse events- thyroid function) POOR	NR	NR	Unable to determine- baseline characteristics reported only on 30/38 analyzed.	Yes	NR (states double- blind, but no details)	NR (states double- blind, but no details)
Naber, 2001 POOR	NR - O vs R described as pseudo-randomized, C assignment not random	NR	No - differences in treatment refractorieness, and gender at baseline	Yes	Not blinded	Not blinded

Author, year Quality rating Multiple Comparisons	Patient masked?	Attrition?	Loss to follow-up: differential/high?	Intention-to-treat (ITT) analysis?	Quality rating
Olanzapine vs Quetiapine vs					
Risperidone					
Yamashita 2004, RCT, single center, blinding unclear FAIR	Blinding unclear	Yes	No loss to follow-up	Unclear if analysis included 2 patients (2.2%) who discontinued early	Fair
Mori 2004, RCT, single center, blinding unclear POOR	Blinding unclear	No	NR	Unclear	Poor
Citrome 2001, Volavka 2002, 2004b, 2004c; Lindenmayer 2003, 2004 FAIR	Yes	Yes: 42% withdrew	No.	Yes (LOCF)	Fair
Chue 2005, RCT, multicenter, double blind, double dummy POOR	Yes	Yes	NR	Unclear; number analyzed NR	Poor
Clozapine vs Risperidone vs Olanzapine vs Quetiapine					
Atmaca 2003 FAIR	NR	Yes	No (1 in each treatment group)	No: 3 of 56 excluded from analysis	Fair
Quetiapine vs Risperidone vs Fluphenazine					
Kelly 2005 (adverse events- thyroid function) POOR	NR (states double- blind, but no details)	Yes	High, unable to determine if differential: 21% did not complete all tests, but numbers randomized by group not reported.	No	Poor
Naber, 2001 POOR	Not blinded	Unclear	Unclear	Unclear	Poor

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# Evidence Table 3. Active-controlled trials in patients with schizophrenia

Author, year Country (Trial name)	Other Drug	Interventions	Run-in/ Washout period	Method of outcome assessment and timing of assessment
Aripiprazole vs.	Other Drug	interventions	washout period	tilling of assessment
Haloperidol				
Kane, 2002	haloperidol	Aripiprazole 15 mg/d Aripiprazole 30 mg/d Haloperidol 10 mg/d Duration: 4 weeks	NR/5-7 days	Primary variables: PANSS total, positive and CGI-S scores timing of assessment: day 7, 14, 21, 28  Other variables: PANSS negative, PANSS-derived Brief Psychiatric Rating Scale (BPRS), mean CGI scores and responder rates (patients with a CGI-I score of 1 or 2 or a >= 30% decrease from baseline in PANSS total score were considered responders)

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# Evidence Table 3. Active-controlled trials in patients with schizophrenia

Author, year Country		Method of adverse
(Trial name)	Results	effects assessment?
Aripiprazole vs. Haloperidol		
Kane, 2002	PANSS total, p vs placebo (Placebo: -2.9) aripiprazole 15mg: -15.5, p<0.001 aripiprazole 30mg: -11.4, p=0.009 haloperidol 10mg: -23.8, p=0.001 PANSS positive, p vs placebo (Placebo: -0.6) aripiprazole 15mg: -4.2, p<0.001 aripiprazole 30mg: -3.8, p=0.001 haloperidol 10mg: -4.4, p<0.001 PANSS negative, p vs placebo (Placebo: -1.2) aripiprazole 15mg: -3.6, p=0.006 aripiprazole 15mg: -3.6, p=0.006 aripiprazole 30mg: -2.3, p=0.213 haloperidol 10mg: -2.9, p=0.043 PANSS-derived BPRS score, p vs placebo (Placebo: -1.1) aripiprazole 15mg: -3.1, p=0.001 aripiprazole 30mg: -3.0, p=0.001 haloperidol 10mg: -3.5, p<0.001 CGI-Severity, p vs placebo (Placebo: -0.1) aripiprazole 15mg: -0.6, p<0.001 aripiprazole 30mg: -0.4, p=0.019 haloperidol 10mg: -0.5, p=0.002 CGI-Improvement, p vs placebo (Placebo: 4.3) aripiprazole 15mg: 3.5, p<0.001 aripiprazole 30mg: 3.8, p=0.016 haloperidol 10mg: 3.7, p=0.002 Responder rate (%), p vs placebo (Placebo: 17) aripiprazole 15mg: 35, p=0.002 aripiprazole 15mg: 35, p=0.002 aripiprazole 30mg: 28, p=0.050 haloperidol 10mg: 26, p=0.089	EPS: Simpson-angus Scale, Barnes Akathisia Scale, adnd the Abnormal Involuntary Movement Scale Timing of assessment\table baseline and weekly

Author, year Country (Trial name)

Adverse effects reported

Aripiprazole vs. Haloperidol

Kane, 2002 aripiprazole 15mg vs aripiprazole 30mg vs haloperidol 10mg vs placebe

anxiety: 23(23%) vs 17(17%) vs 20(19%) vs 16(15%) insomnia: 19(19%) vs 22(22%) vs 25(24%) vs 18(17%) nausea: 15(15%) vs 14(14%) vs 6(6%) vs 7(7%) dizziness: 13(13%) vs 17(17%) vs 6(6%) vs 6(6%) abdominal pain: 9(9%) vs 6(6%) vs 6(6%) vs 5(5%) vomiting: 8(8%) vs 17(17%) vs 10(10%) vs 10(10%) akathisia: 8(8%) vs 12(12%) vs 24(23%) vs 11(11%) somnolence: 5(5%) vs10(10%) vs 13(13%) vs4(4%) asthenia: 3(3%) vs 6(6%) vs 5(5%) vs 3(3%)

headache: 24(24%) vs 29(29%) vs 26(25%) vs24(23%)

orthostatic hypotension: 2(2%) vs 7(7%) vs 1(1%) vs 3(3%)

hypertonia: 2(2%) vs 8(8%) vs 3(3%) vs 5(5%) tremor: 2(2%) vs 3(3%) vs 7(7%) vs 3(3%) blurred vision: 1(1%) vs 2(2%) vs 8(8%) vs 1(1%)

EPS related AEs: 18(18%) vs 20(20%) vs 37(36%) vs 22(21%) benztropine required for EPS: 8% vs 15% vs 30% vs 12%

Body weight:

Mean change form baseline (kg): 0.4 vs 0.9 vs 0.5 vs 0.2 >7% increase from baseline, % patients: 7\* vs 4 vs 10\*\* vs 1 (\*p<0.05; \*\*p<0.01 vs placebo)

Prolactin level:

Mean change from baseline (ng/dL): -7.0 vs -7.1 vs 22.5\* vs -1.8

(\*p<0.001 vs placebo)

QTc interval:

mean change form baseline (ms): -2.02 vs -3.38 vs 1.67 vs -3.45, NS

QTc >= 450ms and a >= 10% increase (%): 0 vs 0 vs 3 vs 1

vital sign: NS

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Author, year Country	Total withdrawals; withdrawals due to adverse events					
(Trial name)	by drug	Comments				
Aripiprazole vs.						
Haloperidol						
Kane, 2002	Withdrawals due to AEs for total N: 11% (45/414 pts); Withdrawls due to AEs: Aripiprazole 15mg: 9% (9 pts); Aripiprazole 30mg: 8% (8 pts); Haloperidol: 11% (11 pts); Placebo: 16% (17 pts)	Use of psychotropic agents was prohibited throughout the washout and treatment periods of the study, except for lorazepam for anxiety or insomnia. Lorazepam, administered intramuscularly, was also permmited for emerging agitation. Benztropine treatment was allowed for EPS, if judged necessary by the investigator. The dose was limited to a maximum of 6 mg per daym and was only permitted during the treatment phase of the study				

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# Evidence Table 3. Active-controlled trials in patients with schizophrenia

Author, year				
Country			Run-in/	Method of outcome assessment and
(Trial name)	Other Drug	Interventions	Washout period	timing of assessment
Kasper, 2003 International (Fair)	haloperidol	aripiprazole 30 mg/d; mean dose 29.01 mg/d haloperidol 5 mg/d days 1-3; 10 mg/d day 4 onward; mean dose overall 8.90 mg/day Duration 52 weeks	NR; 5-day placebo washout for oral agents; washout for depot: one depot cycle plus one week	Primary outcome: time to failure to maintain response in responders. Response criteria required a >=20% decrease from baseline PANSS total at any single timepoint, provided that patients did not concurrently have 1) a CGI score of 6 or 7, or 2) an AE of worsening schizophrenia, or 3) a score of 5, 6, or 7 in at least one of the 4 PANSS psychotic subscale. Criteria for failure was a positive result on any of items 1, 2, or 3 above. Additional response criteria as the former, except >=30% decrease in PANSS was required.

Author, year		
Country		Method of adverse
(Trial name)	Results	effects assessment?
Kasper, 2003	Response criteria, aripiprazole vs haloperidol	Standard clinical
International	>20% improvemtn in PANSS at a single timepoint: 72% vs 69%, NS	assessments, vital signs,
(Fair)	>30% improvement in PANsS maintained for > 28 days plus one additional visit: 52% vs 44%, p=0.003	and movement
		assessments evaluated.
	Time to failure to maintain response; risk ratio	SAS, AIMS, BAS at each
	>20% improvement in PANSS: 77% vs 73%; 0.88; NS	study visit.
	> 30% improvement in PANSS: 85% vs 79%; 0.70; NS	ECG recordings and
		routine lab tests
	Mean change from baseline to week 52	(hematology, serum
	PANSS negative score: -5.3 vs -4.4, p<0.05	chemistry, and
	MADRS total score: -2.7 vs -1.4, p<0.05	urinalysis) at screening
		and weeks 2, 8, 18 (not
		ECG), 26, 38, and 52.
		Physical exams at
		weeks 8, 26, and 52.
		Plasma prolactin levels
		at baseline, weeks 2, 8,
		18, 26, 38, and 52.

Author, year	
Country	
(Trial name)	Adverse effects reported
Kasper, 2003	Adverse event, aripiprazole vs haloperiodol
International	Weight gain: 44(5%) vs 14(3%), NS
(Fair)	Insomnia: 185(22%) vs 88(20%), NS
	Psychosis: 156(18%) vs 70(16%), NS
	Akathisia: 111(13%) vs 108(25%), p<0.001
	Anxiety: 108(13%) vs 50(12%), NS
	EPS: 84(10%) vs 130 (30%), p<0.001
	Mean change at week 52 (LOCF):
	SAS: -0.2 vs 1.9, p<0.001
	AIMS: -0.3 vs 0.2, p<0.001
	BAS: 0.0 vs 0.4, p<0.001

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# Evidence Table 3. Active-controlled trials in patients with schizophrenia

Author, year Country			
(Trial name)	by drug	Comments	
Kasper, 2003	Aripiprazole vs haloperidol,		
International	Total withdrawals: 494 (57.4%) vs 305	5 (70.4%), p=0.0001	
(Fair)	Due to AEs: 70 (8%) vs 80 (19%), p=6	0.001	

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# Evidence Table 3. Active-controlled trials in patients with schizophrenia

Country	-u -		Run-in/	Method of outcome assessment and
(Trial name)	Other Drug	Interventions	Washout period	timing of assessment
Clozapine vs. Other				
Essock, 2000	risperidone;	clozapine	NR/ NR	Brief Psychiatric Rating Scale (BPRS)
Essock, 1996	conventional AP (all	Mean and median doses:		Clinical Global Impression (CGI)
Covell, 2004	lumped together as	clozapine: 486mg/d and 517mg/d		Quality of Life Inventory
Jackson, 2004	"usual care")	Duration: 2 years		Abnormal Involuntary Movement Scale
				(AIMS)
Inpatients				
				Assessments made every 4 months

Author, year			
Country		Method of adverse	
(Trial name)	Results	effects assessment?	
Clozapine vs. Other	•		
Essock, 2000	Treatment Intolerence (TI); Treatment nonresponsive (TNR)	NR	
Essock, 1996	treatment persistent over 2 years:		
Covell, 2004	TI-clozapine: 44%	Weight information	
Jackson, 2004	TI-usual care: 37%	collected from charts	
	TNR-clozapine: 70%		
Inpatients	TNR-usual care: 30%		
	*p<0.0001		
	1-year discharge rates:		
	27% for clozapine patients and 30% for control group (p=NS)		
	after discharge, 3% of clozapine group re-admitted in first 6-months post-discharge		
	29% of control group re-admitted in first 6 months post-discharge		
	p for clozapine vs control on re-admittance: p<0.001		

Author, year

Country	
(Trial name)	Adverse effects reported

(Trial name)	Adverse effects reported	
Clozapine vs. Other		
Essock, 2000	Clozapine vs usual care	
Essock, 1996	EPS-free months during 2 years: 18 months vs 14 months, p=0.001	
Covell, 2004	Disruptiveness-free months during 2 years: 10 months vs 6 months, p<0.001	
Jackson, 2004	Change in total BPRS during 2 years: 1 vs 3, p=NS	
Inpatients	18% of TI patients taking clozapine developed blood dyscrasia vs 3% of TNR pts	
	15% of TI patients taking clozapine developed either agranulocytosis or severe leukopenia vs 3% of clozapine TNR patients	
	Crossover-excluded analysis	
	Weight loss or no change in weight over 24 months:	
	Clozapine men vs women: 25% vs 29%	
	Usual care men vs women: 19% vs 24%	
	Weight gain 0% <gain<20% 24="" baseline="" months:<="" of="" over="" td="" weight=""></gain<20%>	
	Clozapine men vs women: 62% vs 42%	
	Usual care men vs women: 79% vs 68%	
	Weight gain ≥20% of baseline weight over 24 months: Clozapine men vs women*: 13% vs 29% Usual care men vs women: 2% vs 8%	
	(*p<0.01)	

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Author, year  Country  Total withdrawals; withdrawals due to adverse events			
(Trial name)	by drug Comments		
Clozapine vs. Other			
Essock, 2000	Treatment discontinuation [Treatment Intolerence (TI);		
Essock, 1996	Treatment nonresponsive (TNR)]:		
Covell, 2004	TI-clozapine > TNR-clozapine, p<0.05 for discontinuatio	n	
Jackson, 2004	due to agranulocytosis or severe leukopenia		
	TI-clozapine > TNR-clozapine, p<0.01 excluding		
Inpatients	individuals who stopped due to agranulocytosis or leukopenia		

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# Evidence Table 3. Active-controlled trials in patients with schizophrenia

Author, year Country (Trial name)	Other Drug	Interventions	Run-in/ Washout period	Method of outcome assessment and timing of assessment
Lee, 1999 U.S. (Fair)	Typical neuroleptics	clozapine mean dose 291.4 mg/day Typical APs, mean dose in chlorpromazine equivalents 488.3 mg/day Duration 12 months	NR/ NR	Schedule for Affective Disorders and Schizophrenia Lifetime (SADS-L) and Change (SADS-C) Cognitive test battery: Wechsler Adult Intelligence Scale Revised (WAIS-R), Consonant Trigram Test (CTT), Controlled Word Association Test (CWAT), Category Instance Generation Test (CIGT), Verbal List Learning (VLL) Immediate and Delayed Recall (VLL-IR, VLL-DR), Wisconsin Card Sorting Test (WCST), Wechsler Intelligence Scale for Children - Revised (WISC-R) at baseline, 6 weeks, 6 months, 12 months

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Autnor, year		
Country		Method of adverse
(Trial name)	Results	effects assessment?
Lee, 1999	Mean change in score, baseline to 12 months, clozapine vs typical APs (within-group p-values):	SARS, AIMS
U.S.	BPRS -5.8 vs -5.5	
(Fair)	Digital Symbol Substitution Test +1.9 (p<0.0001) vs +0.2 (ns)	
,	Consonant Trigram -1.0 vs +1.9	
	Category Instance Generation +6.0 (p<0.001) vs +3.2 (ns)	
	Controlled Word Association Test +7.1 (p<0.0001) vs -0.6 (ns)	
	VLL-IR +0.5 vs +0.6	
	VLL-DR +0.5 vs +1.3	
	WCST-Category +0.2 vs +0.9	
	WCST-Perseverative Error +5.5 vs +4.2	
	WISC-R Maze +1.0 vs +0.6	

Author, year	
Country	
(Trial name)	Adverse effects reported
Lee, 1999	Change in EPS score, baseline to 12 months, clozapine vs typical APs:
U.S.	EPS +0.3 vs +1.0 (no significant intra-group change in either treatment)
(Fair)	

Author, year		
Country	Total withdrawals; withdrawals due	e to adverse events
(Trial name)	by drug	Comments
Lee, 1999	11 total;	
U.S.	Due to AEs: none reported	
(Fair)		

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# Evidence Table 3. Active-controlled trials in patients with schizophrenia

Author, year Country (Trial name)	Other Drug	Interventions	Run-in/ Washout period	Method of outcome assessment and timing of assessment
Lieberman, 2003a Green, 2004 Multi-site, North America and Western Europe (Fair)	chlorpromazine	olanzapine 5-20 mg/day; mean modal dose 9.1 mg/day haloperidol 2-20 mg/day; mean modal dose 4.4 mg/day	2-14 day washout	PANSS, MADRS, CGI severity assessed during washout and weekly through week 6, biweekly during weeks 7 through 12
		Duration 104 weeks		

Author, year Country (Trial name)	Results				Method of adverse effects assessment?
Lieberman, 2003a	Results given are for the first 12-weeks only				COSTART, SAS, AIMS,
Green, 2004	Troodite given are for the met 12 weeke emy				BAS at each
Multi-site, North America and Western Europe (Fair)	Mean change in score, olanzapine vs haloperid PANSS total: -20.0 vs -14.22 (ns) Negative scale: -2.95 vs -1.21 (ns) Positive scale: -7.41 vs -7.06 (ns) General scale: -9.85 vs -6.24 (ns) CGI severity: -1.34 vs -1.02 (ns) MADRS: -2.58 vs -1.83 (ns) Note: P-values are based on a last-observation statistical significance in the between-treatment	-carried-forward anal	•	•	assessment
	and MADRS scores.		55 total, 1711 to 110g	auvo, i 7 ii voo gorioiai,	
	Responder status by substance use disorder (S(CUD)) Responder vs non-responder; RR (95% CI) Overall (treatments combined): patients with SUD: 27% vs 69%; non-SUD patients with AUD: 19% vs 81%*; non-AUD patients with CUD: 28% vs 72%; non-CUD	patients: 35% vs 65% atients: 35% vs 64%	%; 1.12 (0.94-1.32) ; 1.26 (1.07-1.49)	nnabis use disorder (*p<0.05)	
	haloperidol patients:				
	SUD: 31% vs 69%; non-SUD: 32% vs 68%;	1.01 (0.80-1.29)			
	AUD: 27% vs 73%; non-AUD: 33% vs 67%;	1.10 (0.85-1.42)			
	CUD: 32% vs 68%; non-CUD: 31% vs 69%;	0.99 (0.76-1.28)			
	olanzapine patients: SUD: 23% vs 77%; non-SUD: 38% vs 62%;	1.24 (0.98-1.57)			
	AUD: 9% vs 91%*; non-AUD: 38% vs 62%;	1.47 (0.21-1.79)	(*p<0.05)		
	CUD: 24% vs 76%; non-CUD: 36% vs 64%;	1.18 (0.92-1.50)	( - 10.00)		

# Author, year Country

(Trial name)	Adverse effects reported
Lieberman, 2003a	Results given for the first 12 weeks only
Green, 2004	Change in score, olanzapine vs haloperidol:
Multi-site, North America	SARS 0.00 vs +1.44 (p=0.001)
and Western Europe	BAS -0.13 vs 0.50 (p<0.001)
(Fair)	Weight (kg) +7.30 vs +2.64 (p<0.001)
	Incidence of parkinsonism 26.1% vs 54.8% (p<0.001)
	Incidence of akathisia 11.9% vs 51.2% (p<0.001)

Author, year			
Country	Total withdrawals; withdrawals due to adverse events		
(Trial name)	by drug	Comments	
Lieberman, 2003a	103 total;	Younger population (mean age	
Green, 2004	Due to AEs: 4 in olanzapine	23.8) with onset within past 5	
Multi-site, North America and Western Europe	vs 9 in haloperidol	years.	
(Fair)	Study completion rates for substance use disorder (SUD)		
	vs non-SUD patients		
	Haloperidol patients:		
	SUD patients: 51% completed study vs 71% non-SUD		
	patients (p<0.04)		
	Olanzapine patients:		
	SUD patients: 77% completed study vs 71% of non-		
	SUD patients (p<0.53)		

Author, year Country (Trial name)	Other Drug	Interventions	Run-in/ Washout period	Method of outcome assessment and timing of assessment
Lieberman, 2003b	chlorpromazine	Median doses: clozapine 300 mg/day	28 days/ NR	Primary outcomes: remission measured bby BPRS and CGI
		chlorpromazine 400 mg/day Duration: 52 weeks		Chinese version of: BPRS, Scake for Assessment of Negative Symptoms (SANS), CGI, Clobal Assessment of Function Scale (GAF), the Simpson Angus Extrapytamidal Symptoms Scale (SAESS)
Shopsin, 1979	chlorpromazine	clozapine 300-800 mg/day chlorpromazine hydrochloride 600-1600 mg/day Duration: 35 days	NR/ 3-7 days	BPRS, CGI, Nurses' Observation Scale for Inpatient Evaluation (NOSIE)

Author, year		
Country		Method of adverse
(Trial name)	Results	effects assessment?
Lieberman, 2003b	clozapine vs chlorpromazine	The Coding symbol and
	Remission: 65(81%) vs 63(79%)	Thesaurus for Adverse Event Terminology
	clozapine vs chlorpromazine, 95%Cl	(COSTART)
	Week 52	
	BPRS	
	Total: 22.3 vs 22.1, (-2.5, 1.8)	
	Anxiety/depression: 5.0 vs 5.0, (-0.5, 0.5)	
	Anergy: 4.6 vs 4.9, (-0.5, 0.7)	
	Thought disorder: 5.2 vs 5.1, (-1.0, 0.7)	
	Agitation/Activation: 3.3 vs 3.4, (-0.2, 0.4)	
	Hostility-paranoid: 4.2 vs 3.8 (-1.1, 0.3)	
	SANS	
	Total: 7.5 vs 9.5, (-1.9, 4.7)	
	Affective flattening: 1.0 vs 2.2 (-0.0, 2.0)	
	Poverty of thought: 0.4 vs 0.7 (-0.3, 0.7)	
	Avolition: 3.0 vs 3.5 (-0.6, 1.5)	
	Attention deficit: 0.3 vs 0.4 (-0.3, 0.5)	
	Low level of interests: 2.8 vs 2.7 (-1.3, 1.0)	
	CGI: 2.2 vs 2.0 (-0.6, 0.2)	
	GAF: 72.4 vs 71.4 (-5.7, 4.8)	
Shopsin, 1979	BPRS 18 items, n/18 items with p<0.05 vs baseline	modified Simpson-
	clozapine: 15/18	Angus Scale
	chlorpromazine: 6/18	
	BPRS 6 factors, n/6 factors with p<0.05 vs baseline	
	clozapine: 6/6	
	chlorpromazine: 2/6 (thought disturbance and activation)	
	placebo: 2/6 (activation and hostility suspiciousness)	
	NOSIE: social competence, social interest, personal neatness, irritability, magifest psychosis, retardation, total	
	patient assets, global severity	
	clozapine and chlorpromazine both more improved than placebo, p<0.05	
	CGI global severity:	
	clozapine and chlorpromazine both more improved than placebo, p<0.05 total	
	Psychiatrics (CGI) improved: clozapine vs chlorpromazine: 90% vs 75%	
	NOSIE (CGI) total improved: clozapine vs chlorpromazine: 100% vs 75%	

# Author, year Country

#### (Trial name) Adverse effects reported

Lieberman, 2003b

clozapine vs chlorpromazine (95%CI)

EPS at Week 52

SAESS total: 0.28 vs 0.44 (-0.18, 0.44) Parkinsonian: 0.18 vs 0.33 (-0.11, 0.32)

Other side effects at Week 52:

SAESS dystonia: 0.07 vs 0.11 (0.10, 0.57) Blurred vision: 0.33 vs 0.46 (0.38, 0.74) Tense muscles: 0.06 vs0.08 (0.12, 0.87) Depressed affect: 0.25 vs 0.19 (1.00, 2.05) Sweating: 0.11 vs 0.06 (1.51, 6.10) Dry mouth: 0.32 vs 0.64 (0.17, 0.30) Akathisia: 0.09 vs 0.13 (0.26, 0.83)

Objectively observed restlessness: 0.06 vs 0.09 (0.19, 0.85) Decreased urine production: 0.07 vs 0.12 (0.11, 0.47)

Weight gain (kg): 9.9 vs 6.5, p=0.30

Shopsin, 1979 antiparkinsonism medication for EPSs (no. of patients):

clozapine vs chlorpromazine: 0 vs 5

Hypersalivation: clozapine vs chlorpromazine: 11(85%) vs 1(8%)

Sedative effect: NR, NS

daytime drowsiness: chlorpromazine more than clozapine, NR

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Total withdrawals; withdrawals due to adverse events (Trial name) Comments by drug

Lieberman, 2003b Clozapine vs Chlorpromazine

Total withdrawals: 10 vs 9 Withdrawals due to AEs: 2 vs 6

Shopsin, 1979 NR

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Final Report Update 1 Drug Effectiveness Review Project

# Evidence Table 3. Active-controlled trials in patients with schizophrenia

Author, year				
Country			Run-in/	Method of outcome assessment and
(Trial name)	Other Drug	Interventions	Washout period	timing of assessment
Clozapine vs. Haloperidol				
Covington, 2000 U.S. (Poor)	haloperidol	clozapine, dose not reported haloperidol, dose not reported	NR/ NR	Premorbid Asocial Adjustment Scale SANS QLS Assessments at baseline, 6 weeks, 6 months, 12 months, and 24 months
Rosenheck, 1997 Rosenheck, 1999 Rosenheck, 1998 U.S. (Fair)	haloperidol	clozapine 100-900 mg/day; mean dose at week 26 = 552 mg/day. haloperidol 5-30 mg/day; mean dose at week 26 = 28 mg/day. Weekly blood counts taken in both treatment groups.	NR/ NR	PANSS Heinrichs-Carpenter Quality of Life scale (QLS)
		Duration: 52 weeks.		

Author, year		
Country		Method of adverse
(Trial name)	Results	effects assessment?
Clozapine vs.		
Haloperidol		
Covington, 2000	Mean change in score , clozapine vs haloperidol:	Not reported
U.S.	SANS at 12 months: -0.83 vs -0.01	
(Poor)	SANS at 24 months: -0.38 vs -0.08	
	QLS at 12 months: +0.29 vs +0.20	
	QLS at 24 months: +0.37 vs +0.18	
Rosenheck, 1997	clozapine vs haloperidol, 20% reduction in score, at timepoint,	Barnes Akathisia Scale
Rosenheck, 1999	PANSS (includes crossovers):	(BAS), Abnormal
Rosenheck, 1998	Week 6: 24% vs 13% (p=0.008)	Involuntary Movement
U.S.	Month 3: 31% vs 25% (ns)	Scale (AIMS), (Simpson-
(Fair)	Month 6: 26% vs 12% (p=0.001)	Angus Scale (SAS),
	Month 9: 38% vs 31% (ns)	weekly checklist of
	1 year: 37% vs 32% (ns)	adverse reactions
	QLS:	
	Week 6: 28% vs 28% (ns)	
	Month 3: 39% vs 30% (ns; p=0.06)	
	Month 6: 43% vs 37% (ns)	
	Month 9: 40% vs 42% (ns)	
	1 year: 48% vs 45% (ns)	
	% change in positive and negative symptoms for clozapine vs haloperidol:	
	At 3 months (includes crossovers; n=366)	
	Positive symptoms: -17.7% vs -13.8%, p=0.03	
	Negative symptoms: -9.5% vs -2.7%, p=0.03	
	At 1 year (does not include crossovers; n=235)	
	Positive symptoms: -22.9% vs -16.7%, p=0.02	
	Negative symptoms: -17.0% vs -8.3%, p=0.09	
	Negative symptoms: -17.0% vs -8.3%, p=0.09	

Author, year Country	
(Trial name)	Adverse effects reported
Clozapine vs. Haloperidol	
Covington, 2000 U.S. (Poor)	Not reported
Rosenheck, 1997 Rosenheck, 1999 Rosenheck, 1998 U.S. (Fair)	clozapine vs haloperidol Tardive dyskinesia mean score, all timepoints: 3.6 vs 5.2 (p=0.005) Akathisia mean score: 2.6 vs 4.0 (p<0.001) EPS: 2.6 vs 4.0 (p<0.001) AEs: Leukopenia in 4 clozapine and 2 haloperidol patients. Neutropenia in 8 clozapine and 9 haloperidol patients. Agranulocytosis in 3 clozapine patients.

Author, year		
Country Total withdrawals; withdrawals due to adverse events		<b>S</b>
(Trial name)	by drug	Comments
Clozapine vs. Haloperidol		
Covington, 2000 U.S. (Poor)	Not reported	
Rosenheck, 1997 Rosenheck, 1999 Rosenheck, 1998 U.S. (Fair)	245 total; Due to AEs: 26 in clozapine, 27 in haloperidol  clozapine vs haloperidol discontinuations (no p-values given) due to lack of efficacy/worsening of symptoms: 15% vs 51% due to side effects: 30% vs 17% due to non-drug-related reasons: 55% vs 32%  At 3 months, 81% of clozapine patients vs 73% of haloperidol patients (p<0.05) were continuing study drug by 1 year, 60% of clozapine patients vs 28% of haloperidol patients (p<0.0001) continued study medication	Patients with refractory schizophrenia, high levels of hospitalization

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# Evidence Table 3. Active-controlled trials in patients with schizophrenia

Author, year Country (Trial name)	Other Drug	Interventions	Run-in/ Washout period	Method of outcome assessment and timing of assessment
Olanzapine vs.				
Haloperidol				
Avasthi, 2001	haloperidol	olanzapine 5-20 mg/day haloperidol 5-20 mg/day Duration: 12 weeks	NR/ NR	Primary efficacy measure: BPRS, PANSS, Scale for the Assessment of Negative Symptoms (SANS), Montgomery-Asberg Depression Rating Scale (MADRS), Hamilton- Anxiety Scale (HAM-A), CGI, Quality of Life Scale (QOL)

Author, year		
Country		Method of adverse
(Trial name)	Results	effects assessment?
Olanzapine vs.		
Haloperidol		
Avasthi, 2001	Baseline vs endpoint, p vs baseline	UKU side Effect Rating
	olanzapine:	Scale
	BPRS- total: 23.31(9.94) vs 9.50(7.06), p<0.01	Simpson Angus Scale
	BPRS- positive: 9.12(5.35) vs 3.75(4.25), p<0.01	Barnes Akathisia Rating
	BPRS- negative: 5.06(4.14) vs 3.12(3.42), p<0.01	Scale
	BPRS- anxiety: 4.19(2.20) vs 1.31(1.66), p<0.01	
	PANSS- positive: 19.37(7.06) vs 11.44(4.11), p<0.01	
	PANSS- negative: 21.87(7.69) vs 15.62(7.93), p<0.01	
	PANSS- GenPsyPath: 36.56(9.46) vs 25.12(5.25), p<0.01	
	MADRS: 9.12(5.15) vs 3.00(2.42), p<0.01	
	HAM-A: 8.31(5.13) vs 2.31(2.47), p<0.01	
	CGI-severity: 4.68(0.89) vs 3.19(0.98), p<0.01	
	SANS total score: 32.94(19.69) vs 21.87(19.47), p<0.05	
	QOL: 47.0(24.64) vs 51.19(23.38), NS	
	haloperidol:	
	BPRS- total: 25(4.56) vs 12.57(13.39), p<0.05	
	BPRS- positive: 7.43(5.53) vs 3(5.51), p<0.05	
	BPRS- negative: 5.29(2.50) vs 3.57(2.37), NS	
	BPRS- anxiety: 4.86(2.34) vs 2.71(2.87), NS	
	PANSS- positive: 19.29(10.86) vs 10.86(8.49), p<0.05	
	PANSS- negative: 23.29(8.37) vs 16.86(8.71), p<0.05	
	PANSS- GenPsyPath: 38.29(9.45) vs 26.57(8.73), p<0.05	
	MADRS: 10.29(4.61) vs 5(4.58), NS	
	HAM-A: 9.71(3.8) vs 4.57(4.72), NS	
	CGI-severity: 4.29(1.11) vs 2.86(1.57), p<0.05	
	SANS total score: 39.71(12.05) vs 27.43(19.48), NS	
	QOL: 38.29(31.74) vs 49.14(33.88), NS	

Author, year	
Country	
(Trial name)	Adverse effects reported
Olanzapine vs.	%
Haloperidol	
Avasthi, 2001	Baseline vs endpoint, p vs baseline
	olanzapine:
	Barnes akathisia: 0.44(1.09) vs 0(0), NS
	Simpson-Angus: 1.37(7.71) vs 0.75(1.39), NS
	haloperidol:
	Barnes akathisia: 0.43(0.79) vs 0.29(0.49), NS
	Simpson-Angus: 1.43(2.57) vs 0.86(1.86), NS
	Emergent side-effect, N(%)
	olanzapine vs haloperidol
	asthesnia: 7(43.7%) vs 3(42.9%)
	sleepiness: 8(50%) vs 2(28.6%)
	tension: 0(0%) vs 4(57.1%)
	increased duration of sleep: 7(43.7%) vs 2(28.6%)
	dystonia: 0(0%) vs 1(14.3%)
	rigidity: 1(6.2%) vs 5(71.4%)
	hypokinesia: 1(6.2%) vs 2(28.6%)
	tremor: 5(31.2%) vs 4(57.1%)
	akathesia: 1(6.2%) vs 2(28.6%)
	accomodation disturbance: 0(0%) vs 2(28.6%)
	increased salivation: 3(18.7%) vs 0(0%)
	reduced salivation: 4(25%) vs 0(0%)
	constipation: 5(31.2%) vs 0(0%)
	micturition disturbances: 1(6.2%) vs 2(28.6%)
	weight gain: 13(81.2%) vs 2(28.6%)
	others: 5(31.2%) vs 7(100%)
	*Others: polyuria, orthostatic dizziness, papitations, nausea, increased sweating and menstrual
	disturbances.

Author, year		
Country	Total withdrawals; withdrawals	due to adverse events
(Trial name)	by drug	Comments
Olanzapine vs.		
Haloperidol		
Avasthi 2001	NR	

Author, year Country			Run-in/ Washout period	Method of outcome assessment and timing of assessment
(Trial name)	Other Drug Interventions	Interventions		
Barak, 2002	haloperidol	Mean dosage at the end	NR	Primary outcome: PANSS and CGI
		olanzapine 13.1(5.9) mg/day, range 5.0-25.0		
		haloperidol 7.2(2.9) mg/day range NR		
		mean duration: 15(8) month, range 3-24		

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# Evidence Table 3. Active-controlled trials in patients with schizophrenia

Author, year		
Country		Method of adverse
(Trial name)	Results	effects assessment?
Barak, 2002	Baseline vs posttreatment PANSS total:	weight, blood pressure and pulse
	haloperidol: 79.3(15.3) vs 74.3(9.6)	and pulse
	olanzapine: 84.0(14.5) vs 65.1(19.3)	
	*change from baseline, haloperidal vs olanzapine, p=0.02	
	PANSS negative:	
	haloperidol: 18.2(7.9) vs 20.5(6.9)	
	olanzapine: 18.9(3.4) vs 15.2(3.0)	
	*change from baseline, haloperidal vs olanzapine, p=0.0003	
	PANSS general:	
	haloperidol: 40.9(12.3) vs 36.5(7.0)	
	olanzapine: 40.7(9.0) vs 34.5(10.6)	
	PANSS positive:	
	haloperidol: 20.2(7.3) vs 17.3(6.1)	
	olanzapine: 24.4(8.0) vs 15.4(7.8)	
	CGI	
	haloperidol: 4.8(0.9) vs 4.4(0.5)	
	olanzapine: 4.9(1.2) vs 3.8(0.9)	

Author, year
Country
(Trial name)

#### e) Adverse effects reported

Barak, 2002

olanzapine (n=10) vs haloperidol (n=10) weight: 4.5(0.6) vs 2.1(1.8), p=0.3

blood pressure: NR, NS

pulse: NR, NS

concomitant psychotropic medication use: 3 vs 7

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Author, year Country	Total withdrawals; withdrawals due to adverse event	als; withdrawals due to adverse events		
(Trial name)	by drug	Comments		
Barak, 2002	olanzapine vs haloperidol			
	total withdrawal: 4 vs 4			
	withdrawal due to AEs: 0 vs 3			
	* the three patients discontinued from the haloperidol			
	group were treated with higher doses compared to other			
	7 patients (9.0 vs 5.4)			

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# Evidence Table 3. Active-controlled trials in patients with schizophrenia

Country (Trial name)	Other Drug	Interventions	Run-in/ Washout period	Method of outcome assessment and timing of assessment
Beasley, 1997	haloperidol	olanzapine 1mg/day olanzapine 5(2.5) mg/day	4-7 days/2 days	BPRS extracted from the PANSS PANSS
	benzodiazepine:	olanzapine 10(2.5) mg/day		CGI Severity
	lorazepam	olanzapine 15(2.5) mg/day		Patient Global Impression (PGI)
	equivalents maximum dose of 10 mg/day	haloperidol 15(5.0) mg/day		
	,	Duration: 6 weeks acute phase followed buy a 46 weeks extension phase for responders to acute		
		phase. The acute-phase results are reported here.		

Author, year Country		Method of adverse	
(Trial name)	Results	effects assessment?	
Beasley, 1997	olz-1 vs olz-5 vs olz-10 vs olz-15 vs hal-15	EPS assessment:	
	Endpoint change from baseline, Mean(SD)	-Simpson-Angus Scale	
	BPRS total: -10.5(16.6) vs -13.4(14.8) vs -13.8(17.8) vs -16.4(14.3) vs -12.4(16.0)	-Barnes Akathisia Scale	
	BPRS positive: -3.1(4.9) vs -4.5(4.6)* vs -4.3(5.3) vs -5.3(4.6)* vs -4.8(5.1)	Dyskinesias:	
	BPRS negative: -2.1(3.5) vs -2.4(3.4) vs -2.3(3.6) vs -2.8(3.0) vs -1.9(2.9)	-Assessment of	
	PANSS total: -16.8(28.7) vs -21.4(25.2) vs -22.7(29.2) vs -26.7(23.7) vs -20.0(25.9)	Involuntary Movement	
	PANSS positive: -4.3(8.3) vs -6.7(6.7) vs -6.2(8.5) vs -8.2(7.4)* vs -6.5(8.6)	Scale (AIMS)	
	PANSS negative: -4.4(8.2) vs -5.1(7.5) vs -5.4(8.0) vs -6.6(6.9) vs -4.8(6.3)	, ,	
	PANSS G psych: -8.2(14.6) vs -9.7(14.4) vs -11.1(15.2) vs -11.9(12.1) vs -8.7(13.4)		
	CGI Severity: -0.8(1.4) vs -1.0(1.1) vs -1.2(1.2) vs -1.5(1.5)* vs -1.1(1.3)		
	-All p<0.001 compared to baseline. *p<0.05 compared with olz-1		

# Author, year Country (Trial name)

#### Adverse effects reported

Beasley, 1997

olz-1 vs olz-5 vs olz-10 vs olz-15 vs hal-15 (%), p value

Increased ALT: 3.4 vs 6.9 vs 9.3a vs 14.6bc vs 1.2, p=0.007

Headache: 10.2 vs 2.3f vs 9.3 vs 9.0 vs 7.4, p=0.296 EPS: 2.3 vs 2.3c vs 1.2c vs 5.6 vs 13.6b, p=0.001 Insomnia: 11.4 vs 6.9 vs 4.7 vs 5.6 vs 2.5f, p=0.172 Akathisia: 0.0 vs 0.0d vs 1.2d vs3.4c vs 14.8e, p<0.001 Hypertonia: 0.0 vs 1.1a vs 1.2a vs 1.1a vs 9.9b, p<0.001 Dyskinesia: 1.1 vs 0.0a vs 1.2 vs 0.0a vs 6.2, p=0.009 Dystonia: 0.0 vs 0.0a vs 0.0a vs 0.0a vs 4.9f, p=0.002

Increased GGT: 0.0 vs 4.6f vs 2.3 vs 0.0 vs 0.0, p=0.030 Increased salivation: 0.0 vs 1.1 vs 1.2 vs 0.0a vs 6.2f, p=0.009

Tremor: 0.0 vs 1.1c vs 1.2c vs 0.0d vs 11.1e, p<0.001

a: p<0.05 compared with Hal

b: p<0.01 compared with Olz-1.0

c: p<0.01 compared with Hal

d: p<0.001 compared with Hal

e: p≤0.001 compared with Olz-1.0

f: p≤0.05 compared with Olz-1.0

-Weight gain was associated with increasing olanzapine dose; a slight decrease in weight was seen in the haloperidol treatment group.

olz-1 vs olz-5 vs olz-10 vs olz-15 vs oal-15 (%), p value

Simpson-Angus: -0.61(2.95) vs -1.08(3.76)d vs -0.17(3.45)d vs -0.66(3.21)d vs 3.00(8.06)e

Barnes: -0.19(0.61) vs -0.20(0.69)d vs -0.18(0.84)d vs -0.07(0.74)d vs 0.47(1.26)b

AIMS: -0.71(2.58) vs -0.55(2.44)a vs 0.07(2.02) vs -0.33(2.69) vs 0.15(3.25)c

a: p<0.1 vs Hal

b: p<0.1 vs Olz-1

c: p<0.5 vs Olz-1

d: p<0.01 vs Hal

e: p<0.01 vs Olz-1

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# Evidence Table 3. Active-controlled trials in patients with schizophrenia

Author, year  Country Total withdrawals; withdrawals due to adverse events		
(Trial name)	by drug	Comments
Beasley, 1997	Olz-1 vs Olz-5 vs Olz-10 vs Olz-15 vs Hal-15	
	Total withdrawals (%): 45.5 vs 44.8 vs 38.4 vs 38.2 vs	
	46.9 vs 42.7	
	Withdrawals due to AEs: 11.4 vs 16.1 vs 7.0 vs 9.0 vs	
	14.8 vs 11.6	

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# Evidence Table 3. Active-controlled trials in patients with schizophrenia

Author, year				
Country			Run-in/	Method of outcome assessment and
(Trial name)	Other Drug	Interventions	Washout period	timing of assessment
Breier, 2002	haloperidol	IM olanzapine 2.5mg (mean: 4.0) IM olanzapine 5.0mg (mean:6.9)	NR/ min 2 hour washout in screening	Primary efficacy measure: PANSS-EC Other measures: Agitated Behavior Scale
		IM olanzapine 3.5mg (mean: 9.8)	period	(ABS), Agitation Calmnes Evaluation (ACES)
		IM olanzapine 10mg (mean:12.6)		PANSS-derived Brief Psychiatric Rating
		IM haloperidol 7.5mg (mean 9.9)		Scale (BPRS), Clinical Global Impressions-
		IM placebo (mean: n/a)		Severity (CGI-S)
		24-hour study, with a maximum of three injections allowed during this time		Pts assessed at screening visit, 30, 60, 90 minutes and 2, 4, 6, 12, and 24 hours after first injection
		% of pts receiving ≥2 injections over 24h:		
		(p<0.001 for all vs placebo)		
		olz 2.5: 52.1%		
		olz 5.0: 35.5%		
		olz 7.5: 28.3%		
		olz 10.0: 23.9% (p<0.05 vs olz 2.5)		
		hal 7.5: 25% (p<0.05 vs olz 2.5)		
		placebo: 66.7%		

Author, year		
Country		Method of adverse
(Trial name)	Results	effects assessment?
•	Change from baseline- Mean (SD), p vs olz 2.5mg, p vs placebo PANSS-EC, 2 hours after IM injection olz 2.5mg: -5.5(4.6), NA, p=0.01 olz 5.0mg: -8.1(5.3), p=0.01, p<0.001 olz 7.5mg: -8.7(5.0), p=0.001, p<0.001 olz 7.5mg: -8.7(5.0), p=0.001, p<0.001 olz 10mg: -9.4(4.9), p<0.001, p<0.001 hal 7.5mg: -7.5(5.9), p=0.04, p<0.001 hal 7.5mg: -7.5(5.9), p=0.04, p<0.001 placebo: -2.9(4.7), p=0.01, NA *other between treatment comparison: p=NS  olz 2.5 vs olz 5.0 vs olz 7.5 vs olz 10 vs hal 7.5 vs placebo- Mean(SD) 2 hours after first IM injection BPRS total: -8.2(9.1)e vs -10.4(7.5) vs -12.0(7.0) vs -12.0(5.9) vs -9.2(7.2)b vs -3.7(5.5)a BPRS positive: -1.5(3.1) vs -1.7(2.8) vs -2.1(2.9) vs -1.9(2.3) vs -1.4(2.2) vs -0.4(1.3)a ABS: -5.8(5.5)d vs -9.0(5.5) vs -10.5(5.6)c vs -10.4(5.7)c vs -7.7(5.2)b vs -3.0(5.0)a ACES: 1.3(1.5)d vs 2.3(1.9) vs 2.4(1.7) vs 2.6(1.7)c vs 1.8(1.6)b vs 0.7(1.2)a a: p<0.05 vs all IM olanzapine treatment groups, except olz at 2.5mg on the ACES b: p<0.05 vs all other olz treatment e: p<0.05 vs olz at 7.5 mg and 10.0mg  olz 2.5 vs olz 5.0 vs olz 7.5 vs olz 10 vs hal 7.5 vs placebo- Mean(SD) Mean change from baseline to 24 hours after first IM injection PANSS-EC: -4.9(4.3) vs -5.5(4.9) vs -5.5(4.1) vs -5.9(5.2) vs -4.5(4.0) vs -3.1(3.3)a BPRS total: -8.4(7.4) vs -9.2(7.8) vs -9.6(7.5) vs -9.0(7.7) vs -7.3(7.5) vs -4.3(5.4)a BPRS positive: -1.5(2.3) vs -2.0(2.6) vs -1.9(2.7) vs -1.7(2.4) vs -1.8(3.0)b vs -0.6(2.2)a	
	BPRS positive: -1.5(2.3) vs -2.0(2.6) vs -1.9(2.7) vs -1.7(2.4) vs -1.8(3.0)b vs -0.6(2.2)a ABS: -5.7(4.2) vs -6.7(5.9) vs -7.7(5.8)c vs -7.4(7.0)c vs -5.0(4.1)b vs -2.6(4.0)a CGI-S: -0.3(0.5) vs -0.5(0.8)b vs -0.6(0.7)b vs -0.4(0.5) vs -0.4(0.6) vs -0.2(0.6) ACES:+ 0.9(0.8) vs +1.1(1.1) vs +1.0(1.0) vs +0.9(0.9) vs +0.8(0.7) vs +0.5(0.7)a a: p<0.05 vs all IM olanzapine treatment groups, except olz at 2.5mg on the BPRS positive b: p<0.05 vs placebo c: p<0.05 vs hal 7.5mg	

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Author, year Country	
(Trial name)	Adverse effects reported
Breier, 2002	olz 2.5 vs olz 5.0 vs olz 7.5 vs olz 10 vs hal 7.5 vs placebo Hypotension: 4.2% vs 4.4% vs 2.2% vs 4.3% vs 0% vs 0%, (no between group differences observed)
	Acute dystonia: 0% of all olz (n=185) pts vs 5.0% hal vs 0% placebo pts
	olz 2.5 vs olz 5.0 vs olz 7.5 vs olz 10 vs hal 7.5 vs placebo Treatment emergent parkinsonism: 0% vs 0% vs 0% vs 2.9% 16.7% vs 0% (p=0.03 for hal vs olz 2.5 and vs olz 5.0; p=0.01 for hal vs olz 7.5 and hal vs placebo) Treatment emergent akathisia: 0% vs 4.8% vs 0% vs 0% vs 7.9% vs 0% (no between group differences observed)
	Anticholinergic medication given to 7.5% hal pts and 2.1% olz 2.5 pts (no between group differences)
	No pt had increase in QTc of ≥500 milliseconds
	Baseline to 24h changes in mean(SD) QTc intervals, "none were clinically relevant" -4.3(22.3) vs -3.1(23.2) vs -2.8(19.6) vs -1.9(31.0) vs +6.5(24.7) vs +1.2(21.5)

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Author,	year
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Country Total withdrawals; withdrawals due to adverse events

(Trial name) by drug Comments

Breier, 2002 NA

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Author, year				
Country			Run-in/	Method of outcome assessment and
(Trial name)	Other Drug	Interventions	Washout period	timing of assessment
Glick, 2002		olanzapine 5-20 mg/day		
(See Tollefson, 1997)		haloperidol 5-20 mg/day		
		risperidone 4-12 mg/day		
		Duration: 6 weeks		
Hamilton, 1998		See Beasley, 1996	See Beasley, 1996	BPRS, SANS, CGI severity at baseline and
(See Beasley 1996)		Duration 24 weeks		weekly visits
				QLS
Kinon, 2004	haloperidol +	olanzapine 10-20 mg po qd + lorazepam	24hr washout	Primary efficacy: PANSS Agitation at 1,4, 8,
US	lorazepam	(Mean dose for olanzapine: 17.1mg and mean		16, and 24hrs, daily for first week, and
		dose lorazepam: 2.6 mg)		once/week for weeks 2 and 3.
Inpatients		haloperidol 10-20 mg po qd + lorazepam		
		(Mean dose for haloperidol: 15.7mg and mean		Secondary outcomes: CGI-Severity and
		dose lorazepam: 2.94 mg)		Improvement Scales, Overt Agitation Severity
		largenom degraced until no nations received it		Scale (OASS), and Nurses Obsercation Scael
		lorazepam decreased until no patient received it during days 18-21		for Inpatient Evaluation (NOSIE).
		-		Other measurements: frequency of time in
		3 week duration		restraints or seclusion and special nursing
				watch, and frequency of lorazepam treatment.
				DAI-10 (Drug Attitude Inventory) used for
				patient response to medication.

	Method of adverse
Results	effects assessment?
Mean change in score at 24 week extension (baseline to LOCF) colanzapine (low, medium, high) vs haloperidol: BPRS total score (-15.0, -22.8, -19.9) vs -19.9 (ns) SANS summary score (-2.5, -4.7, -5.5) vs -2.7 (p = 0.049 for Olz-H) CGI severity score (-1.1, -1.6, -1.2) vs -0.9 (ns) QLS total score (+6.7, +24.6, +15.5) vs +4.9 (ns) QLS intrapsychic foundations (+2.3, +8.1, +4.2) vs +0.9 (ns) QLS interpersonal relations (+2.5, +9.3, +5.9) vs +3.1 (ns) QLS instrumental role category (+1.5, +5.6, +4.0) vs +0.9 (ns) QLS common objects and activities (+0.4, +1.7, +1.4) vs 0.0 (ns)	See Beasley, 1996
Dolanzapine vs haloperidol Mean change in score (SD): PANSS Agitiation scores, at 1 hour: -5.79 vs -4.89 (p<0.001) At day 21 (LOCF): -14.00(10.71) vs -11.21(11.67), p=0.044 PANSS Total score: -20.73(10.81) vs -16.03(13.76), p=0.51 DASS: improvement olan > hal for items: fidgeting and perseverating (p=0.41 and p=0.50 respectively) Days (SD) to discharge: 13.73 (2.43) days vs 13.13 (3.75) days, p=NS Proportion of patients using restraints, seclusions, or special nursing watch: 17.3% vs 16.7%, p=NS Mean number of hours (SD) used per patient per day: 1st week: 1.57 (5.52) vs 2.59 (6.79) 2nd week: 0.33 (2.23) vs 0.92 (4.05) 3rd week: 0 vs 0.55 (2.74)  Mean baseline to end-point changes in NOSIE: -8.88 (15.82) vs -7.74 (16.82), p=NS  Patient scores for satisfaction with medication at end-point: +0.61 vs-0.72, p=0.52	Treatment-emergent AEs, changes in vital signs, and laboratory analyses recorded. EPS measured by the Simpson-Angus Scale and the Barnes Akathisia Scale. Change in alterness or sedation assessed with the Tranquilization Scale (modified)
	Mean change in score at 24 week extension (baseline to LOCF)  Janzapine (low, medium, high) vs haloperidol:  BPRS total score (-15.0, -22.8, -19.9) vs -19.9 (ns)  SANS summary score (-2.5, -4.7, -5.5) vs -2.7 (p = 0.049 for Olz-H)  CGI severity score (-1.1, -1.6, -1.2) vs -0.9 (ns)  LLS total score (+6.7, +24.6, +15.5) vs +4.9 (ns)  LLS interpersonal relations (+2.3, +8.1, +4.2) vs +0.9 (ns)  CLS interpersonal relations (+2.5, +9.3, +5.9) vs +3.1 (ns)  LLS interpersonal relations (+2.5, +9.3, +5.9) vs +3.1 (ns)  CLS common objects and activities (+0.4, +1.7, +1.4) vs 0.0 (ns)  DIANZE Agitiation scores, at 1 hour: -5.79 vs -4.89 (p<0.001)  At day 21 (LOCF): -14.00(10.71) vs -11.21(11.67), p=0.044  PANSS Total score: -20.73(10.81) vs -16.03(13.76), p=0.51  DASS: improvement olan > hal for items: fidgeting and perseverating (p=0.41 and p=0.50 respectively)  Days (SD) to discharge: 13.73 (2.43) days vs 13.13 (3.75) days, p=NS  Proportion of patients using restraints, seclusions, or special nursing watch: 17.3% vs 16.7%, p=NS  Mean number of hours (SD) used per patient per day:  1st week: 1.57 (5.52) vs 2.59 (6.79)  2nd week: 0.33 (2.23) vs 0.992 (4.05)  3rd week: 0.35 (2.74)  Mean baseline to end-point changes in NOSIE:  -8.88 (15.82) vs -7.74 (16.82), p=NS

Author, year Country

(Trial name) Adverse effects reported

Glick, 2002

(See Tollefson, 1997)

Hamilton, 1998 (See Beasley 1996) Not reported

Kinon, 2004 US olanzapine vs haloperidol

Inpatients

Patients reporting all treatment-emergent AEs: 67.3% vs 85.4%, p=0.38

Weight gain: +2.8kg vs -0.64kg, p<0.001

Simpson-Angus: -0.41(2.18) vs +0.64(3.53), p=NS

Patients receiving antiparkinsonian mediations: 0% vs 8.3%, p=0.05 Mean change in Barnes-Akathisia scale : olanzapine only reported: -1.34

Dystonia: 0% vs 8.3%, p=0.05 Hypertonia: 0% vs 8.3%, p=0.05

Increased salivation: 0% vs 8.3%, p=0.05 Headache: 11.5% vs 25.0%, p=NS Nervousness: 7.7% vs 16.7%, p=NS Anxiety: 11.5% vs 4.2%, p=NS Insomnia: 5.7% vs 13.0%, p=NS Somnolence: 17.3% vs 25.0%, p=NS

Pain: 9.6% vs 10.0%, p=NS Agitation: 9.6% vs 10.0%, p=NS

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Author, year

Country Total withdrawals; withdrawals due to adverse events

(Trial name) by drug Comments

Glick, 2002

(See Tollefson, 1997)

Hamilton, 1998 Due to AEs:

(See Beasley 1996) 2 in olanzapine (low)

3 in olanzapine (medium) 2 in olanzapine (high) 4 in haloperidol

3 in placebo

Results represent patients who responded during acute phase and continued in extension phase.

Kinon, 2004

Inpatients

US

Olanzapine vs haloperidol

Total % of patients who discontinued (of original 100

patients, 43 dropped out): 32.7% vs 54.2%

Withdrawals due to AEs: 1.9% vs 16.7%, p=0.013

Mean time to discontinuation: 17.69 days vs 14.21 days,

p=0.016

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Author, year				
Country			Run-in/	Method of outcome assessment and
(Trial name)	Other Drug	Interventions	Washout period	timing of assessment
Revicki, 1999 Austria, Belgium, Canada, France, Germany, Italy, Poland, Portugal, Spain, United Kingdom, United States (See Tollefson, 1997)		See Tollefson, 1997 Duration 6 weeks, followed by 1-year blinded extension phase that included responders only. Mean modal dose during acute phase: olanzapine 12.9 mg/day; haloperidol 11.3 mg/day Mean modal dose during extension phase: olanzapine 13.3 mg/day; haloperidol 12.4 mg/day	See Tollefson, 1997	See Tollefson, 1997; Also QLS and SF-36 at baseline and at end of acute phase (6 weeks), then every 8 weeks for patients in the extension phase.
Rosenheck, 2003 U.S. (Fair)	haloperidol	olanzapine 5-20 mg/day, mean dose 15.8 mg/day during last 6 months; given with placebo benztropine. haloperidol 5-20 mg/day, mean dose 14.3 during last 6 months; given with benztropine mesylate 1-4 mg/day. Duration 12 months		PANSS, QLS at baseline, 6 weeks, and 3, 6, 9, and 12 months Neurocognitive status (RBANS, Grooved Pegboard, Wisconsin Card Sorting Test-64 Card Version, Trail-making test part B, Controlled Oral Word Association Test, Wide Range Achievement Test-Revised) at baseline and 3, 6, and 12 months

Author, year		
Country		Method of adverse
(Trial name)	Results	effects assessment?
Revicki, 1999 Austria, Belgium, Canada, France, Germany, Italy, Poland, Portugal, Spain, United Kingdom, United States (See Tollefson, 1997)	Mean change from baseline score during acute phase, olanzapine vs haloperidol: QLS total: 6.5 vs 3.1 (p=0.005) QLS intrapsychic foundations 2.8 vs 1.0 (p<0.001) QLS interpersonal relations 2.0 vs 0.9 (p=0.036) QLS instrumental role category 1.2 vs 1.0 (ns) QLS common objects and activities 0.5 vs 0.3 (ns) SF-36 summary score, mental component 6.3 vs 2.8 (p<0.001) SF-36 summary score, physical component 0.1 vs -0.2 (ns) Mean change from baseline score to extension phase endpoint: QLS total 13.2 vs 7.1 (p=0.001) QLS intrapsychic foundations 4.7 vs 1.8 (p<0.001) QLS interpersonal relations 4.3 vs 3.0 (ns) QLS instrumental role category 3.2 vs 1.7 (p=0.015) QLS common objects and activities 1.1 vs 0.6 (ns)	See Tollefson, 1997 Assessments made weekly during acute phase and every 8 weeks during extension phase.
Rosenheck, 2003 U.S. (Fair)	Mean scores not provided; graphs and statistical significance only.  No between-group differences in PANSS total, PANSS positive, or PANSS negative subscales, QLS, SF-36, or CG Outcomes scale. No differences at any time point in proportion of patients with 20% improvement in PANSS scores.  Neurocognitive tests: Significantly greater improvement in olanzapine on motor functioning (p=0.02) and memory (p=0.03) but not on Wisconsin Card Sorting test (ns).	BAS, AIMS, SARS, CGI, SF-36 checklist of adverse reactions, at baseline, 6 weeks, 3, 6, 9, and 12 months.  Neurocognitive status at baseline and at 3, 6, and 12 months: RBANS, Grooved Pegboard, Wisconsin Card Sorting Test-64 Card Version, Trail-Making Test Part B, Controlled Oral Word Association Test, Wide Range Achievement Test-Revised

## Author, year Country

(Trial name) Adverse effects reported

See Tollefson, 1997

Revicki, 1999 Austria, Belgium, Canada, France, Germany, Italy, Poland, Portugal, Spain, United Kingdom, United

States (See Tollefson, 1997)

Rosenheck, 2003 olanzapine vs haloperidol:

U.S. BAS: significantly lower scores in olanzapine (p<0.001)

(Fair) AIMS: no between-group differences

Patient reports of weight gain at 6 months 32.5% vs 12.5% (p=0.002); at 12 months 24.7% vs 8.3%

(p=0.01)

Restlessness\* at 6 months 15.1% vs 28.0% (p=0.04); at 12 months 15.2% vs 28.0% (p=0.06)

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Author,	year
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Country Total withdrawals; withdrawals due to adverse events (Trial name) by drug

(Trial name)by drugCommentsRevicki, 1999See Tollefson, 1997Outcome: quality of life

Austria, Belgium, Canada, France, Germany, Italy, Poland, Portugal, Spain, United Kingdom, United States

(See Tollefson, 1997)

Rosenheck, 2003

132 total;

U.S. (Fair) Due to AEs: 15 in olanzapine vs 6 in haloperidol

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## Evidence Table 3. Active-controlled trials in patients with schizophrenia

Author, year				
Country			Run-in/	Method of outcome assessment and
(Trial name)	Other Drug	Interventions	Washout period	timing of assessment
Tollefson, 1997	haloperidol	olanzapine 5-20 mg/day; mean dose 13.2 mg/day	2-9 day washout	Weekly assessments of efficacy: PANSS,
Breier, 1999		haloperidol 5-20 mg/day; mean dose 11.8 mg/day		CGI, BPRS extracted from PANSS, MADRS,
Gilmore, 2002		Duration 6 weeks		QLS, SF36, prolactin
Glick, 2002				
Goldstein, 2002				
Gomez, 2001				
Hamilton, 2000				
Kennedy, 2003				
Kinon, 2001				
Revicki, 1999				
Sanger, 1999				
Tohen, 2001				
Tran, 1999				
Tollefson, 1998				
Tollefson, 1999				
Tunis, 1999				
174 sites in 17 countries				
(Fair)				
•				

Author, year		
Country		Method of adverse
(Trial name)	Results	effects assessment?
Tollefson, 1997	Change in mean score from baseline to acute phase endpoint, olanzapine vs haloperidol:	Clinical report form
Breier, 1999	BPRS total -10.9 vs -7.9 (p<0.02)	records, AMDP-5, vital
Gilmore, 2002	PANSS total -17.7 vs -13.4 (p=0.05)	signs, SARS, BAS,
Glick, 2002	PANSS positive -4.7 vs -3.8 (ns)	laboratory tests, ECGs,
Goldstein, 2002	PANSS negative -4.5 vs -3.2 (p=0.03)	ophthalmological
Gomez, 2001	CGI severity -1.0 vs -0.7 (p<0.03)	examinations, and chest
Hamilton, 2000	MADRS -6.0 vs -3.1 (p=0.001)	X-rays.
Kennedy, 2003		Weekly assessments of
Kinon, 2001		safety: EPS, SAS, BAS,
Revicki, 1999		AIMS.
Sanger, 1999		
Tohen, 2001		
Tran, 1999		
Tollefson, 1998		
Tollefson, 1999		
Tunis, 1999		
174 sites in 17 countries		
(Fair)		

## Author, year Country

Country	
(Trial name)	Adverse effects reported
Tollefson, 1997	EPS and sleep disruptions, several anticholinergic effects, and hypersalivation significantly more frequent
Breier, 1999	in haloperidol than olanzapine.
Gilmore, 2002	
Glick, 2002	olanzapine vs haloperidol (p<0.05):
Goldstein, 2002	Excessive appetite 24.0% vs 12.4%
Gomez, 2001	Dry mouth 22.2% vs 16.2%
Hamilton, 2000	Interrupted sleep 19.0% vs 30.3%
Kennedy, 2003	Shortened sleep 15.1% vs 24.8%
Kinon, 2001	Drowsiness 26.0% vs 31.3%
Revicki, 1999	Hypertonia 8.4% vs 21.1%
Sanger, 1999	Tremor 16.5% vs 26.3%
Tohen, 2001	Acute dyskinesia 2.8% vs 8.0%
Tran, 1999	Hypokinesia 5.1% vs 13.5%
Tollefson, 1998	Akathisia 14.2% vs 35.5%
Tollefson, 1999	
Tunis, 1999	Estimated % of patients discontinued at 12 months: 37% vs 47%
174 sites in 17 countries	Estimated mean time to discontinuation (day): 271 vs 241
(Fair)	Relapse rates at 52 weeks among responders: 34% vs 37%, p=0.466

Author, year		
Country	Total withdrawals; withdrawals due to adverse events	<b>S</b>
(Trial name)	by drug	Comments
Tollefson, 1997	799 total;	
Breier, 1999	Due to AEs:	
Gilmore, 2002	60 (4.5%) in olanzapine	
Glick, 2002	48 (7.3%) in haloperidol (p=0.01)	
Goldstein, 2002		
Gomez, 2001		
Hamilton, 2000		
Kennedy, 2003		
Kinon, 2001		
Revicki, 1999		
Sanger, 1999		
Tohen, 2001		
Tran, 1999		
Tollefson, 1998		
Tollefson, 1999		
Tunis, 1999		
174 sites in 17 countries		
(Fair)		

Author, year Country		Run-in/	Method of outcome assessment and	
(Trial name)	Other Drug	Interventions	Washout period	timing of assessment
Tran, 1999		See Tollefson, 1997	See Tollefson, 1997	See Tollefson, 1997
(See Tollefson, 1997)		Duration 6 weeks, followed by 1-year blinded		
		extension phase that included responders only.		
		Mean modal dose during acute phase: olanzapine		
		11.5 mg/day; haloperidol 10 mg/day.		
		Mean modal dose during extension phase:		
		olanzapine 12.9 mg/day; haloperidol 13.8 mg/day.		

Author, year		
Country	Results	Method of adverse effects assessment?
(Trial name)	11000000	
Tran, 1999	Change in mean score at acute phase and extension phase endpoints, olanzapine vs haloperidol: All schizoaffective patients	As in Tollefson, 1997; also AIMS.
(See Tollefson, 1997)	Acute BPRS total -10.52 vs -5.50 (p=0.002)	Elicited by investigator
	Acute PANSS total -10.05 vs -9.06 (p=0.003)	and reported
	" '	•
	Acute PANSS positive -4.11 vs -2.49 (ns) Acute PANSS negative -4.16 vs -2.07 (p=0.006)	spontaneously by
	Acute MADRS total -7.39 vs -0.79 (p<0.001)	patient.
	" ,	
	Extension BPRS total -15.96 vs -14.44 (ns)	
	Extension PANSS total -26.80 vs -24.68 (ns)	
	Extension PANSS positive -7.21 vs -7.72 (ns)	
	Extension PANSS negative -6.25 vs -5.08 (ns)	
	Extension MADRS total -8.26 vs -3.32 (p=0.045)	
	Bipolar type  Acute BBBS total, 40,60 yr., 5,86 (n. 0,013)	
	Acute BPRS total -10.60 vs -5.86 (p=0.012)	
	Acute PANSS total -16.82 vs -9.96 (p=0.028)	
	Acute PANSS positive -4.27 vs -2.73 (ns)	
	Acute PANSS negative -3.97 vs -2.02 (p=0.031)	
	Acute MADRS total -6.93 vs -0.17 (p<0.001)	
	Extension BPRS total -16.29 vs -14.56 (ns)	
	Extension PANSS total -26.53 vs -25.44 (ns)	
	Extension PANSS positive -7.60 vs -7.81 (ns)	
	Extension PANSS negative -6.04 vs -4.69 (ns)	
	Extension MADRS total -6.36 vs -3.69 (ns)	

# Author, year Country

Country	
(Trial name)	Adverse effects reported
Tran, 1999	olanzapine vs haloperidol,
(See Tollefson, 1997)	Mean change in acute phase:
	Weight: +1.49 kg vs -0.24 kg (p=0.0001).
	EPS scores (SAS LOCF): -0.85 vs +1.65 (p=0.001)
	BAS: -0.18 vs +0.81 (p<0.001)
	Proportion who experienced akathisia: 16.6% vs 52.3% (p<0.001)
	Proportion who experienced pseudoparkinsonism: 9.8% vs 37.2% (p<0.001)
	Mean change in extension phase:
	Weight: +5.02 vs -1.53 (p=0.002)
	SAS total scores: -1.34 vs +0.88 (p=0.016)
	BAS: -0.24 vs +0.16 (ns)
	Proportion who experienced pseudoparkinsonism: 4.5% vs 9.2% (p<0.001)
	Proportion who experienced akathisia: 18.4% vs 52.4% (p=0.002)

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## Evidence Table 3. Active-controlled trials in patients with schizophrenia

Author, year Country (Trial name)	Total withdrawals; withdrawals due to adverse even	nts Comments
Tran, 1999 (See Tollefson, 1997)	Acute phase: 157 withdrawals.  Due to AEs: 15 (7.7%) in olanzapine, 10 (9.6) in	Subpopulation of Tollefson 1997: schizoaffective
	haloperidol (ns) Extension phase: 56 withdrawals. Due to AEs: 15 (17.6%) in olanzapine, 6 (24.0%) in haloperidol (ns)	

Author, year				
Country			Run-in/	Method of outcome assessment and
(Trial name)	Other Drug	Interventions	Washout period	timing of assessment
Wright, 2003	haloperidol	IM olanzapine 10mg	NR/ NR	PANSS-EC
Wright, 2001		IM haloperidol 7.5mg		
		the 24-hour IM period was followed by 4 days PO		
		treatment with olanzapine or haloperidol tablets (5-	•	
		20 mg/day for both)		

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## Evidence Table 3. Active-controlled trials in patients with schizophrenia

Author, year		
Country		Method of adverse
(Trial name)	Results	effects assessment?
Wright, 2003	Mean change at 24 hours from baseline, p value vs placebo	Spontaneously reported
Wright, 2001	BPRS positive: placebo: -1.3(2.7)	EPS: Barnes Akathisia
	olanzapine: -2.8(3.1), p<0.001	Scale (BAS) and
	haloperidol: -3.2(3.5), p<0.001	Simpson-Angus Scale
	BPRS total: placebo: -6.2(9.0)	(SAS)
	olanzapine: -12.8(9.0), p<0.001	
	haloperidol: 12.9(8.9), p<0.001	
	CGI-I: placebo: -0.1(0.6)	
	olanzapine: -0.5(0.8), p<0.05	
	haloperidol: -0.8(0.8), p<0.05	
	PANSS: placebo: -3.1(5.1)	
	olanzapine: -6.5(5.3), p<0.001	
	haloperidol: -6.7(4.6), p<0.001	
	olanzapine vs haloperidol, p=0.76	
	Agitated Behavior Scale score: placebo: -3.7(6.7)	
	olanzapine: -6.4(5.9), p=0.003	
	haloperidol: -6.6(5.3), p=0.002	
	olanzapine vs haloperidol, p=0.91	
	Agigated Calmness Evaluation Scale score: placebo: 0.6(1.2)	
	olanzapine: 0.8(1.0), p=0.2	
	haloperidol: 1.1(1.0), p=0.002	
	olanzapine vs haloperidol, p=0.02	
	Response rate: placebo: 18(33.3%)	
	olanzapine: 96(73.3%), p<0.001	
	haloperidol: 87(69%), p<0.001	
	olanzapine vs haloperidol, NS	
	Mean change at PO endpoint from baseline, all NS between groups	
	PANSS-EC:	
	olanzapine: -0.6(4.8)	
	haloperidol: -1.3(4.4)	

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#### Evidence Table 3. Active-controlled trials in patients with schizophrenia

# Author, year Country

#### (Trial name) Adverse effects reported

Wright, 2003 Wright, 2001 Mean change at 24 hours from baseline, p value vs IM haloperidol

Simpson-Angus Scale (SAS): olanzapine: -0.61(2.26), p<0.001 haloperidol: 0.70(3.54), NA placebo: -1.19(3.32), NR Barnes Akathisia Scale (BAS): olanzapine: -0.27(0.73), p<0.05 haloperidol: 0.01(0.77), NA placebo: -0.08(0.79), NR

Mean change at PO endpoint from baseline, all NS between groups

SAS:

olanzapine: -0.24(1.51) haloperidol: 0.14(3.28)

BAS:

olanzapine: 0.00(0.63) haloperidol: 0.09(0.87)

Dystonia:

olanzapine: 0(0%) haloperidol: 1(0.8%)

olanzapine vs haloperidol, p=0.001

EPS:

olanzapine: 1(0.8%) haloperidol: 7(5.6%)

olanzapine vs haloperidol, p=0.03

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Author, year Country	Total withdrawals; withdrawals due to a	dvorce ovente	
(Trial name)	by drug	Comments	
Wright, 2003	Olanzapine vs haloperidol		
Wright, 2001	Total withdrawals: 10 vs 10 Withdrawals due to AEs: 2 vs 2		

Author, year Country (Trial name)	Other Drug	Interventions	Run-in/ Washout period	Method of outcome assessment and timing of assessment
Olanzapine vs. Other				
Bobes 2003	Conventional antipsychotics haloperidol was the most frequently prescribed antipsychotic in the control group, with 60(87%) patients having received this drug at some point during hospitalization and 46(66.7%) were receiving it as treatment upon discharge	olanzapine (N=89): 16.4 mg haloperidol (N=69): 15.5mg other antipsychotics: NR	NR/ NR	CGI-S BPRS NOSIE
Godleski, 2003 United States switching	depot antipsychotics	depot antipsychotics (n=13)  olanzapine PO (n=13): started at 10 mg/d, while simulataneously receiving depot for Month 1. After month 1, depot was discontinued. olanzapine was titrated up 5 mg/d per month, as warranted (max dose: 20 mg/d)  3-month study		PANSS, CGI, GAF at baseline and every month

Author, year		
Country		Method of adverse
(Trial name) Results		effects assessment?
Olanzapine vs. Othe	e <b>r</b>	
Bobes 2003	olanzapine vs conventional antipsychotics at endpoint, p value	UKU side effect rating
	CGI mean improvement: 2.0(1.2) vs 1.6(1.1), p=0.013	scale
	BPRS total: 30.8 vs 23.6, p=0.0003	
	BPRS positive: 10.5 vs 8.3, p=0.0019	
	BPRS negative: 4.0 vs 1.9, p<0.0001	
	BPRS depression: 5.2 vs 4.2, p=0.018	
	BPRS agitation:10.2 vs 8.8; P=0.007	
	NOSIE mean improvement: 20.6 vs 16.9, p=0.0671	
	*p value adjusted for baseline and duration of course of illness	
	Treatment response rate: 76.7% vs 54.4%, p=0.003	
	Treatment response rate after adjusting for baseline and time elapsed, p=0.044	
	BPRS >40% reduction: 73(84.9%) vs 46(67.6%)	
	BPRS 60% reduction: 69.8% vs 45.6%, p=0.001	
	BPRS 80% reduction: 34.9% vs 19.1%, p=0.001	
Godleski. 2003	Mean change from baseline to endpoint, olanzapine vs depot:	AMDP-5 scale. AIMS.
United States	PANSS total score: -3.23 vs +6.46, p=0.012	Barnes Akathisia Scale
switching	PANSS positive subscore: -0.85 vs +1.15, p=0.141	(BAS) and vital signs
	PANSS negative subscore: -0.46 vs +2.92, p=0.098	including weight
	PANSS general score: -1.77 vs +2.38, p=0.068	
	CGI-S score: -0.42 vs 0.00, p=0.026	
	GAFscore: -2.08 vs +1.15, p=0.015	

Author, year Country (Trial name)

Adverse effects reported

Olanzapine vs. Other

Bobes 2003

olanzapine vs conventional antipsychotics EPS: 12(13.6%) vs 38(55.9%), p<0.001 Dystonia: 0(0%) vs 10(14.7%), p<0.001 Rigidity: 5(5.7%) vs 12(17.6%), p=0.021 Hypokinesia: 3(3.4%) vs 22(32.4%), p<0.001 Tremor: 3(3.4%) vs 17(25%), p<0.001 Akathisia: 3(3.4%) vs 17(25%), p<0.001 Dyskinesia: 1(1.1%) vs 2(2.9%), p=0.581 Others: 2(2.3%) vs 2(2.9%), p=1

Godleski, 2003 United States switching No significant differences between olanzapine and depot groups for baseline-to-endpoint changes in AIMS (p=0.947) BAS-objective (p=0.479), BAS-subjective awareness (p=0.545), BAS-subjective distress (p=0.153), BAS-global (p=0.448), and AMDP-5 (p=0.139)

Mean change in weight from baseline to endpoint, olanzapine vs depot: +3.63 (+/-3.34) kg vs -0.77(+/-2.03)

1 pt from depot group hospitalized; 0 from olanzapine hospitalized

No significant differences in vital signs from baseline to endpoint between groups

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Author, year  Country  Total withdrawals; withdrawals due to adverse events		
(Trial name)	by drug	Comments
Olanzapine vs. Other		
Bobes 2003	A total of 17 patients (11.3%) discontinued; 11.2% were olanzapine patients (n=10) and 10.1% were conventional patients (n=7)	1/89 clozapine patients was switched to the conventional antipsychotic group; 13/69 in the conventional group were switched to olanzapine (10 were switched due to secondary effects and 3 were insufficient efficacy)

Godleski, 2003 United States switching 0; 0

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## Evidence Table 3. Active-controlled trials in patients with schizophrenia

Author, year Country (Trial name)	Other Drug	Interventions	Run-in/ Washout period	Method of outcome assessment and timing of assessment
Quetiapine vs. Other				
Velligan, 2003 U.S. (Poor)	chlorpromazine equivalents	quetiapine mean dose: 303.95 mg/day at 3 months, 319.25 mg/day at 6 months.  Mean dose of standard APs in chlorpromazine equivalents: 352.50 mg/day at beginning of treatment, 348.00 mg/day at end of study Duration 6 months	Patients switched to quetiapine stopped taking all standard APs one month after beginning quetiapine	Neurocognitive test battery: Verbal Fluency Letters, Verbal Fluency Categories, Wisconsin Card Sorting Test, California Verbal Learning Test, Digit Span, Stroop Color-Word Test Symptoms: BPRS, NSA, AIMS Quality of life: MCAS, Heinrichs Carpenter QLS

	Method of adverse
Results	effects assessment?
Mean change from baseline, quetiapine vs typical Aps	SARS at 3 months and 6
Cognitive measure (month 3): 0.65 vs -0.06, p<0.023	months
Cognitive measure (month 6): 1.06 vs 0.00, p<0.023	
Verbal fluency (initiation) (month 3): 0.28 vs -0.81, p<0.013	
Verbal fluency (initiation) (month 6): 0.80 vs -0.25, p<0.013	
Verbal memory (month 3): 0.54 vs 0.21, p<0.073	
Verbal memory (month 6): 0.84 vs -0.05, p<0.073	
Proportion of patients improving 1 standard deviation from baseline in cognitive domain	
Summary score: 31% vs 7.5%, p<0.06	
Verbal memory: 37% vs 7.5%, p<0.03	
Cognitive flexibiliy: 32% vs 7.5%, NR	
Verbal fluency: 32% vs 12.5%, NR	
Selective attention: 50% vs 41.0%, NR	
Adaptive functioning	
QLS: Quetiapine had better scores than typical APs; data not shown, effect size 0.58, p=0.04	
Symptoms:	
BPRS: No differences between groups; data not shown; effect size NR, NS	
NSA: No differences between groups; data not shown; effect size nR, NS	
	Mean change from baseline, quetiapine vs typical Aps Cognitive measure (month 3): 0.65 vs -0.06, p<0.023 Cognitive measure (month 6): 1.06 vs 0.00, p<0.023 Verbal fluency (initiation) (month 3): 0.28 vs -0.81, p<0.013 Verbal fluency (initiation) (month 6): 0.80 vs -0.25, p<0.013 Verbal memory (month 3): 0.54 vs 0.21, p<0.073 Verbal memory (month 6): 0.84 vs -0.05, p<0.073  Proportion of patients improving 1 standard deviation from baseline in cognitive domain Summary score: 31% vs 7.5%, p<0.06 Verbal memory: 37% vs 7.5%, p<0.03 Cognitive flexibiliy: 32% vs 7.5%, NR Verbal fluency: 32% vs 12.5%, NR Selective attention: 50% vs 41.0%, NR  Adaptive functioning MCAS: No differences between groups, data not shown, effect size NR, NS QLS: Quetiapine had better scores than typical APs; data not shown, effect size 0.58, p=0.04  Symptoms: BPRS: No differences between groups; data not shown; effect size NR, NS

Author, year Country	
(Trial name)	Adverse effects reported
Quetiapine vs. Other	
Velligan, 2003 U.S. (Poor)	No significant differences between groups with respect to neurologic side effects

Author, year		
Country	Total withdrawals; withdrawals due to a	dverse events
(Trial name)	by drug	Comments
Quetiapine vs. Other	er	
Velligan, 2003	12 total;	This is an open-label, randomized
U.S.	Due to AEs: 2 in quetiapine	study in which patients could be
(Poor)		included based on suboptimal
		efficacy of current treatment with
		typical APs, and/or based on
		desire to change medications.

Author, year Country (Trial name) Risperidone vs. Other	Other Drug	Interventions	Run-in/ Washout period	Method of outcome assessment and timing of assessment
Bouchard, 1998 (AO) Bouchard, 2000 Canada (Fair)	Conventional neuroleptics	risperidone mean dose 5.5 mg/day Conventional neuroleptics mean dose 1006 mg/day in chlorpromazine equivalents* (20.12 mg/day in haloperidol equivalents) 12 months *per Bouchard 1998: median dose 551 mg/day in chlorpromazine equivalents	NR/ NR	PANSS at 3, 6, and 12 months Proportion of responders defined by 20% decrease in total PANSS Per Bouchard 1998: also CGI, ESRS, side effects, and medication at 3, 5, and 12 months.
Hertling, 2003 Germany & Austria (Fair)	flupenthixol	risperidone 2-6 mg/day (mean dose 3.6 mg/day). flupenthixol 4-12 mg/day (mean dose 6.6 mg/day). Duration 25 weeks	NR/ NR	Quality of life: EuroQuol-Visual Analogue Scale at weeks 0, 4, 8, 12, 16, 20, and 24 Attitude towards trial medication: DAI-30 at Weeks 0, 2, 4, 12, and 24 Patient satisfaction: at week 24

Author, year Country		Method of adverse
(Trial name)	Results	effects assessment?
Risperidone vs. Other		
Bouchard, 1998 (AO) Bouchard, 2000 Canada (Fair)	Mean change in PANSS score at 12 months (LOCF), risperidone vs typical APs:  Total -9.8 vs -3.2 (p=0.005)  Positive subscale -2.9 vs -0.9 (p=0.008)  Negative subscale -2.6 vs -0.7 (p=0.020)  General psychopathology subscale -4.5 vs -1.4 (p=0.015)  20% improvement at 12 months achieved by 29% vs 16% (p=0.04)  30% improvement at 12 months achieved by 17% vs 6% (p=0.02)  Per Bouchard 1998:  Proportion of patients who achieved >=20% reduction in PANSS score, risperidone vs classical neuroleptics: 30% vs 15% (p=0.027).	ESRS, use of antiparkinsonians
Hertling, 2003 Germany & Austria (Fair)	EuroQuol index increased in both groups; no significant differences between groups. Increase in DAI-30 mean score 1.4 points (6.9%) in risperidone vs 2.5 points (20%) in flupenthixol. More in flupenthixol had improved ability to cope with stress (p<0.05); felt more relaxed (p<0.05) and the ability to achieve something (p<0.05). No sig. differences between Rx groups in patient satisfaction. See comments regarding efficacy and side effects.	See comments

Author, year Country

(Trial name) Adverse effects reported

Risperidone vs. Other

Bouchard, 1998 (AO) % of subjects whose symptoms were worse at 12 months on ESRS subscales, risperidone vs typical APs:

Bouchard, 2000 Dyskinesia 18.4 vs 20.8% (ns)

Canada Parkinson symptoms 14.9 vs 26% (ns) (Fair) Akathisia 8.1 vs 22.1% (p=0.02)

Hertling, 2003 Germany & Austria (Fair) See comments

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Author, year				
Country	Total withdrawals; withdrawals due to adverse even	ts		
(Trial name)	by drug	Comments		
Risperidone vs. Other				
Bouchard, 1998 (AO) Bouchard, 2000 Canada (Fair)	19 total; due to AEs not reported	Study included only stabilized and severely ill patients with chronic schizophrenia who were already known to be only partially response to typical APs. One treatment arm was open-label medication with current neuroleptic.		
Hertling, 2003 Germany & Austria (Fair)	See comments	Study subjects were patients with negative symptoms. A previous publication of this trial (Philipp 2002) reported the methods and results of efficacy and side effects, but was excluded from review because of non-English language.		

Author, year Country (Trial name)	Other Drug	Interventions	Run-in/ Washout period	Method of outcome assessment and timing of assessment
Mahmoud, 2004 ROSE Group United States	Conventional AP	risperidone, mean dose NR Any one of 13 typical APs, selected by treating physician; all dosage forms including depot were permitted. Mean dose NR Duration 1 year  After randomization, all mental health care, including all drug therapy, was provided according to the natural course of events in the community with only minimal protocol restrictions. Crossovers and combination therapy (2 or more AP medications in one day) were permitted.	NR/ NR	PANSS Patient satisfaction: Drug Attitude Inventory (DAI) Health-related quality of life (HRQOL) as measured by the SF-36, and the brief version of the QOL interview. Resource utilisation: acute psychiatric hospital days, non-hospital acute-care service days, routine mental health care, and medications. Data was recorded at schedule visits at baseline and at 4, 8, and 12 months following randomization.
Mak, 2000	Conventional AP	risperidone conventional AP Duration: 3 months	1-2 weeks/ NR	BPRS Scale for Assessment of Positive Symptoms

Author, year			
Country		Method of adverse	
(Trial name)	Results	effects assessment?	
Mahmoud, 2004 ROSE Group United States	Change from mean baseline, risperidone vs typical Aps Total PANSS: -21.52 vs -14.43, p=0.0008 Postive symptom scale: -7.33 vs -5.15, p=0.0011 Negative symptom scale: -4.96 vs -3.05, p=0.0139 General psychopathology: -9.31 vs -6.21, p=0.0095 BAS: -0.34 vs -0.06, p=0.0275 SF-36 summary score: 7.09 vs 4.67, p=0.0326  Percentage of patients showing a 60% reduction in total PANSS score: Month 4: 11.0% vs 8.5%, NS Month 8: 16.3% vs 9.0%, p=0.007 Month 12: 20.9% vs 10.7%, p=0.001	BAS, AIMS, SARS	
	Utilization parameters Mean number of days of combination therapy ( 2 or more AP medications in one day): 55.2 vs 57.0, NR % of patients who received no therapy during any portion of the follow-up: 94.8% vs 92.9%, NR Number of days without therapy, not necessarily consecutive: 110.2 vs 125, NR % of patients who used one or emore days of crossover therapy: 72.4% vs 41.4%, NR % of patients who remained in the study for >350 days: 84.5% vs 78.2%, p=0.02		
Mak, 2000	Baseline vs endpoint, p vs baseline BPRS: risperidone: 14.86(6.32) vs 9.59(4.42), p<0.0001 conventional AP: 14.16(6.34) vs 13.26(5.33), p>0.1 *risperidone vs conventional AP, p>0.1 Scale for Assessment of Positive: risperidone: 5.30(10.75) vs 1.14(2.62), p>0.05 conventional AP: 5(9.91) vs 4(8.02), p>0.5 *risperidone vs conventional AP, p>0.05 Scale for Assessment of Negative: risperidone: 53.82(11.62) vs 39.82(16.62), p<0.001 conventional AP: 51.50(12.73) vs 53.14(8.98), p>0.05 *risperidone vs conventional AP, p>0.05 Clinical Global Interview: risperidone: 3.95(0.64) vs 1.13(1.01), p<0.0001 conventional AP: 3.79(0.37) vs 3.63(0.57), p>0.1 *risperidone vs conventional AP, p<0.05	NR	

Author, year	
Country (Trial name)	Adverse effects reported
	•
Mahmoud, 2004	No significant changes in tardive dyskinesia as measured by AIMS or differences in EPS as measured by
ROSE Group	SARS were observed in either group. The severity of drug-induced akathisia declined in both treatment
United States	groups, as measured by BAS.

Mak, 2000 NR

Author, year		
Country	Total withdrawals; withdrawals d	ue to adverse events
(Trial name)	by drug	Comments
Mahmoud, 2004	Not reported	Effectiveness trial
ROSE Group		
United States		

Mak, 2000 NR

Patients were not randomly assigned to the two treatment. It they showed significant clinical improvement, they would continue to be maintained with the medication

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Author, year Country (Trial name)	Other Drug	Interventions	Run-in/ Washout period	Method of outcome assessment and timing of assessment
Peuskens, 1999 Multi-national, Europe (Fair)	Amisulpride	misulpride 800 mg/day risperidone 8 mg/day Duration 8 weeks	3-6 day single-blind placebo washout	PANSS, BPRS, CGI, Social & Occupational Functioning Assessment Scale (SOFAS), assessment of patients' subjective responses to treatment Change in BPRS >6 points = clinically relevant
Sechter, 2002 Austria, Belgium, Estonia, France, Germany, Hungary, Latvia, The Netherlands, Slovenia (Fair)	amisulpride	risperidone 4-10 mg/day amisulpride 400-1000 mg/day Duration 6 months	6-day single-blind placebo washout	PANSS and CGI at weeks 1,2,3,4,6,8 and at 3, 4, 5, and 6 months; PANSS also at washout SANS, BRMS, SOFAS at baseline, week 8, and 6 months Subjective response scale at week 1 and 8, and 6 months

Risperidone vs. Haloperidol				
Csernansky, 2002 U.S. Risperidone-USA-79 St (Fair)	haloperidol udy	risperidone 2-8 mg/day; mean modal dose 4.9 mg/day haloperidol 5-20 mg/day; mean modal dose 11.7 mg/day Duration 1 year	NR/ NR	Relapse rates and time to first relapse; PANSS, CGI

Author, year		
Country	Results	Method of adverse effects assessment?
(Trial name) Peuskens, 1999 Multi-national, Europe (Fair)	Mean change in score, risperidone vs amisulpride: BPRS total -15.2 vs -17.7 (p<0.0005) NS between groups on BPRS subscales PANSS positive -8.6 vs -9.6 (ns) PANSS negative -5.32 vs -6.9 (ns) 20% reduction in BPRS total achieved by 75% vs 78% (ns) 40% reduction in BPRS total achieved by 58% vs 67% (ns)	SARS, AIMS, BAS, proportion of patients receiving antiparkinsonian medication
Sechter, 2002 Austria, Belgium, Estonia, France, Germany, Hungary, Latvia, The Netherlands, Slovenia (Fair)	risperidone vs amisulpride, efficacy:  Mean change in score from baseline to 6 months  PANSS total -31.4 vs -32.2 (ns)  PANSS positive subscale -12.1 vs -11.8 (ns)  PANSS negative subscale -3.9 vs -5.1 (ns)  PANSS global psychopathology -15.4 vs -15.3 (ns)  BPRS total -19.6 vs -19.8 (ns)  CGI severity -1.5 vs -1.7 (ns)  SANS -12.1 vs -14.8 (ns)  BRMS -3.9 vs -4.9 (ns)  Patients with PANSS >= 50% improvement: 52.0% vs 65.3% (p=0.036)  Patients with BPRS >=50% improvement: 57.7% vs 71.9%  (p=0.020)  Patients with CGI very much or much improved: 65.0% vs 76.9% (p=0.042)  risperidone vs amisulpride, safety:  Mean change in score from baseline to 6 months  SARS 0.07 vs 0.10 (ns)  AIMS 0.10 vs 0.16 (ns)	Physical exam, vital signs, body weight, SARS and BAS at washout, baseline, and weeks 1,2,3,4,6,8 AIMS at washout, baseline, week 8, and 6 months
Risperidone vs. Haloperidol		
Csernansky, 2002 U.S. Risperidone-USA-79 Study (Fair)	Proportion of patients who relapsed, risperidone vs haloperidol: 25.4% vs 39.9%.  y Relapse risk ratio in haloperidol was 1.93 times than risk in risperidone (95% CI 1.33-2.80, p<0.001).  Mean PANSS total and subscale scores at one year or last study rating improved in risperidone and worsened in haloperidol. The data was shown in bar graph only with p-values, but endpoint or change scores were not shown. The differences between treatments were statistically significant for PANSS total and 4 subscale scores.	Monitoring for AEs, a battery of standard laboratory tests, electrocardiography, and physical exam, ESRS.

#### Author, year Country (Trial name)

(Trial name)	Adverse effects reported
Peuskens, 1999	risperidone vs amisulpride:
Multi-national, Europe	23% vs 30% used antiparkinsonians (ns)
(Fair)	EPS 12 % vs 14% (ns)
	Headache 10% vs 11% (ns)
	Constipation 1% vs 6% (ns)
	Vomiting 4% vs 5% (ns)
	Mean weight change +1.4kg vs +0.4kg (p=0.026)
Sechter, 2002 Austria, Belgium, Estonia,	Weight gain >=7% from baseline to 6 months: 34% risperidone vs 18% amisulpride (p<0.05)
France, Germany, Hungary, Latvia, The Netherlands, Slovenia (Fair)	Antiparkinsonian medication taken at least once by 30% on risperidone and 24% on amisulpride (ns)

Risperidone vs.	
Haloperidol	
Csernansky, 2002	Antiparkinsonian drugs prescribed for 30 consecutive days for 17.6% in haloperidol vs 9.0% in risperidone
U.S.	(p=0.02).
Risperidone-USA-79 Stud	y Other AEs, risperidone vs haloperidol:
(Fair)	Somnolence 14% vs 25% (p.nr)
	Agitation 10% vs 18% (p.nr)
	Mean change in weight: +2.3 kg vs -0.73 (p<0.001)

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Author, <code>ˈ</code>	year
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Country	Total withdrawals; withdrawals due to adverse events			
(Trial name)	by drug	Comments		
Peuskens, 1999	69 total;			
Multi-national, Europe	Due to AEs			
(Fair)	14 in risperidone			
	15 in amisulpride			

Sechter, 2002 123 total;

Austria, Belgium, Estonia, Due to AEs: 20 in risperidone, 21 in amisulpride France, Germany,

Hungary, Latvia, The Netherlands, Slovenia

(Fair)

Risperidone vs. Haloperidol

Csernansky, 2002 risperidone vs haloperidol,

U.S. Total withdrawals: 59.4 vs 77.3% (p<0.0001)

Risperidone-USA-79 Study Due to AEs: 15.4% vs 12.4% (ns)

(Fair)

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Author, year Country Ru			Run-in/	Method of outcome assessment and
(Trial name)	Other Drug	Interventions	Washout period	timing of assessment
Currier, 2001	haloperidol	risperidone 2mg + lorazepam 2mg PO	NR/ NR	PANSS
		haloperidol 5mg + lorazepam 2mg IM		CGI
		Duration: 24 hours		

Green, 2002 haloperidol risperidone 6-16 mg/day, mean dose 5.0 mg/day 2-month run-in on BPRS, SANS, SCL-90-R (subjective selfhaloperidol 6-16 mg/day, mean dose 6.0 mg/day Marder, 2003 haloperidol report instrument) Duration 2 years Assessments conducted at pretreatment, 9 U.S. (Fair) months, 15 months, and 24 months Neurocognitive battery at baseline and weeks 4, 24, 48, 72, and 104: Perceptual discrimination Memory and verbal fluency Executive (Wisconsin Card Sorting Test)

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Author, year		
Country		Method of adverse
(Trial name)	Results	effects assessment?
Currier, 2001	baseline vs 30-min vs 60-min, Mean(SD), 95%CI	Monitored by study staff and clinicians
	Combined Psychotic Agitation Score:	
	haloperidol: 28.5(5.7), 26.4-30.6 vs 14.0(8.9), 10.3-16.9 vs 8.2(5.7), 6.0-10.3	
	risperidone: 26.7(5.2), 24.8-28.7 vs 15.9(9.6), 12.3-19.6 vs 10.1(8.2), 7.0-13.3	
	*p<0.0001 vs baseline; p=NS between groups	
	PANSS-hallucinatory:	
	haloperidol: 4.7 vs 2.7 vs 1.7; risperidone: 5.1 vs 2.9 vs 1.8	
	PANSS-hostility:	
	haloperidal: 5.3 vs 2.2 vs 1.4; risperidone: 4.9 vs 2.8 vs 1.7	
	PANSS-uncooperativeness:	
	haloperidal: 5.8 vs 3.2 vs 1.5; risperidone: 5.3 vs 2.7 vs 1.9	
	PANSS-excitement:	
	haloperidol: 6.0 vs 2.9 vs 1.7; risperidone: 5.9 vs 3.6 vs 2.1	
	PANSS-impulsiveness:	
	haloperidol: 6.3 vs 3.2 vs 1.8; risperidone: 6.1 vs 3.9 vs 2.2	
	*p<0.0001 vs baselind; p=0.42 between groups	
	CGI: 15-min vs 30-min vs 60-min vs 120-min, Mean(SD), 95%CI	
	haloperidol: 4.21(1.23), 3.74-4.68 vs 2.9(0.9), 2.56-3.24 vs 2.31(0.6), 2.08-2.54 vs 2.21(0.94), 1.85-2.56	
	risperidone: 4.17(1.23), 3.71-4.64 vs 3.28(1.10), 2.86-3.70 vs 2.52(1.09), 2.10-2.93 vs 2.10(0.41), 1.95-2.26	
	*p<0.0001 vs baseline; p=0.419 between groups	
Green, 2002	Risperidone vs haloperidol, change in mean score:	AIMS, BAS, Modified
Marder, 2003	BPRS Total -0.14 vs -0.14 (ns)	SARS
U.S.	BPRS Anxious depression -0.29 vs +0.03 (p=0.02)	Social functioning:
(Fair)	SANS Global -0.19 vs -0.15 (ns)	Social Adjustment Scale
	SCL-90-R Global symptom index -0.33 vs -0.02 (p<0.01)	and QLS.
	SCL-90-R Phobic anxiety -0.21 vs 0.12 (p=0.01)	
	SCL-90-R Anxiety -0.28 vs 0.07 (p<0.01)	Assessments conducted
	SCL-90-R Depression -0.49 vs -0.03 (p<0.01)	at pretreatment, 9
	Relapse-free after 2 years: 88% in risperidone and 73% in haloperidol (ns)	months, 15 months, and
	Neurocognitive effects: no differences between groups. (Positive change = improvement)	24 months
	Perceptual discrimination at Week 140:002 vs -0.126 (ns)	
	Memory and fluency at week 104: 0.311 vs 0.381(ns)	
	Executive functioning at week 104: 0.098 vs 0.187 (ns)	

Author, year
Country
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#### (Trial name) Adverse effects reported

Currier, 2001 risperidone vs haloperidol, Mean(SD)
Somnolence: NS between groups

Time to sleep (min): 43(25.1) vs 44.3(25.6) dystonia within 24 hours (no. of patients): 0 vs 1

Green, 2002 risperidone vs haloperidol, SARS scale:

Marder, 2003 Tremor -0.28 vs -0.04 (p=0.01)

U.S. Akathisia -0.39 vs 0.04 (p<0.01)

(Fair)

BAS Global -0.55 vs 0.10 (p<0.01)

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Author,	year
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Country Total withdrawals; withdrawals due to adverse events

(Trial name) by drug Comments

Currier, 2001 NR

Green, 2002 Marder, 2003 U.S. (Fair) 32 total; due to AEs not reported

## Evidence Table 3. Active-controlled trials in patients with schizophrenia

Author, year Country (Trial name)	Other Drug	Interventions	Run-in/ Washout period	Method of outcome assessment and timing of assessment
Liberman, 2002	haloperidol	Mean dosage: risperidone 8 mg haloperidol 20 mg Duration: 4 weeks	3 weeks/ NR	Activities of daily living (ADLs)
Shrivastava, 2000	haloperidol	risperidone 2 mg/day haloperidol: 5-15 mg/day Duration: 1 year	2-4 weeks with haloperidol 15-30 mg/day / NR	PANSS CGI

Author, year		
Country		Method of adverse
(Trial name)	Results	effects assessment?
Liberman, 2002	ADLs, dressing, grooming, room clean-up, showering: risperidone vs haloperidol: NR, NS both treatment improved vs baseline: showering, p=0.034; grooming, p=0.01	NR
	Neurocognitive performance: risperidone vs haloperidol: NR, NS	
Shrivastava, 2000	riesperidone vs haloperidol, change from baseline (SD), % reduction, p value PANSS:  positive: 11.2(4.2), 55.5% vs 10(3.0), 47.6%, NS negative: 18.3(4.0), 58.8% vs 15.0(3.5), 51.2%, NS general psychopathology: 20.4(4.9), 50.5% vs 27(3.7), 68.4%, p<0.05 total: 50.4(5.7), 57.8% vs 52(4.1), 58.4%, NS CGI (improved) overall very much improvement (no. of patients): 18 vs 5, p<0.05 social functioning: 34 vs 22, p<0.02 productivity: 35 vs 18, p<0.001 economic independence: 31 vs 29, NS education: 40 vs 25, p<0.003 suicidality: 5 vs 17, p<0.009 rehospitalization: 6 vs 15, p<0.05 exacerbation: 7 vs 6, NS	NR

Author, year
Country

(Trial name) Liberman, 2002 Adverse effects reported

NR Shrivastava, 2000

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Author,	year
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Country Total withdrawals; withdrawals due to adverse events

(Trial name) by drug Comments

Liberman, 2002 NR

Shrivastava, 2000 NR

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Author, year Country (Trial name)	Other Drug	Interventions	Run-in/ Washout period	Method of outcome assessment and timing of assessment
Ziprasidone vs. Haloperidol				
Daniel, 2004	haloperidol	ziprasidone IM 20-80 mg/day haloperidol IM 10-40 mg/day Duration: 7 days	NR/ NR	BPRS
Goff, 1998	haloperidol	ziprasidone 4-160 mg/day haloperidol 15 mg Duration: 4 weeks	NR/ 4-7 days	Primary efficacy parameters: BPRS, CGI-S
Hirsch, 2002 U.K. (Fair)	haloperidol	ziprasidone 80-160 mg/day; modal dose 80 mg/day; mean dose at week 28 = 116.5 mg/day haloperidol 5-15 mg/day; modal dose 5 mg/day; mean dose at week 28 = 8.6 mg/day Duration 28 weeks	3- to 14-day run-in between screening and baseline.	PANSS at screening, baseline, weeks 3,6,16, and 28 MADRS and CGI at baseline and weeks 3,6,16, and 28 QLS at baseline and week 28 LOCF analysis

Author, year		
Country		Method of adverse
(Trial name)	Results	effects assessment?
Ziprasidone vs.		
Haloperidol		
Daniel, 2004	BPRS: NR, NS	COSTART
		Simpson-Angus Scale
		Barnes Akathisia Scale

Goff, 1998	Mean change from baseline score:  Z-4mg vs Z-10mg vs Z-40mg vs Z-160mg vs H-15mg  BPRS total: -5.7 vs -5.4 vs -5.7 vs -11.9 vs -11.6  BPRS core: -3.6 vs -2.8 vs -3.3 vs -5.8 vs -5.4  CGI severity: -0.1 vs -0.2 vs -0.2 vs -1.2* vs -1.1**  *p=0.001 vs Z-4mg; **p<0.01 vs Z-4mg  response rate-BPRS(%): 36.8 vs 29.4 vs 29.4 vs 45.0 vs 47.1  response rate-CGI (%): 15.8 vs 11.8 vs 11.8 vs 50.0 vs 41.2	Abnormal movements: Simpson-Angus Scale Barnes Akathisia Scale Involuntary Movement Scale (AIMS)
Hirsch, 2002 U.K. (Fair)	ziprasidone vs haloperidol, Mean change in score: PANSS total -9.1 vs -8.1 (ns); negative subscale -3.6 vs -3.0 (ns) BPRSd core items -1.5 vs -1.3 (ns); CGI-Severity 0.5 vs 0.4 (ns) MADRS -1.6 vs -0.6 (ns); GAF +3.2 vs +2.5 (ns); QLS +2.8 vs +0.9 (ns) Negative symptom responders (>=20% decrease in PANSS negative subscale) 48% vs 33% (p<0.05)	COSTART BAS, SARS at baseline and weeks 6, 16, and 28. AIMS at baseline, wk 28. Lab tests wks 4, 12 ECG at weeks 12 & 28; QTc calculated

Author, year	
Country	
(Trial name)	Adverse effects reported
Ziprasidone vs. Haloperidol	
Daniel, 2004	Z-20mg vs Z-40mg vs Z-80mg vs H-20-40mg, no(%) Adverse event at any time: 50(80%) vs 60(85%) vs 58(88%) vs 85(85%) Adverse event on IM treatment: 49(71%) vs 57(80%) vs 55(83%) vs 77(77%) Akathisia: 4(6%) vs 4(6%) vs 8(12%) vs 21(21%) Dystonia: 5(7%) vs 2(3%) vs 2(3%) vs 10(10%) EPS: 0(0%) vs 1(1%) vs 3(4%) vs 15(15%) Hypertonia: 1(1%) vs 1(1%) vs 2(3%) vs 11(11%) Anxiety: 11(16%) vs 10(14%) vs 11(17%) vs 13(13%) Dizziness: 11(16%) vs 14(20%) vs 10(15%) vs 0(0%) Headache: 12(17%) vs 10(14%) vs 13(20%) vs 8(8%) Injection-site pain: 4(6%) vs 7(10%) vs 11(17%) vs 2(2%) Insomnia: 7(10%) vs 11(15%) vs 14(21%) vs 12(12%) Nausea: 9(13%) vs 14(20%) vs 12(18%) vs 3(3%) Tachycardia: 2(3%) vs 8(11%) vs 5(8%) vs 6(6%) Vomiting: 6(9%) vs 8(11%) vs 8(12%) vs 5(5%)
Goff, 1998	z-4mg vs z-10mg vs z-40mg vs z-160mg vs h-15mg 66(73.3%) experienced an adverse event during the study, and 36 were considered to be related to study treatment: 9 vs 3 vs 7 vs 8 vs 9 Simpson-Angus Scale, mean change: -1.8 vs -1.2 vs 1 vs -0.5 vs 1 Barnes Akathisia Scale, mean change: -0.7 vs -0.1 vs 1 vs 4 vs 2 AIMS, mean change: -0.1 vs 0.7 vs 0.3 vs -0.5 vs -0.9
Hirsch, 2002 U.K. (Fair)	ziprasidone vs haloperidol, Movement disorders: 15% vs 41% (p<0.001) Insomnia 16% vs 18% (ns) Somnolence 14% vs 9% (ns) Vomiting 11% vs 6% (ns) Nausea 10% vs 4% (p=0.042) Weight change +0.31 kg vs +0.22kg (ns)

Author, year		
Country	Total withdrawals; withdrawals due to adverse even	ts
(Trial name)	by drug	Comments
Ziprasidone vs. Haloperidol		
Daniel, 2004	Z-20mg vs Z-40mg vs Z-80mg vs H-20-40mg, no(%) Total withdrawals: 7(10%) vs 10(14%) vs 11(17%) vs 10(10%) Withdrawals due to AEs: 0 vs 1 vs 2 vs 1	Concomitant lorazepam (oral or IM up to 12 mg/day) fpr agitation and temazepam (up to 30 mg/night) for insomnia were allowed if needed. Benztropine and propranolol were allowed for the treatment of extrapyramidal symptoms and akathisia, respectively,
Goff, 1998	Total withdrawals: 46(51%) total Withdrawals due to AEs: Z-4mg(1), Z-160mg(1), haloperidol(1)	
Hirsch, 2002 U.K. (Fair)	171 total, 36 Due to AEs: 12 in ziprasidone (1 with movement disorders) 24 in haloperidol (7 with movement disorders)	

## Evidence Table 3. Active-controlled trials in patients with schizophrenia

Country (Trial name)	Other Drug	Interventions	Run-in/ Washout period	Method of outcome assessment and timing of assessment
Brook 2000 International	haloperidol	IM treatment: days 1 and through day 3 ziprasidone IM (n=90): initial dose 10 mg; subsequent doses of 5-20 mg given every 4-6 hours (max: 4 injections and 80 mg in 24h)	NR/ Antipsychotics taken at baseline were discontinued and first dose of IM given when clinically	BPRS and CGI-S assessed at baseline, once every 24 h while on treatment, and at endpoint CGI-I rated relative to baseline every 24h and at endpoint
		haloperidol IM (n=42): initial dose: 2.5-10 mg; subsequent doses given 4-6 hours (max: 4 injections and 40 mg in 24h)	appropriate	·
		Days 3-7 ziprasidone PO: 80-200 mg/d haloperidol PO: 10-80 mg/d		
		7 day treatment		

Author, year		
Country		Method of adverse
(Trial name)	Results	effects assessment?
Brook 2000	Mean change from baseline score, ziprasidone vs haloperidol:	AEs classified with
International	At end of IM treatment:	COSTART along with
	BPRS total: -6.24 vs -3.18, p=0.02	investigators'
	BPRS agitation items: -1.93 vs -0.80, p=0.015	assessments of severity
	CGI-S: -0.49 vs -0.15, p=0.002	BAS, SARS at baseline,
	At the endpoint evaluation:	at end of IM treatment,
	BPRS total: -8.76 vs -5.83, p=0.09	and at endpoint
	BPRS agitation items: -2.09 vs +1.59, p=0.19	5-Point sedation scale
	CGI-S: -0.89 vs -0.38, p=0.025	(1= absent to 5=sleep)
		rated at baseline and
		within 6 h of a dose of
		study medication on
		days 1-7 or on early
		termination
		Lab tests and ECG at
		baseline, after the last
		IM dose, and at endpoint

# Author, year Country

(Trial name) Adverse effects reported

Brook 2000 International ziprasidone vs haloperidol

Change in score (SD) from baseline:

SAS at last IM dose: -0.61 (3.11) vs +3.80 (5.22) SAS at endpoint: -1.09 (4.33) vs +6.00 (7.12) BAS at last IM dose: -0.03 (0.57) vs +0.44 (0.87) BAS at endpoint: -0.10 (0.79) vs 0.80 (1.14)

Sedation scores at last IM dose: +1.10 (1.56) vs +0.46 (1.17) Sedation scores at endpoint: +0.02 (1.10) vs +0 (0.71)

Total % of patients experiencing any incidence of AEs at endpoint: 45.6% vs 59.5%

% of patients taking anxiolytics at any time: 57.7% vs 64.3%

% of patients taking hypnotics for nighttime sedation: 10% vs 7.1%

% of patients taking anticholinergics at any time: 14.4% vs 47.6%

% of patients experiencing these adverse events:

Tremor (IM only): 1.1% vs 2.4%; (IM+PO): 2.2% vs 9.5% Akathisia (IM only): 2.2% vs 0; (IM+PO): 3.3% vs 14.3% Dystonia (IM only): 1.1% vs 7.1%; (IM+PO): 4.4% vs 11.9% EPS (IM only): 0 vs 21.4%; (IM+PO): 1.1% vs 38.1% Hypertonia (IM only): 0 vs 7.1%; (IM+PO): 3.3% vs 11.9% Vomiting (IM only): 3.3% vs 0; (IM+PO): 10% vs 0% Somnolence (IM only): 0 vs 0; (IM+PO): 1.1% vs 0%

Tachycardia (IM only): 2.2% vs 0

No patients had an increase in QTc interval ≥20% or had an interval >500ms during IM or PO treatment

Mean change in QTc interval from baseline to end of IM treatment: +2.14 ms vs +2.22 ms

Elevated glucose (>1.2 ULN): 12% vs 13% over both treatments

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Author, year		
Country Total withdrawals; withdrawals due to adverse events		
(Trial name)	by drug	Comments
Brook 2000	16 patients total (8.9% in ziaprasidone and 8.9% in	
International	haloperidol); 4 in ziprasidone and 1 in haloperidol (no	one
	during the IM period)	
	Discontinuation reasons, ziprasidone PO:	
	1 pt (1.1%) discontinued due to severe postural	
	hypotension;	
	1 pt (1.1%) discontinued due to akathisia;	
	1 pt (1.1%) with a history of dystonic reactions wit	h
	neroleptic treatment discontinued due to laryngospas	m in
	association with acute dystonia	
	Discontinuation reasons, haloperidol PO:	
	1 pt (2.4%) discontinued due to excessive sweating	g
	and dry mouth	

Drug Effectiveness Review Project

## Evidence Table 4. Quality assessment of active-controlled trials in patients with schizophrenia

Author, year	Randomization adequate?	Allocation concealment adequate?	Groups similar at baseline?	Eligibility criteria specified?	Outcome assessors masked?	Care provider masked?
Bouchard, 2000 Bouchard, 1998	Method not reported	Method not reported	Yes	Yes	No	No
Covington, 2000	Method not reported	Method not reported	Not reported	No	No	Not reported
Csernansky, 2002	Method not reported	Method not reported	Yes	Yes	Yes but method not described	Not reported
Green, 2002 Marder, 2003	Method not reported	Method not reported		Yes	Yes but method not described	Not reported
Hamilton, 1998	Method not reported	Method not reported	SARS score significantly higher in haloperidol group (p=0.0002)	Yes	Yes but method not described	No
Harvey, 2000						
Hertling, 2003	Method not reported	Method not reported	Yes	Yes	Not reported	Not reported
Hirsch, 2002	Yes	No: Envelope method	Yes	Yes	Yes but method not described	Not reported

Author, year	Randomization adequate?	Allocation concealment adequate?	Groups similar at baseline?	Eligibility criteria specified?	Outcome assessors masked?	Care provider masked?
Kasper, 2003	Method not reported	Method not reported	Yes	Yes	Yes but method not described	Not reported
Lee, 1999	Method not reported	Method not reported	Yes	Yes	No	No
Liberman, 2002	Method not reported	Method not reported	yes	Yes	Not reported	Not reported
Lieberman, 2003 Green, 2004	Method not reported	Method not reported	No	Yes	Yes but method not described	Not reported
Mahmoud, 1998						
Mahmoud, 2004	Yes	Method not reported	Yes	Yes	Not reported	No
Peuskens, 1999	Method not reported	Method not reported	Yes	Yes	Yes but method not described	Not reported
Rosenheck, 1997	Method not reported	Method not reported	Yes	Yes	Yes but method not described	Not reported
Rosenheck, 2003	Method not reported	Yes	Yes, except mean PANSS negative subscale 23.2 in	Yes	Yes but method not described	Not reported

Author, year	Randomization adequate?	Allocation concealment adequate?	Groups similar at baseline?	Eligibility criteria specified?	Outcome assessors masked?	Care provider masked?
Sechter, 2002	Method not reported	Method not reported	Yes	Yes	Yes but method not described	Not reported
Shopsin, 1979	Method not reported	Method not reported	Not reported	Yes	Yes	Yes
Shrivastava, 2000	Method not reported	Method not reported	Unclear	No	No	No
Tollefson, 1997 Breier, 1999 Gilmore, 2002 Goldstein, 2002 Gomez, 2001 Hamilton, 2000 Kennedy, 2003 Kinon, 2001 Revicki, 1999 Sanger, 1999 Tohen, 2001 Tollefson, 1998 Tollefson, 1999 Tran, 1999 Tunis, 1999	Method not reported	Method not reported	Yes	Yes	Yes but method not described	Not reported
Velligan, 2003	Method not reported	Method not reported	Yes	Yes	Yes	No

Author, year	Patient masked?	Reporting of attrition, crossovers, adherence, and contamination?	Loss to follow-up: differential/ high?	Intention-to-treat (ITT) analysis?	Post-randomization exclusions?	Quality Rating
Bouchard, 2000 Bouchard, 1998	No	Attrition yes, crossovers yes	No/ no	No	No	Fair
Covington, 2000	Not reported	No	Not reported	Not reported	No	Poor
Csernansky, 2002	Yes	Attrition yes NR Adherence yes NR	No/ no	No: 91.9%	Yes: all 30 patients at a single site were excluded because PI was out of compliance	Fair
Green, 2002 Marder, 2003	Yes but method not described	Attrition yes	Not reported	Yes	No	Fair
Hamilton, 1998	Yes but method not described	Yes	No	Yes	No	Fair
Harvey, 2000						
Hertling, 2003	Yes but method not described	No	Not reported	No	No	Fair
Hirsch, 2002	Yes but method not described	Attrition yes	NR	No	No	Fair

## Evidence Table 4. Quality assessment of active-controlled trials in patients with schizophrenia

Author, year	Patient masked?	Reporting of attrition, crossovers, adherence, and contamination?	Loss to follow-up: differential/ high?	Intention-to-treat (ITT) analysis?	Post-randomization exclusions?	Quality Rating
Kasper, 2003	Yes but method not described	Attrition yes NR NR NR	No/ extent not reported (maximum 22% in aripiprazole; 26% in haloperidol)		No	Fair
Lee, 1999	No	Attrition yes	No	No	No	Fair
Liberman, 2002	Not reported	NR	NR	NR	NR	Poor
Lieberman, 2003 Green, 2004	Yes but method not described	Attrition yes	Not reported	No	No	Fair
Mahmoud, 1998						
Mahmoud, 2004	No	NR Yes Yes	No	Yes	No	Fair
Peuskens, 1999	Yes	Attrition yes	No/ no	No	No	Fair
Rosenheck, 1997	Yes	Attrition yes; crossovers yes	No/ no	No	No	Fair
Rosenheck, 2003	Yes	Attrition yes	No/ no	Yes	No	Fair

Author, year	Patient masked?	Reporting of attrition, crossovers, adherence, and contamination?	Loss to follow-up: differential/ high?	Intention-to-treat (ITT) analysis?	Post-randomization exclusions?	Quality Rating
Sechter, 2002	Yes but method not described	Attrition yes	No/ no	No	No	Fair
Shopsin, 1979	Yes	Unclear	Differential loss to f/u in placebo group	No	no	Fair
Shrivastava, 2000	No	Yes	NR/No (33%)	No	No	Poor
Tollefson, 1997 Breier, 1999 Gilmore, 2002 Goldstein, 2002 Gomez, 2001 Hamilton, 2000 Kennedy, 2003 Kinon, 2001 Revicki, 1999 Sanger, 1999 Tohen, 2001 Tollefson, 1998 Tollefson, 1999 Tran, 1999 Tunis, 1999	Yes but method not described	Attrition yes	No/ no	No	No	Fair
Velligan, 2003	No	Attrition yes	No/ no	No	No	Fair

## Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year Country		Chudu dagian	
Trial name (Quality score)	N	Study design Setting	Eligibility criteria
Aripiprazole			
Pigott, 2003 International	310 (n=155 in aripiprazole and n=155 in placebo groups)	Randomized, DB, parallel- group, PCT Multicenter	Stabilized male and female patients ≥18 diagnosed with schizophrenia as defined by DSM-IV criteria for at least 2 years prior to study with a baseline PANSS ≥60, a score ≤4 on the subscale for hostility or uncooperativeness, and a score ≤4 on the CGI-S.

#### Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year Country Trial name

(Quality score)	Interventions (drug, dose, duration)	Run-in/Washout period	Allowed other medications/ interventions
Aripiprazole			
Pigott, 2003	Aripiprazole 15 mg/d	NR/ 3-day washout for	Anticholinergic treatment for EPS allowed. Lorazepam,
International	placebo	preexisting antipsychotic medication and any	up to a max. of 4 mg/d, was allowed for emergent agitation if deemed necessary; and an additional 1-2
	26 weeks	psychotropic medication.	mg was allowed at night as a sleep aid.

# Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year Country Trial name (Quality score)	Age Gender Ethnicity	Other population characteristics (diagnosis, etc)	Number screened/ eligible/enrolled	Number withdrawn/ lost to fu/analyzed
Aripiprazole				
Pigott, 2003	Mean age: 42.0 years	Mean baseline PANSS total score: 81.8	NR/ NR/ 310	194/ 2/ 297
International	56.1% male			
	90.6% white			
	6.5% black			
	0.6% Asian/Pacific Islander			
	2.3% Hispanic/Latino			

#### Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year Country Trial name

(Quality score)	Outcome scales	Method of outcome assessment and timing of assessment
Aripiprazole		
Pigott, 2003	CGI-I	Primary outcome: time to relapse (defined as CGI-I ≥5; PANSS ≥5 for
International	CGI-S	hostility/uncooperativeness subscore on 2 successive days; or a ≥20% increase in PANSS total
	PANSS	score) following randomization. Treatment efficacy assessed using the CGI-S and CGI-I scales
	PANSS-BPRS	at weeks 1,2,3,4,6,8,10,14,18,22, and 26. PANSS and PANSS-BPRS used to assess efficacy
		at weeks 3,6,10,18, and 26

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#### Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year Country Trial name

Trial name (Quality score)	Results	Methods of adverse event assessments
Aripiprazole		
Pigott, 2003	Aripiprazole vs placebo:	SAS
International	% of patients without relapse at week 26: 62.6% vs 39.4%,	Barnes
	p<0.001	AIMS
	Relative risk of relapse with aripiprazole vs placebo: 0.50 (95%	
	CI=0.35 to 0.71)	
	% of patients who met criteria in analysis of secondary endpoints	
	for relapse: 33.8% vs 57%	
	Mean change in scores from baseline:	
	PANSS: -2.08 vs +4.50, p≤0.01	
	CGI-I: +3.74 vs +4.47, p≤0.01	
	CGI-S: +0.15 vs +0.40, p≤0.05	

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## Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year			
Country			
Trial name		Total number of withdrawals; withdrawals due	
(Quality score)	Adverse events	to adverse events	
Aripiprazole			
Pigott, 2003	SAS : -0.85 vs -0.45, p≤0.05	Total number of discontinuations per group: 54.2%	
International	Barnes:07 vs -0. 5, p=NS	vs 71.0%	
	AIMS: -0.23 vs -0.26, p=NS	Withdrawals due to AEs: 10.3% vs 8.4%	

## Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year Country Trial name (Quality score)	N	Study design Setting	Eligibility criteria
Olanzapine			
Beasley, 2003 Croatia, Poland, Romania, the Russian Federation, US, Yugoslavia Olanzapine Relapse Prevention Study	326 (224 olanzapine, 102 placebo)	4- to 9-day screening evaluation, 6-week conversion to open-label olanzapine, 8-week stabilization on olanzapine, and 52-week randomized double-blind maintenance with olanzapine or placebo.	Otherwise healthy outpatients ages 18-65 with schizophrenia or schizoaffective disorder. Minimal symptoms defined as a BPRS score of no more than 36 at baseline (with relatively little fluctuation of 4 weeks or longer prior to study entry); outpatient status; Global Assessment of Functioning score of 40 or greater; current maintenance on an antipsychotic agent other than clozapine at either 300 mg/d or more chlorpromazine equivalent for oral agents or 25 mg or more every 2 weeks of fluphenazine decanoate equivalent for injectable agents; lack of specific positive symptoms, as measured by a score of 4 or greater on the BPRS positive items (scored 1-7) of conceptual disorganization, suspiciousness, hallucinatory behavior, and unusual thought content.

Author, year Country Trial name

Trial name (Quality score)	Interventions (drug, dose, duration)	Run-in/Washout period	Allowed other medications/ interventions
Olanzapine			
Beasley, 2003 Croatia, Poland, Romania, the Russian Federation, US,	Olanzapine 10 mg, 15 mg, or 20 mg per day or placebo	Screening period (skipped if patient was currently stable on a fixed dose of olanzapine	NR
Yugoslavia Olanzapine Relapse Prevention Study	For 26-week maintenance period.	monotherapy), 4- to 9-days, 6- week conversion to open-label olanzapine, 8-week stabilization on olanzapine	

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## Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year Country	Age			Number withdrawn/
Trial name	Gender	Other population characteristics	Number screened/	lost to
(Quality score)	Ethnicity	(diagnosis, etc)	eligible/enrolled	
Olanzapine				
Beasley, 2003	Mean age 36 (SD 11)	Schizophrenic 79% olanzapine vs 87.3% placebo	583/ 458/ 326	84 withdrawn/1
Croatia, Poland, Romania,	53% male	Schizoaffective 21% olanzapine vs 12.7% placebo		lost to
the Russian Federation, US,	Ethnicity not reported			followup/324
Yugoslavia				analyzed
Olanzapine Relapse				
Prevention Study				

Author, year
Country
Trial name
(Quality score)

Prevention Study

#### Method of outcome assessment and timing of assessment

#### Olanzapine

Beasley, 2003 Croatia, Poland, Romania, the Russian Federation, US, Questionnaire Yugoslavia Olanzapine Relapse

BPRS, PANSS, Heinrichs-Carpenter Quality of Life

**Outcome scales** 

Patients formally evaluated at least every 2 weeks at the investigative site, at a home visit, or by telephone. Primary efficacy parameter was lack of relapse during the maintenance phase. Defined as (1) an increase in any BPRS positive item to >4, and either an absolute increase of 2 or more on that specific item from randomization at visit 16 or an absolute increase of 4 or more on the BPRS positive subscale from randomization at visit 16; or (2) hospitalization due to positive psychotic symptoms.

Secondary efficacy assessments included the PANSS total and subscale scores. Quality of life measured by the Heinrichs-Carpenter Quality of Life Questionnaire

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Author, year Country Trial name

Trial name		
(Quality score)	Results	Methods of adverse event assessments
Olanzapine		
Beasley, 2003 Croatia, Poland, Romania, the Russian Federation, US, Yugoslavia Olanzapine Relapse Prevention Study	Patients relapsing after 8 weeks of maintenance olanzapine: 9/224 (4.0%) vs placebo: 28/102 (27%), p<0.001  Mean worsening on PANSS from baseline after 8 weeks of maintenance (olanzapine vs placebo)  Total score:  1.8 (+ 9.2) vs 17.7 (+ 19.1), p=0.002  Positive score:  0.6 (+ 2.9) vs 5.4 (+ 5.6), p=0.002  Negative score:  0.3 (+ 2.5) vs 3.4 (+ 4.9), p=0.064  General Psychopathology:  0.9 (+ 4.9) vs 9.2 (+ 10.3), p=0.002	Spontaneously reported adverse events collected; Simpson-Angus Scale, Barnes Akathisia Scale.
	Quality of Life: olanzapine patients had significant improvements vs placebo patients (who worsened) from baseline (p<0.001) for total, intrapsychic foundation, and instrumental role scores (data NR). Olanzapine group improvements on interpersonal relation and common objects and activities subscales but not statistically significant from placebo (data NR).	

Author, year Country		
Trial name		Total number of withdrawals; withdrawals due
(Quality score)	Adverse events	to adverse events
Olanzapine		
Beasley, 2003 Croatia, Poland, Romania, the Russian Federation, US, Yugoslavia Olanzapine Relapse Prevention Study	Change from baseline to 8 weeks, olanzapine vs placebo: Simpson-Angus Scale: -0.11 (SD 0.96) vs 0.02 (SD 0.51) Barnes Akathisia Scale: -0.01 (SD 0.30) vs -0.03 (SD 0.33), p=NS Treatment-emergent parkinsonism: 0.9% vs 0, p=NS Treatment-emergent akathisia: 1.8% vs 2%, p=NS Tardive dyskinesia: 0.5% vs 2%, p= NS  Treatment-emergent AEs with an incidence of >5% (olanzapine vs placebo) Anxiety: 6.7% vs 12.7% (p=0.088) Weight gain: 6.3% vs 1.0% (p=0.043) Thinking abnormal: 3.6% vs 7.8% (p=0.105) Schizophrenic reaction: 3.1% vs 25.5% (p<0.001) Hallucinations: 2.2% vs 6.9% (p=0.055) Apathy:1.8% vs 5.9% (p=0.077) Insomnia: 1.3% vs 19.6% (p=0.001) Paranoid reaction: 1.3% vs 10.8% (p=0.001) Weight loss: 0.9% vs 6.9% (p=0.005) Hostility: 0.4% vs 3.9% (p=0.035) Anorexia: 0.0% vs 2.9% (p=0.030)	13% olanzapine vs 54% placebo ; 1% olanzapine vs 12% placebo

Author, year Country Trial name		Study design	
	N	Setting	Eligibility criteria
(Quality score)	N	Setting	Eligibility Criteria
Baker, 1996	29	RCT, DB placebo-controlled	Inpatients with a DSM III-R diagnosis of chronic schizophrenia
United States		trial	
Inpatients			
Inpatients			
		Multicenter	

Quetiapine			
Borison, 1996	109	Multicenter, BD, PCT	Men and women aged 18-60 years were eligible to enter the study if they satisfied DSM-III-R criteria for chronic or subchronic schizophrenia with acute exacerbation. Patients were also required to have a minimum total score of 45 on the 18-item BPRS (0-7 scoring), a score of 4 (moderate) on at least two items from the BPRS positive symptom cluster (conceptual disorganization, suspiciousness, hallucinatory behavior, unusual thought content), and a score of 4 (moderately ill) on the CGI Severity of illness item.

Author, year Country Trial name

(Quality score)	Interventions (drug, dose, duration)	Run-in/Washout period	Allowed other medications/ interventions
Baker, 1996	Olanzapine 1 mg (n=11)	NR / 1-week washout period	NR
United States Inpatients	Olanzapine 10 mg (n=7) Placebo (n=7)	before randomization	
	6-week treatment period		

Quetiapine			
Borison, 1996	Quetiapine 75mg-750mg/day or placebo	2-10 days placebo phase/NA	No
	for 6 weeks. But daily dosage greater than		
	500mg were limited to 14 days.		

			Number
Age			withdrawn/
Gender	Other population characteristics	Number screened/	lost to
Ethnicity	(diagnosis, etc)	eligible/enrolled	fu/analyzed
Mean age: 36 years	Mean (SD) Global Severity Ratings at baseline for:	NR/ NR/ 29	4 / NR / 25
68% male	Obsession: 0.8 (1.2)		
Ethnicity: NR	Compulsions: 0.8 (0.8)		
	On this scale, 0 = no symptoms; 1 = slight symptoms; 2 =		
	Gender Ethnicity Mean age: 36 years 68% male	Gender Ethnicity Mean age: 36 years 68% male Ethnicity: NR  Other population characteristics (diagnosis, etc) Mean (SD) Global Severity Ratings at baseline for: Obsession: 0.8 (1.2) Ethnicity: NR  Compulsions: 0.8 (0.8)	Gender EthnicityOther population characteristics (diagnosis, etc)Number screened/ eligible/enrolledMean age: 36 years 68% male Ethnicity: NRMean (SD) Global Severity Ratings at baseline for: Obsession: 0.8 (1.2) Compulsions: 0.8 (0.8)NR/ NR/ 29On this scale, 0 = no symptoms; 1 = slight symptoms; 2 =

Quetiapine				
Borison, 1996	Mean age = 36 (18-58) years	Acute exacerbation:	NR/ 146/ 109	
	Gender: 91% male	47.4% chronic undifferentiated		
	Ethnicity: 62% white; 36% black;	35.5% chronic paranoid		
	3% other	16.5% other		
		Previous hospitalization:		
		51.1% <8		
		57.9% >8		
		17.4% unknown		

Author, year
Country
Trial name

Trial name		
(Quality score)	Outcome scales	Method of outcome assessment and timing of assessment
Baker, 1996 United States Inpatients	see "methods of outcome assessment" column	Obsessive and compulsive symptoms identified and rated using a scale derived from the Yale-Brown Obsessive Compulsive Scale supplemented by screening questions from the NIMH Diagnostic Interview Schedule (DIS) and by global severity and global change derived from the CGI-S. Ratings were completed at baseline and endpoint (week 6).  Elements analyzed for this report: global severity of obsessions, global severity of compulsions, change during DB treatment in overall severity of obsessions, and change during DB treatment in overall severity of compulsions.

Quetiapine		
Borison, 1996	Brief Psychiatric Rating Scale s	scales are rated by the trained investigators weekly
	(BPRS)	
	Clinical Global Impression (CGI)	
	Modified Scale for the	
	Assessment of Negative	
	Symptoms (SANS)	

Author, year
Country
Trial name

Country		
Trial name (Quality score)	Results	Methods of adverse event assessments
Baker, 1996 United States Inpatients	Mean (+/-SD) Global severity ratings change between baseline and endpoint for all groups: Obsessions: 0 Compulsions -0.2	NR
	Global endpoint ratings of change from baseline in obsessive symptoms: % of patients saying symptoms improved vs unchanged vs worse Olanzapine 1 mg (n=11): 9.1% vs 63.6% vs 27.3% Olanzapine 10 mg (n=7): 28.6% vs 42.8% vs 28.6% Placebo (n=7): 0% vs 71.4% vs 28.6%	
	Global endpoint ratings of change from baseline in compulsive symptoms: % of patients saying symptoms improved vs unchanged vs worse Olanzapine 1 mg: 9.1% vs 81.8% vs 9.1% Olanzapine 10 mg: 0% vs 85.7% vs 14.3%	
Quetiapine		
Borison, 1996	Quetiapine vs placebo (change from baseline), p value: BPRS total score: -8.1(2.39) vs -2.1(2.30), p=0.07 BPRS factor score: Anxiety/depression: -0.6(0.14) vs -0.6(0.14), p=0.75 Anergia: -0.1(0.14) vs 0.0(0.14), p=0.52 Thought disturbance: -0.7(0.18) vs -0.3(0.18), p=0.09 Activation: -0.4(0.18) vs 0.4(0.18), p=0.002 Hostile/suspiciousness: -0.4(0.22) vs 0.0(0.22), p=0.18 BPRS positive-symptom cluster score: -0.9(0.21) vs -0.3(0.21), p=0.06 CGI Severity of Illness item score: -0.2(0.18) vs 0.2(0.18), p=0.07 SANS summary score: -1.0(0.61) vs 0.6(0.6), p<0.05 CGI Global Improvement: improved: 28% vs 25%, p=0.02 worsened: 17% vs 42%	Simpson Scale Abnormal Involuntary Movement Scale (AIMS)

Adverse events

NR

Author, year
Country
Trial name
(0 114

Total number of withdrawals; withdrawals due to adverse events

NR

(Quality score)
Baker, 1996
United States

Inpatients

Quetiapine

Borison, 1996 AIMS: NS

Withdrawn due to adverse events (no. patients): quetiapine 3 vs placebo 2

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# Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year Country			
Trial name		Study design	
(Quality score)	N	Setting	Eligibility criteria
Small, 1997 United States and Europe	286	Multicenter, DB, PCT	Hospitalized men and women aged 18-65 years were eligible to enter the study if they satisfied DSM-III-R criteria for chronic or subchronic schizophrenia with acute exacerbation. Patients were also required to have a minimum total score of 45 on the 18-item BPRS (0-7 scoring), a score of 4 (moderate) on at least two items from the BPRS positive symptom cluster (conceptual disorganization, suspiciousness, hallucinatory behavior, unusual thought content), and a score of 4 (moderately ill) on the CGI Severity of illness item.

# Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year Country Trial name

Trial name			
(Quality score)	Interventions (drug, dose, duration)	Run-in/Washout period	Allowed other medications/ interventions
Small, 1997 United States and Europe	Quetiapine low dose (<250mg/day), high dose (251-750mg/day) or placebo for 6 weeks. But the daily maximum dosage 750mg were limited to 14 days.	2 days placebo/NA	Chloral hydrate allowed for insomnia (500-1000mg at bedtime) and acute agitation (500mg) but was limited to 2000 mg/day. Lorazepam (1-2mg orally or intramuscularly) was permitted orally or intramuscularly for severe agitation or insomnia unresponsive to chloral hydrate or dose escalation of quetiapine. In Europe, other benzodiazepines were permitted within protocol-specific guidelines for frequency of use and maximum dose. Neither chloral hydrate nor lorazepam was permitted within 6 and 12 hrs of efficacy assessments. During the DB phase, benztropine mesylate was permitted by treatment of EPS, with the dose and duration specified by the treating clinician.

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# Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year				Number
Country	Age			withdrawn/
Trial name	Gender	Other population characteristics	Number screened/	lost to
(Quality score)	Ethnicity	(diagnosis, etc)	eligible/enrolled	fu/analyzed
Small, 1997	Mean age: 22.3 years	Acute exacerbation:	NR/ NR/ 286	NR/ NR/ 280
United States and Europe	Gender: 71.2% male	29.3% chronic undifferentiated		
	Ethnicity: 70.7% white; 19.3%	54.6% chronic paranoid		
	black; 10% others	12.6% disorganized		
		2.6% other		
		Previous hospitalization:		
		52.3% <8		
		47.6% >8		
		5.9% unknown		

#### Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year Country Trial name

(Quality score)	Outcome scales	Method of outcome assessment and timing of assessment
Small, 1997	Brief Psychiatric Rating Scale	The scales were completed by the investigator or designated subinvestigator weekly
United States and Europe	(BPRS)	
	Clinical Global Impression (CGI)	
	Modified Scale for the	
	Assessment of Negative	
	Symptoms (SANS)	
	Negative Scale of the Positive	
	and Negative Syndrome Scale	
	(PANSS)	

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Author, year Country Trial name

(Quality score)	Results	Methods of adverse event assessments
Small, 1997	Primary measure:	Simpson-Angus Scale
United States and Europe	BPRS total score: High Q8.7(1.64), <0.001 vs Placebo	Barnes Akathisia Scale:
	Low Q4.2(1.62), 0.04 vs High Q	Abnormal Involuntary Movement Scale
	Placebo1.0(1.61), 0.15 vs Low Q	
	CGI Severity of Illness: High Q0.6(0.13), 0.003 vs Placebo	
	Low Q0.3(0.13), 0.08 vs High Q	
	Placebo0.1(0.13), 0.23 vs Low Q	
	Secondary measure:	
	BPRS positive-symptom cluster score: High Q0.9(0.13), 0.03	
	vs Placebo	
	Low Q0.6(0.13), 0.11 vs High Q	
	Placebo0.4(0.13), 0.17 vs Low Q	
	CGI Global Improvement (endpoint): High Q- 3.4(1.7), 0.006 vs	
	Placebo	
	Low Q- 4.0(1.7), 0.03 vs High	
	Placebo- 4.1(1.8), 0.55 vs Low Q	
	SANS summary score: High Q1.7(0.47), 0.02 vs Placebo	
	Low Q- 0.3(0.48), 0.004 vs High Q	
	Placebo0.1(0.46), 0.54 vs Low Q	
	PANSS(N) total score: High Q4.4(1.2), 0.1 vs Placebo	
	Low Q2.9(1.1), 0.32 vs High Q	
	Placebo1.9(1.1), 0.52 vs Low Q	

# Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year Country Trial name

Trial name		Total number of withdrawals; withdrawals due	
(Quality score) Adverse events		to adverse events	
Small, 1997	Simpson-Angus Scale total score: NS	Withdrawals due to adverse events, no. of	
United States and Europe	Barnes Akathisia Scale: NS	patients: High Q vs Low Q vs Placebo = 7 vs 7 vs	
	Abnormal Involuntary Movement Scale total score: NS	3	

# Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year			
Country			
Trial name		Study design	
(Quality score)	N	Setting	Eligibility criteria
Risperidone			
Kane, 2003 Nasrallah, 2004	400	Multicenter, double-blind.	Hospital outpatients or inpatients ages 18-55 with a diagnosis of schizophrenia according to DSM-IV criteria; baseline PANSS total scores of 60-120 and good general health, with standard laboratory test results within reference ranges or not clinically significant.

Author, year Country Trial name (Quality score)	Interventions (drug, dose, duration)	Run-in/Washout period	Allowed other medications/ interventions
Risperidone			
Kane, 2003 Nasrallah, 2004	Long-acting risperidone 25 mg, 50 mg, 75 mg, or placebo intramuscular injection	1-week screening period, then doses of other oral antipsychotic medications	Oral risperidone or oral placebo continued for the first 3 weeks of the double-blind phase.
	Every 2 weeks for 12 weeks.	were reduced and then discontinued. Simultaneously, oral risperidone started at 2 mg/day and increased to 4 mg/day for at least 3 days.	

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# Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year Country Trial name (Quality score)	Age Gender Ethnicity	Other population characteristics (diagnosis, etc)	Number screened/ eligible/enrolled	Number withdrawn/ lost to fu/analyzed
Risperidone				
Kane, 2003 Nasrallah, 2004	Mean age 38 (SD 10) 75% male 42% African American, 42% white, 11% Hispanic, 6% other ethnicity	Schizophrenia subtype: 76% paranoid, 21% undifferentiated, 3% disorganized, <1% catatonic; 51% outpatients, 49% inpatients	554/ 461/ 400	206 withdrawn/17 lost to followup/370 analyzed

# Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year
Country
Trial name

(Quality score) Outcome scales Method of outcome assessment and timir		Method of outcome assessment and timing of assessment
Risperidone		
Kane, 2003 Nasrallah, 2004	PANSS total score Secondary measures: PANSS positive and negative factor scores, CGI scale.	PANSS every 2 weeks, CGI every week; trained raters, interrater reliability established before the start of the trial.  SF-36 measured HRQoL (Health Related Quality of Life) consisting of 8 domains; a score above 50 is a score above normative average. SF-36 assessed at baseline and 12-week endpoint (or study discontinuation)

#### Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year Country Trial name

(Quality score)	Results	Methods of adverse event assessments
Pisporidono		

#### Risperidone Kane, 2003 Mean change at endpoint on PANSS (LOCF): Nasrallah, 2004 Total score placebo: 2.6 risperidone 25 mg: -6.2 (p=0.002 vs placebo) risperidone 50 mg: -8.5 (p<0.001 vs placebo) risperidone 75 mg: -7.4 (p<0.001 vs placebo) Positive symptoms placebo: -0.2 risperidone 25 mg: -2.3 (p=0.05 vs placebo) risperidone 50 mg: -3.5 (p<0.001 vs placebo) risperidone 75 mg: -3.0 (p<=0.005 vs placebo) Negative symptoms placebo: 0.9 risperidone 25 mg: -2.4 (p<0.001 vs placebo)

Assessed at baseline and every 2 weeks. Serious adverse events were defined as those that resulted in death or were lifethreatening, required hospitalization or prolongation of hospitalization, resulted in persistent or significant disability or incapacity, or resulted in a congenital anomaly or birth defect. Spontaneously reported extrapyramidal symptoms (extrapyramidal disorder, hyperkinesia, hypertonia, tremor, hypokinesia, and involuntary muscle contractions). Severity of extrapyramidal symptoms evaluated by 55-item Extrapyramidal Symptom Rating Scale (ESRS). Investigators trained in the use of the ESRS, and interrater reliability was established before the trial.

Mean change at endpoint on CGI (LOCF), placebo vs R 25 vs R 50 vs R 75:

0.3 vs -0.3 vs -0.3 vs -0.4 (p<0.001 for all comparisons vs placebo)

Mean change from baseline on the SF-36 scale (HRQoL measure)

risperidone 50 mg: -1.2 (p=0.02 vs placebo) risperidone 75 mg: -1.2 (p=0.02 vs placebo)

Risperidone (all doses) vs placebo p<0.05 for 5 of 8 domains: Bodily pain, General health, Social functioning, Role-emotional, Mental health

p=NS between any risperidone group vs placebo for Vitality and Physical Functioning (2 of 8) domains

Rispderidone 25 mg vs placebo, p<0.05 fopr Role-Functioning (

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Author, year Country Trial name		Total number of withdrawals; withdrawals due
(Quality score)	Adverse events	to adverse events
Risperidone	Autorio Otomo	to davoloo ovolito
Kane, 2003 Nasrallah, 2004	Risperidone 25 mg vs 50 mg vs 75 mg vs placebo	Overall withdrawals: risperidone 25 mg: 52%
•	Any AE: 80% vs 83% vs 82% vs 83%	risperidone 50 mg: 51%
	Serious AEs: 13% vs 14% vs 15% vs 23.5%	risperidone 75 mg: 52% placebo: 68%
	1 death in placebo group due to injury	·
		Withdrawals due to AEs:
	Mean change from baseline to 12 weeks on ESRS (all comparisons NS):	risperidone 25 mg: 11% risperidone 50 mg: 12%
	Total: -1.5 vs 0.1 vs 0.0 vs -0.1	risperidone 75 mg: 14%
	Parkinsonian subscale -1.1 vs 0.0 vs 0.3 vs -0.5	placebo: 12%
	Dystonia subscale: 0.0 vs 0.0 vs 0.0 vs 0.0	
	Dyskinesia subscale	
	-0.4 vs 0.1 vs -0.3 vs 0.4	
	Spontaneously reported AEs related to EPS:	
	risperidone 25 mg: 10%	
	risperidone 50 mg: 24%	
	risperidone 75 mg: 29%	
	placebo: 13%	
	(p>0.10 for all groups vs placebo)	

Author, year Country Trial name (Quality score)	N	Study design Setting	Eligibility criteria
Lauriello, 2005 subanalysis of inpatients from Kane 2003	214 inpatients of original 439 patients	Multicenter, DB, randomized, PCT	see Kane 2003
Bai, 2003 Inpatients	49	Randomized, DB PCT	Hospitalized patients aged 18-65 years with severe tardive dyskinesia and BPRS <20 and no record of violent or aggressive behavior within 6 months prior to the study.
Ziprasidone			
Arato, 2002	294	Randomized, DB, parallel group PCT	Inpatients $\geq$ 18y with chronic, stable schizophrenia (DSM-III-R) hospitalized $\geq$ 2 months and had scores of $\leq$ 5 on the CGI-S.
Inpatients			

Author, year
Country
Trial name

(Quality score)	Interventions (drug, dose, duration)	Run-in/Washout period	Allowed other medications/ interventions
Lauriello, 2005	Long-acting risperidone 25 mg, 50 mg, and 75 mg	see Kane 2003	Permissible medications for sleep were temazepam, zolpidem or chloral hydrate. Limited doses of
subanalysis of inpatients from Kane 2003	placebo		lorazepam were permitted for agitation, with max. weekly dose of 42mg during first 2 weeks following
	Intramuscular injection every 2 weeks for 12 weeks.		randomization, a max. weekly dose of 38mg during the following 2 weeks and a max. weekly dose of 16mg thereafter.
Bai, 2003	Risperidone up-titrated to 6 mg/d for last 6		Other antispychotics not allowed; anticholinergics were
Inpatients	weeks of study placebo  12-weeks	original conventional antipsychotics	titrated according to the EPS, and benzodiazepines could be prescribed adjunctively if the patients psychiatric condition was unstable.

Arato, 2002	Ziprasidone 40 mg/d	NR/ 3-day wash out for all pts	Only medications permitted: anticholinergicvs,
	Ziprasidone 80 mg/d		lorazepam for agitation and temazepam (upper
Inpatients	Ziprasidone 160 mg/d		limit=20mg) for insomnia
	placebo		
	52-week study		
	(no dosage adjustments allowed during		
	the study after the first 2 days)		

Author, year Country Trial name (Quality score) Lauriello, 2005	Age Gender Ethnicity Mean age = 38 years	Other population characteristics (diagnosis, etc) Schizophrenia: 91.1%	Number screened/ eligible/enrolled NR/ NR/ 214	Number withdrawn/ lost to fu/analyzed
subanalysis of inpatients from Kane 2003	Gender: 70% male Ethnicity: 42.6% Caucasian; 41.5% black; 24.5% Hispanic; 4.7% other	Schizoaffective disorder: 8.8% Prior treatment with antipsychotic: 67.4%	inpatients	inpatients
Bai, 2003 Inpatients	Mean age: 50.2 years 66.7% male Ethnicity: NR	Mean baseline BPRS score: 13.4 Mean baseline ESRS-parkinsonian score: 2.7 Mean baseline ESRS-dystonia score: 1.8 Mean baseline AIMS score: 15.9	NR/ NR/ 49	7/0/42

Ziprasidone				
Arato, 2002	Mean age: 49.7 years	Smokers: 68.7%	329/ 294/ 278	179/ NR / 277
	Age range: 20-82 years			
Inpatients	73% male			
·	Ethnicity: NR			

Author, year
Country
Trial name

Inpatients

i riai name			
(Quality score)	Outcome scales	Method of outcome assessment and timing of assessment	
Lauriello, 2005	see Kane 2003	PANSS every 2 weeks, CGI every week.	
subanalysis of inpatients from Kane 2003			
Bai, 2003	BPRS	Baseline and endpoint mental status assessed with BPRS.	

Ziprasidone		
Arato, 2002	PANSS	PANSS and CGI scales completed at baseline, and end of weeks 3, 6, 16. 28, 40, and 52.
	CGI	Global Assessment of Functioning (GAF) administered at baseline and weeks 28 and 52.1
Inpatients	GAF	- · · · · · · · · · · · · · · · · · · ·

Author, year Country Trial name

(Quality score)	Results	Methods of adverse event assessments
Lauriello, 2005	long-acting risperidone (all risperidone groups together) vs placebo	Adverse events assessed every 2 weeks, by investigators. Pain at site of injection assessed by VAS (scale: 0=no pain to
subanalysis of inpatients	Mean change in PANSS total score: -17.06(1.88) vs -4.73(4.5),	100=unbearable pain)
from Kane 2003	p=0.014	
	% of patients with PANSS >20% reduction in total scores: 50% vs 27%, p=0.012	
	% of patients with PANSS >40% reduction in total scores: 23% vs 5%, p=0.01	
	% of patients with CGI assessment of ill, very mild or mild: 32% vs 5%, p=0.0023	
Bai, 2003	Risperidone (n=22) vs placebo (n=20) group:	Tardive dyskinesia severity and other EPS symptoms were assessed with AIMS and ESRS (Extrapyramidal Symptom
Inpatients	% of responders: 68% vs 30%, p=0.029	Rating Scale) at baseline. Assessment of tardive dyskinesia
	Mean change in BPRS score at endpoint: +1.5 vs +5.3, p=NS	severity was performed every 2 weeks to the endpoint/week 12 of study

Arato, 2002	34% of ziprasidone patients relapsed (71/206)	SARS, Barnes Akathisia, and AIMS administered
	Ziprasidone 40mg vs ziprasidone 80mg vs ziprasidone 160mg vs	
Inpatients	placebo	
	Mean change in scores from baseline:	
	PANSS total score: +2.9 vs +1.9 vs -1.3 vs +15.6 (p<0.01 for	
	all Z vs placebo)	
	PANSS Negative subscale: -1.9 vs -1.0 vs -2.8 vs+ 1.4 (p<0.05	
	for all Z vs placebo)	
	PANSS Positive subscale: +3.0 vs +1.2 vs +1.8 vs +6.2 (p<0.05	
	for all Z vs placebo)	
	CGI-S: +0.4 vs +0.2 Vs +0.1 vs +1.0 (p<0.01 for all Z vs placebo)	

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Author, year Country		
Trial name		Total number of withdrawals; withdrawals due
(Quality score)	Adverse events	to adverse events
Lauriello, 2005	ESRS score: NS	Total inpatients who withdrew: 140/214
	Long acting risperidone vs placebo:	Withdrawals by group: risperidone vs placebo
subanalysis of inpatients	AEs related to movement disorders: 12% vs 15%	inpatients: 60% (96/161) vs 83% (44/53)
from Kane 2003	Mean change in body weight: +2.3kg vs -0.43kg, p=0.0003	Withdrawals due to AEs: risperidone 14% vs
	Patient-reported injection site pain on VAS (SD): 12.3(20.01) vs	placebo 11%
	6.71(12.81), NS	
	Concomitant medications: 93% vs 89%, NS	
	Antiparkinsonian agents taken by 27% vs 21%patients.	
	Antidepressants taken by 14% vs 9% patients.	
Bai, 2003	No significant differences between the two groups in ESRS scores,	7;3
	mean change between baseline and endpoint for ESRS scores, or the	
Inpatients	% of concomitant antiparkinsonian and benzodiazepine use at the end of the study.	
	Risperidone (n=22) vs placebo (n=20) group:	
	AIMS change in mean score from baseline (SD): -5.5 (3.8) vs -1.1 (4.8), p=0.001	
	Mean change in ESPR-parkinsonian score at endpoint: -0.5 vs -0.3,	
	p=NS	
	Mean change in ESPR-dystonia score at endpoint: -0.5 vs -0.8, p=NS	
Ziprasidone		
Arato, 2002	NR	Ziprasidone 40mg vs ziprasidone 80mg vs
Inpatients		ziprasidone 160mg vs placebo Total withdrawals per group: 58% vs 57% vs 55%
IIIpationito		vs 86%
		Withdrawals due to AEs: 10% vs 10% vs 7% vs
		15%

# Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year Country			
Trial name		Study design	
(Quality score)	N	Setting	Eligibility criteria
Daniel, 1999	302	Randomized, DB, parallel	Men or women ≥18 years with an acute exacerbation of chronic of
United States and Canada	randomized	group PCT	subchronic schizophrenia or schizoaffective disorder as defined by DSM-III-
			R who had been hospitalized within the previous 4 weeks and who had a
Inpatients (mandatory		Multicenter	total score ≥60 on the PANSS with a score of ≥4 on 2 or more core items in
hospitalization for the first			the PANSS in the 24 hours before the study treatment was started. Also,
two weeks of treatment)			patients had to have a score ≥3 on the CGI-I at baseline as compared with
			screening; their body weight had to be <=160% of the upper limit of normal
			according to sex, height, and frame; and their urine samples had to be
			negative for all illicit drugs except for investigator-given cannabinoids and
			benzodiazepines.

#### Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year Country Trial name

(Quality score)	Interventions (drug, dose, duration)	Run-in/Washout period	Allowed other medications/ interventions
Daniel, 1999	Ziprasidone 80 mg/d (n=106)	NR/ single-blind placebo	Concomitant lorazepam (for insomnia or agitation),
United States and Canada	Ziprasidone 160 mg/d (n=104) placebo (n=92)	washout lasting 3-7 days	benzotropine (for EPS), and beta-andrenoceptor antagonists (for akathisia) were allowed if required but
Inpatients (mandatory	. , ,		were not administered prophylactically.
hospitalization for the first	6-week study		
two weeks of treatment)	(no dosage adjustments after the first 2		
	days)		

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# Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year Country	Age			Number withdrawn/	
Trial name	Gender	Other population characteristics	Number screened/	lost to	
(Quality score)	Ethnicity	(diagnosis, etc) eligible/en		fu/analyzed	
Daniel, 1999	Mean age:	Ziprasidone 80 vs ziprasidone 160 vs placebo:	440/ NR / 302	Unclear / unclear	
United States and Canada	Age range: 18-67 years			/ 298	
		Schizoaffective disorder: 23% vs 24% vs 21%			
Inpatients (mandatory	71.2% male	Disorganized schizophrenia: 3% vs 3% vs 3%			
hospitalization for the first		Catatonic schizophrenia: 1% vs 1% vs 1%			
two weeks of treatment)	68.2% white	Paranoid schizophrenia: 50% vs 42% vs 49%			
,	19.9% black	Undifferentiated schizophrenia: 23% vs 32% vs 26%			
	2.3% Asian	·			
	9.6% other	Baseline scores:			
		PANSS total score: 98.2 vs 95.8 vs 97.3			
		PANSS negative score: 25.4 vs 24.3 vs 24.9			
		BPRSd total score: 56.5 vs 55.0 vs 55.1			
		CGI-S score: 4.8 vs 4.8 vs 4.8			
		MADRS total score (n=89, 100, and 100 respectively):			
		17.0 vs 16.9 vs 17.4			

#### Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year Country Trial name

(Quality score)	Outcome scales	Method of outcome assessment and timing of assessment
Daniel, 1999	PANSS, total and negative	Efficacy variables, except for MADRS. were measured at baseline and weekly for 6 weeks or on
United States and Canada	subscale scores	early termination (within 24h of receiving the last dose). For CGI-I, the baseline value was
	MADRS	based on the comparison with screening, and subsequent weekly assessments were based on
Inpatients (mandatory	BPRSd, total core items scores	comparisons with baseline. MADRS total score was assessed at baseline and weeks 1,2,3, and
hospitalization for the first	CGI-S	6 (or early termination).
two weeks of treatment)	CGI-I	

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#### Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year Country Trial name

i riai name		
(Quality score)	Results	Methods of adverse event assessments
Daniel, 1999	ziprasidone 80 vs ziprasidone 160 vs placebo:	All AE volunteered and observed during study and within 6
United States and Canada	Mean change in MADRS score from baseline: -1.8 vs -3.1 vs -1	.3 days of the last treatment were recorded. Safety assessments
	% mean improvement from baseline at 6 weeks (ITT LOCF):	were performed at regular intervals or within 24h of early
Inpatients (mandatory	p<0.05 for Z 80 and Z 160 vs placebo for all scores	termination. SARS, Barnes Akathisia, and AIMS administered
hospitalization for the first	PANSS total: 12% vs 18% vs 5%	at baseline and week 6 for all (SARS and Barnes also
two weeks of treatment)	BPRSd total: 6% vs 13% vs 18%	assessed at weeks 1 and 3)
	BPRSd core item: 12% vs 20% vs 27%	
	CGI-S: 4% vs 10% vs 17%	
	PANSS negative subscale: 3% vs 12.5% vs 15.5%	

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Author, year Country Trial name (Quality score)	Adverse events	Total number of withdrawals; withdrawals due to adverse events
Daniel, 1999	Ziprasidone 80 vs ziprasidone 160 vs placebo	Ziprasidone 80 vs ziprasidone 160 vs placebo
United States and Canada	Total % of patients with AEs: 87% vs 89% vs 86% % of patients with severe AEs: 8% vs 8% vs 11%	Total % of patients who withdrew: unclear Total % of patients discontinued due to AEs: 1.8%
Inpatients (mandatory	% who took lorazepam at some point in study: 81% vs 87% vs 92%	vs 7.7% vs 1.1%
hospitalization for the first	% who took benzotropine: 20% vs 25% vs 13%	
two weeks of treatment)	% who required beta-adrenoceptor antagonists: 9.4% vs 5.8% vs 6.5%	
	Median changes in body weight: +1 kg vs 0kg vs 0kg	
	Individual AEs:	
	Pain: 6% vs 10% vs 9%	
	Headache: 17% vs 31% vs 33%	
	Abdominal pain: 3% vs 10% vs 5%	
	Vomiting: 11% vs 6 % vs 15%	
	Dyspepsia: 9% vs 14 % vs 9%	
	Nausea: 14% vs 7% vs 9%	
	Dry mouth: 4% vs 13% vs 4%	
	Constipation: 7% vs 14% vs 14%	
	Dizziness: 9% vs 17% vs 9%	
	Agitation: 10% vs 9% vs 11% Insomnia:12% vs 12% vs 14%	
	Somnolence: 19% vs 12% vs 14%	
	Akathisia: 14% vs 13% vs 7%	
	ARAUHSIA. 1770 VS 1070 VS 170	

# Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year Country Trial name (Quality score)	N	Study design Setting	Eligibility criteria
Keck, 1998	139 randomized	Randomized, DB, PCT  Multicenter	Men or women aged 18-64 years with an acute exacerbation of chronic or subchronic schizophrenia or schizoaffective disorder as defined in DSM-III-R who had been hospitalized within the previous 3 weeks with a minimum duration of illness of 1 year. At screening and 24h before study, patients had to have a total score ≥37 on the BPRS and a score of ≥4 on 2 or more of the PBPRS core items. Patients were generally no more than 140% of the upper limit of normal weight according to sex, age, height, and frame, and urine samples had to be negative for all illicit drugs except cannabinoids and benzodiazepines.

# Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year
Country
Trial name

(Quality score)	Interventions (drug, dose, duration)	Run-in/Washout period	Allowed other medications/ interventions
Keck, 1998	Ziprasidone 40 mg/d (n=44) Ziprasidone 120 mg/d (n=47) placebo (n=48)	NR/ single-blind placebo washout lasting 4-7 days	Concomitant lorazepam (for insomnia or agitation), benzotropine (for EPS), and beta-andrenoceptor antagonists (for akathisia) were allowed as required but were not administered prophylactically.
	4-week study		

# Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year Country	Age			Number withdrawn/
Trial name	Gender	Other population characteristics	Number screened/	lost to
(Quality score)	Ethnicity	(diagnosis, etc)	eligible/enrolled	fu/analyzed
Keck, 1998	Mean age: 39.4 years Age range: 19-76 years	Ziprasidone 40 vs ziprasidone 120 vs placebo	203/ NR / 139	69/ 1/ 131
		Schizoaffective disorder: 39% vs 43% vs 31%		
	79.1% male	Disorganized schizophrenia: 2% vs 4% vs 2%		
		Paranoid schizophrenia: 43% vs 38% vs 50%		
	71.9% Caucasian	Undifferentiated schizophrenia: 14% vs 15% vs 17%		
	19.4% Black	Delusional disorder: 2% vs 0% vs 0%		
	3.6% Asian			
	5.0% other	Neurologic illness at screening: 12.8% vs 8.5% vs 22.9%		

# Evidence Table 5. Placebo-controlled trials in patients with schizophrenia

Author, year
Country
Trial name

(Quality score)	Outcome scales	Method of outcome assessment and timing of assessment
Keck, 1998	BPRS total score	Primary efficacy determined by BPRS total score and core items score and by CGI-S score.
	BPRS core item score	
	CGI-S	Secondary efficacy assessments made by CGI-I. SANS, the BPRS depression cluster score
	SANS total score	the BPRS anergia cluster score.
	BPRS depression cluster	•
	BPRS anergia factor score	

Author, year
Country
Trial name

Trial name		
(Quality score)	Results	Methods of adverse event assessments
Keck, 1998	Ziprasidone 40 vs ziprasidone 120 vs placebo:	SARS, Barnes Akathisia, and the AIMS, vital signs, and clinical lab tests assessed at baseline and throughout study to
	Percentage of patients who complete the study: 64% vs 51% vs 50%	endpoint.
	Mean change in score from baseline (*=p<0.01 for ziprasidone 120 vs placebo):	
	BPRS total score: -5.2 vs -10.1* vs -4.1	
	BPRS core item score: -2.6 vs -4.1 vs -2.3	
	CGI-S: -0.4 vs -0.6 vs -0.2	
	SANS total score: -8.66 vs-7.4 vs -2.4	
	BPRS depression cluster: -3.0 vs -5.6* vs -2.6	
	BPRS anergia factor score:-1.4 vs -1.8* vs 0.3	
	% of patients who too adjunctive therapy during treatment:	
	Benzotropine: 7% vs 19% vs 8%	
	Lorazepam: 82% vs 85% vs 90%	
	Beta-andrenoceptor antagonists: 7% vs 6% vs 4%	

Author, year Country Trial name (Quality score)	Adverse events	Total number of withdrawals; withdrawals due to adverse events
Keck, 1998	77% of all patients experienced AEs	Total number of withdrawals for all groups: 69 (45%); withdrawals due to AEs: 5 (3.6%)
	Ziprasidone 40 vs ziprasidone 120 vs placebo	
	Mean change in these scores from baseline:	
	SARS: -1 vs -1 vs -0.5	
	Barnes Akathisia: -0.1 vs -0.2 vs -0.2	
	AIMS: -0.3 vs -0.1 vs -0.2	
	% of patients experiencing an AE by group: 75% vs 81% vs 75%	
	Pain: 9.1% vs 4.2% vs 8.3%	
	Asthenia: 2.3% vs 4.2% vs 0%	
	Headache: 18.2% vs 21.3% vs 20.8%	
	Abdominal pain: 11.4% vs 2.1% vs 8.3%	
	Dyspepsia: 11.4% vs 6.4% vs 6.3%	
	Nausea: 6.8% vs 6.4% vs 4.2%	
	Constipation: 6.8% vs 10.6% vs 4.2%	
	Agitation: 0% vs 6.4% vs 12.5%	
	Somnolence: 6.8% vs 8.5% vs 8.3%	
	Akathisia: 6.8% vs 2.1% vs 6.3%	
	Rash: 6.8% vs 2.1% vs 0%	

#### Evidence Table 6. Quality assessment of placebo-controlled trials in patients with schizophrenia

#### Internal Validity

Author, year Country	Randomization adequate?	Allocation concealment adequate?	Groups similar at baseline?	Eligibility criteria specified?	Outcome assessors masked?	Care provider masked?
Trial of olanzapine						
Beasley, 2003 Croatia, Poland, Romania, the Russian Federation, US, Yugoslavia Olanzapine Relapse Prevention	Method not reported	Not reported	Diagnosis schizophrenia 79% olanzapine vs 87% placebo; schizoaffective disorder 21% olanzapine vs 13% placebo (p=0.049)	Yes	Yes	Not reported
Study  Trial of risperidone						
Kane, 2003 Nasrallah 2004	Method not reported	Not reported	Similar, but only report baseline on patients receiving at least 1 injection of risperidone.	Yes	Yes	Not clear

#### Evidence Table 6. Quality assessment of placebo-controlled trials in patients with schizophrenia

Author, year Country	Patient masked?	Reporting of attrition, crossovers, adherence, and contamination?	Loss to follow- up: differential/high?	Intention-to-treat (ITT) analysis?
Trial of olanzapine				
Beasley, 2003 Croatia, Poland, Romania, the Russian Federation, US, Yugoslavia	Yes	Attrition yes, adherence yes, crossovers and contamination no.	No	Not clear
Olanzapine Relapse Prevention Study				
Trial of risperidone				
Kane, 2003 Nasrallah 2004	Yes	Attrition and adherence (withdrawals due to) yes, others no.	6% in placebo and 75 mg group vs 2% in 25 mg and 3% in 50 mg group.	No. Efficacy evaluation only in patients with at least one post-baseline assessment.

## Evidence Table 6. Quality assessment of placebo-controlled trials in patients with schizophrenia

Country Country	Post-randomization exclusions?	Quality rating	
Trial of olanzapine			
Beasley, 2003 Croatia, Poland, Romania, the Russian Federation, US, Yugoslavia	Yes (noncompliance)	Fair	
Olanzapine Relapse Prevention Study			
Trial of risperidone			
Kane, 2003 Nasrallah 2004	No	Fair	

## Evidence Table 6. Quality assessment of placebo-controlled trials in patients with schizophrenia

#### External Validity

Author, year Country	Number screened/eligible/enrolled	Exclusion criteria	Run-in/Washout	Class naïve patients only?
Trial of olanzapine				
Beasley, 2003 Croatia, Poland, Romania, the Russian Federation, US, Yugoslavia	583 screened/458 eligible/326 enrolled	Lack of satisfactory response to olanzapine (see Evidence Table for eligibility criteria).	Run-in	No
Olanzapine Relapse Prevention Study				
Trial of risperidone				
Kane, 2003 Nasrallah 2004	554 screened/461 eligible/400 enrolled	If received a depot antipsychotic within 120 days of the start of the trial, were diagnosed as substance dependent, had tardive dyskinesia or a history of neuroleptic malignant syndrome, had a clinically significant ECG abnormality, were pregnant (or likely to become pregnant) or lactating, were at risk of violent behavior, or had current suicidal ideation; history of severe drug sensitivity or allergy, including sensitivity to risperidone, or unresponsive to risperidone.	beginning intervention	No

## Evidence Table 6. Quality assessment of placebo-controlled trials in patients with schizophrenia

Author, year Country	Control group standard of care?	Funding
Trial of olanzapine		
Beasley, 2003 Croatia, Poland, Romania, the Russian Federation, US, Yugoslavia	Yes	Sponsored by Eli Lilly and Company.
Olanzapine Relapse Prevention Study		
Trial of risperidone		
Kane, 2003 Nasrallah 2004	Yes	Supported by Johnson & Johnson Pharmaceutical Research and Development.

Author, year Country	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose
Controlled studies					
Clozapine vs Olanzapine vs Haloperidol					
Kraus, 1999	Max Planck Insitute of Psychiatry	of Retrospective	4 weeks	1 week	clozapine: 170 mg/day olanzapine: 13 mg/day haloperidol: 5 mg/day

Clozapine vs Olanzapine vs Conventional Antipsychotics					
Agelink, 2001	Evangelical Hospital Gelsenkirchen, Germany	Retrospective	Mean: 14.1 days	NR	amisulpride: 400 mg/day, olanzapine: 20 mg/day, sertindole: 12 mg/day, clozapine: 100 mg/day
Clozapine vs Haloperidol					
de Leon, 2004	Clinical Research Center, Norristown State Hospital, Norristown	Retrospective	16 weeks	NR	All patients switched from 4 weeks on 10 mg/day of haloperidol, to 100, 300, 600 mg/day clozapine
Kurz 1995 Austria	Single center Active control	First-time clozapine users	Mean weeks: clozapine=23.2, haloperidol=5.2 23.2 weeks	clozapine 193.7 mg haloperidol 12.8 mg	Anticholinergics Beta blockers

		Age	Exposed	Withdrawn	
Author, year		Gender	Eligible	Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed	
Controlled studie	es				
Clozapine vs Olanzapi	ine vs				
Haloperidol					
Kraus, 1999	Schizophrenia	Mean age: 37 years 43% Female	NR/NR/NR	NR/NR/44	

Clozapine vs Olanzapine vs Conventional Antipsychotics				
Agelink, 2001	Medication-free inpatients with schizophrenia	Mean age: 33.7 years 68.8% Male Ethnicity NR	NR/NR/51	0/0/51
Clozapine vs Haloperidol				
de Leon, 2004	Schizophrenia	Mean age: 45.5 years 54% Male 85.5% Caucasian 14.5% African-American	NR/NR/40	NR/NR/35
Kurz 1995 Austria	Tardive dyskinesia	Mean age=30.3 63.6% male Race NR	NR NR 151	NR NR Unclear

Author, year	
Country	Effectiveness outcomes
Controlled studies	
Clozapine vs Olanzapine vs	
Haloperidol	
Kraus, 1999	Mean scores at endpoint; pvalue from baseline
	clozapine:
	weight: 71.0 kg; P=0.001
	leptin: 10.7 ng/ml; P=0.004
	olanzapine:
	weight: 70.6 kg; P<0.001
	leptin: 10.1 ng/ml; P=0.006
	haloperidol:
	weight: 64.2 kg; P=0.94
	leptin: 7.0 ng/ml; P=0.54
	no treatment:
	weight: 69.1 kg; P=0.63
	leptin: 7.3 kg; P=0.86
Clozapine vs Olanzapine vs	
Conventional Antipsychotics	
Agelink, 2001	NR
Clozapine vs Haloperidol	
de Leon, 2004	NR
Kurz	NR
1995	
Austria	

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Author, year		
Country	Safety Outcomes	Comments
Controlled studies		
Clozapine vs Olanzapine vs		
Haloperidol		
Kraus, 1999	NR	

Clozapine vs Olanzapine vs Conventional Antipsychotics	
Agelink, 2001	clozapine, olanzapine, sertindole had a prolonged mean frequency-corrected QTc times; P<0.05 HRr at endpoint: A: 77.2 vs O: 84.6 vs S: 88.7 vs C: 95.9 CVr at endpoint: A: 3.9 vs O: 3.9 vs S: 5.2 vs C: 2.3
Clozapine vs Haloperidol	
de Leon, 2004	Within-subject correlation of prolactin levels: C: 0.32 vs H: 0.75
Kurz 1995 Austria	Signs of TD: clozapine=5 cases (all had already shown symptoms at baseline); Haloperidol=0

		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Clozapine vs Conventional					
de Haan, 1999	University of Armsterdam	Retrospective	7.3 months average	NR	clozapine: NR other drugs: NR
Leon, 1979	Hospital Psiquiatrico, Columbia	Retrospective	6 weeks	3-4 years	NR
Reid, 1998	Texas Department of Mental Health and Mental Retardation (TDMHMR) database	·	NR	6 months	clozapine 1.5-4.5 years conventional antypsychotics
Wang, 2002 U.S.	Databases: NJ Medicaid program & NJ Pharmacetuical Assistance to the Aged & Disabled program plus Medicare	Retrospective	6 months before date of 1st prescription for insulin or oral hypoglycemic agent	6 months	clozapine vs other psychiatric agents (includes typical APs and risperidone); Dose and duration of treatment during the 6- month observation period were included in the analysis

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Author, year		Age Gender	Exposed Eligible	Withdrawn Lost to fu
Country	Population	Ethnicity	Selected	Analyzed
Clozapine vs Conventional		-		
de Haan, 1999	Schizophrenia or schizoaffective disorder, schizophreniform disorder	Mean age: 20.9 years	NR/NR/121	
Leon, 1979	Schizophrenia	Mean age: 30.6 years 58% male Ethnicity NR	NR/NR/50	NR/NR/39
Reid, 1998	NR	NR	NR/NR/866	NR/NR/866
Wang, 2002 U.S.	Patients with psychiatric disorders, age>20, enrolled in government-sponsored drug benefit programs in New Jersey. Cases were patients with a 1st prescription (index date) for insulin or oral hypoglycemics between 1990-1995. Controls were patients without diabetes, matched on age, gender, and a randomly assigned index date. Subjects were then	Mean age 62.5 31.8% male 64% white	NR NR 14007	NR NR 14007 analyzed Cases with diabetes mellitus n=7227 Controls without diabetes mellitus n=6780
	selected for analysis if they had a psychiatric diagnosis in the previous 6 months.			

#### Author, year

Country	Effectiveness outcomes
Clozapine vs Conventional	
de Haan, 1999	% of patients experiencing an emergence of increase of obsessions after treatment: C: 20.6% vs other drugs: 1.3%; (P<.01)
Leon, 1979	Mean number of required re-hospitalizations: clozapine: 1.89 vs chlopromazine: 3.52; P<0.01 Average time spent spent in hospital: clozapine: 44.8 days vs chlopromazine: 272.8 days; P<0.05 Average mean time for re-admission: clozapine: 260 days vs chlopromazine: 229
Reid, 1998	M2= period 360-181 days before clozapine; M1=180 days immediately prior to clozapine initiation; P=consecutive 180 day periods beginning 90 days after initiation of clozapine M2(n=383) vs M1(n=383) vs P3(n=383) vs P5(n=299) vs P7(n=101) vs P9(n=29) % of patients requiring hospitalization Days of hospitalization/6 months period 0 day: 19.3 vs 1.7 vs 46.8 vs 60.5 vs 70.3 vs 72.4 16-90 days: 3.0 vs 6.7 vs 2.0 vs 0.7 vs 1.0 vs 3.4 151-180 days: 59.9 vs 67.6 vs 38.8 vs 28.4 vs 21.8 vs 17.2
Wang, 2002 U.S.	NR

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Author, y	ear
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Country	Safety Outcomes	Comments
Clozapine vs Conventio	nal	
de Haan, 1999		
Leon, 1979	NR	
·		
Daid 1000	NR	
Reid, 1998	NR .	
Wang, 2002	Adjusted odds of diabetes mellitus associated with clozapine use: 0.98 (95% CI 0.74-1.31)	Duration of treatment
U.S.	Adjusted odds of DM associated with use of other antipsychotics: 1.13 (95% CI 1.05-1.22)  Adjusted odds of DM associated with specific antipsychotics (95% CI):	and previous treatment with clozapine, prior to
	risperidone 0.90 (0.96-1.18)	the 6-month window of
	chlorpromazine 1.31 (1.09-1.56)	observation were not
	perphenazine 1.34 (1.11-1.62) haloperidol 1.06 (0.96-1.18)	included in the analysis.
	naisponasi nee (0.00 me)	analysis.

		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Clozapine vs. any other					
antipsychotic					
Kane	NR	Prospective	≥ 1 year	NR	Clozapine
1993					CAPD
United States					
Peacock	Naturalistic: St. Hans	Prospective	1 year	NR	Clozapine
1996	Hospital;				CAPD
Denmark	Copenhagen's				
	Municipal Psychiatric				
	Hospitals in Glostrup				
	and Ballerup				
Modai	Database: Sha'as	Unclear	1/91 to 8/97	NR	Clozapine
2000	Menashe Mental				Other psychiatric agents (non-clozapine
Israel	Health Center (Israel)				treated)
Spivak	Naturalistic: Ness-	Prospective	1 year	NR	Clozapine 295 mg
1998	Ziona Mental Health				CAPD (chlorpromazine equivalent) 348.9
Israel	Center				mg
Hayhurst	South Manchester	Retrospective	NR	2 years	Clozapine 425 mg/day
2002	University Hospitals	cohort		,	other antipsychotics: not specified
	NHS Trust				
		Controlled			

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#### Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

		Age	Exposed	Withdrawn
Author, year		Gender	Eligible	Lost to fu
Country	Population	Ethnicity	Selected	Analyzed
Clozapine vs. any other				
antipsychotic				
Kane	Schizophrenia/schizoaffective	Mean age=26.8	NR	NR
1993		62.8% male	NR	NR
United States		Race NR	437 (Clozapine=28, CAPD=409)	437
Peacock	Schizophrenia	Mean age=41.5	NR	42(21%) withdrawn
1996		69.5% male	NR	Lost to fu NR
Denmark		Race NR	200	158 analyzed (clozapine- =82, CAPD=76)
Modai 2000 Israel	Schizophrenia	NR NR NR	NR 5479 5479	NR NR 5479 (Clozapine=561 vs Non-clozapine=4918)
Spivak	Treatment resistant schizophrenia	Mean age=38.3	NR	NR
1998	•	48.3% male	NR	NR
Israel		Race NR	60	60
Hayhurst 2002	Schizophrenia	Mean age: 42.5 y 65.1% male Ethnicity: NR	NR /NR /126	NR/ NR/ 126

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Author, year Country	Effectiveness outcomes
Clozapine vs. any other antipsychotic	
Kane 1993 United States	NR
Peacock 1996 Denmark	NR
Modai 2000 Israel	NR
Spivak 1998 Israel	NR
Hayhurst 2002	Reduction in mean number of admissions between 2y before clozapine and 2y after, clozapine vs. other:  -0.54 vs + 0.25. p <0.01  Reduction in mean length (days) of stay between 2y before cloz. and 2 y after, clozapine vs. other: -33.37 vs -1.35d, p<0.05  % of clozapine users who came off clozapine in 2 years after starting: 44.4% mean reduction in bed-days over 2 yr follow-up period for cloz. users: -33 bed days

Author,	year
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Country	Safety Outcomes	Comments
Clozapine vs. any other		<u> </u>
antipsychotic		
Kane	Tardive dyskinesia	
1993	Clozapine=2 cases	
United States	CAPD=NR	
Peacock		
1996		
Denmark		
Modai	Sudden death=6 (1.07%) vs 14 (0.28%); p<0.01	
2000	Disease-related death=2 (0.35%) vs 86 (1.75%); p<0.05	
Israel	Total death=10 (1.78%) vs 105 (2.13%); NS	
	Suicide	
	2 (0.35%) vs 5 (0.10%); NS	
Spivak	Suicide	
1998	Attempts	
Israel	0 vs 5 (16.7%); p<0.05	
	- · · · · · · · · · · · · · · · · · · ·	
Llovburot	NID	
Hayhurst	NR	
2002		

		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Olanzapine vs. Haloperidol					
Allan, 1998	VA Hudson Valley	Retrospective	≥6 weeks	NR	olanzapine: 5-20 mg
	Health Care System				haloperidol: 4-16 mg

Olanzapine vs Haloperidol vs					
Conventional Antipsychotics					
Dunlop, 2003	Atlanta Veterans	Retrospective	October 1996 -	392.8 days	Olanzapine (mean dose: 10.3 mg (+/-5.9))
United States	Affairs Medical Cente	r	December 2000		Haloperidol
	pharmacy records				Chlorpromazine
					Perphenazine
					Fluphenazine

Final Report Update 1

## Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

		Age	Exposed	Withdrawn
Author, year		Gender	Eligible	Lost to fu
Country	Population	Ethnicity	Selected	Analyzed
Olanzapine vs. Haloperidol				
Allan, 1998	Schizophrenia	Mean age: 53 years 100% Male 69.5% Caucasian 7.5% African American 5.6% Hispanic	NR/NR/53	0/0/53

Olanzapine vs Haloperidol vs Conventional Antipsychotics				
Dunlop, 2003	40.4% schizophrenia	Mean age: 51.6 years	2725	NA
United States	59.6% other	92.9% male	890	NA
		41.7% Caucasian	890	484
		58.3% other		

Author,	year
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Country	Effectiveness outcomes		
Olanzapine vs. Haloperi	dol		
Allan, 1998	Mean PANSS total scores:		
	O: 83.4 vs H: 8.3		
	Mean EPS overall scores:		
	O: 4.8 vs 8.3		

Olanzapine vs Haloperi Conventional Antipsycl			
Dunlop, 2003	NR		
United States			

% patients with plasma glucose ≥200 mg/dL: 6.4% vs 1.7%, p=0.02

% patients >60 years, with plasma glucose ≥160 mg/dL: 21/4% vs 16.1%, p=0.47

Author,	year
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Country	Safety Outcomes	Comments
Olanzapine vs. Haloperidol		
Allan, 1998	Correlation between PANSS negative symptom ratings and EPS measures:	
	olanzapine:	
	EPS/PANSS negative: .25	
	Rigidity/PANSS negative: .08	
	Glabella Tap/PANSS negative: .12	
	Tremor/PANSS negative: .33	
	Salivation/PANSS negative: .45	
	haloperidol:	
	EPS/PANSS negative: .76	
	Rigidity/PANSS negative: .71	
	Glabella Tap/PANSS negative: .52	
	Tremor/PANSS negative: .69	
	Salivation/PANSS negative:11	
Olanzapine vs Haloperidol vs Conventional Antipsychotics		
Dunlop, 2003 United States	All data given as olanzapine vs typical antipsychotics	
	Mean change in glucose levels from baseline to endpoint:	
	+6.3 mg/dL vs +0.9 mg/dL	
	% pts developing at least one plasma glucose ≥160 mg/dL:	
	12.5% (n=39) vs 5.2% (n=9), p=0.01	
	Of the 39 olanzapine pts, 8 had a diabetes diagnosis prior to exposure, 11 had diabetes diag	gnosis after
	exposure, and 20 had never been diagnosed with diabetes	
	Of the 9 typicals patients, 3 had diabetes diagnosis prior to exposure and 6 had not been dia	agnosed with
	diabetes	
	% pts ≤60 years old developing at least 1 one plasma glucose ≥ 160 mg/dL: 10.5% vs 0%, p<0.0001	
	0/ noticete with places a sluces >200 mg/dl + C 40/ + a 4 70/ m = 0.00	

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Author, year Country Olanzapine vs Quetiapine	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose
Gupta, 2004	Olean General Hospital at the SUNY Upstate Medical University at Syracuse	Prospective	NR	10 weeks	quetiapine 4 weeks 392.5 mg/day
Risperidone vs Clozapine					
King 1998 Ireland	Database: Central Services Agency in Northern Ireland/CRMS for clozaril	Unclear	1963 to 1996	NR	Clozapine Risperidone
Conley 1999 United States	Record review: Maryland state psychiatric facilities	Prospective	3/14/94 to 12/31/95	NR	Clozapine Risperidone
Sharif, 2000	Creedmoor Psychiatric Center, Columbia University	Retrospective	12 weeks	4 weeks	clozapine: 520 mg/day risperidone: 7.5 mg/day

Authorom		Age	Exposed	Withdrawn
Author, year	<b>-</b>	Gender	Eligible	Lost to fu
Country	Population	Ethnicity	Selected	Analyzed
Olanzapine vs Quetiapine Gupta, 2004	Schizophrenia, schizoaffective disorder, bipolar disorder, psychotic disorder, or major depression with psychotic features.	Mean age =46.6 years 56% male Ethnicity: NR	NR/NR/16	2/2/NR
Risperidone vs Clozapine				
King 1998 Ireland	unclear	NR NR NR	NR NR NR	NR NR NR
Conley 1999 United States	Schizophrenia	Mean age=40.4 60.5% male Race NR	NR NR 124 (clozapine=49, risperidone=75)	NR NR unclear
Sharif, 2000	Schizophrenia, schizoaffective disorder	Mean age: 35.9 years 54% Male White: 63% Black: 21% Hispanic: 13% Asian: 4%	NR/NR/24	NR/NR/24

Author,	year
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Author, year	
Country	Effectiveness outcomes
Olanzapine vs Quetiapine	
Gupta, 2004	Positive and Negative Syndrome Scale (PANSS): NS Simpson-Angus-Scale (SAS): NS
Risperidone vs Clozapine	
King 1998 Ireland	NR
Conley 1999 United States	NR
Sharif, 2000	Patients classified as responders to treatment: clozapine: 14(58%) vs risperidone: 6(25%) Response rates: Positive symptoms: clozapine: 38% vs risperidone: 17% Negative symptoms: clozapine: 29% vs risperidone: 8% Aggressive symptoms: clozapine: 71% vs risperidone: 41%

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Author,	year
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Country	Safety Outcomes	Comments
Olanzapine vs Quetiapine		
Gupta, 2004	mean weight loss=2.25kg, p=0.03 BMI declined to 34.4kg/m2, p=0.065 fasting glucose, lipid profile, hemoglobin A1c, serum triglycerides: NS	Patients switched from olanzapine to quetiapine
Risperidone vs Clozapine	9	
King 1998 Ireland	Agranulocytosis Cases/Fatal cases Clozapine=91/2 Risperidone=0	
Conley 1999 United States	Hospitalization Readmission rates (% patients) Year 1=13% vs 17%; p=NS Year 2=13% vs 34%; p=NS Mean time to readmission(days)=360 vs 319	
Sharif, 2000	Response rates: Clinical Global Impressions-Improvement scores <2: Global rating: R: 25% vs C: 58% Positive symptoms: R: 17% vs C: 38% Negative symptoms: R: 8% vs C: 29% Aggressivity: R: 41% vs C: 71%	

Author, year Country Risperidone vs Clozapine vs	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose
Conventionals Hennessy, 2002	3 US Medicaid programmes	Retrospective	NR	NR	Quarter 1, Quarter 2, Quarter 3, Quarter 4 clozapine: <243, 243-385, 386-543, >543 risperidone: <2.8, 2.8-5.0, 5.1-6.5, >6.5 haloperidol: <3.5, 3.5-7.5, 7.6-15.0, >15.0 thioridazine: <51, 51-102, 103-204, >204
Miller, 1998	Innsbruck University Clinics, Austria	Retrospective	≥3 months	NR	clozapine: 425.6 mg/day risperidone: 4.7 mg/day conventional antipsychotics: 476.5 mg/day
Risperidone vs Halperidol					
Chouinard, 1997	Canadian multicenter risperidone trial	Retrospective	8 weeks	NA	risperidone: 2,6,10, 16 mg/day haloperidol: 20 mg/day placebo 8 week study
Jeste 1999 United States	Naturalistic: outpatient psychiatric clinic	Prospective	Varied: 9 months to 9 years. Subjects were matched on age, diagnosis, and length of neuroleptic-exposure at study entry.	9 months	Risperidone 1.0 mg/day (median) Haloperidol 1.0 mg/day (median)

Author, year		Age Gender	Exposed Eligible	Withdrawn Lost to fu
Country	Population	Ethnicity	Selected	Analyzed
Risperidone vs Clozapine vs	P	•		
Conventionals				
Hennessy, 2002	Schizophrenia, control group of patients with psoriasis	71.5% over 34 yrs of age 54% Female Ethnicity NR	NR/NR/NR	NR/NR/NR
Miller, 1998	Schizophrenia, schizoaffective disorder, personality disorder, paranoid subtype	Mean age: 36.6 years 57.5% Male White: 71.7% Black: 2.6% Hispanic: 3.8% Asian: 1.9%	NR/NR/NR	0/0/106
Risperidone vs Halperidol				
Chouinard, 1997	N= 135	Mean age: 37 years	135/ 130/ 65	NR/ NR/ 65 (pts in
	Schizophrenic symptomatology: mild: 43 moderate: 60	71.5% male		risperidone 6 mg, halperidol, and placebo groups)
	severe: 27	Ethnicity: NR		3 - 1 - 7
Jeste 1999 United States	36% schizophrenia 17% mood disorder 21% dementia 10% other organic mental syndromes 16% miscellaneous diagnoses	Mean age 66 73% male 82% white	450/276/122 Risperidone n=61 Haloperidol n=61	NR NR 122 analyzed

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Author, year	
Country	Effectiveness outcomes
Risperidone vs Clozapine vs	
Conventionals	
Hennessy, 2002	Adjusted rate ratios; 95% Cis
	Patients with glaucoma: cardiac arrest/ventricular arhythmia; death:
	clozapine: 1.7 (1.0-2.9); 3.4 (2.1-5.5)
	haloperidol: 2.2 (1.7-3.0); 4.5 (3.6-5.7)
	risperidone: 3.1 (2.2-4.5); 5.8 (4.3-8.0)
	thioridazine: 2.2 (1.6-3.); 4.0 (3.1-5.2)
	Patients with psoriasis: cardiac arrest/ventricular arhythmia; death:
	clozapine: 1.9 (1.0-3.7); 2.6 (1.5-4.5)
	haloperidol: 2.4 (1.5-3.9); 3.2 (2.2-4.8)
	risperidone: 3.2 (1.9-5.4); 4.1 (2.7-6.4)
	thioridazine: 2.4 (1.4-3.9); 2.9 (2.0-4.4)
Miller, 1998	Simpson-Angus Scale scores:
	Akinesia>0: C: 17.1% vs R: 30.4% vs Conventionals: 38.1%
	Arm dropping>0: C: 12.2% vs R: 30.4% vs Conventionals: 35.4%
	Gait>0: C: 4.9% vs R: 21.7% vs Conventionals: 23.8%
	Salivation>0: C: 36.6% vs R: 8.7 vs Conventionals: 4.8%
	Tremor>0: C: 19.5 vs R: 21.7% vs Conventionals: 40.5%
Risperidone vs Halperidol	
Chouinard, 1997	In analysis that compared only risperidone 6 mg (n=22) to halperidol (n=21) and placebo (n=22), risperidone superior to placebo: mean 26-point decrease in total PANSS score; p<0.038
Jeste	NR
1999	
United States	

Author, year		
Country	Safety Outcomes	Comments
Risperidone vs Clozapine vs		
Conventionals		
Hennessy, 2002	Those with treated schizophrenia has higher rates of cardiac arrest and ventricular arrhythmia over those non-treated: ratio: 1.7-3.2	
Miller, 1998	Point prevalence of Akathisia: C: 7.3% vs R: 13% vs Conventionals: 23.8% Point prevalence of Rigidity: C: 4.9% vs R: 17.4% vs Conventionals: 35.7% Point prevalence of Cogwheeling: C: 2.4% vs R: 17.4% vs Conventionals: 26.2%	
Risperidone vs Halperidol		
Chouinard, 1997	NR	
Jeste 1999 United States	Risperidone vs haloperidol, cumulative incidence of TD after 9 months: 5 vs 30% (p=0.045)  Univariate Cox regression: RR for tardive kinesia was 4.12 times higher with haloperidol than risperidone (95% 2.52-5.72)	Median dose for each drug was below respective maintenance ranges.

chlopromazine: 63 mg/day

#### Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

Author, year Country	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose
Nightengale, 1998	a large psychiatric private group practice	Retrospective Cohort	June 1994 to Nov. 1996	6 months minimum (up to 40 months)	Risperidone: mean daily dose: 4.88 mg Halperidol: mean daily dose: 9.61 mg
				Mean follow-up, risp vs hal: 17.2 mos vs 16.0 mos, p=0.6085	
Soyka, 2004	Psychiatric Hospital of the University of	Prospective	Current hospitalization time	NR	Average dose /d Risperidone: 4.6 mg/d
inpatients)	Munich		(weeks), risperidone	•	Halperidol: 10.4 mg/d
	Non-randomized, comparative		6.8 vs 6.2 weeks		
Risperidone vs Halperidol vs Conventional antipsychotics					
Schillevoort, 2001b	PHARMO-database	Retrospective	90 days	NR	Median doses risperidone: 2.0 mg/day haloperidol: 2.2 mg/day zuclopenthixol: 6.0 mg/day perphenazine: 5.3 mg/day thioridazine: 48 mg/day pipamperone: 40 mg/day
					F-FF-3-0

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Author, year		Age Gender	Exposed Eligible	Withdrawn Lost to fu
Country	Population	Ethnicity	Selected	Analyzed
Nightengale, 1998	Schizophrenia, schizoaffective disorder, major depressive disorder with psychotic features	Mean age: 52.0y 36.5% male Ethnicity: NR	NR / 60 / 60	9 /NR /52
Soyka, 2004	Schizophrenia or schizoaffective	Mean age: 32.95y	NR/ NR/ 59	NR/ NR / 59
(inpatients)	disorder	67.5% male Ethnicity: NR		
Risperidone vs Halperidol vs				
Conventional antipsychotics				
Schillevoort, 2001b	Schizophrenia	Mean age: 36 years 45.9% Male Ethnicity NR	450,000/4094/4094	0/0/4094

Author, year	
Country	Effectiveness outcomes
Nightengale, 1998	Mean monthly physician visits, risperidone vs hal: 0.441 vs 0.244 and total visits: 193 vs 91, p=0.0005
	Mean monthly hospital visits, risperidone vs hal: 0.023 vs 0.084,
	Total hospital visits: 6 visits vs 14 visits, p=0.004
	Total hospital days: 119 days vs 385 days
	Mean hospital inpatient length of stay, risperidone vs hal: 19.83 d vs 16.64 d, p = 0.5827
	Mean monthly day hospital visits: 0.030 vs 0.003, p = NA
	Total day hospital visits, risperidone vs hal: 7 admissions vs 1 admission, p = NA
Soyka, 2004	<u>Driving ability tests (all subjects had licences), risperidone vs halperidol vs control:</u> Psychomotor test performance (no p-values given):
(inpatients)	passed: 35% vs 5% vs 85%
	low performance: 40% vs 35% vs 15%
	very low performance: 25% vs 60% vs 0%
	Number of pts who failed in each test, risperidone vs halperidol vs control:
	PVT (peripheral vision test with tracking task, incl. reaction time): 5 vs 13 vs 0
	TT15 (tachistoscope test, abililty to quickly extract relevant info):1 vs 4 vs 0
	Q1 (attention test under a monotonous condition): 7 vs 11 vs 2
	RST3 (reactive stress tolerance test): 11 vs 16 vs 1
	Mean BPRS at examination: risperidone=28 vs haloperidol=27.4 (p=NS)
Risperidone vs Halperidol vs	
Conventional antipsychotics	
Schillevoort, 2001b	NR
JUI IIII EVUUIL, 200 ID	INIX

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Author,	year
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Country	Safety Outcomes	Comments
Nightengale, 1998	NR	
Soyka, 2004	NR	Tests are relevant to
		the German Road
(inpatients)		Traffic Safety Board.

#### Risperidone vs Halperidol vs Conventional antipsychotics

Schillevoort, 2001b Crude relative risk for anticholinergic medication (95% CI):

risperidone vs haloperidol: 0.44 (0.20, 1.01) risperidone vs zuclopenthixol: 0.49 (0.21, 1.13) risperidone vs perphenazine: 1.92 (0.74, 5.01) risperidone vs thioidazine: 3.12 (1.21, 8.04) risperidone vs pipamperone: 4.25 (1.54, 11.72) risperidone vs chlopromazine: 2.97 (0.35, 24.97)

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Author, year Country	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose
Risperidone vs Typical Antipsychotics					
Caracci, 1999 (inpatients)	Inpatient unit randomized	Prospective	NR	risperidone vs. typical antipsychotics: 126d vs 128d	Risperidone dosage in chlorpromazine equivalents: 214 mg Typical antipsychotics dosage in chlorpromazine equivalents: 256 mg
Buckley, 1997	South campus Hospital of Northcoast Behavioral Healthcare System (a state facility), inpatients		Data for seclusion and restraint (S&R) examined 6 months prior to giving risperidone (retrospective part) and then 6 months after giving risperidone	NR	Risperidone (n=15): 6.8 mg (mean dose)  Conventional antipsychotics (n=12): 1295 mg (of chloropromazine equivalent)
Beck 1997 inmates	Patients hospitalized at 3 forensic treatment wards at a state mental hospital	Prospective		6 months after attaining the risp 6 mg/d dose	Risperidone (n=10) min dose 6 mg/d Conventional antipsychotics (n=10) (the "Control Group")
Javitt, 2002	Integrated Research Database, Nathan Kline Institute, NY	Retrospective	1994-1996	12 months	risperidone(N=3259): 7.2 mg/day both clozapine and typical antipsychotics (N=3259): NR

Author, year		Age Gender	Exposed Eligible	Withdrawn Lost to fu
Country	Population	Ethnicity	Selected	Analyzed
Risperidone vs Typical Antipsychotics				
Caracci, 1999 (inpatients)	Schizophrenia, schizoaffective disorder, bipolar, major depression with psychotic features, borderline personality disorder in each group.	Mean age, risp. vs. other: 37 vs 41y, p=0.046	NR/ NR/ 40	NR/ NR/ 40
Buckley, 1997	Risperidone: 80% schizophrenia; 20% schizoaffective disorder Conventional antipsychotics: 75% schizophrenia; 25% other	Risperidone pts (n=15): mean age: 42y 80% male Ethnicity: NR  Conventional antipsychotic pts (n=12): mean age: 45 y 50% male Ethnicity: NR	NR/ NR/ 27	NR/ NR/ 27
Beck 1997 inmates	Risperidone: 70% schizophrenia; 30% schizoaffective disorder Conventional antipsychotics: 60% schizophrenia; 40% schizoaffective disorder	Mean age: 40 years 100% male 50% white 50% black	NR/ NR/ 20	NR/ NR/ 20
Javitt, 2002	Schizophrenia or schizoaffective disorder	Mean age: 39.1 years 60% male Ethnicity NR	5457/3000/1138	NR/NR/1138

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Author, year	
Country	Effectiveness outcomes
Risperidone vs Typical Antipsychotics	
Caracci, 1999 (inpatients)	NR
Buckley, 1997	All data given as risperidone (n=15) vs conventional (n=12) group  Hours of S&R during 6m prior to risperidone treatment: 50.2h vs 79.4h  Hours of S&R over 6m of risperidone treatment: 25.5h vs 33.2h  Difference between S&R prior to and during risperidone treatment: -24.7h vs -46.2h  (a repeated measures ANOVA of S&R reduction showed a significant time effect p=0.007)
	"No evidence of superiority in S&R reduction between either treatment group"
Beck 1997 inmates	Adaptive behaviors measured by the Interpersonal Interaction Index deteriorated with time for the Risperidone group; no such effects were noted in the control group
	Neither the risperidone nor the control group changes significantly in terms of aggression levels during the terms of the study, nor did the groups differ significantly when compared with one another at any point in the study.
Javitt, 2002	Admission group: Time to discharge: R: 72 days vs C: 53 days Time to discontinuation: R: 51.1 days vs C: 51.8 days Switch group: Time to discharge: R: 91.7 days va 58.8 days Time to discontinuation: R: 98.5 days vs C: 77.5 days

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Author, year Country	Safety Outcomes	Comments
Risperidone vs Typical Antipsychotics		
Caracci, 1999 (inpatients)	Mean prolactin levels, risperidone vs halperidol: 102 mcg/L vs 48 mcg/L, p = 0.00001	
Buckley, 1997	NR	
Beck 1997 inmates	Bizzare Motor higher order scores decreased over time (ie, patients improved) for both groups, p<0.0078 for time comparisons (no between-group comparisons data given)	
Javitt, 2002	NR	

Author, year Country	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose
Risperidone vs Olanzapine					
Caro 2002 Quebec	Database: Regie de l'Assurance Maladie du Quebec	Retrospective	1/1/97 to 12/31/99	NR	Olanzapine Risperidone
Dinakar, 2002	Rockland Psychiatric Center, NY	Retrospective	3 months	NR	at Endpoint: olanzapine: 52.75 risperidone: 52.53

Final Report Update 1 Drug Effectiveness Review Project

### Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

Author, year		Age Gender	Exposed Eligible	Withdrawn Lost to fu
Country	Population	Ethnicity	Selected	Analyzed
Risperidone vs Olanzapine	)			
Caro	Psychotic disorders	Mean age NR	NR	NR
2002	≥ 1 prescription for olanzapine or	47.2% male	34,692	NR
Quebec	risperidone	Race NR	33,946	33,946
			Olanzapine=19,153	
			Risperidone=14,793	
Dinakar, 2002	Schizophrenia	Mean age: 55.5 years Gender and Ethnicity NR	NR/79/79	0/0/79

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Country Effectiveness outcomes

Risperidone vs Olanzapine

Caro 2002 Quebec

Dinakar, 2002 BPRS scores: baseline vs endpoint

O: 67.03 vs 52.75 R: 62.70 vs 52.53

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#### Author, year

Country	Safety Outcomes	Comments
Risperidone vs Olanzaj	pine	
Caro	Diabetes	
2002	Olanzapine=319/17	
Quebec	Risperidone=217/16	
	p=0.43	
	(Cases/rate per 1000 patient years)	
Dinakar 2000	ND	
Dinakar, 2002	NR	

		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Ho, 1999	Mental Health Clinical	Retrospective	4 weeks	6 months	risperidone 6.0 mg/day (N=21)
	Research Center,				olanzapine 13.7 mg/day (N=21)
	University of Iowa				

de Haan, 2002 Academic Medical Prospective 6 weeks NR olanzapine(N=39): 14.2mg
Center, University of
Amsterdam

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Author, year		Age Gender	Exposed Eligible	Withdrawn Lost to fu
Country	Population	Ethnicity	Selected	Analyzed
Ho, 1999	Schizophrenia	Mean age: 31.5 years 76.2% male Ethnicity NR	NR/NR/42	NR/NR/26

de Haan, 2002 N=113 Mean age: 22.4 years NR/113/113 NR/NR/62

Schizophrenia, 15% OCD disorder, drug class naïve

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# Author, year Country

#### **Effectiveness outcomes**

Ho, 1999

olanzapine vs risperidone, change from baseline, p value

At discharge Symptom score:

negative symptom dimension:  $-2.8(0.76)^*$  vs  $-1.8(0.61)^*$ , p=0.49 psychotic symptom dimension:  $-1.3(0.55)^*$  vs  $-1.9(0.53)^*$ , p=0.82 disorganized symptom dimension:  $-1.8(0.68)^*$  vs  $-2.1(0.77)^*$ , p=0.68

Total SANS/SAPS: -5.8(1.58)\* vs -5.9(1.46)\*, p=0.69 Total BPRS: -9.0(2.91)\* vs -6.5(2.47)\*, p=0.14 GAS score: 8.9(2.18)\* vs 6.2(1.4)\*, p=0.09 (\*p<0.05 vs baseline, within group comparison)

At follow-up Symptom score:

negative symptom dimension: -1.5(0.94) vs -1.5(1.18), p=0.84 psychotic symptom dimension:  $-1.4(0.5)^*$  vs  $-3.9(0.64)^*$ , p=0.03 disorganized symptom dimension: -0.8(0.7) vs  $-3.2(1.1)^*$ , p=0.36

Total SANS/SAPS: -3.7(1.23)\* vs -8.6(2.39)\*, p=0.3

GAS score: 8.8(4.01)\* vs 13.9(2.43)\*, p=0.52

Quality of life scores:

occupational impairment: -0.5(0.43) vs 0.5(0.27), p=0.06 financial dependence: 0.7(0.27) vs 0.7(0.26), p=0.49

impairment in performance of household duties:- $0.7(0.24)^*$  vs -0.6(0.4), p=0.91 relationship impairment with family member: -0.01(0.27) vs -0.4(0.2), p=0.27

relationship impairment with friends: -0.4(0.29) vs -0.2(0.25), p=0.37 enjoyment of recreational activities: -0.8(0.36) vs -0.3(0.38), p=0.77

satisfaction: -0.5(0.22) vs -0.8(0.30), p=0.67

overall psychosocial functioning:-0.7(0.31) vs -1.15(0.22)\*, p=0.24

(\*p<0.05 vs baseline, within group comparison)

de Haan, 2002 Yale-Brown Obsessive Compulsive Scale (YBOCS) Mean Scores:

At Admission: R: 2.4 vs O: 2.4

At Endpoint (6 weeks): R: 2.2 vs O: 1.9

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Author,	year
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Country	Safety Outcomes	Comments
Ho, 1999	EPS at discharge:	
	SAS: 0(0.19), 0.4(0.56), p=0.31	
	BAS: -0.1(0.15) vs 0.6(0.20)*, p=0.001	
	(*p<0.05 vs baseline, within group comparison)	

NR

de Haan, 2002

Author, year Country	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose
Ganguli, 2001	Multiple sources	Retrospective	4 months	NR	NR
Kasper, 2001	Riverview Hospital , British Columbia	Retrospective	4 months	NR	risperidone (N=30): 4.89 mg/day vs olanzapine (N=30): 17.19 mg/day
Lucey, 2003	Irish Risperidone Olanzapine Drug Outcomes in Schizophrenia	Retrospective	Mean duration: 37 40.5 days	8- NR	risperidone: 4.2 mg/day olanzapine: 12.9 mg/day

Author, year Country	Population	Age Gender Ethnicity	Exposed Eligible Selected	Withdrawn Lost to fu Analyzed	
Ganguli, 2001	Schizophrenia	Mean age: 41.3 years 56.5 Males Caucasian: 57% African-American:38% Other: 5%	NR/NR/100	0/0/100	
Kasper, 2001	Aged 18-60, schizophrenia- types:paranoid, schizoaffective disorder, Bipolar affective disorder undifferentiated	Mean Age: 35.7 years Male: 62% , Ethnicity: NR	NR/NR/60	NR/NR/37	
Lucey, 2003	Schizophrenia, schizoaffective disorder	Mean age: 37 years 55.5% Male Ethnicity NR	NR/396/394	0/0/396	

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#### Author, year

Country	Effectiveness outcomes
Ganguli, 2001	NR

Kasper, 2001 Percentage of Patients Discharged on Original Therapy:

R: 40% vs O: 13.3%; P<0.05

Treatment success: R: 40% vs O: 27%; P<0.01

Switched due to lack of efficacy: R: 37% vs O: 57%; P=NS Switched due to side effects: R: 10% vs O: 63%; P<0.05

Lucey, 2003 Hospitali Stay:

% discharged on or before day 120:

R 95% vs O 94% (NS) Mean legth of study duration: O 30 days vs R 26 day (p=0.27) Duration of hospital stay: O 40.5 vs R 37.8 (p=0.90)

Distribution function curve of time to discharge:

'similar', p = 0.0.54

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#### Author, year

Country	Safety Outcomes	Comments
Ganguli, 2001	Change in Mean Body Weight/BMI at Endpoint:	
	Weight:	
	risperidone: 82.8kg, P=NS	
	olanzapine:	
	BMI:	
	risperidone:	
	olanzapine:	
Kasper, 2001	Treatment-emergent side effects:	
1 /	Total # of patients with side effects: R: 43.3% vs O: 40%	
	EPS symptoms: 6/30 (20%)	
	Akathisia: R: 5 vs O: 1	
	Stiffness: R: 2 vs O: 0	
	Tremor: R: 2 vs O: 1	
	Parkinsonism: R: 1 vs O: 0	
	Agitation: R: 1 vs O: 5	
	Increased prolactin level: R: 0 vs O: 1	
	Blurred vision: R: 0 vs O: 1	
	Increased salivation: R: 0 vs O: 1	
	Anxiety: R: 1 vs O: 0	
	Sedation: R: 5 vs O: 3	
	Hypotension: R: 2 vs O: 0	
	Dizziness: R: 1 vs O: 1	
	Weight Gain: R: 1 vs O: 1	
	Difficulty swallowing: 0:1 vs R: 0	
	Sexual dysfunction: O: 1 vs O: 0	
Lucey, 2003	NR	

Author, year Country Madhusoodanan, 1999	Data Source St. John's Episcopal	Prospective Retrospective Unclear Retrospective	Exposure Period 4 months	Mean duration of follow-up	Interventions Mean dose Mean daily doses:
Madriusoodariari, 1999	Hospital	Renospective	4 months	IVIX	risperidone(N=114): 3mg olanzapine(N=37): 10mg
Meyer, 2002	Oregon State Hospita	I Retrospective	12 months		risperidone (N=47): 4.5 mg/day olanzapine (N=47): 16.7 mg/day
Procyshyn, 1998	61 centres in 9 countries	Retrospective	6 weeks	NR	Mean Doses: risperidone: 5.3mg/day vs olanzapine: 14.5mg/day
Snaterse, 2000	Alberta Hospital Edmonton	Retrospective	12 months	12 months	risperidone(N=35): 4.17 mg/day olanzapine(N=21): 15.24 mg/day
Taylor, 2003	U.K. Risperidone Olanzapine Drug Outcomes Studies in Schizophrenia program (RODOS- UK)	Retrospective	4 months	NR	risperidone: 5.5 <u>+</u> 2.4 mg/day olanzapine: 14.1 <u>+</u> 4.7 mg/day
Verma, 2001	Houston VA Medical Center	Retrospective	Average: 25 days	NR	risperidone: 2.2 mg olanzapine: 13.2 mg

Author, year		Age Gender	Exposed Eligible	Withdrawn Lost to fu
Country	Population	Ethnicity	Selected	Analyzed
Madhusoodanan, 1999	schizophrenia, schizoaffective disorder, dementia, bipolar disorder, major depressive w/psychotic features, delusional disorder	Mean age: 71 years 60.5% Female Ethncity NR	NR/NR/151	22%/NR/151
Meyer, 2002	Schizophrenia, schizoaffective disorder	Mean age:44.5 years 41% 87% Male Ethnicity NR	NR/396/394	
Procyshyn, 1998	Aged ≤ 65 years, schizophrenia or schizoaffective disorder, discharged from hospital or ≥120 days follow-up in hospital, Types of Schizophrenia: catatonic, disorganized, paranoid, undifferentiated, residual, schizoaffective disease, other schizophrenia	Mean Age: 37 years 57.5% Male Ethnicity NR	2339/1901/1345 Risperidone: N=924, Olanzapine: N=977	300/0/1345
Snaterse, 2000	Schizophrenia, schizoaffective disorder	Mean age: 38.8 years 40.5% Female Ethnicity NR	NR/NR/56	NR/NR/56
Taylor, 2003	Schizophrenia, schizoaffective disorder	Mean age: 36.2 years 68.5% male Ethnicity NR	NR/NR/501	NR/NR/499
Verma, 2001	Schizophrenia	Mean age: 71.4 years 100% male 71% caucasian, 23% african- american, 6% hispanic	NR/NR/NR	NR/NR/34

#### Author, year

Country	Effectiveness outcomes
Madhusoodanan, 1999	% of patients who responded to treatment: R: 78% vs O: 75%
	CGI scores:
	Very much/much improved: R: 78% vs O: 75%
	Minimally improved: R: 56% vs O: 24%
	No change: R: 20% vs O: 8%
Meyer, 2002	Fasting triglyceride levels at one year: R: mean increase of 29.7 mg/dL vs O: 88.2 mg/dL Weight increases at one year: R: 11.7-13.9lb vs O: 15.0-26.0lb
Procyshyn, 1998	

Snaterse, 2000	Time to initial response:	
	R: 14.3 days vs O: 30.9 days; P<0.00001	
	Time to discharge:	
	R: 36.6 days vs 58.2 days; P=0.0201	
Taylor, 2003	% of effectiveness:	
	R: 78% vs O: 74%; P=.39	
	Mean time to onset of effectiveness:	
	R: 17.6 days vs O: 22.4 days; P=.01	
	Mean days in hospitalization:	
	R: 58 days vs R: 49 days; P=.007	
Verma, 2001	Changes in scores at discharge:	
•	Positive and negative symptoms (PANSS): R: 56.90 vs O: 59.0; P=0.735	
	Extrapyramidal side-effect rating scale (ESRS): R: 23.46 vs O: 20.54; P=0.557	
	Rating scale for side effects (RRSE): R: 8.14 vs O: 7.71; P=0.817	
	, , ,	

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#### Author, year

Country	Safety Outcomes	Comments
Madhusoodanan, 1999	Adverse events reported: R: 20%; EPS, tremor, sedation, hypotension, diarrhea, tardive dyskinesia, chest pain, anxiety, restlessness, itching, insomnia and fall O: 16%; sedation, EPS, postural hypotension	
Meyer, 2002	Triglycerides: O: + 104.8 mg/dL vs R: +31.7 mg/dL (P=.037) Cholestrol: O: +30.7 mg/dL vs R: +7.2 mg/dL (P=.004) Glucose: O: +10.8 mg/dL vs R: +0.74 mg/dL (P=.030)	
Procyshyn, 1998	Number of Patients Discontinued: Due to Side Effects: R: 36(4%) vs O: 23(2%); P=0.70	
	Number of patients who experienced AE: R: 123(13%) vs O: 109(11%); P=0.20 Body as a whole: R: 8(0.9%) vs O: 13(1.3%); P=0.30 Central and peripheral nervous system: R: 73(7.9%) vs O: 56(5.7); P=0.06 Psychiatric: R: 45(4.9%) vs O: 40(4.1); P=0.40 Gastrointestinal: R: 21(2.3%) vs O: 13(1.3%); P=0.10 Metabolic and nutritional: R: 1(0.1%) vs O: 17(1.7%); P=0.04 Others: 27(2.9%) vs O: 17(1.7%);	
Snaterse, 2000	Re-admission rate at 12 months: R: 31.4% vs O: 61.9%; P=0.026	
Taylor, 2003	% of patients discontinued due to side effects: R: 3.7% vs O: 2.3% Events reported: body as a whole, central/peripheral nervous system, psychiatric, gastrointestinal, metabolic/nutritional, heart rate/rhythms	
Verma, 2001	NR	

		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Zhao, 2002	IMS Health Lifelink:	Retrospective	Average: 181-217	NR	risperidone(N=985): 4.02 mg
	Integrated Claims Solutions		days		olanzapine(N=348): 10.49 mg
Risperidone vs Olanzapine vs					
Clozapine					
Barak, 2004	Abarbamel Mental Health Center, Bat- Yam	Retrospective	January 1998 to December 2002	5 years	clozapine 445mg for 575 days olanzapine 17.8mg for 492 days risperidone 4.6mg for 466 days
Hedenmalm, 2002	WHO database	Retrospective	Median treatment duration: R: 13 days, C: 52 days, O: 115 days	NR	risperidone clozapine olanzapine
·					
Risperidone vs Olanzapine vs Quetiapine					
McIntyre	Naturalistic: 32	Prospective	June 1999 and	Olanzapine=333	Olanzapine 14.7 mg
2003	university and		November 2000	Quetiapine=324	Quetiapine=324mg
Canada	community sites across Canada			Risperidone=280 (days)	Risperidone=3.5 mg
Canadian National Outcomes Measurement Study in Schizophrenia (CNOMSS)					

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Author, year Country	Population	Age Gender Ethnicity	Exposed Eligible Selected	Withdrawn Lost to fu Analyzed
Zhao, 2002	Schizophrenia	Mean age: 48.6 years 53.5% male Ethnicity NR	NR/NR/1333	0/0/1333
Risperidone vs Olanzapine vs Clozapine				
Barak, 2004	Schizophrenia or schizoaffective disorder with attempted suicide in the 4 weeks preceding admissions	Mean age=39.1 years 84.7% male Ethnicity: NR	68000/4486/378	NR/NR/378
Hedenmalm, 2002	Schizophrenia	NR NR NR	NR/NR/868	0/0/868
Risperidone vs Olanzapine vs				
Quetiapine				
McIntyre	Consecutive outpatients with	Mean age=36.8	NR	NR
2003 Canada	schizophrenia, schizophreniform disorder, schizoaffective disorder, or psychosis NOS	67.9% male Race NR	NR 243 (Olanzapine=109, Quetiapine=23,	NR 243 analyzed
Canadian National Outcomes Measurement Study in Schizophrenia (CNOMSS)	F-7		Risperidone=111)	

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Author, year	
Country	Effectiveness outcomes
Zhao, 2002	Average days of treatment:
	O: 217 vs R: 181; P<.0001
Risperidone vs Olanzapine vs	
Clozapine	
Barak, 2004	NR
Hedenmalm, 2002	NR
110001111011111, 2002	
Risperidone vs Olanzapine vs Quetiapine	
McIntyre	NR
2003	
Canada	
Canadian National Outcomes	
Measurement Study in	
Schizophrenia (CNOMSS)	

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Author, year

 Country
 Safety Outcomes
 Comments

 Zhao, 2002
 NR

Risperidone vs Olanzapine vs

Clozapine

Barak, 2004 suicide group vs control group

exposed to second generation antipsychotics: 16% vs 37%, p=0.0001

protective effect: OR (p, 95% CI) overall: 3.54 (p=NR, 2.4-5.3) risperidone: 3.16 (p=0.001, 1.9-5.3) olanzapine: 1.76 (p=0.02, 1.2-3.3)

Hedenmalm, 2002 74% of cases of discontinuation, glucose tolerance improved after discontinuation. After rechallenge (N=24),

following resulted in recurrence of glucose intolerance: clozapine: 18, olanzapine: 5, risperidone: 1

Risperidone vs Olanzapine vs

Quetiapine

McIntyreMean weight gain (kg)2003Olanzapine=3.72CanadaQuetiapine=7.55Risperidone=1.62≥ 7% weight gain (% pts)Measurement Study inOlanzapine=24.1%Schizophrenia (CNOMSS)Quetiapine=55.6%

Quetiapine vs risperidone=OR 3.62, 95% CI 1.02 to 12.83

≥ 10% weight gain (% pts) Olanzapine=18.5% Quetiapine=38.9% Risperidone=13.2%

Risperidone=23.7%

Quetiapine vs risperidone=OR 3.91; 95% CI 1.02 to 15.08

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Author, year Country Etminan	Data Source Database: Ontario	Prospective Retrospective Unclear Unclear	Exposure Period NR	Mean duration of follow-up	Interventions Mean dose
2003 Ontario	Drug Benefit (ODB) claims database	Oncieal	INC	INK	Olanzapine Quetiapine Risperidone
Risperidone vs Olanzapine vs Quetiapine vs Haloperidol					
Bobes, 2003	University of Oviedo, Spain, Pfizer Laboratories	Retrospective	≥4 weeks	NR	haloperidol: 10.6 mg/day, olanzapine: 2.4 mg/day, quetiapine: 360.5 mg/day, risperidone: 5.3 mg/day
Risperidone vs Olanzapine vs Quetiapine vs Conventionals					
Gianfrancesco 2003a United States	Database: Blue Cross/Blue Shield claims database	Retrospective	April 1997 through October 2000	Risperidone=9.1 months Olanzapine=8.7 months Quetiapine=7.1 months Conventionals=12.1 months	Risperidone Olanzapine Quetiapine Conventionals
					Mean doses NR

Author, year Country	Population	Age Gender Ethnicity	Exposed Eligible Selected	Withdrawn Lost to fu Analyzed
Etminan 2003 Ontario	Schizophrenia	Mean age=84.2 34.2% male Race NR	NR NR 3250	NR NR 2984 (individual group n's NR)
Risperidone vs Olanzapine vs Quetiapine vs Haloperidol				
Bobes, 2003	Schizophrenia	Mean age: 36.3 years 59.3% Male Ethnicity NR	NR/669/636	NR/NR/633
Risperidone vs Olanzapine vs Quetiapine vs Conventionals				
Gianfrancesco 2003a United States	Schizophrenia=14% Bipolar and manic=35%, Major depressive=38%, Other psychoses=13%	Mean age=37.5 41% male Race NR	NR NR 6582 patients Treatment episodes: Risperidone=2860, Olanzapine=2703, Quetiapine=922, Conventional antipsychotics=2756	NR NR Analyzed=6582 patients (Treatment episodes: Risperidone=2860, Olanzapine=2703, Quetiapine=922, Conventional antipsychotics=2756)

Author, year	
Country	Effectiveness outcomes
Etminan	NR
2003	
Ontario	
Risperidone vs Olanzapine vs	
Quetiapine vs Haloperidol	
Bobes, 2003	NR
Risperidone vs Olanzapine vs	
Quetiapine vs Conventionals	
	ND
Gianfrancesco	NR
2003a	
United States	

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Auth	or,	year
_		

Country	Safety Outcomes	Comments
Etminan	Diabetes	Age - older adults
2003	Diabetic events (% patients):	
Ontario	Olanzapine=2.1	
	Quetiapine=1.0	
	risperidone	
	2.1	
Risperidone vs Olanzapine vs		
Quetiapine vs Haloperidol		
Bobes, 2003	Weight gain listed as adverse reaction:	_
	olanzapine: 74.5%, risperidone: 53.4%, haloperidol: 40%	
	Clinically significant weight gain (>7% increase from baseline):	
	olanzapine: 45.7%, risperidone: 30.6%, haloperidol: 22.4%	
Risperidone vs Olanzapine vs Quetiapine vs Conventionals		
Gianfrancesco	Frequency of Type II Diabetes at 4-8 months/8-12 months/>12 months:	
2003a	Risperidone=0.2/0.0/0.6	
United States	Olanzapine=0.2/1.3/3.0	
Office States	Quetiapine=0.5/1.2/0.9	
	Conventional=0.0/1.9/1.4	
	Outvertitorial=0.0/1.3/1.4	
	One-month odds ratios (95% CI) converted to 12-months for each drug vs no antipsychotic treatment:	
	Risperidone=0.660 (0.311 to 1.408)	
	Olanzapine=1.426 (1.046 to 1.955)	
	Quetiapine=0.976 (0.422-2.271)	
	Conventionals=1.049 (0.688-1.613)	

		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Risperidone vs Olanzapine vs					
Haloperidol					
Fuller 2003 Ohio	Database: Veteran's Integrated Service Network 10	Retrospective	1/1/97 to 12/31/00	NR	Risperidone 2.8 mg Olanzapine 10.0 mg Fluphenazine 12.2 mg Haloperidol 8.4 mg
Garcia-Cabeza 2003 Spain	Multicenter Controlled	see above	see above	NR	Overall mean dose: Olanzapine: 13 mg/d Risperidone: 5.4 mg/d Haloperidol: 13.6 mg/d
Subjective Response Analysis from Estudio Farmacoepidemiologico el la Esquizofrenia con Olanzapine (EFESO)					Traioportaol. Toto mg/u

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### Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

		Age	Exposed	Withdrawn
Author, year		Gender	Eligible	Lost to fu
Country	Population	Ethnicity	Selected	Analyzed
Risperidone vs Olanzapine vs Haloperidol				
Fuller 2003 Ohio	Range of psychiatric diagnoses: Schizophrenia=61% Depression=47% Bipolar Disorder=26% Dementia=8%	Mean age=53 Gender NR 73% White	NR NR 5837	NR NR 5837
Garcia-Cabeza 2003 Spain Subjective Response Analysis fron Estudio Farmacoepidemiologico er la Esquizofrenia con Olanzapine (EFESO)		Mean age: 35.4 63.9% male Ethnicity NR	NR/ 2967/ 2657	unclear; unclear; 2348 for safety at 6 months and 2189 for DAI- 10 score at 6 months

Author,	year
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Country	Effectiveness outcomes			
Risperidone vs Olanzapine vs				
Haloperidol				
Fuller	NR			
2003				
Ohio				

Garcia-Cabeza 2003 Spain NR

Subjective Response Analysis from Estudio Farmacoepidemiologico en la Esquizofrenia con Olanzapine (EFESO)

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Author, year

Country	Safety Outcomes	Comments
Risperidone vs Olanzapine vs		_
Haloperidol		
Fuller	Risk (Hazard Ratio, 95% CI) of developing diabetes for olanzapine vs risperidone: Univariate analysis=HR 1	1.29,
2003	95% CI 1.00 to 1.67; Multivariate analysis=HR 1.37, 95% CI 1.06 to 1.76	
Ohio		

Garcia-Cabeza Subjective Response : Mean DAI-10 Score (range: -10 to +10) , baseline vs 6 months:

2003 olanzapine: +0.17 vs +4.63

Spain risperidone: +0.32 vs +3.42, p<0.001 vs Olz

haloperidol: -1.25 vs +1.68, p <0.001 vs Olz and p=0.003 vs Ris

Subjective Response Analysis from

Estudio Farmacoepidemiologico en Compliance with principal antipsychotic treatment, % of pts at each level

la Esquizofrenia con Olanzapine data given as Olz vs Ris vs Hal

(EFESO) High compliance: 84.8% vs 74.2% vs 69.8% (p=0.001 for Olz vs Ris)

Moderate compliance: 11.1% vs 19.4% vs 27.1% (p=0.022 for Olz vs Hal)

Low compliance: 2.5 % vs 5% vs 2.1%

Nil: 1.6% vs 1.4% vs 1%

% of pts with EPS, baseline vs 6 month data, p=NR:

Olz: 35.8% vs 31.9% Ris: 48.3% vs 44.6% Hal: 69.2% vs 66.3%

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		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Gomez 2000 Spain  Estudio Farmacoepidemiologico en esquizofrenia con Olanzapine (EFESO)	Multicenter Controlled	Schizophrenia patients were included when a change of medication was indicated or a new antipsychotic drug treatment was being initiated for whatever reason. Choice of new drug was made by the treating physician.	6 months	Olanzapine 13.01 mg Risperidone 5.39 mg Haloperidol 13.64 mg	NR
Koller, 2003	Food and Drug Administration Med Watch	Retrospective	9 years	NR	risperidone, haloperidol
Montes 2003 Spain Sub-group Analysis from Estudio Farmacoepidemiologico en la Esquizofrenia con Olanzapine (EFESO)	Multicenter Controlled	Subjects that required antipsychotic treatment for a first episode of schizophrenia, with an evolution of the illness of less than one year and who were not over the age of 40. Choice of new drug was made by the treating physician.	6 months	Olanzapine 13.5 mg Risperidone 5.4 mg Haloperidol 12.4 mg	High potency antipsychotics Low potency antipsychotics Benzodiazepines Anticholinergics Antidepressants Mood stabilizers

Author, year Country Gomez 2000 Spain Estudio Farmacoepidemiologico er esquizofrenia con Olanzapine (EFESO)	Population  Death  Weight gain	Age Gender Ethnicity  Mean age=35.4 63.6% male Race NR	Exposed Eligible Selected NR NR 2949	Withdrawn Lost to fu Analyzed  798 (25.7%) withdrawals 506 (17.1%) lost to fu 2949 analyzed
Koller, 2003	Patients prescribed study drugs	Mean age: 39.8 years 80% male Ethnicity NR	NR/NR/NR	NR/NR/NR
Montes 2003 Spain Sub-group Analysis from Estudio Farmacoepidemiologico el la Esquizofrenia con Olanzapine (EFESO)	Weight gain	Mean age=24.2 64.8% male Race NR	NR NR 182	45 (24.7%) withdrawn 24 (13.2%) lost to fu 182 analyzed

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#### Author, year

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Country	Effectiveness outcomes
Gomez 2000 Spain	NR
Estudio Farmacoepidemiologico e esquizofrenia con Olanzapine (EFESO)	n

Koller, 2003 Risperidone-associated hyperglycemia: N=131

Combined risperidone-haolperidol associated hyperglycemia: N=7

Haloperidol-associated hyperglycemia: N=13

Reports of acidosis with absesnce of hyperglycemia: N=11

Montes NR
2003
Spain
Sub-group Analysis from
Estudio Farmacoepidemiologico en

la Esquizofrenia con Olanzapine

(EFESO)

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#### Author, year

Country	Safety Outcomes	Comments
Gomez	<u>Death</u>	
2000	Olanzapine: 3 (0.1%)	
Spain	Control group: 1 (0.1%)	
Estudio Farmacoepidemiologico	o en <u>Suicide</u>	
esquizofrenia con Olanzapine	Olanzapine: 1 (0.05%)	
(EFESO)	Control group: 1 (0.1%)	
	Weight gain	
	Olanzapine: 146 (6.9%)	
	Risperidone: 8 (1.9%)	
	Haloperidol: 1 (0.9%)	
	Olanzapine vs risperidone: p<0.001	
	Olanzapine vs haloperidol: p=NS	
Koller, 2003	# Patients with serious adverse events:	
	Acidosis-ketosis: 26	
	NMS-Like Symptoms: 12	
	Pancreatitis: 4	
	Death: 4	
Montes	Weight gain (% patients)	First Episodes
2003	Olanzapine=15 (13.2%)	·
Spain	Risperidone=1 (3.2%)	
Sub-group Analysis from	Haloperidol= 0	
	o en p<0.05 for olanzapine > risperidone and haloperidol groups	
la Esquizofrenia con Olanzapin	ne .	
(EFESO)		

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Author, year Country	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose
Schillevoort, 2001	PHARMO-database	Retrospective	90 days	NR	haloperidol: 2.2 mg/d, risperidone: 54 mg/d, olanzapine mg/d
Weiser, 2000  Risperidone vs Olanzapine vs	Tel-Aviv University Medical School	Retrospective	NR	NR	haloperidol(N=23): 10 mg/day olanzapine(N=26): 10.56 mg/day risperidone(N=27): 4.35 mg/day
Conventionals					
Bond, 2004	A psychiatric rehabilitation agencym and four community mental health centers.	Prospective	March 1999 to January 2001	9 months	olanzapine 12.9 mg risperidone 5.4 mg
Gianfrancesco 2002 United States	Database: Two mixed indemnity and managed care health plans located in the northeastern and southeastern United States (unspecified)	Retrospective	January 1996 through December 1997	Risperidone=6.8 months Olanzapine=6.1 months High-potency conventionals=7 months Low-potency conventionals=7.1 months Clozapine=9.4 months	Mean dosages in form of risperidone equivalents: Risperidone=2.3 mg Olanzapine=3.6 mg High-potency conventionals=1.7 mg Low-potency conventionals=1.7 mg Clozapine=2.5 mg

	Age	Exposed	Withdrawn
		_	Lost to fu
			Analyzed
Schizophrenia	Mean age: 35.3 years 48.6% Male Ethnicity NR	450,000/NR/848	0/0/848
Schizophrenia, schizophreniform disorder	Mean age: 30.9 years 68% Male Ethnicity NR	NR/NR/NR	NR/NR/76
Schizophrenia or schizoaffective disorder	Mean age=40.8 years 59% male 45% caucasian; 42% africa american; 3% other	551/124/90	NR/NR/90
Psychosis diagnosis (schizophrenia, bipolar and manic, major depressive, dementia, other psychoses)	,		NR NR NR
	Schizophrenia or schizoaffective disorder  Psychosis diagnosis (schizophrenia, bipolar and manic, major depressive, dementia, other	Schizophrenia Mean age: 35.3 years 48.6% Male Ethnicity NR  Schizophrenia, schizophreniform disorder Mean age: 30.9 years 68% Male Ethnicity NR  Schizophrenia or schizoaffective disorder Mean age=40.8 years 59% male 45% caucasian; 42% africa american; 3% other  Psychosis diagnosis (schizophrenia, bipolar and manic, major depressive, dementia, other psychoses) Untreated vs treated (restricted to those WITHOUT Type 2 Diabetes at 4 months prior to observation) Mean age=41.9 vs 45.3 % male=40.4% vs 36.6%	Population   Ethnicity   Selected

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Author, year	
Country	Effectiveness outcomes
Schillevoort, 2001	NR
Weiser, 2000	Cognitive functioning as measured by VMT: Higher for olanzapine and risperidone vs haloperidol: P=0.002 CPT scores: R: 0.541 vs O: 0.516 vs H: 0.300; F=1.003 Calgary Depression Scale: R: 6.73 vs O: 4.53 vs H: 7.75; F=1.974 Rey VLT: R: 38.0 vs O: 40.3 vs H: 36.0; F=0.674 PANSS: R: 66.8 vs O: 63.3 vs 68.2; F=0.568
Risperidone vs Olanzapine vs Conventionals	
Bond, 2004	work outcomes: olanzapine (n=39) vs risperidone (n=27) vs first-generation anti-psychotics (n=24) paid employment at any time; 29(74%) vs 17(63%) vs 13(54%), NS integrated employment at any time: 16(41%) vs 8(30%) vs 8(33%), NS second generation vs first generation: vocational activities: 76% vs 50%, p<0.05 increase in vocational activities: higher vs lower, p<0.001 monthly rate of paid employment: higher vs lower, NS monthly rate of integrated employment: greater vs lower, p=0.001
Gianfrancesco 2002 United States	NR

Α	utŀ	or,	year

Country	Safety Outcomes	Comments
Schillevoort, 2001	Use of antiparkinsonian medication at baseline: R: 36.2% vs O: 40.3% vs H: 4.5%; p<0.001No significant differences found at endpoint for use of antiparkinsonian medication with antipsychotic	
Weiser, 2000	Haloperidol and risperidone suffered more severe EPS vs olanzapine: P=0.023	
Risperidone vs Olanzapine vs	3	

#### Gianfrancesco 2002 United States

Bond, 2004

Odds Ratio (vs Risperidone) for 12 months of treatment (extrapolated from 1-month treatment rates) (excluded patients with pre-existing Type II Diabetes identified at 8-month screening):

Olanzapine=3.53, p<0.05 Clozapine=8.45, p<0.05

NR

Frequency of Type 2 Diabetes after at least 12 months' treatment (excluding patients with pre-existing Type II

Diabetes identified at 8-month screening):

Risperidone=2/90 (2.2%) Olanzapine=4/56 (7.1%) Clozapine=1/4 (25%)

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		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Gianfrancesco 2003b United States	Database: Two mixed indemnity and managed care health plans located in the northeastern and southeastern United States (unspecified)	Retrospective	January 1996 through December 1997	Patients not taking antipsychotics=13.7 months Risperidone=6.1 months Olanzapine=5.4 months High-potency Conventional Antipsychotics=6.5 months Low-potency conventional antipsychotics=6.5 months	(Risperidone equivalents) Risperidone 2.1 mg Olanzapine 3.4 mg High-potency conventional antipsychotics 1.6 mg Low-potency conventional antipsychotics 1.6 mg
Koro, 2002	England and Wales- based General Practice Database, Bristol-Myers Squibb, MEDTAP	Retrospective	30 months	NR	olanzapine: dose range NR risperidone: dose range NR conventional antipsychotics
Koro, 2002b	United Kingdom based General Practice Research Database	Retrospective	NR	NR	olanzapine: dose range NR risperidone: dose range NR conventional antipsychotics

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### Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

		Age	Exposed	Withdrawn
Author, year		Gender	Eligible	Lost to fu
Country	Population	Ethnicity	Selected	Analyzed
Gianfrancesco 2003b United States	% patients NOT taking antipsychotics/% patients TAKING antipsychotics: Bipolar=48.1%/30.6% Major Depressive Disorder=39.7%/664.5% Manic=12.2%/4.9%	Patients NOT taking antipsychotics/Patients TAKING antipsychotics: Mean age=41.8/42.2 % male=38.9%/31.8% Race NR	NR NR 5723	NR NR 5236 patients (Patients NOT taking antipsychotics=2644; Risperidone=849, Olanzapine=656, High- potency conventional antipsychotics=785, Low- potency antipsychotics=302) (excludes those found to have pre-existing Type II diabetes at the 4-month screening period)
Koro, 2002	Schizophrenia	Mean age: 51 years 60% Male	3.5 million /18,309/8866	0/0/8866
Koro, 2002b	Patients with presciptions for both schizophrenia and diabetes	Mean age: 51 years 62.5% Female	3.5 million/3.5 million/19,637	0/0/19,637

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Koro, 2002b

### Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

Author, year	
Country	Effectiveness outcomes
Gianfrancesco 2003b United States	NR
Koro, 2002	

NR NR

Author, year		
Country	Safety Outcomes	Comments
Gianfrancesco	12-month odds ratios (converted from 1-month estimates) that excludes patients found to have pre-existing	
2003b	Type II diabetes at 8-month screening:	
United States	Relative to Untreated	
	Risperidone=1.024 (0.351-3.015)	
	Olanzapine=4.289 (2.102-8.827)	
	Olanzapine vs risperidone-4.189, p=0.02958	
Koro, 2002	Odd of developing hyperlipidemia:	
	compared with no antipsychotic exposure:	
	olanzapine: (OR, 4.65; 95% CI, 2.44-8.85); P<.001 vs risperidone: (OR, 1.12; 95% CI, 0.60-2.11); P=.72	
	compared with use of conventional antipsychotics:	
	olanzapine: (OR, 3.36; 95% CI, 1.77-6.39); P<.001 vs risperidone: (OR, 0.81; 95% CI, 0.44-1.52); P=.52	

Koro, 2002b Odds ratio of risk of developing diabetes:
Olanzapine vs non-treated 5.8; 95%Cl: 2.0-16.7
Olanzapine vs typical APs: 4.2; 95%Cl: 1.5-12.2
Risperidone vs non-treated : 2.2; 95%Cl: 0.9-5.2
Risperidone vs vs typical APs: 1.6; 95%Cl: 0.7-3.8

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		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Risperidone vs Clozapine vs Olanzapine vs Quetiapine					
Advokat, 2003	Eastern Louisiana Mental Health System	Retrospective	1995-2001	5 years	olanzapine 332 days risperidone 376 days quetiapine 558 days clozapine 583 days
Coulter 2001 International	Database: Uppsala Monitoring Centre in Sweden	Unclear	NR	NR	Clozapine Olanzapine Quetiapine Risperidone
Lambert, 2005	Califormia medicaid	Retrospective	July 1, 1997 to December 31, 2000	NA )	more than 12 weeks

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### Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

Author, year		Age Gender	Exposed Eligible	Withdrawn Lost to fu
Country	Population	Ethnicity	Selected	Analyzed
Risperidone vs Clozapine vs Olanzapine vs Quetiapine				
Advokat, 2003	Schizoaffective/Bipolar Type, Paraoid Schizophrenia, or Schizophrenia Undifferentiated	Mean age=40.6 years 31% male 50% africa american	398/100/100	NR/NR/100
Coulter 2001 International	NR	NR NR NR	NR NR NR	NR NR Reports analyzed: Clozapine=24730, Olanzapine=6,135, Quetiapine=709, Risperidone=10,746
Lambert, 2005	Schizophrenia	NR	129341/34337/12637	NR/NR/12637

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Country	Effectiveness outcomes
Risperidone vs Clozapine vs Olanzapine vs Quetiapine	
Advokat, 2003	length of hospitalization: olanzapin (n=18) vs risperidone (n=9) = 634 days vs 1017 days, p=0.038 >20% decline from baseline in BPRS score: olanzapine = 33/46 (72%) risperidone = 16/36 (44%) clozapine = 52/59 (88%) clo vs ris, p<0.01; ola vs ris, p=0.012; clo vs ola, p=0.034 responders that retained or improved their BPRS scores: olanzapine vs risperidone, NS Latencies from responders: olanzapine vs risperidons = 1.67 vs 1.47 months
Coulter 2001 International	NR
Lambert, 2005	NR

Author,	year
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Country	Safety Outcomes	Comments
Risperidone vs Clozapine vs		
Olanzapine vs Quetiapine		
Advokat 2003	NR	

Coulter Cardiomyopathy or myocarditis (# cases/%)

2001 Clozapine=231/0.9% International Olanzapine=8/0.1% Quetiapine=2/0.3% Risperidone=16/0.1%

Lambert, 2005 Odds ratios for conditional logistic regression model predicting development of hyperlipidemia

12-week exposure: n, OR, p(95% CI) clozapine: 879, 1.16, 0.07(0.99-1.37) olanzapine: 3322, 1.20, 0.00 (1.08-1.33) quetiapine: 322, 1.01, 0.92(0.78-1.32) risperidone: 2612, 1.00, 0.98(0.90-1.12) 24-week exposure: n, OR, p(95% CI) clozapine: 766, 1.22, 0.03(1.03-1.45) olanzapine: 2935, 1.24, <0.0001 (1.12-1.38) quetiapine: 243, 0.83, 0.25(0.61-1.13) risperidone: 2365, 1.01, 0.91(0.90-1.13) 52-week exposure: n, OR, p(95% CI) clozapine: 603, 1.20, 0.06(0.99-1.46) olanzapine: 2036, 1.17, 0.01 (1.04-1.32) quetiapine: 140, 0.80, 0.27(0.53-1.20) risperidone: 1819, 0.94, 0.34(0.83-1.27)

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		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Lee 2002 United States	Database: Protocare Sciences's administrative claims and enrollment info	Retrospective	Index dates of patients occurred during a 27-month period (1997-1999).  Mean duration of therapy: AAPs: 126.1 days Typical APs: 108.34 days	Patients were observed 365 days after their index dates.	Clozapine Olanzapine Quetiapine Risperidone Typical APs Mean doses NR
Leslie, 2004	Department of Veteran Affairs	Retrospective	3 months	NR	clozapine, olanzapine, quetiapine, risperidone: mean doses NR
Ollendorf 2004 United States	Database: PharMetrics Patient- Centric Database	Retrospective	1995-2001 Mean duration of therapy was 9 months in both typical AP and AAP groups; mean number of prescriptions was higher in AAP group: 8.5 vs 6.6, p<0.0001	Minimum of 3 months; mean 435 days	Olanzapine n=937 Risperidone n=690 Quetiapine n=164 Clozapine n=35 Mean dose NR

		Age	Exposed	Withdrawn
Author, year		Gender	Eligible	Lost to fu
Country	Population	Ethnicity	Selected	Analyzed
Lee 2002 United States	Patients aged 18-65 selected by first (index) AP/AAP prescription between Sept 1997-Dec 1999; excluded those who filed a claim for an AP/AAP within 180 days, or filled a Rx for a diabetes medication or had a DM diagnsis within 365 days before index date. Also excluded patients using concomitant AP meds on index date.	Mean age 44 41.4% male Ethnicity NR	NR 2315 2315 AAPs n=1334 Olanzapine n=513 Risperidone n=750 Clozapine n=5 Quetiapine n=66 Typical APs n=981	NR NR 2315 analyzed
Leslie, 2004	Schizophrenia	NR/NR/NR	56,849/56,849/56,849	0/0/56,849
Ollendorf 2004 United States	Patients with ≥1 medical claims with a diagnosis of schizophrenia, as well as ≥1 paid pharmacy claims for an AP medication during 1996-2001; the first observed antipsychotic pharmacy claim in this period was the index date. All medical and pharmacy claims were then compiled for these patients for the exposure period. Patients who used used an AP or typical AP in the 6 months prior to the index date, or had evidence of DM within 12 months prior to the index date were excluded.		18,134 2443 2443	NR NR 2443

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Author, year Country	Effectiveness outcomes
Lee 2002 United States	NR
Leslie, 2004	NR
Ollendorf 2004 United States	NR

Author,	year
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Author, year		
Country	Safety Outcomes	Comments
Lee	Adjusted odds (95%CI) of diabetes onset within 1-year after index date:	
2002		
United States	Atypicals vs typicals: 1.01 (0.61-1.67)	
	Olanzapine vs typicals: 0.86 (0.43-1.73)	
	Risperidone vs typicals: 1.07 (0.61-1.89)	
	Olanzapine vs risperidone 0.79 (0.38-1.61)	
Leslie, 2004	7.3% diagnosed with diabetes will on treatment	
	Highest risk:	
	clozapine: 2.03%, quetiapine: 0.80%, olanzapine: 0.63%, risperidone: 0.05%	
Ollendorf	Patients treated with AAPs had an increased risk of diabetes mellitus after 1 year, compared with typical APs:	This analysis controlled
2004 United States	hazard ratio 1.17, 95% CI 1.06-1.30	for total duration of therapy and number of
Office Olates	No differences between olanzapine, risperidone, quetiapine, and clozapine were found on risk of diabetes.	prescriptions. Actual mean doses are not
		reported.

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# Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Voruganti, 2000	Western Ontario	Retrospective	NR	≥6 months	risperidone(N=50): 2-8 mg
Voruganti, 2002	schizophrenia				olanzapine(N=50): 15-40 mg
	research program				quetiapine(N=50): 200-800 mg
					switched from following conventional drugs
					(CAPD): chlorpromazine, fluphenazine,
					flupenthixol, haloperidol,
					methotrimeprazine, perphenazine,
					pimozide, pipothiazine, trifluperazine

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# Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

		Age	Exposed	Withdrawn
Author, year		Gender	Eligible	Lost to fu
Country	Population	Ethnicity	Selected	Analyzed
Voruganti, 2000	Schizophrenia	Mean age: 32.1 years	NR/230/150	15 withdrawals or lose to
Voruganti, 2002		68.7% male		follow up/135

Author, year	
Country	Effectiveness outcomes
Voruganti, 2000 Voruganti, 2002	85% of patients benefitted from switching from conventional to novel antipsychotics 8(6%) preferred conventional treatment
7 0. a.ga, 2002	Remained on maintenance treatment:
	risperidone 82%
	olanzepine 86% quetiapine 82%
	quotiapino 6270
	CAPD (n=44) vs risperidone (n=50) vs olanzepine (n=48) vs quetiapine (n=42) vs clozapine (n=46) Psychosocial functioning and quality of life:
	Sickness impact profile (SIP): 35.3(13.2)* vs 26.9(14.3) vs 29.1(14.8) vs 28.2(10.6) vs 32.1(18.1)
	Quality of life (QLS): 58.8(22.6) vs 63.3(15.3) vs 60.8(15.4) vs 61.4(14.2) vs 58.2(14.8)
	Global assessment of functioning scale (GAF): 59.8(14.5) vs 61.9(10.5) vs 59.4(8.9) vs 56.8(12.6) vs 57.8(10.6)
	(*p<0.05 on Tukey tests)
	Mean change in scores after a switch from conventional to the novel antypsychotic drugs
	risperidone (n=43) vs olanzepine (n=44) vs quetiapine (n=31)
	Syptoms 1. PANSS: -23.63 vs -23.67 vs -21.43
	a. positive symptoms cluster: -5.18 vs -4.11 vs -4.67
	b. negative symtoms cluster: -8.2* vs -6.3 vs -5.0
	c. excited symptoms cluster: -3.68 vs 2.79 vs -1.03
	d. depressive symptoms cluster: 2.68 vs -6.09* vs -1.70
	e. cognitive symptoms cluster: -3.89 vs -4.38 vs -9.03*
	Quality of life 1. QLS: 10.30 vs 9.97 vs 9.87
	2. GAF: 16.0 vs 15.18 vs 14.67
	3. SIP: -22.32 vs -20.40 vs -21.20

(\*p<0.05 on post hoc Tukey tests)

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Author, year		
Country	Safety Outcomes	Comments
Voruganti, 2000	CAPD (n=44) vs risperidone (n=50) vs olanzepine (n=48) vs quetiapine (n=42) vs clozapine (n=46)	
Voruganti, 2002	Drug attitute inventory scores:	
	1. DAI-30 total: 12.9(10.5) vs 19.4(9.1)* vs 18.9(8.9)* vs 18.2(10.2)* vs 16.2(11.0)	
	2. subjective positive: 3.1(4.2) vs 5.4(3.3)* vs 5.5(2.7)* vs 5.8(3.8)* vs 4.9(3.6)	
	3. subjective negative: 2.4(3.5) vs 3.2(2.8) vs 3.5(2.5) vs 2.7(3.2) vs 2.4(3.3)	
	4. health/illness: 1.7(1.1) vs 1.7(1.8) vs 1.6(1.6) vs 1.5(1.2) vs 1.2(1.9)	
	5. professionals: 1.6(0.9) vs 1.7(0.7) vs 1.1(1.5) vs 1.6(0.9) vs 1.5(1.0)	
	6. control issues: 0.6(1.3) vs 1.4(1.1) vs 1.3(1.2) vs 0.9(1.2) vs 1.2(1.2)	
	7. prevention: 1.1(1.0) vs 1.6(0.9) vs 1.3(1.2) vs 1.5(1.1) vs 1.4(1.7)	
	8. harmful effects: 0.4(1.3) vs 0.9(1.3) vs 0.9(1.2) vs 0.8(1.0) vs 0.6(1.5)	
	Proportion of dysphoric responders:7(17%)* vs 3(6%) vs 2(5%) vs 3(7%) vs 3(6.5%)	
	Severity of side effects	
	1. Simpson-Angus EPS rating scale: 3.4(2.3)* vs 1.34(2.4) vs 0.9(2.0) vs 1.1(2.2) vs 0.4(1.4)	
	2. BAS: 1.2(1.4) vs 0.8(0.9) vs 0.2(0.6) vs 1(1.2) vs 0.6(1.0)	
	3. AIMS: 1.6(2.1) vs 1.2(2.4) vs 1.4(2.8) vs 1.2(3.2) vs 3.5(5.8)	
	4. LUNSERS: 21.1(9.6)* vs 13.4(9.4) vs 13.4(4.0) vs 12.8(7.2) vs 25.4(15.7)*	
	(*p<0.05 on Tukey tests)	
	Mean change in scores after a switch from conventional to the novel antypsychotic drugs	
	risperidone (n=43) vs olanzepine (n=44) vs quetiapine (n=31)	
	Side effects	
	1. AIMS: -0.21 vs -0.75 vs -0.12	
	2. BAS: 3.40 vs -4.52 vs -3.96	
	3. SAS: -6.02 vs -6.75 vs -6.67	
	4. LUNSERS: -21.86 vs -23.18 vs -30.7*	
	Subjective tolerability:	
	1. DAI: 11.86 vs 14.6* vs 12.12	
	2. proportion of dysphoric responders in the group (%): -6.9 vs -13.6 vs -9.7	
	(*p<0.05 on post hoc Tukey tests)	

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Author, year Country	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose
Atypical Antipsychotics vs Typical Antipsychotics				<u> </u>	
Al-Zakwani, 2003	Multicenter, United States	Retrospective	24 months	18 months	Doses not reported. Interventions-Typical Antipsychotics: chlorpromazine, haloperidol, thioridazine, perphenazine, other; Atypical Antipsychotics: risperidone, olanzapine, quetiapine, clozapine

		Age	Exposed	Withdrawn
Author, year		Gender	Eligible	Lost to fu
Country	Population	Ethnicity	Selected	Analyzed
Atypical Antipsychotics vs Typical Antipsychotics				
Al-Zakwani, 2003	Psychosis, neurotic, personality and sexual disorders,drug/alcohol dependence, psychological malfunction arising from mental disorders, depressive disorder, childhood emotional disturbance/developmenal delays, mental retardation/Alzheimer's/Parkinson's diseases	Mean age: 38.5 years 59% Male Ethnicity NR	2710/833/469	NR/NR/469

Author, year	
Country	Effectiveness outcomes
Atypical Antipsychotics vs	
Typical Antipsychotics	
Al-Zakwani, 2003	Typical Antipsychotics:
	# dose adustments: 14(16.5%)
	# treatment augmenation: 1(1.2%)
	# requiring treatment switch: 11(12.9%)
	# receiving mixed therapy: 1(1.2%)
	Atypical Antipsychotics:
	# dose adustments: 128(30.4%)
	# treatment augmenation: 3(0.8%)
	# requiring treatment switch: 70(18.2%)
	# receiving mixed therapy: 7(1.5%)

Atypical Antipsychotic Drugs
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Author, year		
Country	Safety Outcomes	Comments
Atypical Antipsychotics vs		
Typical Antipsychotics		
Al-Zakwani, 2003	NR	

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### Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Barner 2004 United States	Database: Cenral Texas Veterans Health Care System	Retrospective	Duration of treatment NR. Mean number of persistent days (total number of continuous days the patient took an antipsychotic agent without a gap, I.e. a 15-day lapse in therapy): AAPs: 3.9-5.6 months Typical APs: 4.7-7.3 months		Any AAP or typical AP, dose and duration not reported
Buse, 2003	AdvancePCS Inc	Retrospective	≥2 years	NR	clozapine: 183.1 mg/day olanzapine: 5.1 mg/day quetiapine: 79.9 mg/day risperidone: 1.2 mg/day haloperidol: 2.5 mg/day thioridazine: 43.9 mg/day
Feldman, 2004	AdvancePCS Inc	Retrospective	6-9 months	NR	NR

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# Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

		Age	Exposed	Withdrawn
Author, year		Gender	Eligible	Lost to fu
Country	Population	Ethnicity	Selected	Analyzed
Barner	Included subjects aged 18+ who	Mean age 59.4	6735	NR
2004	had not received a typical AP or	94.3% male	3469	NR
United States	AAP 6 months prior to the dispensing of a typical AP or AAP, and had not been diagnosed with DM or used an antidiabetic drug 12 months before being prescribed a typical AP or AAP.	69.9% white	3469	3469
Buse, 2003	Schizophrenia	Mean age: 52 years 63% male	5,816,473/58,751/50,5 78	5
Feldman, 2004	Geriatric	Mean age: 79.2 years 60.8% female Ethnicity NR	NR/NR/1,836,799	NR/NR/30,953

### Author, year

Country	Effectiveness outcomes	
Barner	NR	
2004		
United States		

Buse, 2003 Risk of Diabetes Mellitus:

olanzapine: P=0.479 clozapine: P=0.496 quetiapine: P=0.033 haloperidol: P=0.040

Feldman, 2004 Development of Diabetes Mellitus (Risk Ratio):

All combined conventional antipsychotics: 3.2; P<0.001

All combined atypicals: 3.3; P<0.001

clozapine: 5.8; P=0.002 olanzapine: 3.5; P<0.001 quetiapine: 2.5; P<0.001 risperidone: 3.4; P<0.001

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Author,	year
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Country	Safety Outcomes	Comments
Barner	Frequency of new-onset diabetes mellitus among patients taking AAPs:	Dose and duration of
2004	AAP group (n=2477) 7.2% (ns)	treatment are not
United States	Typical AP group (n=992) 7.0% (ns)	controlled for in this
	Risperidone 7.5% (ns)	analysis
	Quetiapine 5.8% (ns)	
	Olanzapine 6.4% (ns)	
	Adjusted OR of new-onset diabetes mellitus (95% CI):	
	Olanzapine 0.976 (0.594-1.605)	
	Quetiapine 1.149 (0.531-2.485)	
	Risperidone 0.926 (0.544-1.579)	
Buse, 2003	Hazard ratio of developing diabetes comparing antipsychtoics to haloperidol group:	
	olanzapine: risperidone: P=0.479	
	quetiapine: P=0.040	
	clozapine: P=0.496	
	01024pin0.1 =0.100	
Feldman, 2004	NR	
·,	• • •	

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Author, year	Data	Prospective Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Ostbye 2004 United States	Database: AdvancePCS records on prescription drugs dispensed to beneficiaries (n=170030 from 50 states)	Retrospective	2000-2002	18 months	Primary exposure: subjects who filled prescriptions for any AAP at any time during the follow-up period. Primary control: subjects who filled prescriptions for typical AAPs during followup. Other control groups received antibiotics; antidepressants
Sernyak, 2002	Veterans Health Administration of the Department of Veterans Affairs (VA)	Retrospective			clozapine, olanzapine, risperidone, quetiapine
Wirshing, 2002	VA Greater Los Angeles Healthcare System	Retrospective	Mean duration: clozapine: 43.3 mo olanzapine: 13.5 mo risperidone: 28.6 mo quetiapine: 33.0 mo haloperidol: 37.1 mo fluphenazine: 47.0 mo	NR	clozapine, olanzapine, risperidone, quetiapine, haloperidol, fluphenazine/mean doses NR

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# Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

		Age	Exposed	Withdrawn
Author, year		Gender	Eligible	Lost to fu
Country	Population	Ethnicity	Selected	Analyzed
Ostbye 2004 United States	Subjects for whom the first prescription for an exposure drug occurred after the 6-month lead-in period. The primary exposure group was subjects who filled prescriptions for an AAP in the followup period. The primary control group was subjects who filled prescriptions for typical APs in the followup period.	Mean age 41.9 38.1% male Ethnicity NR	NR NR 170,030	NR NR 170030
Sernyak, 2002	Patients prescribed to study drugs	Mean age: 52.6 years 5.2% Female African-American: 25% Hispanic: 4.3%	NR/NR/38,632	NR/NR/38,682
Wirshing, 2002	Schizophrenia	Mean age: 51.3 years 94.4% Male 47.9% White 36.7% African-American	NR/590/215	0/0/215

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#### Author, year

Country	Effectiveness outcomes	
Ostbye	NR	
2004		
United States		

Sernyak, 2002 Analysis of Association Between Atypicals vs Typicals: 95% CI; p-value

clozapine: 1.07-1.46; P<0.005 olanzapine: 1.04-1.18; P<0.002 quetiapine: 1.11-1.55; P<0.002 risperidone: 0.98-1.12; P=0.15

Wirshing, 2002 NR

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Author,	year
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Country	Safety Outcomes	Comments
Ostbye	Primary outcome was a new prescription filled for any antidiabetic drug during followup period, excluding those	Exposure classification
2004	filled prior to the first prescription of an AP or AAP. Adjusted ORs (95% CI);	is binary (did or did not
United States	AAPs: 1.70 (1.58-1.83)	receive prescription for
	Typical APs: 2.08 (1.88-2.30)	each drug or class);
	Antidepressants: 2.12 (1.96-2.30)	dose and duration of
	Antibiotics: referent group	treatment are not
	In subjects that used only one drug class during study period:	controlled for
	AAPs 0.86 (0.60-1.23)	
	Typical APs: referent group	
	Antidepressants 1.08 (0.81-1.45)	
	Antibiotics 0.68 (0.50-0.92)	
Sernyak, 2002	NR	
Wirshing, 2002	Increase in glucose levels from baseline:	
vvii oriii 19, 2002	clozapine: +14%; p=.05	
	olanzapine: +21%; p=.03	
	haloperidol: +7%; p=.04	
	Increase/decrease in total cholestrol levels from baseline:	
	risperidone: -6%, p=.04	
	fluphenazine: -6%; p=.04	
	13% of olanzapine patients (4) required increases in doses of lipid-loweing agents after beginning treatment	

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# Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

Author, year	Data	Prospective Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Quetiapine vs controls					
Sax, 1998	University of Cincinnati Medical Center site	Prospective	NR	6 weeks	quetiapine 330mg 6 weeks

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# Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

		Age	Exposed	Withdrawn	
Author, year		Gender	Eligible	Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed	
Quetiapine vs controls	5				
Sax, 1998	Schizophrenia	Mean age=32	NR/NR/10	NR/NR/10	
		70% male			
		80% caucasian			

### Author, year

Country	Effectiveness outcomes
Quetiapine vs controls	
Sax, 1998	Patients(n=10) vs Controls(n=12)
	CPT sensitivity, mean (SD)
	initial: 0.82(0.10) vs 0.93(0.07), p<0.01
	first follow up: 0.88(0.08) vs NA
	second follow up: 0.92(0.07)* vs 0.94(0.08)
	(*p<0.01 vs baseline)
	No significant correlations between changes in symptom scores and CPT performance results, or
	between dosage of quetiapine and CPT and BPRS changes over time.

#### Author, year

Country	Safety Outcomes	Comments
Quetiapine vs controls		
Sax, 1998	NR	_

Author, year Country		Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose
Uncontrolled studies					
Aripiprazole					
Madhusoodanan, 2004	Medical records of pts >60y	Retrospective case series	Dec. 2002 to Jan. 2003	19.8 days (range: 12-33 days)	Aripiprazole mean dose: 17.5 mg/d
(inpatients)					(range: 15-20 mg/d)
					60% had concurrent medications

Clozapine				
Advokat, 1999	East Louisiana State Retro Hospital	ospective April 1993 to Aug 1995	ust 2 years	clozapine for mean duration 5.4 years
Alvarez 1997 Spain	Naturalistic: Prosp Psychiatry Dept of the Hospital de Sant Pau since 1984 (Spain)	pective 6.7 years (mean)	NR	Clozapine 266.9 mg (mean)

Author, year		Age Gender	Exposed Eligible Selected	Withdrawn Lost to fu Analyzed
Country	Population	Ethnicity		
Uncontrolled studies	6			
Aripiprazole				
Madhusoodanan, 2004	70 % schizophrenia; 30% schizoaffective disorder	Mean age: 70.3y (range: 62-85y)	NR/ NR/ 10	2/ NR/ 10
(inpatients)		70% male		
		80% Caucasian 20% white		

Clozapine					
Advokat, 1999	Schizophrenia	Mean age=38.8 years 68% male 60% african-american; 40% caucasian	NR/NR/75	NR/NR/75	
Alvarez 1997 Spain	Treatment resistent Schizophrenia/schizoaffective	Mean age=31.1 62.5% male	NR NR 80	NR NR Unclear	

Author, year	
Country	Effectiveness outcomes
Uncontrolled studies	
Aripiprazole	
Madhusoodanan, 2004	Mean CGI scores: baseline vs discharge: 6 vs 2.3
(inpatients)	Of all 10 pts, 7 pts responded to treatment; 1 pt had partial improvement; 2 did not improve

Clozapine	
Advokat, 1999	BPRS scores for each of the study groups- baseline vs month 1, % of baseline, months to criterion nonresponders(n=7): 61 vs 61, 100%, NA short-term pharmacological responder(n=13): 60 vs 48, 80%, 2.73 long-term pharmacologifal responder(n=21): 80 vs 64, 80%, 2.75 clinical responders: 68 vs 48, 70%, 1.65
Alvarez 1997 Spain	NR

Spain

# Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

Author, year Country	Safety Outcomes	Comments
Uncontrolled studies	•	
Aripiprazole		
Madhusoodanan, 2004	Of 7 pts measuring weights: 6 had mean weight loss of 5.2 lbs; 1 pt gained 18lbs QTc interval showed a mean decrease of 13.3 msec; no other significant changes in ECGs	
(inpatients)		
	Withdrawal: 2 pts (1 for poor response; and 1 for poor response and urinary frequency and diarrhea)	
	Existing EPS cleared for 3 of 4 patients	
	Sleepiness: 1 pt	
	Vomiting: 2 pts	
	Diarrhea: 2 pts Urinary Frequency: 1 pt	
	Hypotension: 1 pt	
	Postural hypotension: 4 pts	
Clozapine		
Advokat, 1999	NR	
Alvarez		Responders vs
1997		Nonresponders

Author, year Country	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose
Atkin 1996 UK/Ireland	Database: Clozaril Patient Monitoring System (CPMS)	Retrospective	1/7/90 to 7/3/94	NR	Clozapine 313 mg
Breier, 1993	Maryland Psychiatric Research Center outpatients program	Prospective	1990	12 months	cloazapine mean dosage at 6 months: 435.3 mg/day 12 months: 439.4 mg/day

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### Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

		Age	Exposed	Withdrawn	
Author, year		Gender	Eligible	Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed	
Atkin	Treatment resistant schizophrenia	Mean age=37	NR	NR	
1996		66.1% male	NR	NR	
UK/Ireland		89% White	6316	Year1=6316	
		5% African/Afro-Caribbean		Year2=2858	
		3.6% Asian		Year3=1625	
		0.4% Oriental		Year4=661	
		1.9% Mixed			
Breier, 1993	chronic schizophrenia	Mean age=34 years	NR/NR/39	4/NR/35	
,	•	74.2% male			
		74.2% white; 25.7 african american			

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# Author, year Country Effectiveness outcomes

Atkin 1996

UK/Ireland

Breier, 1993

18(60%) met criteria for sustained clinical improvement during the year

17/18 (95%) sustained reponders were identified by the fourth treatment month No. of patients experiencing relapse- before clozapine vs during clozapine:

18/21 (85.7%) vs 4/21 (19%), p<0.001

No. of patients hospitalized- before clozapine vs during clozapine

10/26 (38.4%) vs 2/26 (7.7%), p=0.01 Relapse- before clozapine vs during clozapine:

No. of relapses: 2 vs 0.3, p<0.001 Days relapses: 42.6 vs 4.9, p<0.001

Hospitalizations- before clozapine vs during clozapine:

No. of hospitalizations: 1.3 vs 1.0, p=0.01 Days hospitalized: 31.8 vs 3.5, p=0.008

Baseline vs 6 months vs 12 months:

BPRS positive symptoms: 11.6 vs 9.9\*\* vs 9.4\*\*

BPRS total: 36.5 vs 32.1\*\*\* vs 32.5\*\*

Level of functioning scale: 14.1 vs 16.3\*\*\* vs 17.7\*\*

Schedule for the assessment of negative symptoms: 45.9 vs 41.9 vs 41.6\*

Quality of life scale: 44.5 vs 47.6 vs 54.2\* (\*p<0.15; \*\* p<0.05; \*\*\*p<0.01 vs baseline)

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#### Author, year

Country	Safety Outcomes	Comments
Atkin	Agranulocytosis	
1996	Year1=46/6316(0.7%)	
UK/Ireland	Year2=2/2858(0.07%)	
	Year3=0/1625	
	Year4=0/661	
	Fatal cases	
	Year1=2/6316 (0.03%)	
	Years2-4=0	
Breier, 1993	NR	
Breier, 1993	NK	

		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Brar, 1997	Mayview State	Prospective	October 1990 to	6 months	clozapine 422.5 mg/day for at least 6
	Hospital		December 1992		months

Database: Illinois NR Buckman Unclear 1990 to 1995 Clozapine Dept of Mental Health 1999 and Developmental **United States** Disability Bunker, 1996 clozapine patient Prospective February 1990 to 3 years clozapine mmonitoring system January 1996 for 643 days

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		Age	Exposea	witnarawn	
Author, year		Gender	Eligible	Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed	
Brar, 1997	schizophrenia	Mean age=39.7 years	NR/NR/75	NR/NR/75	
		60% male			
		NR			

Buckman	Treatment resistant schizophrenia	NR	NR	NR
1999		NR	951	NR
United States		NR	518	518
Bunker, 1996	44.4% paranoid 31.1% undifferenctiated 0.02% catatonic 22.2% schizoaffective	Mean age=41.7 years 44.4% male 57.8% caucasian; 42.2% african american	NR/NR/45	NR/NR/45

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Author, year	
Country	Effectiveness outcomes
Brar, 1997	Clinical changes in patients with low positive symptom scores, n=17: baselince vs 6-month, p value emotional withdrawal: 3.2 vs 2.0, p=0.02 blunted affect: 2.9 vs 2.1, p=0.05 motor retardation: 2.4 vs 1.9, NS sum of negative symptoms: 8.4 vs 6.0, p=0.04 sum of positive symptoms: 8.2 vs 7.1, NS sum of depressive symptoms: 3.0 vs 3.1, NS Clinical changes in remaining patients, n=58: baseline vs 6-month, p value emotional withdrawal: 2.9 vs 2.0, p<0.0001 blunted affect: 3.2 vs 2.3, p<0.0001 motor retardation: 2.2 vs 1.5, p<0.0001 sum of negative symptoms: 8.3 vs 5.9, p<00001 sum of positive symptoms: 16.8 vs 11.1, p<0.0001 sum of depressive symptome: 4.0 vs 3.0, p<0.0001 Changes in negative symptoms with low positive symptoms based on antiparkinsonian medication status, statistical signidicant p value- pateints not on antiparkinsonian medication (n=12) vs patients on antiparkinsonian medication (n=5): emotional withdrawal: 0.02 vs 0.32 blunted affect: 0.03 vs 0.32 motor retardation: 0.08 vs 0.10 sum of negative symptoms: 0.01 vs 0.10 sum of positive symptoms: 0.01 vs 0.10 sum of positive symptoms: 0.11 vs 0.27
Buckman 1999 United States	NR
Bunker, 1996	NR

Country	Safety Outcomes	Comments
Brar, 1997	NR	

Buckman 1999 United States Agranulocytosis Incidence=0.9%

Bunker, 1996

7/25 had emergent DE, average time to onset:  $238 \pm 179$  days, average time to resolution of DE symptoms:

347<u>+</u>190 days

baseline vs emergent DE- time to resolution: 261 ±188 vs 347 ±190, p<0.05

27 patients had a baseline or emergent DE

15/27(56%) had resolution of DE

10/27(37%) had compelete resolution of DE

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Author, year Country	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose
Cassano, 1997	NR	Prospective	NR	12 months	clozapine 250 mg/day for 12 months
Ciapparelli, 2000	day-hospital services and wards of the Department of Psychiatry at the University of Pisa	Prospective	NR	24 months	clozapine 207.9 mg/day for 24 months

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## Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

Population	Gender Ethnicity	Eligible Selected	Lost to fu	
-	Ethnicity	Selected	A I	
		Joiostou	Analyzed	
scnizophrenia spectrum disorder	Mean age=35.4 years 68% male Ethnicity: NR	NR/NR/60	15/NR/60	
34.1% schizophrenia 28.6% schizoaffective disorder	Mean age=34.2 years 69.2% male	NR/NR/91	38/NR/91	
	·	68% male Ethnicity: NR  34.1% schizophrenia 28.6% schizoaffective disorder  68% male Ethnicity: NR  Mean age=34.2 years 69.2% male	68% male Ethnicity: NR  34.1% schizophrenia Mean age=34.2 years NR/NR/91 28.6% schizoaffective disorder 69.2% male	68% male Ethnicity: NR  34.1% schizophrenia Mean age=34.2 years NR/NR/91 38/NR/91 28.6% schizoaffective disorder 69.2% male

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ess outcomes les plan: 24 items all show significant (p<0.05) improvement from baseline pipolar: 15/24 items show significant (p<0.05) improvement from baseline thout bipolar features who completed treatment for 12 months had significantly higher basal pres for unusual thought content, emotional withdrawal, mannerism and posturingm moror, blunted affect and affective incongruence.
olar: 24 items all show significant (p<0.05) improvement from baseline bipolar: 15/24 items show significant (p<0.05) improvement from baseline thout bipolar features who completed treatment for 12 months had significantly higher basal bres for unusual thought content, emotional withdrawal, mannerism and posturingm moror
es- clozapine monotherapy vs combination of typical neuroleptics: 47.6 vs 50.3, p=0.56 ge of BPRS total scores- baseline vs 12 month vs 24 months enia: 49.7 vs 27.6 vs 24.7, p<0.001 ective disorder: 47.8 vs 19.6 vs 15.1, p<0.001 gorder: 47.5 vs 17.4 vs 15.1, p<0.001 enia vs schizoaffective disorder, p<0.05 enia vs bipolar disorder, p<0.05 ective disorder vs bipolar disorder, NS s- baseline vs 12 months vs 24 months enia: 5.8 vs 4.1 vs 3.8, p<0.001 ective disorder: 5.5 vs 3.6 vs 3.0, p<0.01 ective disorder: 5.1 vs 3.0 vs 2.9, p<0.001

60% in 6 months vs 55% in 12 months vs 56% in 18 months, p<0.005

schizophrenia: 17% vs 25% vs 44%

The probability of remaining nonresponsive- bipolar disroder vs schizoaffective disorder vs schizophrenia: 24% vs 31% vs 55%

Likelihood of remaining nonresponsive at 2 years- bipolar disroder vs schizoaffective disorder vs

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Author,	year
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Country	Safety Outcomes	Comments
Cassano, 1997	% patients experiencing adverse events- total vs with bipolar vs without bipolar	_
	drowsiness or sedation: 40 vs 36.6 vs 47.5	
	sialorrhea: 35 vs 36 vs 35	
	tachycardia: 18.3 vs 26.8 vs 0	
	weight gain > 10%: 18.3 vs 22 vs 10.5*	
	hypotension: 10 vs 14.6 vs 10	
	leucopenia: 3 vs 1.5 vs 1.5	
	(*p<0.05 between groups)	
Ciapparelli, 2000	NR	

Author, year Country	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose
Conley, 1997	Spring Grove Hospita Center		1990-1995	12 months	clozapine 468 mg/day 12 months
Deliliers 2000 Italy	Database: Italian Clozapine Monitoring System (ICLOS)	Unclear	1995 to 1999	NR	Clozapine 200-350 mg
Devinsky 1991 United States	Chart review	Unclear	1972 to 1988	NR	Clozapine
Drew 1999 Australia	Database: Clozaril Patient Monitoring System (CPMS)	Retrospective	3 years (preliminary results from 5-year study (Drew 2002)	NR	Clozapine

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## Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

		Age	Exposed	Withdrawn	
Author, year		Gender	Eligible	Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed	
Conley, 1997	46.7% schizophrenia	Mean age=35.7 years	NR/NR/50	NR/NR/50	
	34.7% schizoaffective disorder	60% male			
	10.7% bipolar disorder	Ethnicity: NR			
	8% atypical psychosis				

Deliliers	Treatment resistant schizophrenia	Mean age NR	NR	NR
2000		63% male	NR	NR
Italy		Race NR	2404	2404
Devinsky	Treatment-resistent schizophrenia	NR	1418	NR
1991		NR	1418	NR
United States		NR	1418	1418
Drew	Schizophrenia/Schizophreniform	Mean age=34	NR	NR
1999		67.7% male	42	NR
Australia		Race NR	37	37

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Author, year	•
Country	

Ocuminy .	Effectiveness dutcomes
Conley, 1997	BPRS total scores: fall 31% from baseline, p<0.0001
	BPRS 5 factor scores: fall 32% from baseline, p<0.0001
	agergia: fall 24%, p<0.01
	anxiety-depression: fall 30%, p<0.0001
	activation: fall 31%, p,0.0001
	hostility0suspiciousness: fall 46%, p<0.0001
	11(33%) patients took longer than 8 weeks to initial respond
	16(32%) never achieved clinical response
	Responders vs non-responders:
	Age: 33.79 vs 39.88, p<0.05
	Years of hospitalization: 2.57 vs 7.2, p<0.05
	BRPS
	Total score: 48.38 vs 44.25, NS
	Anxiety-depression factore: 9.97 vs 7.5, p<0.05
	Anergia factor: 7.29 vs 6.44, NS
	Thought disturbance factor: 10.71 vs 11.63, NS
	Activation factor: 6.91 vs 7.44, NS
	Hostility-suspiciousness factor: 9.35 vs 7.63, p<0.05
Deliliers	NR
2000	
Italy	
Devinsky	NR
1991	
United States	
Drew	NR
1999	TWIX
Australia	
Australia	

Author,	year
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Country	Safety Outcomes	Comments
Conley, 1997	1 cardiovascular side effect	_

Deliliers 2000 Italy Agranulocytosis 16 cases (0.7%)

Devinsky Seizures 1991 # cases=

**United States** 

# cases=41/1418 (2.9%)

Drew Hospitalization(% pts admitted ≥ 1 day) 1999 Pre-clozapine: 2nd year=51.4%

Australia 1st year=56.8%

Post-clozapine: Year1=83.8%

Year2=32.4% Year3=21.6%

Seizures: # cases=4/37 (10.8%)

Clozapine-naïve; commenced Clozapine in Australian Capital Territory (ACT) before 7/1/94

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		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Drew 2002 Australia	Database: Clozaril Patient Monitoring System (CPMS)	Retrospective	5 years	NR	Clozapine
Frankenburg, 1992	private psychiatric hospitals, psychiatric units of a general hospital or a state hospital.	Prospective	1987-1989	6 months - 2.5 years	clozapine for at least 6 months

		Age	Exposed	Withdrawn	
Author, year		Gender	Eligible	Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed	
Drew	Schizophrenia/schizoaffective	NR	NR	NR	
2002		NR	42	NR	
Australia		NR	32	32	

Frankenburg, 1992 Schizophrenia Mean age=30.9 years NR/NR/75 NR/NR/75 65.3% male Ethnicity: NR

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#### Author, year

,, <b>,</b> ,		
Country	Effectiveness outcomes	
Drew	NR	
2002		
Australia		

Frankenburg, 1992 Mean number of hospitalization, p vs preclozapine

6 months preclozapine (n=75): 1.2 ±0.8 6 months (n=75): 0.9±0.7, p=0.01 1 year (n=43): 0.3±0.5, p=0.001 1.5 years (n=30): 0.2±0.6, p=0.001 2 years (n=23): 0.1±0.3, p=0.001 2.5 years (n=14): 0.0, p=0.003

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#### Author, year

Country	Safety Outcomes	Comments
Drew	Agranulocytosis: # cases=1/32 (3.1%)	Clozapine-naïve;
2002		commenced Clozapine
Australia	Hospitalization(% pts admitted ≥ 1 day)	in Australian Capital
	Pre-clozapine Pre-clozapine	Territory (ACT) before
	2nd year=56.3%	7/1/94
	1st year=59.4%	
	Post-clozapine	
	Year1=81.3%	
	Year2=31.3%	
	Year3=21.9%	
	Year4=18.8%	
	Year5=18.8%	
Frankenburg, 1992	NR	

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		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Frankle, 2001	an outpatient mental	Retrospective	NR	NR	clozapine
	health clinic				

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## Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

		Age	Exposed	Withdrawn	
Author, year		Gender	Eligible	Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed	
Frankle, 2001	Schizophrenia, bipolar illness,	Mean age: 43 years	378/175/165	NA/NA/165	
	depression with psychotic features,	70.3% male			
	substance-induced psychotic	84.2% caucasian; 12.2% african			
	disorder, psychosis secondary to a	american; 3.6% hispanic			
	general medical condition,				
	delusional disorder, brief and				
	shared psychotic disorder, and				
	nschosis not otherwise specified				

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#### Author, year

Country Effectiveness outcomes

Frankle, 2001

Poisson Regression Analysis of influence of demographic and clinical variables on arrest rate of 165 psychotic patients with criminal histories- regression coefficient; SE; p value; % change; 95% CI

Sex: -0.41; 0.25; 0.10; -33.4; -59.1-8.3 Age: -0.02; 0.01; 0.15; -1.5; -3.6-0.6

Birth cohort effect: 0.05; 0.01; 0.0001; 4.8, 2.4-7.3 Education: -0.12; 0.02; 0.0001; -11.6; -15.6- -7.4 Onset of illness: 0.50; 0.20; 0.01; 64.6; 11.9-142.2

Before clozapine treatment: -0.39; 0.18; 0.02; -32.6; -52.1- -5.0 Clozapine treatment: -1.17; 0.24; 0.0001; -68.9; -80.7- -49.8

Poisson Regression Analysis of influence of demographic and clinical variables on arrest rate of 52 psychotic men with criminal histories who were treated with clozapine after 1980- regression

coefficient; SE; p value; % change; 95% CI Age: 0.01; 0.04; 0.90; 0.5; -7.0-8.8

Birth cohort effect: 0.08; 0.04; 0.08; 8.0; -1.0-17.7 Education: -0.12; 0.04; 0.002; -11.3; -17.8- -4.2 Onset of illness: 0.13; 0.41; 0.75; 13.6; -48.8-152.0 Clozapine treatment: -0.85; 0.50; 0.09; -57.1; -83.8-13.6

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Author,	year
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Country	Safety Outcomes	Comments
Frankle, 2001	NR	165 patients psychiatric
		patients with criminal
		histories

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## Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Gordon, 1996	Haverfort State	Prospective	August 1990 to	12 months	clozapine 405 mg/day for over 6 months
	Hospital		February 1993		

		Age	Exposed	Withdrawn	
Author, year		Gender	Eligible	Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed	
Gordon, 1996	Schizophrenia	Mean age=33.2 years	NR/NR/31	NR/NR/31	
		81% male			
		100% white			

# Author, year Country

#### Effectiveness outcomes

Gordon, 1996

BPRS scores- baseline vs post clozapine:

Low dose- positive symptoms: 16.8 vs 8.75, p<0.0005 Low dose- negative symptoms: 10.93 vs 8.2, p=0.01 Low dose- total score: 57.94 vs 33.56, p<0.0005 High dose- positive symptoms: 17.07 vs 11.2, p<0.005 High dose- negative symptoms: 11.13 vs 8.00, p<0.0005

High dose- total score: 56.6 vs 36.4, p<0.0005

Response- low dose vs high dose

BPRS scores decreased >40%: 10/16 (62.5%) vs 7/15 (53.3%) BPRS scores decreased 20%-38%: 5/16 (31.3%) vs 8/15 (53.3%)

Clinically responser- BPRS scores decreased >20% and a BPRS total score <35:

low dose: 9 (56.2%); high dose: 8 (53.3%) Motor retardation- before vs after clozapine: NS

No. of PRN medications reduction:

low dose: >75%, p<0.01 high dose: 62%, p<0.025

Social function- no. of day/weekend to the community- before vs after clozapine treatment

low dose: 4.94 vs 9.19, p<0.005 high dose: 8.40 vs 13.67, p<0.005

4 patients in high dose and 3 patients in low dose were able to work for pay after 6 months clozapine

treatment (none had participated in workshop activities before clozapine treatment).

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Author,	year
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Country	Safety Outcomes	Comments
Gordon, 1996	No argranulocytosis, leukopenia or seizures	
	Minor sedation, orthostatic, hypotension, tachycardia, constipation, and elevated temperature: 1.5 patients in	
	each group	

		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Hagg	Single site	Cross-sectional,	Years treated	No follow-up (snapshot)	Clozapine
1998	Naturalistic: Gallivare	prevalence study	mean (range):		Typical APs
Sweden	Hospital		clozapine 3 (0.1-6)		Mean dose NR
			typical APs 6 (0.2-		
			22)		

Henderson Chart review: Retrospective 5 years NR Clozapine 2000 outpatient clinic of United States urban mental health center

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### Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

Author, year Country	Population	Age Gender Ethnicity	Exposed Eligible Selected	Withdrawn Lost to fu Analyzed
Hagg 1998 Sweden	Patients treated with clozapine or typical APs at the time study was conducted.  85% schizophrenia 4.6% paranoid psychosis 3% cycloid psychosis 3% affective/schizo- affective psychosis	Mean age: clozapine 41, typical APs 48 59% male Ethnicity NR	214/142/130 Clozapine n=63 Typical APs n=67	NR NR 130 analyzed
Henderson 2000 United States	Schizophrenia Schizoaffective disorder	Mean age=36.35 73.2% male 91.5% white	NR 101 82	NR NR 82

Author, year		
Country	Effectiveness outcomes	
Hagg	NR	
1998		
Sweden		

Henderson 2000 United States NR

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Author,	year
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Country	Safety Outcomes	Comments
Hagg	Clozapine vs typical APs,	12 (19%) clozapine
1998	Prevalence:	subjects had
Sweden	Hyperglycemia 33 vs 19% (p=0.07)	concomitant treatment
	Type 2 diabetes 12 vs 6% (ns)	with typical APs, most
	Impaired glucose tolerance (IGT) 10 vs 3% (ns)	often haloperidol (n=6).
	Type 2 DM or IGT 22 vs 10% (p=0.06)	
		Body mass index was
	Women with type 2 diabetes or IGT, clozapine vs typical APs:	similar between
	9/27 (33.3%) vs 2/26 (7.7%) (p=0.04)	clozapine patients with
		and without
	Body mass index, all subjects:	diabetes/IGT.
	27 vs 28 kg/m2 (ns)	
	Body mass index, subjects with diabetes mellitus or IGT:	Clozapine patients
	27 vs 30 kg/m2 (ns)	tended to be younger
		and treated for fewer
		years than patients on
		typical APs.
		•
Henderson	Diagnosis of Type II Diabetes=30/82 (36.6%)	
2000		
United States	Weight gain: linear coefficient of 1.16 lb/month (SE=0.18) (mixed-effects model, t-6.62, df-80, p=	=0.0001)

Author, year Country	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose
Hofer, 2003	inpatients unit of the Department of Psychiatry of Innsbruck University Clinics	Prospective	1989-1996	8 weeks	clozapine 263.5 mg/day for at least 8 weeks

Honer, 1995 the Treatment Prospective NR 50 weeks clozapine
Refractory Psychosis
Program of Riverview
Hospital and the
Schizophrenia Unit of
the Vancouver
Hospital and Health
Science Center

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		Age	Exposed	Withdrawn	
Author, year		Gender	Eligible	Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed	
Hofer, 2003	Schizophrenia or schizphreniform	Mean age=28.7 years	NR/NR/95	NR/NR/95	
	disorder	75.5% male			
		Ethnicity: NR			

Honer, 1995

100% schizophrenia
Mean age=32.7 years
NR/NR/61
NR/1/60
80% male
35% paranoid
Ethnicity: NR
17% disorganized
3% catatonic
3% residual

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#### Author, year

Country Effectiveness outcomes

Hofer, 2003 Multiple linear regression: only age found to be a significant predictor of CGI (F=4.22, p=0.045)

Honer, 1995 GAF scores: significantly improved, p=0.0001

CGI scores: significant improved, p=0.0001

80% responders were identified by 20 weeks and all by 32 weeks:

Responders: 61% boarding home; 22% own home or relatives; 17% psychiatric hospital Nonresponders: 28% boarding home; 40% own home or relatives; 33% psychiatric hospital

Multiple regression analysis- predict GAF and CGI scores

GAF discharge with GAF year and admission as predictor variables: R=0.45, F=7.15, p=0.002

GAF year: slope t=3.64, p=0.0006 GAF admission: slope t=0.63, p=0.53

CGI admission correlated with CGI discharge: R=0.34, F=7.48, p=0.008

Duration of treatment with clozapine was negatively correlated to GAF discharge: R=0.47, F=5.30,

p=0.003

The relationship between response and schizophrenia subtype

subtype: F=8.4, p=0.0007 time: F=52.43, p=0.0001 interaction: F=0.76, p=0.56 (similar results for CGI)

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#### Author, year

Country	Safety Outcomes	Comments
Hofer, 2003	1 seizures	
	1 increased liver enzyme level	
	Frequently reported side effects: week 1-3(%) vs week 4-6(%)	
	First episode (n=39)	
	concentration difficulty: 51.3 vs 13	
	asthenia: 48.7 vs 26.1	
	sedation: 20.5 vs 0	
	failing memory: 25.6 vs 0	
	increased duration of sleep: 41.3 vs 30.4	
	increased salivation: 28.2 vs 17.4	
	diminished sexual desire: 41.0 vs 13.0	
	Multiple episode (n=556)	
	concentration difficulty: 55.3 vs 31.5	
	asthenia: 53.6 vs 25.8	
	sedation: 35.7 vs 20.0	
	failing memory: 28.6 vs 17.1	
	increased duration of sleep: 39.3 vs 25.7	
	increased salivation: 23.2 vs 8.6	
	diminished sexual desire: 35.8 vs 25.7	
Honer, 1995	NR	

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Author, year Country	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose
Honigfeld 1996 United States	Database: Clozapine National Registry System	Unclear	2/1990 to 12/1994	NR	Clozapine
Honigfeld, 1990	NR	Retrospective	NR	2 years	clozapine 350-450 mg/day
Kane, 1994	the inpatients sevice at Hillside Hospital	Prospective	NR	52 weeks	clozapine 599 mg/day 52 weeks
Killian, 1999	Adverse Drug Reactions Advisory Committee (ADRAC) of Australia	Unclear	Jan. 1993 to March 1999	NR	Clozapine range: 100-725 mg/d  myocartditis pts took cloz. a median of 15d (range: 3 -22d) before myocarditis developed  Cardiomyopathy pts took cloz. a median of 12 months (range: 2-36 m) before cardiomyopathy developed

Author, year Country	Population	Age Gender Ethnicity	Exposed Eligible Selected	Withdrawn Lost to fu Analyzed
Honigfeld 1996 United States	Treatment resistant schizophrenia	NR NR NR	NR NR 99,502	NR NR 99,502
Honigfeld, 1990	NR	Mean age=33 years 58% male Ethnicity: NR	NR/NR/105	NA/NA/105
Kane, 1994	Schizophrenia or schizoaffective disorder	Mean age=27.6 years 66% male 84% white; 14% black; 2% other	NR/NR/56	NR/NR/34
Killian, 1999	Clozapine-using patients  (article did not specify diagnosis of pts in registry)	Mean age: 36y 87% male Ethnicity: NR	8000/ 43/ 33	NR/ NR/ 33

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	•••	•••	٠.,	•	_	•	•

Country	Effectiveness outcomes
Honigfeld 1996 United States	NR
Honigfeld, 1990	BPRS total scores- 0, 3, 12, 24 (month): 49, 33, 30, 30.5
Kane, 1994	Correlations of Simpson-Angus Akinesia item with BPRS anergia factor: r, p value baseline (n=56): 0.68, p=0.00 week 3 (n=49): 0.59, p=0.00 week 6 (n=47): 0.43, p=0.00 week 12 (n=27): 0.48, p=0.01 week 26 (n=28): 0.40, p=0.03 week 39 (n=24): 0.37, p=0.07
Killian, 1999	NR

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Country	Safety Outcomes	Comments
Honigfeld	Agranulocytosis	_
1996	Cases=382(0.38%)	
United States	Fatal cases=12(0.012%)	
Honigfeld, 1990	Adverse event: Year 1 vs Year 2 (% patients) salivation: 37.1 vs 19.0	
	drowsiness: 31.4 vs 11.4	
	tachycardia: 12.4 vs 10.5	
	dizziness: 12.4 vs 2.9	
	constipation: 10.5 vs 5.7 hypotension: 7.6 vs 0	
	syncope: 4.8 vs 0	
	akathisia: 3.8 vs 2.9	
	weight gain: 3.8 vs 4.8	
Kane, 1994	NR	
Killian, 1999	Caradiomyopathy: 8 cases (of 8000 clozapine pts; 0.10%) Myocarditis: 15 cases (of 8000 clozapine pts; 0.19%) (10 additional cases were not supported by objective clinical or investigational findings)	
	(10 additional bases were not supported by objective difficult of investigational infalligs)	
	Deaths: 33.3% (5 of 15) myocarditis pts and 12.5% (1 of 8) cardiomyopathy pts died	

		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Kranzler, 2005	Bronx Children's Paychiatric Center	Prospective	November 1997 to August 2001	3 months	clozapine 24 weeks
Koller, 2001	MedWatch Drug Surveillance System	Retrospective	January 1990 to February 2001	NR	clozapine 362 mg
Laker	Chart review (Royal	Unclear	1/90 to 6/95	NR	Clozapine
1998 London	London, Goodmayes, Claybury and Runwell)		1/90 10 0/93	IVIN	Ciozapii ie

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## Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

Author, year Country	Population	Age Gender Ethnicity	Exposed Eligible Selected	Withdrawn Lost to fu Analyzed
Kranzler, 2005	Schizophrenia or schizoaffective disorder	Mean age=20 years Gender: NR Ethnicity: NR	NR/37/20	NR/NR/20
Koller, 2001	clozapine-associated diabetes or hyperglycemia	Mean age=40 years Gender: NR Ethnicity: NR	NR/NR/384	NA/NA/384
Laker 1998 London	Treatment-resistent schizophrenia	Mean age=35 71.7% male Race NR	115 115 113	39 (34.5%) discontinued treatment NR 74 continuers analyzed

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Author,	year
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Country	Effectiveness outcomes
Kranzler, 2005	baseline vs clozapine: the frequency of administration of oral p.r.n. medications for aggression: 0.21 vs 0.05, p=0.000 the frequency of administration of injectable p.r.n. medications for aggression: 0.04 vs 0.01, p=0.007 the frequency of seclusion events for aggression: 0.04 vs 0.01, p=0.003 decrease in the frequency of administration of oral p.r.n. medications for aggression: 0.26 vs 0.09, p=0.02
Koller, 2001	NR

Laker NR 1998 London

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## Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

Author, y	ear
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Country	Safety Outcomes	Comments
Kranzler, 2005	NR	
Coller, 2001	clozapine was discontinued in 110 cases (54 cases follow-up were available)	
(Olici, 200 i	42 improved in metabolic status	
	11 had no change in metabolic status	
	26 no longer required hypoglycemic drug therapy	
	18 glucose levels returned to normal 80 patients had metabolic acidosis or ketosis accompanied the hyperglycemia	
	73 with new-onset diabetes (blood glucose level >= 500 mg/dL)	
	51 with new-onset diabetes (blood glucose level >= 700 mg/dL)	
	32 with new-onset diabetes occurred within 3 months of the initiation of clozapine therapy (blood glucose lev	el
	>= 700 mg/dL)	
	26 had acidosis or ketosis 25 died during hyperglycemic episodes	
	16 had acidosis or ketosis	
	146 patients had body weight data	
	38 had no clear evidence of obesity or substantial weight gain	
_aker	Death	
998 .ondon	All cause=3 cases (2.6%)	
condon	Hospitalization	
	Year1=40 (56%)	
	Year2=27 (60%)	
	Year3=13 (48%)	
	Year4=5 (38%) Endpoint=36 (49%)	
	Suicide 1 case (0.9%)	
	1 Case (U.370)	

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Author, year Country	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose
Lamberti, 1992	a state hospital	Retrospective	NR	6 months	clozapine 380 mg/day
Leadbetter, 1992	a state psychiatric facility	Prospective	NR	12 weeks	clozapine 25-125 mg/week for 12 weeks
Lieberman 1992 Alvir 1993 United States	Database: Caremark Patient Monitoring System (CPMS) from 2/5/90 to 4/30/91	Unclear	>/= 3 weeks	NR	Clozapine mean maximum dose=451.9 mg
Lund 2001 United States	Database: lowa Medicaid program claims/prescription database	Unclear	1990 to 1994	Clozapine=25.5 months Typical APs =24.5 months	Clozapine Typical Aps

Author, year Country	Population	Age Gender Ethnicity	Exposed Eligible Selected	Withdrawn Lost to fu Analyzed
Lamberti, 1992	chronic schizophrenia	Mean age=34.8 years 75% male Ethnicity: NR	NR/NR/36	NR/NR/36
Leadbetter, 1992	Schizophrenia or schizoaffective disorders	Mean age=32.6 years 62% male	NR/NR/21	NR/NR/21
Lieberman 1992 Alvir 1993 United States	Schizophrenia	Mean age NR 62% male Race NR	17,042 11,555 11,555	NR NR 11,555
Lund 2001 United States	Schizophrenia	Mean age=41.9 59.2% male Race NR	NR 4770 3013	NR NR 3013 (clozapine=552, CAPD=2461)

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Author, year Country	Effectiveness outcomes
Lamberti, 1992	NR
Leadbetter, 1992	NR
Lieberman 1992 Alvir 1993 United States	NR
Lund 2001 United States	NR

Author,	yea
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Safety Outcomes	Comments			
7(19.4%) weighed less than their minimun ideal weights				
23(63.9%) weighed more than their maximum ideal weights				
· · · · · · · · · · · · · · · · · · ·				
15(41.7%) gained .= 21 lb while taking clozapine				
BPRS - baseline vs 6 month = 66 vs 47, p<0.0001				
BPRS correlated to weight gained during clozapine treatment: Spearman r = -0.31, df=28, p<0.1				
patients weighed more during the first 12 weeks of clozapint treatment than baseline, p<0.01				
13(62%) experienced significant increased in weight, p<0.05				
7(33%) weight less in standard antipsychotics treatment (-0.44 lb) than clozapine treatment (+13.8 lb) compared to baseline, p<0.001				
8 patients experienced marked weight gains (>= 10% increased)				
6 had moderate weight gains (5%-10% increased)				
4 had mild to minimal weight gains (<5% increased)				
· · · · · · · · · · · · · · · · · · ·				
mean weight gain: 13.9 lb (8.9%)				
patients gained at least 10% weight showed greater decrease in total BPRS score than patients with less weign change (p<0.03)	ght			
Agranulocytosis	Age, gender			
# cases/fatal cases=73/2	3-7-3-			
Cumulative incidence (year1/year1.5): 0.8%/0.91%				
Diabetes	Age			
Total cohort				
21 (4%) vs 78 (3.4%); p=0.62				
RR 2.5, 95% CI 1.2 to 5.4				
	7(19.4%) weighed less than their minimun ideal weights 23(63.9%) weighed more than their maximum ideal weights mean weight gain: 16.9 lb, p<0.0001 mean weight gain for each patients: 10.6% 27(75%) gained >= 10 lb while taking clozapine 15(41.7%) gained .= 21 lb while taking clozapine BPRS - baseline vs 6 month = 66 vs 47, p<0.0001 BPRS correlated to weight gained during clozapine treatment: Spearman r = -0.31, df=28, p<0.1 patients weighed more during the first 12 weeks of clozapint treatment than baseline, p<0.01 13(62%) experienced significant increased in weight, p<0.05 7(33%) weight less in standard antipsychotics treatment (-0.44 lb) than clozapine treatment (+13.8 lb) compart to baseline, p<0.001  8 patients experienced marked weight gains (>= 10% increased) 6 had moderate weight gains (5%-10% increased) 4 had mild to minimal weight gains (<5% increased) 3 lost weight mean weight gain: 13.9 lb (8.9%) patients gained at least 10% weight showed greater decrease in total BPRS score than patients with less weighting change (p<0.03)  Agranulocytosis # cases/fatal cases=73/2 Cumulative incidence (year1/year1.5): 0.8%/0.91%  Diabetes Total cohort			

		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Manschreck, 1999	NR	Prospective	NR	1 vear	clozapine 300-600 mg/day for 1 year

Nair, 1999	a clinical research center	Prospective	NR	16 weeks	clozapine 100mg, 300mg, or 600mg for 16 weeks
Pacia 1994 United States	Database: CPMS	Unclear	2/6/90 to 8/5/90	NR	Clozapine

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		Age	Exposed	withdrawn	
Author, year		Gender	Eligible	Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed	
Manschreck, 1999	Schizophrenia or schizoaffective	Mean age=40.8 years	NR/NR/54	NR/NR/54	
	disorder	46.2% male			
		Ethnicity: NR			

Nair, 1999	Schizophrenia or schizoaffective disorder	Mean age=42.45 years 54.5% male Ethnicity: NR	NR/48/33	NR/NR/33
Pacia	Schizophrenia	NR	5629	NR
1994		NR	5629	NR
United States		NR	5629	5629

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#### Author, year

Country	Effectiveness outcomes
Manschreck, 1999	basline vs endpoint, p value
	Discharged-
	BPRS total: 61.4 vs 49.3, p<0.0001
	SAPS total: 8.4 vs 4.1, p=0.0003
	SANS total: 14.1 vs 9.2, p<0.0001
	Thought disorder (SAPS/SANS): 5.1 vs 3.1, p=0.09
	AIM total: 2.6 vs 0.2, p=0.1
	Simpson-Angus total: 2.5 vs 0.4, p=0.02
	Hospitalized-
	BPRS total: 64.9 vs 57.5, p=0.1
	SAPS total: 9.4 vs 7.6, p=0.05
	SANS total: 13.6 vs 9.6, p=0.002
	Thought disorder (SAPS/SANS): 4.9 vs 2.8, NS
	AIM total: 3.2 vs 0.3, p=0.08
	Simpson-Angus total: 2.1 vs 0.5, p=0.1
	Cognitive assessments
	Discharged: 17/19 items showed NS
	Hospitalized: 19/19 items showed NS
	Length of illness of complete nonresponders versus responders on one or both criteria
	Neither discharged nor reached criterion for BPRS improvement (n=10): 27.6 ±9.5 years
	Both discharged and "responder" by BPRS criterion (n=14): 19.2 ±11 years
	BPRS improvement without being discharged (n=9): 16.9±10.9 years
	Discharged without reaching criterion for BPRS improvement (n=21): 20.3 ±8.3 years

Nair, 1999

Pacia N 1994 United States

NR

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Author,	year
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Country	Safety Outcomes	Comments
Managala 4000	ND	

Manschreck, 1999 NR

Nair, 1999 NR

Pacia Seizures 1994

**United States** 

71 cases (1.3%)

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		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Rastogi, 2000	NR	Prospective	NR	6 months	clozapine 150-300 mg
					6 months
Reid	Database: Texas MH	Unclear	1991 to 1996	NR	Clozapine
1998	System	Officieal	1991 to 1990	MIX	Ciozapine
United States	Cycloni				
Sajatovic	Naturalistic: VA	Prospective	October 1, 1991 to	184 davs	503mg
2000	National Clozapine		November 11, 1996		3
United States	Coordinating Center				
	(168 VA facilities)				
Tandon, 1993	Lenawee Country	Prospective	NR	8 weeks	clozapine 405 mg/day
	Community Mental				
	Health Center				

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# Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

Author year		Age Gender	Exposed Eligible	Withdrawn Lost to fu	
Author, year Country	Population	Ethnicity	Selected	Analyzed	
Rastogi, 2000	Schizophrenia	Mean age=37.8 years 71% male Ethnicity: NR	NR/NR/31	NR/NR/31	
Reid 1998 United States	Schizophrenia/ Schizoaffective	NR NR NR	NR NR NR	NR NR NR	
Sajatovic 2000 United States	Treatment resistant schizophrenia	Mean age=44.8 (n=2996) 94.7% male (n=2488) Race NR	2996 2996 2996	NR NR Unclear	
Tandon, 1993	Schizophrenia	Mean age=37 years 70% male Ethnicity: NR	NR/NR/44	4/NR/40	

# Author, year

Country	Effectiveness outcomes
Rastogi, 2000	Global impression: 21(67.7%) patients were rated as improved by clinicians 18(58.1%) patients self-rated as improved Six monthly outcome measure for the basic everyday living skills scale: Mean % improvement self-care: 15% domestic skills: 20% community skills: 17% activity and social skills: 22%
Reid 1998 United States	NR
Sajatovic 2000 United States	NR
Tandon, 1993	baseline vs post-treatment, p value, % change <u>Global severity</u> : 53.5 vs 43.3, p<0.01, 19.1% <u>Positive symptoms</u> : 16.0 vs 12.4, p<0.01, 22.5% <u>Negative symptoms</u> : 13.8 vs 11.0, p<0.01, 20.3%

#### Author, year

Country	Safety Outcomes	Comments
Rastogi, 2000	NR	

Reid Suicide 1998 1 case

United States Annual rate=12.74 per 1000,000

Sajatovic Agranulocytosis
2000 Cases: 14 (0.5%)
United States Fatal cases: 2 (0.1%)

Death 38 (1.3%) Seizures 14 (0.5%) Suicide 2 (0.1%)

Tandon, 1993 NR

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**United States** 

## Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Taylor, 2000	27 clozapine clinics in	Retrospective	March to May, 1999	58.6% 2 years or more	clozapine
	UK			16.1% 1-2 years	
				10.7% 6 months-1 year	
				13.5% less than 6 months	
				0.9% no response given	
				0.2% unable to remember	

Umbricht Chart review Retrospective 12 months Clozapine 1994

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Author, year	Post Life	Age Gender	Exposed Eligible	Withdrawn Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed	
Taylor, 2000	NR	Mean age: NR	NR/NR/1284	NR/NR/1284	
		25-44 years 68.8%			
		63.3% male			
		89.5% Caucasian; 4.9%			
		Caribbeans; 2.8% Asians			

 Umbricht
 Schizophrenia
 Mean age=28.7
 NR
 NR

 1994
 68% male
 NR
 NR

 United States
 85.4% white
 82
 68

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# Author, year

Country	Effectiveness outcomes
Taylor, 2000	perception of clozapine treatment
	better: 62.1%
	much better: 24.0%
	slightly better: 24%
	about the same: 9.8%
	slightly worse: 1.8%
	much worth: 0.9%
	no reply: 1.4%
	perceived benefits of clozapine: 35.4% feeling better
	improvements in tolerability: 8.4%
	did not like about clozapine:
	blood test: 24.2%
	drowsiness: 13%
	increased salivation: 9.8%
	weight gain: 5.4%
	no reply: 19%
	Preference-
	prefer to stay on clozapine: 88.6%
	prefer previous treatment: 6.5%
	advantages of clozapine outweighed disadvantages: 87%
	advantages of clozapine did not outweigh disadvantages: 6.5%
	no reply: 6.5%
	how patients lives had changed:
	57% easier to mix with people
	42.9% now liked socialising
	52.9% had left hospital
	42.9% could now live in a hostel
	7% had obtained employment
	11.1% reported has not changed
	3% no reply
Umbright	NR
Umbricht	INIX
1994	
United States	

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Author,	year
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 Country
 Safety Outcomes
 Comments

 Taylor, 2000
 NR

Umbricht 1994 United States 60% with ≥ 10% weight gain

72% neuroleptictreatment resistent

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Author, year	Data	Prospective Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Wilson	Chart review	Unclear	May 1990 to	6 months	Clozapine 597 mg (mean at month 6)
1992	(Dammasch State		January 15, 1991		
United States	Hospital; Wilsonville,				
First paper in a series studying	Oregon)				
clozapine-treated pts in Dammasch State Hospital; this study analyzed					
the pts entered into the cohort in					
the first 6 months					
Wilson	Chart review of first	Unclear	May 1990 to	1 year follow-up (as well as	Clozapine begun at 25 mg/d and titrated
1993	100 pts starting		December 1991	review of 6 months priort to	upwards;
United States	clozapine treatment			start of clozapine treatment);	
Second paper in a series studying	(Dammasch State			at 1 year follow up 37 pts had	Mean clozapine dose for pts at 3 months
clozapine-treated pts in Dammasch				been discharged to community	was 463 mg/d;
State Hospital; this study analyzed	Oregon)			and 63 pts remained	Mean dose for pts who remained
the pts entered into the cohort in				hospitalized	hospitalized and continued clozapine 564
the first year					mg/d

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# Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

		Age	Exposed	Withdrawn
Author, year		Gender	Eligible	Lost to fu
Country	Population	Ethnicity	Selected	Analyzed
Wilson 1992	All patients who began clozapine treatment (Criteria for clozapine	Mean age=35 64.9% male	NR NR	NR NR
United States First paper in a series studying clozapine-treated pts in Dammasch State Hospital; this study analyzed the pts entered into the cohort in the first 6 months		86% white	37	37
Wilson 1993 United States	Schizophrenia: 67%; Schizoaffective disorder: 26%; Bipolar with psychotic features:	Mean age: 37y Range: 20-61y	NR/ NR/ 100	9 NR 100
Second paper in a series studying	6%;	55% male		
clozapine-treated pts in Dammasch	Organic delusional disorder: 1%			1 pts dropped out after
State Hospital; this study analyzed		94% white		leukopenia and 1 pts
the pts entered into the cohort in the first year	12% had previous history of seizures - 8% idiopathic and 4% followed head trauma			dropped out after seizure

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#### Author, year

Country Effectiveness outcomes

Wilson 1992

NF

**United States** 

First paper in a series studying clozapine-treated pts in Dammasch State Hospital; this study analyzed the pts entered into the cohort in

the first 6 months

Wilson NR
1993
United States
Second paper in a series studying clozapine-treated pts in Dammasch
State Hospital; this study analyzed the pts entered into the cohort in the first year

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#### Author, year

Autiloi, yeai		
Country	Safety Outcomes	Comments
Wilson	Seizures	
1992	3 (8.1%)	
United States		
First paper in a series st	udying	
clozapine-treated pts in I	Dammasch	
State Hospital; this study	analyzed	
the pts entered into the o	pohort in	
the first 6 months		

Wilson 1993 United States Second paper in a series studying clozapine-treated pts in Dammasch State Hospital; this study analyzed the pts entered into the cohort in the first year

Seizures: 10% of pts (5 men and 5 women) had at least 1 seizure; they occurred at a mean dose of 323 mg/d of the 10 pts with seizures: 6 pts were smokers, 4 were nonsmokers

4 pts of 12 with previous history had seizures; 6 of 88 pts without this history had seizures

1 of 9 pts withprevious head trauma had seizure

1 pt reported to have died of pnuemonia (not related to drug) 4 mos after discontinuing clozapine

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		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Zito, 1993	a large, state- operated, public psychiatric system	Retrospective	NR	1 year	clozapine

		Age	Exposed	Withdrawn	
Author, year		Gender	Eligible	Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed	
Zito, 1993	schizophrenia	Mean age=35.6 years	267/227/202	NR/NR/202	
		73% male			
		Ethnicity: NR			

Author, year

ry Effectiveness outcomes

Country Zito, 1993

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**Safety Outcomes** Comments Country Zito, 1993 CSEP(6 week vs 12 week vs 1 year) vs SCS 6 week Drowsiness: 52% vs 46% vs 35% vs 21% Tachycardia: 39% vs 27% vs 17% vs 17% Hypersalivation: 23% vs 21% vs 11% vs 13% Weight gain: 22% vs 26% vs 29% vs NR Dizziness: 21% vs 13% vs 6% vs NR Hypotension: 17% vs 8% vs 7% vs 3% Constipation: 16% vs 14% vs 14% vs 16% Dry mouth: 12% vs 6% vs 7% vs 5% Nausea/vomiting: 12% vs 7% vs 6% vs 10% Fever: 11% vs 5% vs 2% vs 12% Hypertension: 10% vs 9% vs 6% vs 13% Tremor: 10% vs 7% vs 4% vs 2% Headache: 8% vs 6% vs 5% vs 10% Akathisia: 8% vs 5% vs 4% vs 2% Blurred vision: 5% vs 4% vs 1% vs NR Bronchial hypersecretion: 4% vs 2% vs 2% EPSE: 3% vs 3% vs 2% vs NR Falling: 3% vs 3% vs 2% vs NR WBC reduction: 1.5% vs 0.5% vs 2% vs 5% Seizures: 0.5% vs NR vs 2% vs NR

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Author, year Country	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose
Olanzapine					
Biswas, 2001	survey	Retrospective	December 1996 to May 1998	6 months	olanzapine for at least 6 months

Conley, 1998 three clinical sites Prospective NR 7 weeks olanzapine 10 mg/day for a week, followed by a maximum daily dose of 25 mg. 7 weeks total

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Author, year		Age Gender	Exposed Eligible	Withdrawn Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed	
Olanzapine					
Biswas, 2001	schizophrenia 39.2% psychosis 12.5% not specified 33.4% depression 3% hallucunations 2.1% paronia 1.9% maniac depression 1.6% delusions 0.8% dementia 0.5% psychiatric unspecified 0.5% behavior abnormal 0.5%	Mean age=42.3 years 43.1% male Ethnicity: NR	15588/10735/8858	NA/NA/8022	
Conley, 1998	Schizophrenia	Mean age=41.7 years 77% male 68% white; 32% black	NR/NR/NR	NR/NR/60	

Author, year		
Country	Effectiveness outcomes	
Olanzapine		
Biswas, 2001	NR	

Conley, 1998 Substance abusers (SA), n=23; Non-substance avusers (NSA), n=37

BPRS total score: significant improved, p=0.0361

BPRS thought disturbance: significant improved, p=0.003 BPRS anxiety factors: significant improved, p=0.0175 38 (63%) were considered olanzapine improvers

SA and NSA has no differences on the total BPRS, CGI, SANS ratings BPRS negative symptom factor (NSA): significant improved, p=0.0001

16/23(69%) of the SA patients and 22/37(60%) of the NSA patients were considered olanzapine

improvers defined by a priori  $% \left( \mathbf{p}\right) =\mathbf{N}\mathbf{S}$ 

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Author,	year
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Country	Safety Outcomes	Comments
Olanzapine		
Biswas, 2001	193 events in 145(1.6%) patients the most frequency reasons for stopping olanzapine: drowsiness/sedation 153 cases weight gain 117 cases  691(7.8%) patients >=70 y/o: drowsiness/sedation were most frequently reported- 3.31% confusion and fall 158(1.78%) patients <18 y/o: 1 abnormal liver function, 1 weight gain	II
	18 pregnancy: 2 spontaneous abortion 3 therapeutic termination of pregnancy 11 live birth	
	195 deaths 11 suicide 1 accidental overdose	
Conley, 1998	EPS symptoms: Simpson-Angus scale: significant improved, p=0.0001 Barnes Akathisia scale: significant improved, p=0.0196 Tardive Dyskinesia: SA vs NSA, p value Baseline: 11/23(48%) vs 5/37(14%) have TD, p=0.00613 AIMS scores: baseline vs endpoint SA: 9.45 vs 6.91 NSA: 10.60 vs 8.8	

		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Del Paggio, 2002	21 mental health clinics, 8 treatment teams, and 45 psychiatrists	Prospective	November 1, 1996 to April 30, 1998	12 months	olanzapine
Dossenbach, 2000	5 study centers	Prospective	NR	18 weeks	olanzapine 5-25 mg/day 18 weeks
Dossenbach, 2001	7 study centers	Prospective	NR	14 weeks	olanzapine 15.7 mg/day 14 weeks

Author, year		Age Gender	Exposed Eligible	Withdrawn Lost to fu
Country	Population	Ethnicity	Selected	Analyzed
Del Paggio, 2002	66.3% thought disorder 33.7% other	Mean age=35.9 years 63.5% male Ethnicity: NR	NR/NR/189	NR/NR/189
Dossenbach, 2000	chronic schizophrenia	NR	50/NR/48	5/3/48
Dossenbach, 2001	schizophrenia	Mean age=33.9 years 74% male Ethnicity: NR	43/34/34	7/1/34

# Author, year

Country	Effectiveness outcomes				
Del Paggio, 2002	Resource utilization- before vs after olanzapine therapy: mean change (95%CI), p value				
	hospitalization, no. of days: -18.2 (-29.6 to -7.9), <0.001				
	outpatient visit, no.: 9.7 (-3.4 to 21.9), 0.15				
	crisis visits, no.: -0.28 (-0.56 to -0.09), 0.005				
	cost, \$				
	impatient treatment: -4423 (-7404 to -1282), 0.003				
	outpatient treatment: 1051 (79-1976), 0.035				
	crisis treatment: -203 (-375 to -49), 0.009				
	medication: 1585 (1109 to 2247), <0.001				
	total: -1991 (-5258 to 1122), 0.22				
	PANSS score at 6 months: decrease 15 points (95%CI: -17 to -3), p<0.001				
	PANSS negative subscale score: decrease 4 points (95%CI: -6 to -1), p<0.001				
Dossenbach, 2000	PANSS total score- baseline, mean reduced points, %: 115.3, 17.7, 14.2%				
	BPRS total score- baseline, mean reduced points, %: 44, 9.8, 20.2%				
	(week 6 to week 18 show significant reduced points, p<0.001)				
	Responders- >=20% decrease				
	PANSS: 18(40%)				
	BPRS: 25(55.6%)				
	Responders- 30%, 40% decrease				
	PANSS: 11(24.4%), 2(4.4%)				
	BPRS: 17(37.8%), 13(28.9%)				
	<u>CGI</u> - achived some degree of improvement: 24(53.3%)				
	Patient Globol Impression - improvement: 23(51%)				
Dossenbach, 2001	PANSS total score- mean change from baseline at endpoint (week 14): -28.7, p<0.05				
·	BPRS total score- mean change from baseline at endpoint (week 14): -17.17, p<0.05				
	PANSS responder- >=20% decrease in total score: 20(58.8%) by week 14				
	PANSS total score changed at week 14- responder vs nonresponder: -14.4 vs -7.8, p=0.0001				
	BPRS total score changed at week 14- responder vs nonresponder: -25.3 vs -5.6, p=0.0001				
	CGI at week 14: 24(70.6%) rated minimal or greater improvement; 6(17.6%) rated no change;				
	4(11.8%) rated worsened.				

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Country	Safety Outcomes	Comments
Del Paggio, 2002	NR	
Dossenbach, 2000	24(50%) reported >= 1 treatment-emergent adverse event  SAS score- baseline vs week 6 vs week 18: 2.7 (vs 1.8 vs 1.6), p<0.001  AIMS score- baseline vs week 6 vs week 18: 2.6 (vs 1.5 vs 1.3), p<0.05  BAS score: NS  weight gain: 1.2+4 kg, p=NR	
Dossenbach, 2001	17(50%) reported no treatment-emergent adverse events 17(50%) reported >= 1 treatment-emergent adverse event  3(8%) abnormal liver function 3(8%) weight gain 2(5.9%) akathisia 2(5.9%) anxiety 2(5.9%) asthenia 2(5.9%) headache 2(5.9%) insomnia  ESRS total score- baseline vs endpoint: 2.8 vs 0.6, p<0.001 CGI-S for AEs: 33(97.1%) was either "not affected" or "not significant affected" by olanzapine treatment	switch from risperidone to olanzapine

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Author, year	Data	Prospective Retrospective	Exposure		Interventions
Dursun, 1999	Source NR	Unclear Prospective	Period NR	Mean duration of follow-up 16 weeks	Mean dose olanzapine mean dosage at week 4: 13.6 mg/day week 8: 19.3 mg/day week 16: 28.1 mg/day
Edar, 2001	NR	Prospective	NR	8.1 weeks	olanzapine 7.5-20 mg/day for 8.1 weeks

		Age	Exposed	Withdrawn	
Author, year		Gender	Eligible	Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed	
Dursun, 1999	schizophrenia	Mean age=40 years 69% male Ethnicity: NR	NR/NR/16	NR/NR/16	
Edar, 2001	schizophrenia	Mean age=35.2 years 80% male Ethnicity: NR	NR/NR/10	NR/NR/10	

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### Author, year

Country	Effectiveness outcomes			
Dursun, 1999	Baseline vs Week 4 vs Week 8 vs Week 16			
	BPRS: 71 vs 63** vs 58** vs 51**			
	GAS: 29 vs 33* vs 38** vs 40**			
	AIMS: 24 vs 33* vs 30 vs 28			
	(**p<0.001; *p<0.01 vs baseline)			
	8/16(50%) were treatment responders: >=20% decease in BPRS			
	Mean change in BPRS scores: 18.2±15.6% in all patients; 43±11.4% in responders			
	BPRS scores associated with dosage: high dose (mean 20.9mg) vs low dose (mean 16mg)			
	Score change in Week 8: 16±10% vs 15.2±9.8%			
	Score change in Week 16: 21.5 <u>+</u> 16% vs 11.2 <u>+</u> 15.6%			
Edar, 2001	NR			

#### Author, year

Country	Safety Outcomes	Comments
Dursun, 1999	NR	

Edar, 2001

baseline vs week 8

patients

weight(kg): 68.8 vs 72.1, p=0.001 body fat(kg): 13.1 vs 15.3, p=0.004 BMI: 22.4 vs 23.5, p=0.001

comparison subject

weight(kg): 70.8 vs 71.4, p=0.2 body fat(kg): 11.9 vs 12.2, p=0.72

BMI: 22.1 vs 22.3, p=0.13

9/10(90%) patients gained weight during the 8 weeks treatment

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Final Report Update 1

# Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

		Prospective				
Author, year	Data	Retrospective	Exposure		Interventions	
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose	
Gilchrist, 2002	State Hospital	Prospective	January 1998 to	6 months	olanzapine 15 mg/day	
			December 1998		6 months	

		Age	Exposed	Withdrawn	
Author, year		Gender	Eligible	Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed	
Gilchrist, 2002	schizophrenia	Mean age=35.9 years	NR/NR/116	52/6/58	
		58% male			
		Ethnicity: NR			

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#### Author, year

Addition, your				
Country	Effectiveness outcomes			
Gilchrist, 2002	Lothan Primary Care NHS Trust Patients			
	CGI: 48(83%) were scored as minimally improved, much improved or very much improved			
	Baseline vs 6 months, p value			
	Severity of positive symptoms: 2.26 vs 1.16, p=0.0001			
	Severity of negative symptoms: 1.58 vs 1.19, p=0.0001			
	Severity of drug induced side effects: 1.97 vs 0.83, p=0.0001			
	Impairment in quality of life: 3.22 vs 2.09, p=0.0001			
	28/116(24%) had >=40% reduction in positive symptoms at six months			
	19/32(59%) prescribed olanzalone for treatment resistance were still taking the drug at six months and			
	8(25%) of them had responded			
	The State Hospital Study			
	Baseline vs 6 months, p value			
	CGI: 5.1(markedly ill) vs 4(moderately ill), p<0.001			
	Severity of positive symptoms: 2.5 vs 1.5, p=0.0001			
	Severity of negative symptoms: 1.8 vs 1.5, NS			
	Severity of drug induced side effects: 1.9 vs 0.8, p=0.0001			
	impairment in quality of life: 3 vs 2.1, p=0.0001			
	21(44%) had >=40% reduction in positive symptoms			
	24(73%) prescribed olanzapine for treatment resistance were still on the drug after six months and 14(42%) of them had responded			

Author, year

Country Safety Outcomes Comments

Gilchrist, 2002 NF

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Final Report Update 1

### Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Ishigooka, 2001	NR	Prospective	NR	12 weeks	olanzapine 7.9 mg/day for 8 weeks

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Final Report Update 1

Drug Effectiveness Review Project

# Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

		Age	Exposed	Withdrawn	
Author, year		Gender	Eligible	Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed	
Ishigooka, 2001	66.7% hebephrenic	Mean age=41.6 years	NR/NR/81	7/NR/74	
	22.2% paranoid	56.8% male			
		Ethnicity: NR			

#### Author, year

Country	Effectiveness outcomes
Ishigooka, 2001	Global Improvement
	48(59.3%) rated moderate and remarkable improvement
	70(84%) rated slight or more improvement
	Statistically significant improvement (p<0.05): data not reported
	Week 1 to Week 8 fpr BPRS totak score, anxiety-depression and agergia
	Week 2 to Week 8 for activation and thought disturbances.
	Week 4 to Week 8 for hostility
	Relationship between Final Global Improvement Rating and Brief Psychiatric Rating Scale: a liner
	relationship was observed

Weight decrease >=10%: 1(1.3%)

#### Author, year

Country **Safety Outcomes** Comments Ishigooka, 2001 Treatment-emergent sighs and symptoms (>=3%): No. (%) patients with >=1 TESS: 48(59.3%) patients with no TESS: 33(40.7%) insomnia: 20(24.7%) weight increase: 14(17.3%) excitement: 12(14.8%) sleepiness: 12(14.8%) anxiety: 10(12.3%) weight decrease: 7(8.6%) malaise: 6(7.4%) tremor: 5(6.2%) anorexia: 4(4.9%) diaphoresis: 4(4.9%) fever: 4(4.9%) tachycardia: 4(4.9%) constipation: 4(4.9%) weakness: 4(4.9%) depressed state: 4(4.9%) muscle rigidity: 3(3.7%) oral dryness: 3(3.7%) blood pressure decrease: 3(3.7%) Treatment-emergent EPS: patients with >=1 treatment-emergent EPS: 5(6.2%) patients with no treatment-emergent EPS: 76(93.8%) tremor: 5(6.2%) muscle rigidity: 3(3.7%) akathisia: 2(2.5%) Weight increase >=10%: 6(7.6%)

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		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Koller, 2002	MedWatch Drug Surveillance System	Retrospective	January 1994 to May 2001	NR	olanzapine 15.6 mg
Janenawasin 2002 Lasser, 2004	NR	Prospective	NR	8 weeks	olanzapine or risperidone for 8 weeks
Lindenmayer, 2001	NR	Prospective	NR	14 weeks	olanzapine for 14 weeks

Author, year Country	Population	Age Gender Ethnicity	Exposed Eligible Selected	Withdrawn Lost to fu Analyzed
Koller, 2002	olanzapine-associated diabetes or hyperglycemia		NR/NR/237	NA/NA/226
Janenawasin 2002 Lasser, 2004	Schizophrenia or schizoaffective disorders	Mean age=49.9 years 60.8% male 63.6% white	NR/NR/552	NR/NR/375
Lindenmayer, 2001	Chronic schizophrenia or schizoaffective disorder	Mean age=41.6 years 77% male Ethnicity: NR	NR/NR/43	16/NR/42

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#### Author, year

Country	Effectiveness outcomes
Koller, 2002	NR

Janenawasin 2002

Lasser, 2004

NR

Lindenmayer, 2001 PANSS factor- change from baseline:

positive: 0.30, NS negative: 0.26, NS

excitement: -1.36, p<0.053 cognitive: 0.92, p<0.009 deoression/anxiety: -0.15, NS <u>ESRS</u>- chage from baseline: 2.3, NS

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Author, year		
Country	Safety Outcomes	Comments
Koller, 2002	188 were new-onset diabetes, 44 were exacerbations of preexistent disease	_
	73% of hyperglycemia appeared within 6 months of start of olanzapine therapy	
	80 ketosis or metabolic acidosis, 74(92%) were newly diagnosed diabetes	
	41 had glucose levels of 100ml/dl or greater	
	43 mental status changes, 42 had newly diagnosed diabetes, and 1 had exacerbation of preexistent diabetes 15 deaths	
	60(79%) had improved glycemic control after olanzapine discontinued	
	9 switch to another identified atypical antipsychotics	
	5 switched to risperidone had improved glucose level	
	1 switched to quetiapine had improved gluscise level	
	8(80%) experienced deterioration in glycemic control with rechallenge	
Janenawasin 2002		
Lasser, 2004	patients with >= 7% weight increase	
	olanzapine adult smokers: 25/82(30.5%)	
	olanzapine adult nonsmokers: 16/55(29.1%)	
	olanzapine elderly smokers: 4/27(14.8%)	
	olanzapine elderly nonsmokers: 4/35(11.4%)	
	risperidone adult smokers: 11/82(13.4%)	
	risperidone adult nonsmokers: 7/43(16.3%)	
	risperidone elderly smokers: 0/20(0%)	
	risperidone elderly nonsmokers: 3/31(9.7%)	
	Pearson's correlation analysis between smoking and weight:	
	risperidone-treated patients: r = -0.037	
	olanzapine-treated patients: r = 0.029	
Lindenmayer, 2001	weight gain related to duration: 3.5kg, p<0.0005	patients had failed to
	weight change by the mean dose in the last week of treatment, p<0.01	respond to treatment
	weight change by olanzapine doses over 20 mg/day, p<0.05	during a double-blind
		trial that compared
		clozapine, olanzapine,
		risperidone, and
		haloperidol

		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Lindenmayer, 2002	NR	Prospective	NR	14 weeks	olanzapine 30.5 mg/day

Smith, 2001 NR Prospective NR 5 months olanzapine 19.9 mg/day for 5 months

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		Age	Exposed	Withdrawn	
Author, year		Gender	Eligible	Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed	
Lindenmayer, 2002	Schizophreania or schizoaffective	Mean age=42.2 years	NR/78/45	11/2/	
	disorder	84% male			

Smith, 2001 Schizophreania or schizoaffective disorder Mean age=43 years NR/45/34 7/5/19 91% male 47% hispanic; 26% white; 26% black

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### Author, year

Country	Effectiveness outcomes				
Lindenmayer, 2002	PANSS factor (n=42): baseline vs endpoint, p value				
	positive: 19.9 vs 19, NS				
	negative: 19.4 vs 18.6, NS				
	excitement: 8.6 vs 10.4, 0.01				
	cognitive: 18.8 vs 17, 0.002				
	depression/anxiety: 9.5 vs 8.1, 0.03				
	PANSS factors of patients classfied as improveers (n=7): baseline vs endpoint, p value				
	positive: 18.9 vs 12.9, 0.0005				
	negative: 20.4 vs 17.3, 0.03				
	excitement: 10.3 vs 6.7, 0.03				
	cognitive: 13.9 vs 11.2, 0.03				
	depression/anxiety: 8.9 vs 4.8, 0.07				
	PANSS factor change and olanzapine dosage: >20mg mean change vs <=20mg mean change, p value				
	positive: 2.0 vs 0.1, <0.06				
	negative: 2.0 vs 0.0, <0.02				
	excitement: -0.02 vs -3.4, <0.006				
	cognitive: 2.0 vs 1.0, NS				
	depression/anxiety: 1.6 vs 0.8, NS				
	Negative association of PANSS total improvement with duration of illness, p<0.07				
Smith, 2001	Cognitive test: baseline vs end-point, p value				
,	RANDT total score: 48.8±24.1 vs 61.1±18.7, p=0.003				
	Reacquistion total: 48.8±24.1 vs 61.1±18.7, p=0.01				
	Visual-spatial memory delayed accuracy (mm error): 63.5 ±30.3 vs 51.1 ±24.9, p=0.012				
	ANAM modifirf repeat computer battery				
	sternberg memory (% accuracy): 58.4±17.9 vs 69.8±15.9, p=0.018				
	match to sample pattern (% accuracy): 50.6+14.9 vs 63.4+20.1, p=0.001				
	two-choice reaction time (% accuracy): 77.0+19.2 vs 84.6+16.4, p=0.022				
	Verbal fluency totall: 39.1±16.3 vs 44.5±14.8, p=0.07				
	Verbal fluency animals: 8.9 <u>+</u> 3.9 vs 11.5 <u>+</u> 4.8, p=0.005				
	PANSS total score- decreased change: -9.76+9.13, p<0.001				
	PANSS positive symptoms- decreased change: -3.45±5.04, p=0.001				
	PANSS negative symptoms- decreased change: -2.27 <u>+</u> 4.57, p=0.012				
	SANS total scores- decreased change: -6.41 ±14.9, p=0.029				
	Simpson-Angus EPS score: p<0.06				

Author,	year
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Country	Safety Outcomes	Comments			
Lindenmayer, 2002	ESRS score decrease 3.9 points, p<0.05				
	No relationship between the last week's dose of olanzapine and the level of EPRS				
	NS in decrease in EPS in those patients who previous received clozapine versus those who received				
	risperidone.				
	Mean increase in weight of 1.4kg over the duration of the trial, p<0.02				
	An effect on weight change by the last week's mean dose, p<0.01				
	An effect on weight change by last week's mean dose, p<0.008				

Smith, 2001 NR

Author, year Country Zarate, 1998	Data Source McLean Hospital	Prospective Retrospective Unclear Retrospective	Exposure Period October 1996 -	Mean duration of follow-up 5 months	Interventions Mean dose Olanzapine 11.8 mg
United States	records	Reliospective	February 1997	5 monuns	Clarizapine 11.6 mg
Quetiapine					
Brechar, 2000	NR	Prospective	NR	18 months	quetiapine 475mg 1 year
Buckley, 2004	NR	Prospective	NR	156 weeks	quetiapine 439.5 mg/day for 156 weeks
Sacchetti, 2003	Brescia University and Spedali Civili Psychiatric Service	Prospective	NR	4 weeks	quetiapine 500-750 mg/day 4 weeks
van der Heijden, 2003	Vincent van Gogh Institute for Pschiatry in Venray, Netherlands	Prospective	NR	14 weeks	quetiapine 200-800mg/ day 14 weeks

Author, year Country	Population	Age Gender Ethnicity	Exposed Eligible Selected	Withdrawn Lost to fu Analyzed
Zarate, 1998 United States	bipolar disorder, schizophrenia, schizoaffective disorder, depression	Mean age: 43.7 years 56% male 90% white	155 155 150	·
Quetiapine				
Brechar, 2000	schizophrenia	Mean age=37.3 years 65% male Ethnicity: NR	NR/NR/427	NR/NR/427
Buckley, 2004	schizophrenia	NR	NR/NR/259	NR/NR/234
Sacchetti, 2003	Schizophrenia	Mean age=38.1 years 58% male 100% caucasian	NR/NR/12	NR/NR/12
van der Heijden, 2003	Schizophrenia	Meean age=25.9 years 81% male Ethnicity: NR	NR/NR/21	NR/NR/21

Author, year

#### Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

Country	Effectiveness outcomes
Zarate, 1998 United States	
Quetiapine	
Brechar, 2000	NR
Buckley, 2004	baseline(95%CI) vs initial treatment(95% CI) vs end point(95%CI) BPRS total score: 40.67(39.44-41.90) vs 13.94(12.93-14.95) vs 9.04(4.62-13.46)
	CGI: 4.81(4.73-4.90) vs 3(2.88-3.11) vs 2.43(1.92-2.95)
Sacchetti, 2003	Positive and Negative Syndrome Scale (PANSS): baseline vs endpoint total: 113 vs 93.8, p=0.006
	negative: 25.1 vs 21.9, p=0.038

6(50%) showed >=20% reduction in PANSS total score --> classified as responders

Responders vs nonresponders: NS in age, duration of disease, previouse hospitalization, quetiapine

final dose.

van der Heijden, 2003 Baseline vs endpoint

BPRS total score: deduction, p=0.008
PANSS general: deduction, p=0.05
MADRS: deduction, p=0.016
CGI: deduction, p=0.022

excitement: 12.3 vs 9.5, p=0.032 cognitive: 18.8 vs 15.2, p=0.006 positive: 19.7 vs 15.2, p=0.015 depression: 12.5 vs 11.8, p=NS other items: 24.9 vs 21.0, p=0.002

Responders: BPRS total: 73%

PANSS positive, negative and general: 43%, 22%, 30%

MADRS: 48%

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Author, year		
Country	Safety Outcomes	Comments
Zarate, 1998		
United States		
Quetiapine		
Brechar, 2000	mean weight change from baseline:	
	9-13 weeks(n=170): 1.58kg	
	14-26 weeks(n=165): 0.26kg	
	27-39 weeks(n=134): 1.66kg	
	40-52 weeks(n=41): -1.53kg	
	53-78 weeks(n=146): 1.94kg	
	Dose and weight change correlation: NS	
	1(0.22%) withdrew as a result of weight gain	
Buckley, 2004	NR	
Sacchetti, 2003	weight changed: NS	
	Simpson-Angus Scale (SAS): NS	
	Barnes Akathisia Rating Scale (BARS): NS	
	Abnormal Involuntary Movement (AIMS): NS	
van der Heijden, 2003	4 psychomotor agitation	
van der Heijdell, 2003	4 sleep disturbances	
	7 sedation	
	2 dizziness	
	5 perspiration	
	2 palpitation	
	2 palpitation 10 weight gain: mean 5.5 kg	
	To weight gain. Heart 0.5 kg	

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		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Wetzel, 1995	NR	Prospective	NR	4 weeks	quetiapine 750 mg/day
					4 weeks

Risperidone					
Albright, 1996	Suskatchewan Health Retrospective Linkable Data Files	1993-1995	20 months	risperidone	

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		Age	Exposed	Withdrawn						
Author, year		Gender	Gender	Gender	Gender	Gender Eligible	Eligible	ble Lost to fu	Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed						
Wetzel, 1995	Schizophrenia	Mean age=35.6 years 58% male Ethnicity: NR	NR/NR/12	NR/NR/12						

Risperidone				
Albright, 1996	Schizophrenia-related	Mean age=40.8 years	NR/NR/146	NR/NR/146
		52.1% male		
		Ethnicity: NR		

#### Author, year

Country	Effectiveness outcomes	
Wetzel, 1995	baseline vs endpoint	
	BPRS score: 42.0±2.3 vs 30.0±3.5, p<0.05	
	SAPS score: 64.5 <u>+</u> 4.8 vs 36.1 <u>+</u> 6.7, p<0.05	
	SANS score: 55.0 <u>+</u> 4.3 vs 42.5 <u>+</u> 5.9, p<0.05	
	GAS level: 33.1 <u>+</u> 2.6 vs 43.0 <u>+</u> 3.6, p<0.05	
	>=40% reduction in BPRS: 5/12(42%)	

#### Risperidone

Albright, 1996

Before vs after, p value

No. of hospital admissions before and after initiation of risperidone therapy

all admissions (n=99): 184 vs 73, p=0.0001

Length of stay (days) before and after initiation of risperidone therapy

all admissions (n=99): 3888 vs 1624, p=0.0001

No. of physician services before and after initiation of risperidone therapy

all physicians (n=143): 3963 vs 2881, p=0.0001 psychiatrist only (n=99): 1739 vs 1346, p=0.0697

general pracitioner onlu (n=140): 1302 vs 1172, p=0.4007 other physician specialty (n=109): 922 vs 363, p=0.0001

No. of mental helath services before and after initiation of risperidone therapy

all caregivers (n=114): 3799 vs 3640, p=0.0089 psychiatrists (n=90): 694 vs 505, p=0.1062 social workers (n=22): 303 vs 236, p=0.5062 psychologists (n=21):: 143 vs 211, p=0.1585 therapists (n=33): 1337 vs 1544, p=0.3699 nurses (n=74): 1312 vs 1128, p=0.0412 other services (n=4): 10 vs 18, p=0.5

Drug costs before and after initiation of risperidone therapy

risperidone (n=146): 0 vs 150145, p=0.0001

antipsychotics-depot (n=53): 13060 vs 6708, p=0.001 antipsychotics-oral (n=102): 25196 vs 11397, p=0.001 antiparkinson (n=117): 6295 vs 6315, p=0.7415 all drugs (n=146): 92992 vs 227965, p=0.0001

Atypical Antipsychotic Drugs

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Aut	thor.	year

Country	Safety Outcomes	Comments
Wetzel, 1995	NR	_

Risperidone

Albright, 1996 NR

Final Report Update 1 Drug Effectiveness Review Project

# Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

Author, year Country	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose
Brunelleschi, 2003	Outpatients of the psychiatric service of Dronero (Cuneo, Italy). May-November 2002	Unclear	20 days to 4 years	7 months	Risperidone mean 4.15 mg/day
Chengappa, 2000	Mayview State Hospital	Prospective	March 1993 to June 1995	1 year	risperidone 5.1 mg/day for mean duration 200 days
Daradkeh, 1996	Hospital inpatients	Prospective	NR	6 weeks	risperidone 6 mg/day 6 weeks
Dickson, 1999	chart review from 2 participanting hospital	Retrospective	May 1, 1993 to April 30, 1994	3 years	risperidone mean duration for interruptters was 441 days; for discontinuers was 249 days

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<b>A</b> d		Age	Exposed	Withdrawn	
Author, year Country	Population	Gender Ethnicity	Eligible Selected	Lost to fu Analyzed	
Brunelleschi, 2003	Schizophrenia or schizoaffective disorders	Mean age=36.4 years 35% male Ethnicity: NR	NR/NR/NR	NR/NR/20	
Chengappa, 2000	Schizoaffective or bipolar disorder	Mean age=50 years Gender: NR Ethnicity: NR	NR/NR/74	NR/NR/74	
Daradkeh, 1996	schizophrenia, bipolar and schizoaffective disorder	Mean age=27.1 years 73% male Ethnicity: NR	NR/NR/15	5/0/10	
Dickson, 1999	91% schizophrenia 7% schizoaffective disorder 2% schizophreniform disorder	Mean age=NR 62.5% male 85% white	NR/NR/120	NR/NR/120	

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#### Author, year

Country	Effectiveness outcomes				
Brunelleschi, 2003	NR				
Chengappa, 2000	Pre vs During treatment, p value Resperidone group hours of seclusion: 2.2(5.5) vs 0.26(0.66), p=0.002 no. of seclusion events: 0.23(0.59) vs 0.05(0.14), p=0.005 hours of restraint: 1.2(4.5) vs 0.36(1.5), p=0.055 no. of restraint events: 0.2(0.61) vs 0.11(0.5), p=0.095 Comparison group (patients not receiving risperidone or clozapine at the time), p value not reported hours of seclusion: 2.3(5.8) vs 0.51(0.78) no. of seclusion: 0.12(0.46) vs 0.07(0.1) hours of restraint: 1.0(3.9) vs 0.43(1.4) no. of restraint events: 0.11(2.0) vs 0.08(0.55)				
Daradkeh, 1996	6(60%) achieved 25% reduction in total BPRS and NSRS 5(50%) achieved 50% reduction in BPRS and NSRS				
Dickson, 1999	Average hospital days per year for treatment groups: pre- vs post- risperidone continuers (n=35): 17.2(4.7) vs 2.1(0.6), p=0.004 discontinuers (n=77): 14.1(3.9) vs 16.9(4.6), p=0.128 interrupted (n=8): 6.8(1.9) vs 31.1(8.5), p=0.475 continuers vs discontinuers, p=0.006 continuers vs interrupted, p=0.003  No. of hospitals days 3 years pre- vs post-risperidone for total sample (n=120) no. of days in hospital (index excluded): 5223 vs 4869, 7% reduction, p=0.65 no. of days in hospital (index included in preperiod): 6172 vs 4869, 21% reduction, p=0.31				

Author,	year
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Country	Safety Outcomes	Comments
Brunelleschi, 2003	13 (65%) with risperidon 2-8mg/day, presented hyperprolactinemia 10 (50%) weight gain, mean 2.4kg	
	8 (40%) presented prolactin-related adverse effects (decrease in libido)	
Chengappa, 2000	NR	
Daradkeh, 1996	4 patients required treatment for akathisia or rigidity with antiparkinsonian drugs. 5 droppted out: 2 very impulsive and psychotic and required treatment with parenteral haloperidol; 1 very restless and did not respond to treatment with clonazepam; 1self-discharged; 1 had supraventricular tack and hypotension.	
Dickson, 1999	NR	

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# Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

	Data	Prospective	Exposure Period	Mean duration of follow-up	Interventions Mean dose
Author, year		Retrospective Unclear			
Country	Source				
Finley, 1998	The Department of Veterans Affairs (VA) Palo Alto Health Care System, Menlo Park Division		NR	12 months	risperidone 6.1 mg/day duration from 37.2 days to 12 months

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Drug Effectiveness Review Project

## Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

		Age	Exposed	Withdrawn	
Author, year		Gender	Eligible	Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed	
Finley, 1998	Chronic schizophrenia (paranoid,	Mean age=45.8 years	NR/66/57	NA/7/50	
	disorganized, and undifferetiated)	100% male			
		Ethnicity: NR			

#### Author, year

Country Effectiveness outcomes

Finley, 1998 Before vs after, p value

Chi-square analysis of clinical outcomes for patients receiving a therapeutic trial pf risperidone

Days hospitalized (12-month period) Responders: 43.9 vs 25.2, p=0.03 Nonresponders: 59.1 vs 58.3, p=0.447

CGI severity scores

Responders: 5.04 vs 3.96, p=0.0001 Nonresponders: 4.91 vs 4.39, p=0.015 Concurrent psychotropic medications Responders: 3.3 vs 2.6, p=0.017 Nonresponders: 3.3 vs 2.7, p=0.029

Demographic variable s and clinical response of patients receiving risperidone

Diagnosis, p=0.793

Chronic schizophrenia: 59.3% (16/27) responding Schizoaffective disorder: 43.7% (7/16) responding Bipolar affective disorder: 50.0% (2/4) responding Psychotic depression: 66.7% (2/3) responding

Indication, p=0.0006

Treatment intolerant: 88.9% (16/18) responding Treatment resistant: 34.4% (11/32) responding

Substance abuse, p=0.0097

Negative history: 82.4% (14/17) responding Positive history: 39.4% (13/33) responding

Age, p=0.468

<50 years: 50.0% (19/38) responding >=50 years: 66.7% (8/12) responding

Baseline function (days hospitalized 12 months prior), p=1.000

High (<45 days): 53.8% (14/26) Low (>=45 days): 54.2% (13/24)

Baseline function (number of previous antipsychotic trials), p=0.488

High (<3 trials): 58.8% (20/34)

Atypical Antipsychotic Drugs

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Author,	year
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Country Safety Outcomes Comments

Finley, 1998 Adverse events: sedation, syncope, dzziness, increased depression, nghtmares, and emesis

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		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Franckiewicz, 2002	Hispanic inpatient unit at Elmhurst Hospical Center	Prospective	NR	4 weeks	risperidone
Guest, 1996	NR	Retrospective	1988-1993	2 years	risperidone 8.8mg 1-2 years
Jeste, 1997	158 psychiatric centers	Prospective	NR	10 weeks	risperidone 5.9 mg/day for mean duration 56.5 days 554(98.9%) received at least one other drug

Author, year Country Franckiewicz, 2002	Population Schizophrenia	Age Gender Ethnicity Mean age=31.4 years 50% male 55.6% hispanic; 44.4% non- hispanic	Exposed Eligible Selected NR/NR/18	Withdrawn Lost to fu Analyzed NR/NR/18
Guest, 1996	chronic schizophrenic disprder	Mean age=38 years 65% male Ethnicity: NR	NR/NR/31	NR/NR/31
Jeste, 1997	Schizophrenia	Mean age=41.8 years 58% male 67.8% caucasian; 25.4% africa american; 4.1% hispanic; 2.1% asian american; 0.7% other	NR/NR/945	283/NR/945

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# Author, year Country

Country	Effectiveness outcomes					
Franckiewicz, 2002	Baseline vs Week 1 vs Week 2 vs Week 3 vs Week 4, p value					
	PANSS subscale scores for hispanic and non-hispanic patients					
	General- hispanic: 53.2 vs 52.0 vs 36.9 vs 31.5 vs 28.5, p<0.001					
	General- nonhispanic: 52.87 vs 49.25 vs 41.25 vs 38 vs 33.5, p<0.001					
	Negative- hispanic: 28.4 vs 27.8 vs 20.1 vs 16.0 vs 14.3, p<0.001					
	Negative- nonhispanic: 28.25 vs 27.37 vs 22.37 vs 19.0 vs 16.87, p<0.001					
	Positive- hispanic: 25.5 vs 24.6 vs 20.0 vs 16.6 vs 14.3, p<0.001					
	Positive- nonhispanic: 24.7 vs 26.13 vs 22.12 vs 19.62 vs 17.52, p<0.001					
Guest, 1996	Clinical outcome- baseline vs after treatment					
Guest, 1990	PANSS: 86.7 vs 59.9, p<0.0001					
	CGI: 3.6 vs 2.3, p=0.0005					
	ESRS: 8.8 vs 4.8 vs 3.6, p=0.002					
	Resource utilization- baseline vs Year 1 vs Year 2 (all p-values were not reported)					
	Days in hospital: 171.8 vs 118.9 vs 51.3					
	Days in residential accommodation: 28.4 vs 84.7 vs 74.4					
	Visits to day centers: 7.9 vs 13.6 vs 8.3					
	Visits to out-patient clinic: 2.5 vs 3.9 vs 3.4					
	Visits to nurses: 4.3 vs 1.7 vs 6.5					
Jeste, 1997	CGI-C scores, increased points- week 2 (95%CI) vs week 6 (95%CI) vs week 10 (95%CI):					
,	4.6(4.5-4.6) vs 4.8(4.8-4.9) vs 4.9(4.8-5.0)					
	CGI-C % patients rated improved- week 2 vs week 6 vs week 10: 57.5 vs 72.5 vs 78.1					
	non-treatment-resistant (NTR) vs treatment-resistant (TR)					
	NTR had signifivant larger proportion of improvement at week 2 and week 6, but not at week 10					
	PANSS scores: decrease, p<0.001					
	Global Assessment of Functions (GAF):					
	136(25.2%) had a GAF score >50 at baseline					
	312(57.8%) had a GAF score >50 at week 10					
	420(77.8%) had a GAF score >50 during the trial, 95%CI: 74.3-81.3					
	non-treatment-resistant (NTR) vs treatment-resistant (TR)					
	114(79.7%), 95%Cl: 73.1-86.3 vs 298(76.8%), 95%Cl: 72.6-81.0					

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Aut	thor.	year

Country	Safety Outcomes	Comments
L 1 0000	Fortune and delicement and a bigger of the control	

Franckiewicz, 2002 Extrapyramidal symptoms: hispanic vs non-hispanic= 4 vs 0, p=0.057

Guest, 1996 NR

Jeste, 1997 361(42.9%) experienced at least one adverse event

Psychiatric symptoms: 179(21.3%)

Central and peripheral nervous system symptoms: 144(17.1%)

Gastrointestinal symptoms: 87(10.3%) Body as a whole- general symptom: 54(6.4%)

Extrapyramidal symptoms: 26(3.1%)

47(5.6%) experienced a severe adverse event

9(1.1%) agitation 6(0.7%) insomnia 6(0.7%) dizziness

SBP and DBO decreased, HR increased, but NS

Atypical Antipsychotic Drugs

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Final Report Update 1

## Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Kaneda, 2001	Department of	Prospective	NR	64.2 days	risperidone 9.5 mg/day
	neuropsychiatry, F	ujii			for 64.2 days
	hospital				

		Age	Exposed	Withdrawn	
Author, year		Gender	Eligible	Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed	
Kaneda, 2001	schizophrenia	Mean age=46.2 years 100% male Ethnicity: NR	NR/NR/6	NR/NR/6	

Author, year

Country Effectiveness outcomes

Kaneda, 2001 N

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Author,	year
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Country	Safety Outcomes	Comments
Kaneda, 2001	BPRS- before vs during the treatment	
	total: 37.5 vs 30.7, p<0.05	
	anxiety: 6.0 vs 4.2, NS	
	anergia: 9.2 vs 8.7, NS	
	thought disturbance: 10.5 vs 7.2, p<0.05	
	activation: 7.0 vs 5.2, NS	
	hostility-suspiciousness: 4.8 vs 4.3, NS	
	Hormonal testing	
	prolactin(ng/mL): 13.45 vs 36.97, p<0.05	
	luteinizing hormone(mIU/mL): 4.7 vs 6.43, NS	
	follicle-stimulating hormone(mIU/mL): 6.18 vs 6.35, NS	
	testosterone(ng/mL): 5.623 vs 5.708, NS	

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Final Report Update 1

## Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Kim, 2002	inpatients and	Prospective	NR	8 weeks	risperidone 9.1 mg/day
	outpatients of the				for 8 weeks
	Department of				
	Psychiatry, St. Mary	/;s			
	Hospiral, Seoul,				
	Korea				

		Age	Exposed	Withdrawn	
Author, year		Gender	Eligible	Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed	
Kim, 2002	schizophrenia	Mean age=34.4 years 100% female Ethnicity: NR	NR/30/25	NR/5/20	

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Author, year

Country Effectiveness outcomes

Kim, 2002 NI

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#### Author, year

**Safety Outcomes** Comments Country Kim, 2002

baseline vs 8 weeks

**PANSS** 

total: 60.2 vs 51.2, p<0.01

positive symptom scale: 14.3 vs 11.4, p<0.01 negative symptom scale: 15.9 vs 13.8, p<0.01 general symptom scale: 31.0 vs 26.0, p<0.01

AIMS: 1.7 vs 1.0, p<0.01 SAS: 1.3 vs 1.0, p<0.01 Perceived effects (n=20) frequency of sexual thoughs

fewer: 45% vs 35% no effect: 55% vs 55% more: 0% vs 10%

amount of vaginal lubrication decreased: 50% vs 20% no effect: 50% vs 70% increased: 0% vs 10% ability to have orgasm decreased: 40% vs 20% no effect: 60% vs 70% increased: 0% vs 10% satisfaction with sex decreased: 45% vs 20% no effect: 50% vs 70% increased: 5% vs 10%

#### Serum prolactin concentration

baseline vs 2 weeks: 132.2 vs 25.6, p<0.01 baseline vs 4 weeks: 132.2 vs 26.3, p<0.01 baseline vs 6 weeks: 132.2 vs 22.0, p<0.01 baseline vs 8 weeks: 132.2 vs 23.4, p<0.01

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multicenter trial

Author, year Country	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose
Kopala, 1998	NR	Prospective	NR	more than 6 months	risperidone 3.8 mg/day for more than 6 months
Lasser, 2004	Europe and Canada	Prospective	12 months	239 days	risperidone 25mg, 50mg

		Age	Exposed	Withdrawn	
Author, year		Gender	Eligible	Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed	
Kopala, 1998	schizophrenia or schizophreniform disorder	Mean age=25.4 years 81% male Ethnicity: NR	NR/NR/41	NR/NR/41	
Lasser, 2004	Schizophrenia or schizoaffective disorder	Mean age: 70.9 years 53% male 100% white	725/57/57	NR/1/57	

#### Author, year

Country	Effectiveness outcomes
Kopala, 1998	baseline vs endpoint
	PANSS- 40% change
	Positive: 27.5 vs 13.4, p<0.005
	Negative: 28.2 vs 18.8, p<0.005
	Total: 110.4 vs 65.8, p<0.005
	ESRS scores: 3.9 vs 1.44, p<0.08
	4(10%) required anticholonergic medication at some time during the study
	>20% reduction in total PANSS score: 76%
	Disease-related variables- with vs without pre-existing extrapyramidal movement
	Drug-naive total: 118.1 vs 108.2, NS
	Drug-naive negative sub-scale: 35.9 vs 26.3, p<0.05
Lasser, 2004	baseline vs change at endpoint, p vs baseline
	PANSS total: 73 <u>+</u> 2.1 vs -10.5 <u>+</u> 1.5, p<0.001
	Positive symptoms: 20.6±0.8 vs -3.2±0.6, p<0.001
	Negative symptoms: 19.7±0.8 vs -2.8±0.5, p<0.001
	Disorganized thoughts: 17.7±0.7 vs -2.0±0.4, p<0.001
	Anxiety/depression: 8.2 <u>+</u> 0.5 vs -1.6 <u>+</u> 0.4, p<0.001
	Hostility/excitement: 6.8±0.4 vs -0.9±0.3, p<0.01
	baseline vs endpoint
	CGI- not ill or with very mild or mild illness: 28% vs 69%
	CGI- marked or severe illness: 14% vs 0%
	CGI- at least 1 point improvement in CGI severity scores: 55%

Author,	year
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Country	Safety Outcomes	Comments
Kopala, 1998	0 acute dystonia	
	2 mild parkinsonism	
	2 drug induced akathisia	

Lasser, 2004 42(74%) reported adverse events

insomnia: 14% constipation: 12% bronchitis: 12% psychosis: 11% rhinitis: 11%

1 died with a myocardial infarction

baseline vs mean change at endpoint, p vs baseline

ESRS total: 10.2±1.5 vs -3.1±0.8, p<0.001 Patient questionnaire: 4.0±0.7 vs -1.4±0.5, p<0.01 Parkinsonism total: 10.6±1.5 vs -3.6±0.9, p<0.001

Parkinsonism severity:  $1.7\pm0.2$  vs  $-0.4\pm0.2$ , p<0.05

Dyskinesia total: 2.7±0.7 vs -0.6±0.3, NS

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		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Lindstrom, 1995	14 study centers	Prospective	NR	1-2 years	risperidone 9.4 mg/day for 1 year follow up
					and 8 mg/day for 2 year follow up

MacKayDatabase:UnclearJuly 1993 to April≥ 6 monthsRisperidone1998Prescription Pricing1996EnglandAuthority -Questionnaire to GPs

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		Age	Exposed	Withdrawn	
Author, year		Gender	Eligible	Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed	
Lindstrom, 1995	Schizophrenia	Mean age=37.4 years	NR/NR/59	13/NR/59	
		39.2% male			
		Ethnicity: NR			

MacKay Schizophrenia/psychosis NR Mean age 1490 void 1998 14,282 Males=38.8 7684 questionnaires England Females=50.5 9174 questionnaires analyzed returned Sex NR for 0.8% patients Race NR

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## Author, year

Country	Effectiveness outcomes
Lindstrom, 1995	baseline vs endpoint
	Total PANSS: 88.2 <u>+</u> 17.7 vs 68.1 <u>+</u> 22.6, p<0.001
	PANSS positive: 14.6 <u>+</u> 5.2 vs 11.6 <u>+</u> 5.5, p<0.001
	PANSS Negative: 26.0 <u>+</u> 7.7 vs 19.1 <u>+</u> 7.1, p<0.001
	PANSS excited: 7.5 <u>+</u> 3.5 vs 6.3 <u>+</u> 4.0, p<0.01
	PANSS anxiety/depressive: 13.7 <u>+</u> 5.2 vs 9.7 <u>+</u> 4.1, p<0.001
	PANSS cognitive: 14.2 <u>+</u> 4.7 vs 11.7 <u>+</u> 5.4, p<0.01
	CGI: 3.7 <u>+</u> 1.2 vs 2.9 <u>+</u> 1.6, p<0.001
	>=20% reduction in total PANSS: 32(54%)
	CGI severity
	mild or not ill: 12% vs 42%
	moderate: 29% vs 20%
	severe: 58% vs 34%
	ESRS- questionnaire: 3.9+3.9 vs 2.1+2.4, p<0.001
	ESRS- parkinsonism: 6.6+5.8 vs 3.6+3.9, p<0.001
	ESRS- dystonia: 0.4 <u>+</u> 1.2 vs 0.1 <u>+</u> 0.3, NS
	ESRS- dyskinesia: 1.9 <u>+</u> 3.0 vs 0.8 <u>+</u> 1.8, NS
	ESRS- parkinsonism+dystonia+dyskinesia: 8.9 + 8.4 vs 4.5 + 5.1, p<0.001
	Social function: pretreatment vs treatment
	1 year follow-up: 5.6 <u>+</u> 2.0 vs 5.8 <u>+</u> 2.0
	2 year follow-up
	1 year treatment: 5.4 <u>+</u> 2.0 vs 6.4 <u>+</u> 2.0, p<0.01
	2 year treatment: 5.8±1.8 vs 6.6±2.1, p<0.001
MacKay 1998 England	NR
Liigialia	

Country	Safety Outcomes	Comments
Lindstrom, 1995	NR	<u>.</u>

MacKay Deaths=221 (2.9%)
1998
England
NMS
1 case
Tardive dyskinesia
1 case (0.01%)

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		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Madbusoodanan, 1999	14 psychiatric centers	Prospective	NR	12 weeks	risperidone 2.4 mg/day for mean duration
					72.5 days

Malla Naturalistic clinical sample of patients Retrospective sample of patients Retrospective sample of patients Retrospective to 1997 CAPD=2.7 years CAPD 228.7 mg

CAPD=1991 to 1997

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Author, year	Population	Age Gender Ethnicity	Exposed Eligible Selected	Withdrawn Lost to fu Analyzed
Madbusoodanan, 1999	Schizophrenia or schizoaffective disorder	Mean age=70.8 years 50% male 75% white; 17% black; 7% hispanic	NR/NR/103	NR/NR/103

MallaSchizophrenia, first episodeMean age=28NRNR200165.8% maleNRNRInternationalRace NR3838

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## Author, year

Country	Effectiveness outcomes
Madbusoodanan, 1999	ESRS scores at baseline vs worse score during treatment vs endpoint (mean changes)
	questionnaire: 4.73 vs 5.86(+1.14**) vs 3.16(-1.57**)
	EPRS total: 12.85 vs 15.17(+2.32**) vs 9.43(-3.43**)
	CGI severity of dyskinesia: 2.52 vs 2.99(+0.47**) vs 2.24(-0.28*)
	CGI severity of parkinsonism: 3 vs 3.39(+0.39**) vs 2.56(-0.44**)
	(*p<0.05; **p<0.001)
	PANSS changes from baseline to enpoint- all patients vs <=3 mg/day vs >3 mg/day
	Total PANSS: -11.4** vs -13** vs -7321**
	Positive symptoms: -3.8** vs -4.1** vs -2.9*
	Negative symptoms: -2.4** vs -2.8** vs -1.3*
	General psychopathology: -5.3** vs -6.1** vs -3.1*
	BPRS: -6.8** vs -7.6** vs -4.7**
	(*p<0.05; **p<0.001 vs baseline)
	Responders:
	PANSS- ≥20% decrease: 55%
	CGI- change score of ≥3: 62%
	Both: 45%
	CGI changes from baseline to enpoint- all patients vs <=3 mg/day vs >3 mg/day
	CGI severity score: -0.76* vs -0.89* vs -0.39
	(*p<0.05 vs baseline)
	CGI: 62% patients were improved at endpoint
Malla	NR
2001	
International	

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#### Author, year

Country	Safety Outcomes	Comments
Madbusoodanan, 1999	91(83%) reported adverse events during the study	
	23 diziness	
	17 insomnia	
	15 agitation	
	15 somnolence	
	12 injury	
	11 constipation	
	10 extrapyramidal disorder	
	11 discontinued because of adverse events	
Malla	Hospitalizations	First episode
2001	Length of first hospital admission (days)= 11 vs 28.5; p<0.01	
International	Total number of hospital admissions/year=0.12 vs 0.84; p<0.001	
	% Time spent in hospital=0.23 vs 6.6; p<0.002	

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## Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

		Prospective			
Author, year	Data	Retrospective	Exposure		Interventions
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose
Malla, 1999	a community-focused	Retrospective	NR	NR	risperidone
	outpatient program				mean 20 months

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## Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

		Age	Exposed	Withdrawn	
Author, year		Gender	Eligible	Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed	
Malla, 1999	Schizophrenia	Mean age=31.7 years	98/49/31	NR/NR/31	
		68% male			
		Ethnicity: NR			

#### Author, year

Country Effectiveness outcomes

Malla, 1999 Before vs after the switch to risperidone

Syndrome ratings:

reality distortion: 3.47 vs 1.71, p<0.0001 disorganization: 3.40 vs 1.32, p<0.0001 psychomotor poverty: 4.13 vs 3.42, p<0.001

Proportion of time syndrome present

reality distortion: 48.77 vs 21.23, p<0.0001 disorganization: 32.63 vs 6.52, p<0.0001 psychomotor poverty: 62.33 vs 51.94, p,0.01 no. of admissions per year: 0.018 vs 0.0004, p<0.01 no. of days in hospital: 0.29 vs 0.0003, p<0.01

22(71%) patients had a positive response on rating reality distortion, whereas 9(29%) showed no response

17(55%) patients had a reduction of more than 40% from their previous score for reality distortion symptoms

Social stability characteristics- before vs after risperidone: no. (%)

**Employment-**

full time: 2(6.5) vs 3(9.7) part time: 3(9.7) vs 3(9.7)

Income support-

self-employed: 3(9.7) vs 4(12.9)

disability benefits from employment: 7(22.6) vs 3(9.7)

parents/partners: 9(29.0) vs 6(19.4)

social assistance/disability: 12(38.7) vs 16(51.6)

Living circumstances alone: 9(29.0) vs 11(35.5)

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## Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

#### Author, year

Country	Safety Outcomes	Comments
Malla, 1999	expressive automatic movements: 6	switch from typical
	bradykinesia: 2	antisychotic agents to
	rigidity: 7	risperidone
	tremor: 4	
	sialorrhea: 3	
	postural instability: 2	
	akathisia of moderate severity: 2	
	moderate level of dystonia: 2	
	moderate akathisia: 1	
	before vs after switching to risperidone (number of patients)	
	dyskinesia: 2 vs 1	
	akathisia: 4 vs 2	
	dystonia: 4 vs 2 (improved)	

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Author, year Country Reveley, 2004	Data Source 30 UK specialist psychiatric units	Prospective Retrospective Unclear Prospective	Exposure Period NR	Mean duration of follow-up 52 weeks	Interventions Mean dose risperidone for 52 weeks most common dose = 6 mg/day
Still, 1996	a 400-bed state psychiatric hospital	Prospective	April to August 1994	- 12 weeks	Risperidone titrated a week to 3mg twice daily. The mean dosage for the five subjects who completed 12 weeks treatment is 7.6 mg at week 9 and 8 mg at week 12.
Werapongset, 1998	6 psychiatric hospitals	s Prospective	NR	8 weeks	Risperidone was titrated from 1 mg bid and increased to a maximum of 6 mg/day within 3 days.

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		Age	Exposed	Withdrawn
Author, year	Population	Gender Ethnicity	Eligible Selected	Lost to fu
Reveley, 2004	Population chronic schizophrenia	Ethnicity  Mean age=41.4 years 51.9% male 89.9% caucasian; 1.3% hispanic; 2.5% black; 1.3% orental; 5.1% other	NR/100/80	<b>Analyzed</b> 1/0/79
Still, 1996	Schizophrenia or schizoaffective disorder	Mean age=41.2 years 60% male Ethnicity: NR	NR/NR/10	5/0/5
Werapongset, 1998	chronic schizophrenia	NR	NR/NR/120	15/NR/105

## Author, year

Country	Effectiveness outcomes				
Reveley, 2004	Positive and Negative Syndrome Scale (PANSS): change from baseline, p				
	positive: -1.5, paired t test p=0.046; wilcoxon test p=0.0119				
	negative: -3.2, paired t test p<0.0001				
	general psychopathology, paired t test p=0.0002				
	total: -9.2, paired t test p=0.0002				
	CGI Severity: change from baseine, p				
	-0.6, wilcoxon test p=0.0003				
	Cognitive function: change from baseline, p				
	letter fluency totals: 3.3, p=0.0044				
	category fluency totals: 0.8, NS				
	category repetitions: 0.0, NS				
	category intrusions: -0.2, NS				
	letter repetitions: 0.3, NS				
	letter intrusions: 0.0, NS				
	Patients acceptability; change from baseline, p				
	0.7, p=0.0007				
Still, 1996	No subjects improved after being switchd to risperidone				
	PANSS, LPCF increased from baseline, but no significant changes: patients who were switched from				
	clozapine tended to wersen when taking risperidone (data NR)				
	The mean total scores on the PANSS, the PANSS positive symptom subscale and the BPRS met the				
	study's 20% criterion for a clinically significant cgabge at week 6 through week 12 (data NR)				
	CGI scores: 2 no change; 3 minimally worse; 4 much worse; 1 very much worse				
14000	T (   DANO)				
Werapongset, 1998	<u>Total PANSS scores</u> decreased: baseline vs week 4; baseline vs week 8: 90.6 vs 73.4, p<0.00001; 90.6 vs 61.9, p<0.00001				
	PANSS positive symptoms subscale decreased significantly from baseline (data NR)				
	PANSS positive symptoms subscale decreased significantly from baseline (data NK)  PANSS negative symptoms subscale decreased: baseline vs week 4; baseline vs week 8				
	25.4 vs 21.2, p<0.0001; 25.4 vs 17.9, p<0.0001				
	PANSS General psychopathological subscale decreased significantly from baseline (data NR)				
	PANSS other subscales decreased significantly from baseline (data NR)				
	PANSS responders- >20% reduction in total PANSS scores: 74%				
	>40% rated as good to excellent for the overall impression.				
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<b>Author</b>	, yea
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Country	Safety Outcomes	Comments
Reveley, 2004	41 (51.9%) did not complete the study, 10 (12.7%) due to adverse events 38 (48.1%) were classfied as a sustained treatment success, 29(36.7%) as treatment failure, and 12(15.2%) as not evaluable	
	68(86.1%) patients reported a total of 623 adverse events 13(16.5%) reported a serious adverse event 51(64.6%) reported at least 1 adverse event	
	17(21.5%) reported adverse events that lead to a permanent stop in study medication, including schizophrenic reaction, akathisia, agitation, and tremor	
	Involuntary Movement Scale (AIMS): change from baseline, p -2.8, wilcoxon test p<0.0001  Targeting Abnormal Kinetic Effects Scale (TAKE): change from baseline, p -2.3, p<0.0001	
Still, 1996	3 decreased concentration 3 impaired memory 4 irritability 3 akathisia, confusion Akathesia scale showed significant different worsening of symptoms	Patients switched from clozapine to risperidone
Werapongset, 1998	24(22.9%) required medications for EPS side effect. 15(14.3%) insomnia 5(4.8%) elevated hepatic enzyme 2%(1.9%) weight gain No change in blood pressure or heart rate	

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Author, year	Data	Prospective Retrospective	Exposure		Interventions	
Country	Source	Unclear	Period	Mean duration of follow-up	Mean dose	
Ziprasidone						
Kingsbury, 2001	Multicenter	Prospective	NR	6 weeks	Ziprasidone 62.16 mg bid for 6 weeks	
Weiden, 2003	multicenter parallel	Prospective	6 weeks	6 weeks	Ziprasidone mean 91 mg/day	

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Drug Effectiveness Review Project

# Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

Author voor		Age	Exposed	Withdrawn	
Author, year		Gender	Eligible	Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed	
Ziprasidone					
Kingsbury, 2001	Schizophrenia or schizoaffective disorders	Mean age=35.88 years 70.25 male 13.5% black; 16.2% white; 62.1% hispanic; 5.4% asian; 2.7% other		NR/NR/37	
Weiden, 2003	Schizophrenia	Mean age=37.5 years 66% male Ethnicity: NR	NR/NR/NR	NR/NR/270	

Author,	year
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Autiloi, your		
Country	Effectiveness outcomes	
Ziprasidone		
Kingsbury, 2001	NR	
Weiden, 2003	NR	

Author,	year
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Country	Safety Outcomes	Comments
Ziprasidone		
Kingsbury, 2001	baseline vs week 6 BMI: 30.06 vs 29.82, p=0.96 glucose: 104.97 vs 100.97, p=0.26 cholesterol: 210.65 vs 183.08, p<0.001 triglycerides: 262.68 vs 176.30, p=0.018	
Weiden, 2003	olazapine switch to ziprasidone weight loss, mean: NR, p<0.0001 weight loss for women: 1.85kg, p<0.001 weight loss for women: 1.58kg, p<0.001 BMI decreased: 31.7-31.1, p<0.0001 triglycerides: -50mg/dL, p<0.0001 total cholesterol; -17mg/dL, p<0.0001 total cholesterol declined in 76% patients reduction in prolactin levels: p<0.05 risperidone switch to ziprasidone weight loss, mean: 0.86, p<0.02 BMI decreased: 29.6-29.3, p<0.02 triglycerides: -29mg/dL, p<0.01 total cholesterol; -12mg/dL, p<0.005 total cholesterol declined in 72% patients reduction in prolactin levels: p<0.0001 improvement in Simpson-Angus scores: p<0.01 decreased concomitant antiparkinsonian drug use: 26% to 8.6% Conventional antipsychotics to ziprasidone weight loss, mean: 0.27kg, p=0.03 BMI increased; 0.08, p=0.3334 NS change in tryglycerides and cholesterol reduction in prolactin levels: p=NS improvement in Simpson-Angus scores: p<0.0001 decreased concomitant antiparkinsonian drug use: 58% to 14.8%	patients switched from olanzapine, risperidone or conventional antipsychotics to ziparasidone
	insomnia is the most frequent side effect associated with ziprasidone: 21%-42% discontinuations due to AEs, switch from olanzapine, risperidone, and conventional antipsychot	tics: 6%, 9% and 1

Author, year Country Any Atypical Antipsychotic	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose
Ramaswamy 2003 United States	Database: California Medicaid claims data (Medi-Cal)	Retrospective	July 1997 to September 2000	Patients were categorized by exposure as follows:  ≤ 30 days  > 30 to ≤90 days  > 90 to ≤ 180 days  > 180 to ≤ 360 days  ≤ 360 days  Duration of exposure was calculated as follows: For patients with a DKA event, the maximum potential exposure was calculated as the numbers of days between initiation of the antipsychotic and the first DKA event; for patients without a DKA event, the number of days with any antipsychotic therapy between initiation of antipsychotic therapy and the end of the study were summed	

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# Evidence Table 7. Observational studies of safety and adverse events in patients with schizophrenia

		Age	Exposed	Withdrawn
Author, year		Gender	Eligible	Lost to fu
Country	Population	Ethnicity	Selected	Analyzed
Any Atypical Antipsycho	tic			
Ramaswamy 2003	Schizophrenia and bipola	ar disorder	141,286 exposed	NR
United States	patients (identified by ICI	D-9-CM	NR	NR
	codes) who were initial u	sers of	Selected=102,552	102,552 analyzed
	atypical antipsychotic ag	ents (I.e.,	risperidon 51,285	
	those who first prescription	on claim	olanzapine 51,267	
	occurred at least 6 month	hs after	•	
	the study start)			

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Author, year		
Country	Effectiveness outcomes	
Any Atypical Antipsychol	ic	
Ramaswamy 2003	NR	
United States		

Diabetes prior to treatment (no diabetes): 8.890 (4.506-17.212), p<0.0001

Author, year		•
Country	Safety Outcomes	Comments
Any Atypical Antipsychotic		
Ramaswamy 2003	Incidence rate of diabetic ketoacidosis (DKA) (incident cases of DSK per 10,000):	
United States	Clozapine (n=816): 12.25	
	Olanzapine (n=51,302): 10.72	
	Quetiapine (n=7,086): 5.64	
	Risperidone (n=51,330): 6.04	
	Number of cases per 10,000 within exposure categories: olanzapine, risperidone, p-value	
	≤ 30 days: 6.6, 5.4, p=NS	
	> 30 to ≤90 days: 7.6, 8.8, p=NS	
	$> 90 \text{ to} \le 180 \text{ days: } 6.3, 6.8, p=NS$	
	$> 180 \text{ to } \le 360 \text{ days: } 16.9, 4.5, p < 0.05$	
	≤ 360 days: 17.4, 5.4, p<0.05	
	Odds of developing DKA: logistic model results (100% dataset): Odds ratio (95% CI), p-value	
	Olanzapine monotherapy (risperidone): 1.623 (1.047-2.560), p=0.033	
	Age (years): 0.987 (0.975-0.999), p=0.036	
	African-American race (Caucasion): 1.764 (1.037-2.944), p=0.032	
	Schizophrenia (no schizophrenia): 2.216 (1.400-3.467), p=0.001	
	Diabetes prior to atypical use (no diabetes prior to atypical use): 9.643 (6.066-15.341), p<0.0001	
	Odds of developing DKA according to duration of drug exposure, logistic model results	
	Patients with > 180 days' exposure	
	Olanzapine monotherapy (risperidone): 3.515 (1.739-7.888), p=0.001	
	Age (years): 0.970 (0.95-0.988), p=0.001	
	Dish at a primate transfer and (as dish at a) 0.000 (4.500.47.040) as 0.0004	

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Author, year Country	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose
Herrman et al, 2004 Canada	Database: administrative health care databases in Ontario, Canada	Retrospective	April 1, 1997 through March 31, 2002	NR	Risperidone Olanzapine Typical antipsychotics
Kozma 2004 (poster) United States	Database: Medstat's Medicaid database	Retrospective	1999-2002	NR	Atypical antipsychotics overall Olanzapine Risperidone Quetiapine Haloperidol Benzodiazepines

		Age	Exposed	Withdrawn	
Author, year		Gender	Eligible	Lost to fu	
Country	Population	Ethnicity	Selected	Analyzed	
Herrman et al, 2004	Patients over age 65 who were	Mean age approximately 82 years	NR	NR	
Canada	given at least 2 successive	(SD 7.5)	NR	NR	
	prescriptions and received enourgh	69% female	11,400	11,400	
	drug for at least 30 days of observation.	Ethnicity not reported			

or longer period of no use) of 1 of 3 conventional antipsychotics, 45% classes of drugs: atypical were Caucasian and 21% African antipsychotics (risperidone, American. olanzapine, or quetiapine), haloperidol, or benzodiazepines.	Kozma 2004 (poster) United States	classes of drugs: atypical antipsychotics (risperidone, olanzapine, or quetiapine),	American; among patients taking conventional antipsychotics, 45% were Caucasian and 21% African	NR NR 26,456	NR NR 26,456
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Author,	year
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CountryEffectiveness outcomesHerrman et al, 2004NRCanadaCanada

Kozma 2004 (poster) United States NR

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# Author, year

Country	Safety Outcomes	Comments
Herrman et al, 2004	Hospital admission for stroke:	
Canada	typical antipsychotic users: N=10	
	risperidone users: N=58	
	olanzapine users: N=24	
	Crude stroke rate per 1.000 person years:	
	typical antipsychotic users: 5.7	
	risperidone users: N=7.8	
	olanzapine users: N=5.7	
	(NS)	
	RR relative to typical antipsychotic use:	
	olanzapine: 1.1 (95% CI 0.5, 2.3)	
	risperidone: 1.4 (95% CI 0.7, 2.8)	
	RR of risperidone relative to olanzapine:	
	1.3 (95% CI 0.8, 2.2)	
Kozma	Stroke-related event (defined as an acute inpatient hospital admission for a stroke-related event within 90 da	VC.
2004 (poster)	following initiation of treatment with the index medication):	ys
United States	Unadjusted rates were not statistically significant, reporting is unclear: states rates were:	
Officed States	0.87%, 0.97%, 0.88%, 0.58%, 1.19%, 1.11% 1.04% for atypical antipsychotics overall, olanzapine, risperidor	26
	quetiapine, haloperidol, and benzodiazepine groups, respectively.	16,
	quetiapline, hatopendor, and benzodiazepline groups, respectively.	

		Low overall loss to follow-	Outcomess pre- specified and	Ascertainment techniques adequately	Non-biased and adequate ascertainment
Author, year	Non-biased selection?	up?	defined?	described?*	methods?
Controlled studies Advokat, 2004	No, excluded patients with incomplete data	No withdrawals reported	Yes	Yes	No, ratings probably unblinded because performed by psychologists/ psychiatrists on staff at hospital
Agelink, 2001	Method NR, unable to determine.	Yes (9%)	Yes	Yes	Yes
Allan, 1998	Method NR, unable to determine.	Unable to determine, N not reported for analyses ("Sample size varied across analyses depending on the completeness of data for each subject")	Yes	Yes	Yes- states "double blind assessments"
Al-Zakwani, 2003	No, excluded patients who had a behavioral health benefit carve-out and those who were not continuously enrolled for 18 months	No withdrawals reported.	Yes	Yes	NR
Barak 2004	No, excluded patients without treatment charts	Yes (retrospective study)	Yes	Yes	Unclear if database/patient chart reviewer was blind to suicide status
Barner 2004 United States					Saloud Status

Author, year	Statistical analysis of potential confounders?	Adequate duration of follow-up?	Adequate sample size?	Overall quality assessment	Comments
Controlled studies Advokat, 2004	No and only baseline demographic data reported; unclear if differences in prognostic factors	Yes	Power calculation NR (N=100)	Poor	
Agelink, 2001	Yes	Yes	No power calculation reported (N=56)	Fair	
Allan, 1998	No	Yes (6 weeks)	No power calculation reported (N=23)	Poor- unable to determine number analyzed, small sample and no power calculation, no control for potential confounding factors and limited baseline data reported, unable to determine if	
Al-Zakwani, 2003	Yes	Yes	No power calculation reported (N=469)	Fair	
Barak 2004	No; only commented regarding similarities in gender, age, distribution of diagnoses	Unclear	No power calculation (N=378)	Fair	
Barner 2004 United States					

Author, year	Non-biased selection?	Low overall loss to follow-up?	Outcomess prespecified and defined?	Ascertainment techniques adequately described?*	Non-biased and adequate ascertainment methods?
Bobes 2003b		Unclear for the process of narrowing the sample from 901 to 158; low for LTFU among the 158	Yes	Yes	Unclear if the person(s) that administered the instruments were blinded
Bobes, 2003	Not clear- consecutive patients enrolled, but more quetiapine patients excluded for noneligibility (18.9%, vs 5.8% haloperidol, 3.0% olanzapine, and 2.5%	Yes	Yes	Yes	Not blinded or independent, care provider did assessments.
Bobes, 2003	Not clear- consecutive patients enrolled, but more quetiapine patients excluded for noneligibility (18.9%, vs 5.8% haloperidol, 3.0% olanzapine, and 2.5% risperidone)	Yes	Yes	Yes	Not blinded or independent, care provider did assessments.
Bond, 2004	No, excluded patients: (1) didn't express goal of employment; (2) were noncompliant with medications; (3) didn't complete baseline interview; (4) discontinued early; (5) switched medications during the study		Yes	Yes	Unclear; no information about how the Vocational Placement Scale was administered
Buckley, 1997	NR	No withdrawals reported	Yes	Yes	No

Author, year	Statistical analysis of potential confounders?	Adequate duration of follow-up?	Adequate sample size?	Overall quality assessment	Comments
Bobes 2003b	Partial; only covariates were baseline score and years since diagnosis	Yes	No power calculation (N=158)	Poor	
Bobes, 2003	Yes	Yes (at least 4 weeks)	No power calculation (N=636)	Fair	
Bobes, 2003	Yes	Yes (at least 4 weeks)	No power calculation (N=636)	Fair	
Bond, 2004	No; only attempted adjustment for the few baseline differences in concomitant medication use, indicated adjustment didn't materially change the results, so presented unadjusted results	Yes	Power calculation NR (N=90)	Poor	
Buckley, 1997	No	Yes	Power calculation NR (n=27)	Poor	

			Outcomess pre-	Ascertainment techniques	Non-biased and
Author, year	Non-biased selection?	Low overall loss to follow- up?	specified and defined?	adequately described?*	adequate ascertainment methods?
Caracci, 1999 (inpatients)	Yes	Withdrawals not reported	Yes	Yes	NR
Caro 2002 Quebec	Yes	NR	Yes	Yes	Yes
Chouinard, 1997					
Conley 1999 United States	Yes	NR	Yes	Yes	Yes
Coulter 2001 International	Unclear	NR	Yes	No	Unclear
de Haan, 1999	Yes	Yes (retrospective study)	No; not defined	No	No
de Haan, 2002	No; excluded 15 (6.2%) due to noncompliance and crossover	Withdrawals NR	yes	Yes	No; raters were unblinded
de Leon, 2004 Dinakar, 2002	Method NR, unable to determine.	Yes	Yes	Yes	Not reported if blind or independent assessment of outcomes.
Etminan 2003 Ontario	No	NR	Yes	Yes	Yes

Author, year	Statistical analysis of potential confounders?	Adequate duration of follow-up?	Adequate sample size?	Overall quality assessment	Comments
Caracci, 1999 (inpatients)	No and patients in control group were significantly older	<u> </u>	Power calculation NR (n=40)	Poor	
Caro 2002 Quebec	Yes	Yes		Fair	Between-group differences in age, gender, other characteristics
Chouinard, 1997					
Conley 1999 United States	Yes	Yes		Fair	
Coulter 2001 International	NR	Unclear		Poor	
de Haan, 1999	No; only commented regarding between-groups comparability for sex, age at admission and diagnosis	Yes	No power calculation (n=108)	Poor	
de Haan, 2002	No and there was no information about between-groups comparability of baseline characteristics	Yes	No power calculation (n=113)	Poor	
de Leon, 2004					
Dinakar, 2002	No	Yes	No power calculation (N=79)	Poor- no control for confounding factors, not reported if outcome assessors blinded or independent, unable to	
Etminan 2003 Ontario	Yes	NR		Poor	Diabetic events nr for 266 patients (reason nr)

Author, year Feldman, 2004; Buse, 2003	Non-biased selection?  No- only included patients who maintained coverage	Low overall loss to follow-up?  Yes (for those maintaining coverage)	Outcomess pre- specified and defined?	Ascertainment techniques adequately described?*	Non-biased and adequate ascertainment methods?  Not reported if independent assessment
Busc, 2000	with AdvancePCS were followed- those who discontinued coverage not analyzed; also excluded those missing information on sex or year of birth.	ooverage)			of outcomes (but outcome was new prescription, so may be objective)
Fuller 2003	Yes	NR	Yes	No	Yes
Ganguli, 2001	Yes- consecutive patients	Not reported	Yes	Yes	Not reported if independent assessment of outcomes (outcome was weight gain from chart review, objective, but several sources used, and judgment made about which of multiple weights recorded to use)
Garcia-Cabeza 2003 Spain Subjective Response Analysis from EFESO					
Gianfrancesco 2002 United States	Yes	NR	Yes	No	Yes

Author, year	Statistical analysis of potential confounders?	Adequate duration of follow-up?	Adequate sample size?	Overall quality assessment	Comments
Feldman, 2004; Buse, 2003	Yes	Yes	No power calculation (N=30,953)	Fair	
Fuller 2003	Yes	Yes		Fair	
Ganguli, 2001	No	Yes (4 months)	No power calculation (N=100)	Fair	
Garcia-Cabeza 2003 Spain Subjective Response Analysis from EFESO					
Gianfrancesco 2002 United States	Yes	Yes		Fair	

Author, year	Non-biased selection?	Low overall loss to follow- up?	Outcomess pre- specified and defined?	Ascertainment techniques adequately described?*	Non-biased and adequate ascertainment methods?
Gianfrancesco 2003a United States	Yes	NR	Yes	No	Yes
Gianfrancesco 2003b United States Gomez 2000 Spain Estudio Farmacoepidemiologico en	Yes	NR	Yes	No	Yes
esquizofrenia con Olanzapine (EFESO) Gupta, 2004 Hayhurst 2002	Yes	Yes	Yes	No	Unclear
Hedenmalm, 2002	Yes	Yes (retrospective study)	Yes	Yes	Not stated if blinded or independent assessment of outcomes
Hennessy, 2002	Not clear	Yes (retrospective study)	Yes	Yes	Not reported if independent assessment of outcomes

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	Statistical analysis of potential	Adequate duration		Overall quality	
Author, year	confounders?	of follow-up?	Adequate sample size?	assessment	Comments
Gianfrancesco 2003a United States	Yes	Yes		Fair	
Gianfrancesco 2003b United States	Yes	Yes		Fair	
Gomez 2000 Spain Estudio Farmacoepidemiologico en esquizofrenia con Olanzapine (EFESO)					
Gupta, 2004 Hayhurst 2002	Yes	Yes		Fair	
Hedenmalm, 2002	No	Yes	No power calculation reported (N=868)	Fair	
Hennessy, 2002	Yes	Yes	No power calculation reported (N=95,632 cases, 29,086 controls)	Fair	

Author, year	Non-biased selection?	Low overall loss to follow-up?	Outcomess pre- specified and defined?	Ascertainment techniques adequately described?*	Non-biased and adequate ascertainment methods?
Ho, 1999	Unclear	No	yes	Yes for group in the Longitudinal Study of Recent-Onset Psychosis, No for others	unclear, blinding NR
Javitt, 2002	Unclear; indicates that data was obtained but doesn't indicate how	No loss to follow-up	Yes	No	No
Jeste 1999 United States	Yes	NR	Yes	Yes	Yes
Kane 1993 United States	No	NR	Yes	Yes	Yes
Kasper, 2001	No; selected patients in reverse chronological order with 33 from each center; also only included data from centers that completed data collection and verification by a certain date		Yes	No	Unclear; blinding NR
Killian, 1999					
King 1998 Ireland					
	Unclear	NR	Yes	No	Unclear

Author, year	Statistical analysis of potential confounders?	Adequate duration of follow-up?	Adequate sample size?	Overall quality assessment	Comments
Ho, 1999	Partially, ANCOVA analysis was done to assess impact of differences at baseline in EPS, GAS, and QOL measures but other confounders not assessed.	Yes	No	Poor	
Javitt, 2002	Yes	Yes	No power calculation	Fair	
Jeste 1999 United States	Partial: univariate regressions for baseline scores, age race, education, neuroleptic type, and daily dose on risk of TD. Subjects were matched for age, diagnosis, and length of neuroleptic exposure at study entry.	Yes		Fair	
Kane 1993 United States	NR	Yes			Between group differences in gender and diagnosis
Kasper, 2001	Yes	Υ	No power calculation reported	Fair	
Killian, 1999					
King 1998 Ireland					
	NR	Unclear		Poor	

Author, year	Non-biased selection?	Low overall loss to follow-up?	Outcomess pre- specified and defined?	Ascertainment techniques adequately described?*	Non-biased and adequate ascertainment methods?
Koller, 2003	Yes	Yes	Yes	Yes	Not reported if independent assessment of outcomes.
Koro, 2002a	Yes	Yes (retrospective study)	Yes	Yes	Not reported if independent assessment of outcomes
Koro, 2002b	Yes	Yes	Yes	Yes	Not reported if independent assessment of outcomes.
Kraus, 1999	Yes	Not reported	Yes	Yes	Not reported if independent assessment of outcomes (but outcome was weight, so may be objective)
Kurz 1995					
Austria					
Lambert, 2005	No, excluded patients that were not continuously eligible for Medi-Cal benefits	Yes: 5.4% at 24 weeks, 20.1% at 52 weeks s	Yes	Yes	Yes
Lasser, 2004					
Lee 2002 United States	Yes	NR	Yes	Yes	Yes

Author, year	Statistical analysis of potential confounders?	Adequate duration of follow-up?	Adequate sample size?	Overall quality assessment	Comments
Koller, 2003	No- descriptive summary statistics only.	Yes	No power calculation (N=131)	Fair	
Koro, 2002a	Yes	Yes (3 at least months)	No power calculation reported (N=1268 cases, 7598 controls)	Fair	
Koro, 2002b	Yes	Yes (mean 5.2 years)	No power calculation (N=451 cases, 2696 controls)	Fair	
Kraus, 1999	No	4 weeks- not sure	No power calculation (N=44)	Poor: unclear if all patients analyzed at all time points (no info on dropouts), no control for confounding factors.	
Kurz 1995 Austria					
Lambert, 2005	No	Yes	Power calculation NR; n=12,637	Poor	
Lasser, 2004					
Lee 2002 United States	Partial: Adjusted for age, sex, geographic region, diagnosis, hypertension, heart disease, and length of AP therapy. Did not adjust for dose.	Yes		Fair	79% of patients were only prescribed the index antipsychotic during the study period.

Author, year	Non-biased selection?	Low overall loss to follow-up?	Outcomess pre- specified and defined?	Ascertainment techniques adequately described?*	Non-biased and adequate ascertainment methods?
Leon, 1979	No; excluded patients that moved out of urban district	None (retrospective study)	Yes	No	Unclear; no information about blinding
Leslie, 2004	Not clear	Yes (retrospective study)	Yes	No	Not reported if blind or independent assessment of outcomes.
Lucey, 2003	Unclear. 396 patients charts reviewed, but selection of these not stated	Yes (retrospective study)	yes	yes	yes
Madhusoodanan, 1999	Yes	None (retrospective)	Yes	No	Unclear; blinding NR
Madhusoodanan, 2004 (inpatients)					
McIntyre 2003 Canada Canadian National Outcomes Measurement Study in Schizophrenia (CNOMSS)	Yes	NR	Yes	No	Unclear
Meyer, 2002	No- excluded patients with incomplete data	Yes (retrospective study)	Yes	Yes	Not reported if independent assessment of outcomes

Author, year Leon, 1979	Statistical analysis of potential confounders?  N; no baseline differences	Adequate duration of follow-up?	Adequate sample size?  No power calculation	Overall quality assessment Poor	Comments
Leslie, 2004	No	Yes? (3 months)	No power calculation (N=4132 cases)	Poor- No control for confounding factors, not reported if outcome assessor blinded, definition of outcomes and ascertainment techniques not adequately described, unable to determine if selection was unbiased.	
Lucey, 2003	Partially, analysis took into account mean dose and center.	yes, for the outcome measure of time to discharge	Unclear, sample size calculated based on difference in cost - not hospitalization rate	Fair	
Madhusoodanan, 1999  Madhusoodanan, 2004 (inpatients)	No and there were baseline differences	Yes	No power calculation (N=151)	Poor	
McIntyre 2003 Canada Canadian National Outcomes Measurement Study in Schizophrenia (CNOMSS)	Yes	Yes		Fair	
Meyer, 2002	No	Yes (one year)	No power calculation reported (N=94)	Poor- may be biased selection, independent outcome assessment not reported, no control for potential confounding factors.	

Author, year	Non-biased selection?	Low overall loss to follow-up?	Outcomess pre- specified and defined?	Ascertainment techniques adequately described?*	Non-biased and adequate ascertainment methods?
Miller, 1998	Not clear- identified patients from chart review.		Yes	Yes	Yes- blinded assessment of EPS
Modai 2000 Israel	Yes	NR	Yes	Yes	Yes
Montes 2003 Spain Sub-group Analysis from EFESO Naber, 2001 Nightengale, 1998	Yes Method NR, unable to determine.	Yes No (4% missing SWN data, 3% missing PANSS data)	Yes Yes	No Yes	Unclear Not blinded
Ollendorf 2004 United States	Yes	NR	Yes	Yes	Yes
Ostbye 2004	Yes	NR	Yes	Yes	Yes
Peacock 1996 Denmark	No	NR	No	No	Not clear
Procyshyn, 1998	Yes	None (retrospective)	yes	No	No; method of determining classification as "responder" from physician note NR; blinding of chart reviewer NR

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#### Evidence Table 8. Quality assessment of observational studies in patients with schizophrenia

	Statistical analysis of potential	Adequate duration		Overall quality	
Author, year	confounders?	of follow-up?	Adequate sample size?	assessment	Comments
Miller, 1998	Yes	Yes, but time period on medications varied (45.3 months clozapine, 13.4 months risperidone, 92.5 months conventional antipsychotics)	No power calculation reported (N=106)	Fair	
Modai 2000 Israel	Yes	Unclear		Fair	
Montes 2003 Spain Sub-group Analysis from EFESO Naber, 2001	Yes Yes	Yes Yes	No power calculation	Fair Fair	
Nightengale, 1998			reported (N=100)		
Ollendorf 2004 United States	Yes	Yes		Fair	
Ostbye 2004	Partial: does not control for dose and duration of treatment			Poor	
Peacock 1996 Denmark	NR	Yes		Poor	
Procyshyn, 1998	No	Yes	Yes	Fair	

Author, year	Non-biased selection?	Low overall loss to follow-up?	Outcomess pre- specified and defined?	Ascertainment techniques adequately described?*	Non-biased and adequate ascertainment methods?
Reid, 1999					
Schillevoort, 2001a	Yes	Yes	Yes	Yes	Not reported (outcome assessor not specified)
Schillevoort, 2001b	Yes	Yes (retrospective study)	Yes	Yes	Not reported if blind or independent assessment of outcomes.
Sernyak, 2002	Yes	Yes	Yes	Yes	Not reported (outcome assessor not specified)
Sharif, 2000	Yes	None (retrospective)	Yes	No information about the method the research assistant used to "assess symptom domain response" when reviewing the charts	No; after filling out structured rating forms during chart review, same unblinded research assistant blacked out identifying in formation, randomly assigned "X" or "O" to the blacked out forms and gave to research psychiatrists for interpretation
Snaterse, 2000	Unclear if chart review included ALL potential patients during the specified time period	None (retrospective)	Yes	No	Unclear; blinding NR
Soyka, 2004 (inpatients)					
Spivak 1998 Israel	Yes	NR	Yes	Yes	Yes

Author, year Reid, 1999	Statistical analysis of potential confounders?	Adequate duration of follow-up?	Adequate sample size?	Overall quality assessment	Comments
Schillevoort, 2001a	Yes	Yes	No power calculation (N=4094)	Fair	
Schillevoort, 2001b	Yes	Yes	No power calculation (N=848)	Fair	
Sernyak, 2002	Yes	Not sure- 4-month period studied.	No power calculation (N=38,632; N with diabetes NR)	Fair	
Sharif, 2000	No	Yes	No power calculation (n=24)	Poor	
Snaterse, 2000	Yes; but no demographics	Yes	No power calculation (N=56)	Fair	
Soyka, 2004 (inpatients)					
Spivak 1998 Israel	NR	Yes		Fair	

Author, year	Non-biased selection?	Low overall loss to follow-up?	Outcomess pre- specified and defined?	Ascertainment techniques adequately described?*	Non-biased and adequate ascertainment methods?
Taylor, 2003	Unclear if sample of charts that were reviewed represent those of ALL potentially eligible charts; also excluded 2 charts with inadequate dosing information	None (retrospective)	Yes	No description of how "documented positive statement of treatment effectiveness" was defined	No, efficacy outcome very subjective and blinding NR
Verma, 2001	No	Yes	Yes	Yes	No, unblided raters
Voruganti, 2000	No, convenience sample probably does not represent all of the patients among the 600 that would meet inclusion criteria		No	Yes	Yes
Voruganti, 2001					
Wang, 2002 U.S.	Yes	n/a	Yes	Yes	Yes
Weiser, 2000	Yes ("recruited randomly")	No withdrawals reported.	Yes	Yes	No- raters of ESRS not blinded; other assessments computerized
Wirshing, 2002	No- included only records with adequate laboratory data, and excluded those with a lack of compliance (excluded 63.6% of charts reviewed).	Yes (retrospective study)	Yes	Yes	Not stated if blinded or independent assessment of outcomes (but lab test, may be objective)
Zhao, 2002	Yes	No withdrawals reported	No	Yes	No

Author, year	Statistical analysis of potential confounders?	Adequate duration of follow-up?	Adequate sample size?	Overall quality assessment	Comments
Taylor, 2003	Yes	Yes	Yes	Fair	
Verma, 2001	No	Unclear, follow-up ended at discharge, but mean duration of inpatient stay not reported	No	Poor	
Voruganti, 2000	No, and there were baseline differences in disease severity (clozapine patients were sicker)	Yes	No power calculation reported	Poor	
Voruganti, 2001					
Wang, 2002 U.S.	Yes			Fair	
Weiser, 2000	Controlled for age only.	N/A (case-control) Yes	No power calculation reported (N=76)	Fair	
Wirshing, 2002	Yes	Yes (tests within 2 1/2 years included)	No power calculation reported (N=215)	Fair	
Zhao, 2002	Yes	Yes	No power calculation reported (N=1,333)	Fair	

Author, year	Non-biased selection?	Low overall loss to follow-up?	Outcomess pre- specified and defined?	Ascertainment techniques adequately described?*	Non-biased and adequate ascertainment methods?
Uncontrolled studies Clozapine					
Advokat, 1999					
Alvarez 1997 Spain	No: AE withdrawals during first 3 weeks not included	NR	Yes	Yes	Yes
Atkin 1996 UK/Ireland	Yes	NR	Yes	Yes	Yes
Breier, 1993					
Brar, 1997					
Buckman 1999 United States	Unclear	NR	No	No	Unclear
Bunker, 1996 Cassano, 1997 Ciapparelli, 2000 Conley, 1997					
Deliliers 2000 Italy	Yes	NR	Yes	Yes	Yes
Devinsky 1991 United States	Yes	NR	Yes	No	Unclear
Drew 1999 Australia	Yes	NR	Yes	Yes	Yes
Drew 2002 Australia	Yes	NR	Yes	Yes	Yes
Frankenburg, 1992					

Author, year	Statistical analysis of potentia confounders?	I Adequate duration of follow-up?	Adequate sample size?	Overall quality assessment	Comments
Autioi, year	comounters:	or ronow-up:	Adequate sample size:	assessment	Comments
Uncontrolled studies Clozapine					
Advokat, 1999					
Alvarez 1997 Spain	NR	Yes		Fair	
Atkin 1996 UK/Ireland	NR	Yes		Fair	
Breier, 1993					
Brar, 1997					
Buckman 1999 United States	NR	Unclear		Poor	
Bunker, 1996 Cassano, 1997 Ciapparelli, 2000 Conley, 1997					
Deliliers 2000 Italy	NR	Unclear		Fair	
Devinsky 1991 United States	Yes	Unclear		Fair	
Drew 1999 Australia	NR	Yes		Fair	Preliminary results of Drew 2002
Drew 2002 Australia	NR	Yes		Fair	
Frankenburg, 1992					

Author, year	Non-biased selection?	Low overall loss to follow- up?	Outcomess pre- specified and defined?	Ascertainment techniques adequately described?*	Non-biased and adequate ascertainment methods?
Frankle, 2001	11011 210000 00100110111	ω <b>ρ</b> .	uoiiiiou i	4000112041	
Gordon, 1996					
Hagg 1998	Yes	NR	Yes	Yes	Yes
Sweden Henderson 2000 United States	Yes	NR	Yes	Yes	Yes
Hofer, 2003 Honer, 1995					
Honigfeld 1996 United States	Yes	NR	Yes	Yes	Yes
Honigfeld, 1990 Kane, 1994 Kranzler, 2005 Koller, 2001					
Laker 1998					
London Lamberti, 1992 Leadbetter, 1992	Yes	NR	Yes	No	Unclear
Lieberman 1992 Alvir 1993	Yes	NR	No	No	Unclear
United States Lund 2001 United States	Yes	NR	Yes	Yes	Yes
Manschreck, 1999 Nair, 1999					
Pacia 1994 United States Rastogi, 2000	Yes	NR	Yes	Yes	Yes
Reid 1998 United States	Unclear	NR	Yes	No	Unclear
Reid, 1998	Yes	Yes (retrospective study)	Yes	Yes	Not reported if blind or independent assessment of outcomes.

#### Evidence Table 8. Quality assessment of observational studies in patients with schizophrenia

Author, year	Statistical analysis of potential confounders?	Adequate duration of follow-up?	Adequate sample size?	Overall quality assessment	Comments
Frankle, 2001					
Gordon, 1996					
Hagg 1998 Sweden	No	N/A, cross-sectional study		Fair	
Henderson 2000 United States Hofer, 2003 Honer, 1995	Yes	Yes		Fair	
Honigfeld 1996 United States Honigfeld, 1990 Kane, 1994 Kranzler, 2005 Koller, 2001 Laker 1998	NR	Yes		Fair	
London Lamberti, 1992 Leadbetter, 1992	NR	Yes		Fair	
Lieberman 1992 Alvir 1993 United States	Yes	Yes		Fair	
Lund 2001 United States Manschreck, 1999 Nair, 1999	Yes	Yes		Good	
Pacia 1994 United States Rastogi, 2000	Yes	Unclear		Fair	
Reid 1998 United States	NR	Unclear		Poor	
Reid, 1998	No	Yes	No power calculation (N=866)	Fair	

#### Evidence Table 8. Quality assessment of observational studies in patients with schizophrenia

Author, year	Non-biased selection?	Low overall loss to follow- up?	Outcomess pre- specified and defined?	Ascertainment techniques adequately described?*	Non-biased and adequate ascertainment methods?
Sajatovic 2000	Yes	NR	No	No	Unclear
United States					
Tandon, 1993					
Taylor, 2000					
Umbricht 1994	No	NR	Yes	Yes	Yes
United States					
Wilson 1992	Yes	NR	No	No	Unclear
United States					

First paper in a series studying clozapinetreated pts in Dammasch State Hospital; this study analyzed the pts entered into the cohort in the first 6 months

Wilson 1993

**United States** 

Second paper in a series studying clozapinetreated pts in Dammasch State Hospital; this study analyzed the pts entered into the cohort in the first year

Zito, 1993

#### Olanzapine

Biswas, 2001

Chengappa 2005

Conley, 1998

Del Paggio, 2002

Dennehy 2003

Dossenbach, 2000

Dossenbach, 2001

Dunlop 2003

Dursun, 1999

Edar, 2001

Gilchrist, 2002

Gonzalez-Pinto 2001

Hennen 2004

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#### Evidence Table 8. Quality assessment of observational studies in patients with schizophrenia

	Statistical analysis of potential	Adequate duration		Overall quality	
Author, year	confounders?	of follow-up?	Adequate sample size?	assessment	Comments
Sajatovic 2000	NR	Unclear		Fair-Poor	
United States					
Tandon, 1993					
Taylor, 2000					
Umbricht 1994	Yes	Yes		Fair	
United States					
Wilson 1992	NR	Yes		Fair	
United States					
First paper in a series studying clozapine-					

Wilson 1993

in the first 6 months

**United States** 

Second paper in a series studying clozapinetreated pts in Dammasch State Hospital; this study analyzed the pts entered into the cohort in the first year

treated pts in Dammasch State Hospital; this study analyzed the pts entered into the cohort

Zito, 1993

### **Olanzapine**

Biswas, 2001

Chengappa 2005

Conley, 1998

Del Paggio, 2002

Dennehy 2003

Dossenbach, 2000

Dossenbach, 2001

Dunlop 2003

Dursun, 1999

Edar, 2001

Gilchrist, 2002

Gonzalez-Pinto 2001

Hennen 2004

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Author, year	Non-biased selection?	Low overall loss to follow-up?	Outcomess pre- specified and defined?	Ascertainment techniques adequately described?*	Non-biased and adequate ascertainment methods?
Ishigooka, 2001					
Koller, 2002					
Janenawasin 2002					
Lasser, 2004					
Lindenmayer, 2001					
Lindenmayer, 2002					
McElroy 1998					
Smith, 2001					
Vieta 2002					
Zarate 1998					
Quetiapine					
Brechar, 2000					
Buckley, 2004					
Kasper, 2004					
Sacchetti, 2003	Made ad NID als la 4a	NI-	V	V	Net assessed if blinder
Sax, 1998	Method NR, unable to determine.	No	Yes	Yes	Not reported if blind or independent assessment of outcomes.

van der Heijden, 2003

Wetzel, 1995

#### Risperidone

Albright, 1996

Bahk 2004

Brunelleschi, 2003

Chengappa, 2000

Daradkeh, 1996

Dickson, 1999

Finley, 1998

Franckiewicz, 2002

Guest, 1996

Jeste, 1997

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Author, year	Statistical analysis of potential confounders?	al Adequate duration of follow-up?	n Adequate sample size?	Overall quality assessment	Comments
Ishigooka, 2001 Koller, 2002 Janenawasin 2002 Lasser, 2004 Lindenmayer, 2001 Lindenmayer, 2002 McElroy 1998 Smith, 2001 Vieta 2002 Zarate 1998 Quetiapine Brechar, 2000 Buckley, 2004 Kasper, 2004 Sacchetti, 2003					
Sax, 1998	No	Yes	No power calculation (N=22)	Poor- no control for confounding factors, not reported if outcome assessors blinded or independent, unable to determine if selection was unbiased.	
van der Heijden, 2003 Wetzel, 1995 <i>Risperidone</i>					
Albright, 1996 Bahk 2004 Brunelleschi, 2003 Chengappa, 2000 Daradkeh, 1996 Dickson, 1999 Finley, 1998 Franckiewicz, 2002 Guest, 1996 Jeste, 1997					

Author, year	Non-biased selection?	Low overall loss to follow- up?	Outcomess pre- specified and defined?	Ascertainment techniques adequately described?*	Non-biased and adequate ascertainment methods?
Kaneda, 2001	Non-biased selection:	up:	defined:	described:	methods:
Kim, 2002					
Kopala, 1998					
Lindstrom, 1995					
MacKay 1998	Yes	NR	No	No	Unclear
England					
Madbusoodanan, 1999					
Malla 2001	Yes	NR	Yes	Yes	Yes
International					
Malla, 1999					
Reveley, 2004					
Still, 1996					
Vieta 2004					
Werapongset, 1998					
Ziprasidone					
Kingsbury, 2001					
Weiden, 2003					
Any Atypical Antipsychotic					
, ,,,					
Ramaswamy 2003					
United States					
Herrman et al, 2004					
Canada					
Kozma 2004 (poster)	Yes	NR	Yes	Yes	Yes
United States					

Author, year	Statistical analysis of potential confounders?	Adequate duration of follow-up?	Adequate sample size?	Overall quality assessment	Comments
Kaneda, 2001	comounders:	or ronow-up:	Adequate sample size:	assessment	Comments
Kim, 2002					
Kopala, 1998					
Lindstrom, 1995					
MacKay 1998	NR	Yes		Fair	
England					
Madbusoodanan, 1999					
Malla 2001	NR	Yes		Fair	
International					
Malla, 1999					
Reveley, 2004					
Still, 1996					
Vieta 2004					
Werapongset, 1998					
Ziprasidone					
Kingsbury, 2001					
Weiden, 2003					
Any Atypical Antipsychotic					
Ramaswamy 2003					
United States					
Herrman et al, 2004					
Canada					
Kozma 2004 (poster)	Yes	Unclear		Fair	
United States					

Author, year Country Trial name (Quality score)	Study design Setting	Eligibility criteria	Therapy type Interventions Duration
Outpatients  Aripiprazole			
Vieta 2005	RCT Multicenter	Patients aged 18-65 years, with DSM-IV diagnosis of bipolar I disorder, receiving in/out patient treatment for acute/mixed episode, Young Mania Rating Scale score of ≥20. Exclusion: presence of rapid-cyclng bipolar I disorder, duration of over 4 weeks of current manic episode, proven substance misuse, patient unreponsive to antipsychotics, significant risk of suicide, recent treatment with long-acting psychotropic medications (other than benzodiapines) within one day of randomization, fluoxetine treatment with 4 weeks of study, previous enrollment in aripiprazole study, shown intolerance to 15mg aripiprazole or 10mg haloperidol, lack of maintained effect after week 3 of study medication, hospitalization for manic or depressive symptoms, need for additional/increased doses of psychotropic medications, MADRS score ≤18, need for concomitant medication for symptomatic treatment or side-effects	aripiprazole 15mg daily vs haloperidol 10mg daily, duration; 12 weeks
Sachs 2005	RCT Multicenter	In-patients with DSM-IV diagnosis of Bipolar Disorder, aged 18 and over, with acute manic or mixed episodes, in current acute relapse requiring hospitalization, Young Mania Rating Scale score of $\geq 20$ , . Exclusion: pregnancy, lactation, diagnosed with dementia, delirium, amnestic or other cognitve disorders, schizophrenia/schizoaffective disorder, in first manic episode, under 4 weeks of duration of manic episode, unresponsive to clozapine, possibility of requiring prohibited concomitant therapy, use of psychoactive substances, substance abuse disorder, serum concentrations of lithium >0.6mmol/L or divalproex sodium >50g/mL at screening, risk of suicide/homicide, history of neuroleptic malignant syndrome or seizure disorder, clinically significant abnormal lab tests, vital signs or ECG, previous enrollment in aripiprazole study	aripiprazole 30mg daily vs placebo, duration: 3 weeks

Author, year Country Trial name (Quality score)	Run-in/Washout Period	Allowed other medications/ interventions	Method of outcome assessment and timing of assessment	Age Gender Ethnicity
Outpatients				
Aripiprazole				
Vieta 2005	NR/1-3 days	lorazepam 4mg daily, oxazepam 30mg daily	Young Mania Rating Scale, CGI-BP and MADRS at baseline, and weeks 1, 2, 4, 6, 8, 10, 12. SAS, BAS, AIMS at weeks 2,3,6, 12. Vitals and lab tests and weeks 3,8,12.	Mean age: 41.8 years 38.3% Male
Sachs 2005	NR/NR	lorazepam allowed on days 1-4(<6mg/day), 5-7 (<4mg/day) and 8-10 (<2mg/day)	CGI-BP Severity of Illness (mania, depression and overall), PANSS (hostility, positive, negative subscales and total scores)	Mean age: 38.8 years 49% Male White: 72%; Black: 21%, Asian/Pacific Islander: 1%; Hispanic/Latino: 5%; Other:1%

Author, year		Number	Number
Country		screened/	withdrawn/
Trial name		eligible/	lost to fu/
(Quality score)	Other population characteristics	enrolled	analyzed
Outpatients			
Aripiprazole			
Vieta 2005	NR	NR/372/347	208/7/338

Sachs 2005

Mean age current episode began (yrs): A: 37.2 s

placebo: 40.3

Rapid cycling: A: 19% vs placebo: 16%

NR/NR/272 3/NR/269

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#### Drug Effectiveness Review Project

#### Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name (Quality score)	Results	Method of adverse effects assessment
Outpatients		
Aripiprazole		
Vieta 2005	Overall response to treatment at 12 weeks: A: 49.7% vs H: 28.4%; p<0.001 YMRS: reduction of scores at 12 weeks: A: 19.9 vs H: 18.2; p=0.226 CGI-BP Severity reduction of scores at 12 weeks: A: 2.58 vs H: 2.27; p=0.095 MADRS reduction of scores at 12 weeks: A: 33% vs H: 37%	EPS Scale, patient report

Sachs 2005 Completion rates of study: A: 55% vs placebo: 52%

Decrease in YMRS total scores at 3 weeks: A: 12.5 vs placebo: 7.2; p<0.001

Mean scores at 3 weeks:

CGI-BP Severity of Illness (mania): A: 4.69 vs placebo: 4.71 CGI-BP Severity of Illness (depression): A: 2.66 vs placebo: 2.59 CGI-BP Severity of Illness (overall): A: 4.70 vs placebo: 4.69

CGI-BP Improvement from baseline (mania): A: 2.63 vs placebo: 3.22 CGI-BP Improvement from baseline (overall): A: 2.81 vs placebo: 3.27

PANSS hostility subscale: A: 10.60 vs placebo: 10.74 PANSS positive subscale: A: 17.51 vs placebo: 18.01 PANSS negative subscale: A: 11.22 vs placebo: 11.08

PANSS total: A: 61.77 vs placebo: 62.49

Patient report, physical exam

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#### Drug Effectiveness Review Project

Author, year	
Country	

Trial name

(Quality score)	Adverse effects reported	Total withdrawals; withdrawals due to adverse events	Comment
Outpatients			
Aripiprazole			
Vieta 2005	EPS events reported: A: 24.0% vs H: 62.7%  One patient discontinued haloperidol after suspected, drugrelated liver damage Insomnia: A: 13.7% vs H: 7.1%  Akathsia: A: 11.4% vs H: 23.1%  Depression: A: 11.4% vs H: 14.2%  Headache: A: 10.9% vs H: 11.8%  Extrapyramidal syndrome: A: 9.1% vs H: 35.5%  Tremor: A: 6.9% vs H: 10.1%	208; 116- O: 32 vs H: 84	

Sachs 2005 Headache: A: 25% vs placebo: 24.8%

Nausea: A: 21.3 vs placebo: 15.\*% Somnolence: A: 19.9% vs placebo: 10.5% Akathisia: A: 17.6% vs placebo: 4.5% Dyspepsia: A: 15.4% vs placebo: 6.8% Agitation: A: 14.7% vs placebo: 14.3% Constipation: A: 16% vs placebo: 5.3% Vomiting: A: 11% vs placebo: 7.5% Anxiety: A: 10.3% vs placebo: 8.3% Extremity pain: A: 10.3% vs placebo: 5.3%

Extremity pain: A: 10.3% vs placebo: 5.3% Lightheadedness: A: 8.8% vs placebo: 10.5%

Diarrhea: A: 7% vs placebo: 9.8%

Number of patients with clinically significant weight gain after 3

weeks (≥7%): A: 1 vs placebo: 5 127; 22- A: 12 vs placebo: 10

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# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country	Therapy type		
Trial name	Study design		Interventions
(Quality score)	Setting	Eligibility criteria	Duration
Keck, 2003 United States	RCT Multicenter	Male and female patients, age ≥ 18 years, diagnosed with bipolar I disorder, manic or mixed episode (DSM-IV), who	Monotherapy
	Hospitalization ≥ 2	were experiencing an acute relapse that required	Aripiprazole 30 mg daily
Fair quality	weeks	hospitalization; YMRS score ≥ 20	Placebo
			3-week DB

# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name (Quality score)	Run-in/Washout Period	Allowed other medications/ interventions	Method of outcome assessment and timing of assessment	Age Gender Ethnicity
Keck, 2003	7-day washout	Lorazepam treatment allowed on days 1-	Primary: YMRS mean change	Mean age=40.5
United States		4 (≤ 6 mg/day), 5-7 (≤4 mg /day), and 8-	Secondary: Mean change on CGI-BP;	56% female
		10 (≤2 mg/day)	discontinuation due to lack of efficacy or entry	Ethnicity nr
Fair quality			into open-label aripiprazole treatment; and YMRS	
		Anticholinergic agents limited to 6 mg/day of benztropine (or equivalent)	response (≥ 50% decrease in mean score)	
		and could not be administered within 12 hours of an efficacy or safety assessment	Assessments administered at days 4, 7, 10, 14 and 21	

#### Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name		Number screened/ eligible/	
(Quality score)	Other population characteristics	enrolled	lost to fu/ analyzed
Keck, 2003 United States	History of rapid cycling=23% Current episode purely manic=67%	NR/NR/262	180/262 (69%) withdrawn Lost to fu nr
Fair quality			248/262 (94.6%) analyzed

# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name (Quality score)	Results	Method of adverse effects assessment
Keck, 2003 United States	Aripiprazole vs placebo	Investigators evaluated reported events for severity and likely relationship to study
	YMRS mean change (points): -8.2 vs -3.4; p=0.002	medication
Fair quality	YMRS response rates (% patients): 40% vs 19%; p≤0.005	
	CGI overall bipolar disorder mean change (points): -1.0 vs -0.4; p=0.001	Extrapyramidal symptoms were evaluated
	Lorazepam treatment: 109/127 (86%) vs 108/127 (85%); p=NS	with the Simpson-Angus Rating Scale,
		Barnes Rating Scale for Drug-Induced
		Akathisia, and Abnormal Involuntary
		Movement Scale

Author, year			
Country			
Trial name			
(Quality score)	Adverse effects reported	Total withdrawals; withdrawals due to adverse events	Comment
Keck, 2003	Aripiprazole (n=127) vs placebo (n=127)	Aripiprazole vs placebo	
United States	(Statistical analyses not reported; we conducted 2-sided Fisher's		
	exact test using StatsDirect software)	Total withdrawals: 76/130 (58%) vs 104/132 (79%); p<0.001	
Fair quality	Serious adverse events: 4(3.1%) vs 4(3.1%);p=NS		
	Manic reaction: 3(2.4%) vs 0;p=NS	Withdrawals due to adverse events: 13/132 (10%) vs 14/130	
	Headache: 46(36%) vs 40(31%); p=NS	(11%); p=NS	
	Nausea: 29(23%) vs 13(10%); p<0.05		
	Dyspepsia: 28(22%) vs 13(10%); p<0.05		
	Somnolence: 26(20%) vs 6(5%); p<0.001		
	Agitation: 25(20%) vs 24(19%); p=NS		
	Anxiety: 23(18%) vs 13(10%); p=NS		
	Vomiting: 20(16%) vs 6(5%); p<0.05		
	Insomnia: 19(15%) vs 11(9%); p=NS		
	Lightheadedness: 18(14%) vs 10(8%); p=NS		
	Constipation: 17(13%) vs 7(6%); p=NS		
	Accidental injury: 15(12%) vs 3(2%); p<0.01		
	Diarrhea: 15(12%) vs 11(9%); p=NS		
	Akathisia: 14(11%) vs 3(2%); p<0.05		
	Simpson-Angus Rating Scale mean change (points): +0.48 vs -		
	0.10; p≤0.05		
	Barnes Rating Scale mean change (points): +0.33 vs -0.11;		
	p≤0.01		
	AIMS mean change (points): +0.01 vs -0.16; p=NS		
	Weight gain (% patients ≥ 7% increase): 2 vs 0; population		
	included in the weight analysis not cited; p=NS		
	Serum prolactin mean change (ng/ml): -12.7 vs -7.2; p≤0.05		
	Significant increase in QTc interval (% patients): 0 vs 0		

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# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name (Quality score)	Study design Setting	Eligibility criteria	Therapy type Interventions Duration
Olanzapine			
Tohen, 2003	RCT Multicenter	Patients, 18 years or older, that met DSM-IV criteria for bipolar I disorder, depressed; score ≥ 20 on the MADRS;	Monotherapy
Fair quality	13.1% Inpatients	history of at least 1 previous manic or mixed episode of sufficient severity to require treatment with a mood stabilizer or an antipsychotic agent	Olanzapine 5-20 mg Olanzapine-fluoxetine combination, 6 and 25, 6 and 50 or 12 and 50 mg Placebo
			8-week DB

Drug Effectiveness Review Project

# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name (Quality score) Olanzapine	Run-in/Washout Period	Allowed other medications/ interventions	Method of outcome assessment and timing of assessment	Age Gender Ethnicity
Olalizapille				
Tohen, 2003	2-14 day washout	Benzodiazepines (up to 2 mg of	Primary: MADRS change score	Mean age=41.8
		lorazepam equivalents per day)	Secondary: CGI-BP-S, YMRS, HAM-A	63% female
Fair quality			•	82.6% white
		Anticholinergic therapy (benztropine	Clinical visits conducted at weeks 1, 2, 3, 4, 6,	
		mesylate or biperiden ≥ 6 mg daily or	and 8	
		trihexyphenidyl ≥ mg daily)		

Author, year Country Trial name (Quality score)	Other population characteristics	Number screened/ eligible/ enrolled	Number withdrawn/ lost to fu/ analyzed
Olanzapine			
Tohen, 2003	Inpatient=13.1% Psychotic features=12.5%	NR/1072/833	454/833(54.5%) withdrawn
Fair quality	Melancholic features=66.7% Atypical features=8.3% Rapid cycling course=37% Manic or mixed episode in past 12 months=80.7% Length of current depressive episode (days)=73	Placebo n=377 Olanzapine n=370 Olanzapine+fluo xetine n=86	57/833(6.8%) lost to follow-up 788/833 (94.6%) analyzed

# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name (Quality score) Olanzapine	Results	Method of adverse effects assessment
Tohen, 2003	Placebo vs olanzapine (week 8)	Adverse events were coded using the Coding
	1. 180020 10 0141. Espirio (110011. C)	Symbol for Thesaurus of Adverse Reaction
Fair quality	MADRS mean change (points): -15.0 vs -11.9; p=0.002	Terms
, ,	MADRS response (patients): 39.0% vs 30.4%; p=0.02	
	Median times to response (days): 59 vs 55; p=0.01	Extrapyramidal symptoms were assessed
	MADRS remission (patients): 32.8% vs 24.5%; p=0.02	using the Simpson-Angus Rating Scale and
	Median time to remission (days): 59 vs 57; p=0.02	the Abnormal Involuntary Movement Scale
	YMRS mean change (points): -1.4 vs -0.1; p=0.002	
	CGI-BP-S mean change (points): -1.6 vs -1.2; p=0.004	
	HAM-A mean change (points): -5.5 vs -3.5; p=0.002	
	Anticholinergic medication use (% patients): 2.8% vs 3.7%; p=NS	

Author, year Country Trial name (Quality score)	Adverse effects reported	Total withdrawals; withdrawals due to adverse events	Comment
Olanzapine			
Tohen, 2003	Olanzapine vs placebo	Olanzapine vs placebo	
Fair quality	Treatment-emergent mania (% patients with YMRS score ≥ 15): 5.7% vs 6.7%; p=NS EPS symptoms: olanzapine=placebo (data nr)	Total withdrawals: 51.6% vs 61.5%; p<0.01 Overall deaths: 0 vs 3/377(0.8%); p=NS Withdrawals due to adverse events: 9.2% vs 5.0%; p=0.03 Mean change in cholesterol level (mg/dL): +6 vs -6; p<0.001 Mean change in nonfasting glucose levels (mmol/L): 1.4% vs 0.3%; p=NS Somnolence: 28.1 vs 12.5; p<0.001 Weight gain: 17.3 vs 2.7; p<0.001 Increased appetite: 13.5 vs 5.0; p<0.001 Headache: 12.4 vs 18.6; p=0.03 Dry mouth: 11.1 vs 6.1; p=0.02 Nervousness: 10.5 vs 8.0; p=NS Asthenia: 9.7 vs 3.2; p<0.001 Insomnia: 8.4 vs 15.1; p=0.005 Diarrhea: 6.5 vs 6.6; p=NS	

Nausea: 4.3 vs 8.8; p=0.02

Author, year				
Country			Therapy type	
Trial name	Study design		Interventions	
(Quality score)	Setting	Eligibility criteria	Duration	
Shi 2004	RCT, DB, placebo-	This double-blind trial involved inpatients and outpatients	Monotherapy	
International	controlled, Multicenter	in an acute depressive episode of bipolar I disorder.		
			Olanzapine 5-20 mg	
QoL analysis of Tohen		Before randomization, pts underwent a screening period	Olanzapine-fluoxetine combination, 6 and	
2003 (see above)		(min 2 days, max 14 days). Men and women aged > 18	25, 6 and 50 or 12 and 50 mg	
		years were eligible for enrollment if they met the DSM-IV	Placebo	
		criteria for bipolar I disorder, most recent episode		
		depressed, and their diagnosis was confirmed by the	8-week DB	
		Structured Clinical Interview for the DSM-IV Patient		
		Version. Pts were required to have a score of >20 on the		
		Montgomery-Asberg Depression Rating Scale (MADRS) at		
		the screening visit and on the day of randomization		
		(baseline). Pts were also required to have a history of > 1		
		previous manic or mixed episode of sufficient severity to		
		have required treatment with a mood stabilizer or		
		antipsychotic agent.		

# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name (Quality score)	Run-in/Washout Period	Allowed other medications/ interventions	Method of outcome assessment and timing of assessment	Age Gender Ethnicity
Shi 2004 International	see Tohen 2003	see Tohen 2003	Health-related Quality of Life (HRQOL) outcomes using the SF-36 and the QLDS (Quality of Life in	Mean age: 41 years 35.1% male
momatorial			Depression Scale) assessed at baseline and	Ethnicity NR
QoL analysis of Tohen 2003 (see above)			week 8 (or post-baseline visit if a patient was discontinued from study)	·

Author, year Country Trial name	Other negulation characteristics	Number screened/ eligible/ enrolled	Number withdrawn/ lost to fu/
(Quality score)	Other population characteristics	***************************************	analyzed
Shi 2004 International	see Tohen 2003	NR/1072/833	454/833(54.5%) withdrawn
		Placebo n=377	57/833(6.8%) lost
QoL analysis of Tohen		Olanzapine	to follow-up
2003 (see above)		n=370	788/833 (94.6%)
		Olanzapine+fluo xetine n=87	analyzed
			For SF-36 data,
			573/833 (68.8%)
			analyzed
			For QLDS data,
			546/833 (65.5%)
			analyzed

Author, year Country Trial name		
(Quality score)	Results	Method of adverse effects assessment
Shi 2004 International	For SF-36 mean change in score over a total of 8 different dimensions, p <0.005 for the listed dimensions  Olanzapine > placebo : mental health, role-emotional, and social functioning; and on	see Tohen 2003
QoL analysis of Tohen	the Mental Component score	
2003 (see above)	OFC > placebo: general health, mental health, role-emotional, social functioning, and vitality; and on both the Physical and Mental Component scores OFC> Olanzapine: general health, mental health, role-emotional, social functioning, and vitality; and on both the Physical and Mental Component scores	
	For the QLDS total score, mean change in score (SD) reported as olanzapine vs OFC vs placebo:	
	-6.26 (10.06) vs -11.30(10.59) vs -5.52 (10.10), p=NS for olanzapine vs placebo p<0.001 for OFC vs placebo and for OFC vs olanzapine	

#### Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name

(Quality score) Adverse effects reported Total withdrawals; withdrawals due to adverse events Comment see Tohen 2003 see Tohen 2003

Shi 2004 International

QoL analysis of Tohen 2003 (see above)

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# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name (Quality score)	Study design Setting	Eligibility criteria	Therapy type Interventions Duration
Tohen, 2004 United States/Canada	RCT Multicenter	Men and women aged 18-70 years who had achieved syndrome remission from an index manic or mixed episode during a 6-week study of acute therapy; all	Random reassignment at visit 8 of acute phase to Adjunctive Therapy
Follow-up to HGFU (6-week study of acute therapy)		patients had been diagnosed with bipolar I disorder, manic or mixed episode, with or without psychotic features (DSM-IV); $\geq$ two previous mood episodes; documented trial at a therapeutic blood level of lithium (0.6-1.2 mmol/l) or valproate (5-0-125 µg/ml) for $\geq$ 2 weeks with persistent manic symptoms (YMRS $\geq$ 16)	Olanzapine 8.6 mg (mean) or placebo added to lithium (1064.6 mg/1023.8 mg for olanzapine/placebo groups) or valproate (1264.6 mg/1286.5 mg for olanzapine/placebo groups) (patients remained on same mood stabilizer that they had received during the acute phase)

18 months

#### Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name (Quality score)	Run-in/Washout Period	Allowed other medications/ interventions	Method of outcome assessment and timing of assessment	Age Gender Ethnicity
Tohen, 2004	No/No	Benzodiazepines (≤ 2 mg lorazepam	Symptomatic relapse (YMRS ≥ 15 and HAMD-21	Mean age=41.3
United States/Canada		equivalent per day) for no more than 5	≥ 15)	48.5% male
		consecutive days or 60 days		84.8% white
Follow-up to HGFU (6-		cumulatively	Syndrome relapse (DSM-IV criteria)	
week study of acute		•		
therapy)		Anticholinergic therapy (benzatropine		
		mesylate ≤ 2 mg per day)		

# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year		Number	Number
Country	Country		withdrawn/
Trial name		eligible/	lost to fu/
(Quality score)	Other population characteristics	enrolled	analyzed
Tohen, 2004	Characteristics of index episode at acute study entry:	NR/160/99	78 (78.8%)
United States/Canada	Mixed episode=49%		withdrawn
	Without psychotic features=73.7%		Lost to fu nr
Follow-up to HGFU (6-	Rapid-cycling course=41.4%		99 analyzed
week study of acute therapy)			(olanzapine=48; placebo=51)

Author, year Country Trial name		
(Quality score)	Results	Method of adverse effects assessment
Tohen, 2004	Olanzapine vs placebo	SAS, BARS, AIMS
United States/Canada	Time to symptomatic relapse (days): 42 vs 163 (HR 2.29, 95% CI 1.10-4.78)	Clinically relevant weight gain (≥ 7%
	Symptomatic relapse rate (% patients): 37% vs 55%; p=NS	increase)
Follow-up to HGFU (6-		
week study of acute	Time to syndrome relapse (days): 40.5 vs 94; p=NS	
therapy)	Syndrome relapse rate (% patients): 29% vs 31%; p=NS	
	Time to symptomatic relapse into mania alone (days): 171.5 vs 59; p=NS	
	Mania symptom relapse rate (% patients): 20% vs 29%; p=NS	
	Time to symptomatic relapse into depression alone (days): 163 vs 55; p=NS	
	Depression symptom relapse rate (% patients); 23% vs 40%; p=NS	

Author, year Country Trial name			
(Quality score)	Adverse effects reported	Total withdrawals; withdrawals due to adverse events	Comment
Tohen, 2004 United States/Canada	Olanzapine vs placebo	Olanzapine vs placebo	
	Depression: 37.3% vs 29.2%; p=NS	Total withdrawals: 35 (68.6%) vs 43 (89.6%); p=0.014	
Follow-up to HGFU (6-week study of acute therapy)	Somnolence: 19.6% vs 8.3%; p=NS Weight gain: 19.6% vs 6.3% (RR 13.4; 95% CI 0.5 to 26.2) Anxiety: 13.7% vs 14.6%; p=NS Tremor: 13.7% vs 8.3%; p=NS Apathy: 9.8% vs 16.7%; p=NS Asthenia: 9.8% vs 12.5%; p=NS Diarrhea: 9.8% vs 16.7%; p=NS Insomnia: 3.9% vs 27.1%; (RR -23.2; 95% CI -36.8 to -9.5) Abnormal thinking: 2% vs 10.4%; p=NS	Withdrawals due to adverse events: 5 (9.8%) vs 8 (16.6%)	
	Changes in EPS scales (mean) SAS: 0.22 vs -0.13 (WMD 0.35; 95% CI 0.01 to 0.68) AIMS: -0.02 vs 0.13; NS BARS: 0.14 vs -0.06; NS		
	Laboratory analyses Weight change (mean kg): 2.0 vs -1.8; (WMD 3.8; 95% CI 1.8 to 5.9) Cholesterol change (mean mmol/L): -0.04 vs -0.06; NS		

Author, year Country Trial name (Quality score)	Study design Setting	Eligibility criteria	Therapy type Interventions Duration
Namjoshi 2004 US	RCT	336 patients with bipolar I disorder, manic or mixed, were enrolled in a double-blind, randomized, controlled trial. The majority of the patients were enrolled were recruited from outpatient settings.	(N= 224) Olanzapine (5-20 mg) or (N= 112) Placebo: both added to Lithium or Valproic Acid
Tohen 2006	Open RCT, parallel Multicenter	Inpatients and outpatients aged 18 yeas and older, meeting DSM-IV criteria for Bipolar Disorder, with Young Mania Rating Scale score ≥20, in current symptomatic remission after open-label treatment with olanzapine, at least 2 prior manic/mixed episodes within the last 6 years of study,	(N= 225) olanzapine, 5-20mg daily vs (N=136) placebo, duration: 48 weeks

Author, year Country Trial name (Quality score)	Run-in/Washout Period	Allowed other medications/ interventions	Method of outcome assessment and timing of assessment	Age Gender Ethnicity
Namjoshi 2004 US	NR	NR	Young Mania Rating Scale (Y-MRS), Hamiliton Rating Scale for Depression (HAM-D) Lehman Brief Quality of Life Interview (QLI)	Mean age: 40.7 years, 52% Male, 86% Caucasian
Tohen 2006	3 weeks/NR	NR	Young Mania Rating Scale, Hamilton Depression Rating Scale	Mean age: 40.4 years 39% Male Ethnicity NR

Author, year		Number	Number
Country		screened/	withdrawn/
Trial name		eligible/	lost to fu/
(Quality score)	Other population characteristics	enrolled	analyzed
Namjoshi 2004		NR/NR/336	NR/NR/273
US			

Tohen 2006 Median Lengt

Median Length of current episode: O: 29 days vs L: 27.5 days

931/731/361

90/24/361

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Author, year Country Trial name		
(Quality score)	Results	Method of adverse effects assessment
Namjoshi 2004	Lehman Quality of Life scores over 6 weeks:	NR
US	Mean change OLZ vs mean change PBO	
	general life satisfaction: 0.35 vs 0.00; P=0.04	
	satisfaction with daily activities: 0.34 vs -0.29; P<0.01	
	satisfaction with living situation: 0.31 vs -0.17; P<0.01	
	satisfaction with family contact: 0.51 vs 0.07; P=0.01	
	satisfaction with finances: 0.17 vs -0.07; P=0.10	
	satisfaction with health: 0.28 vs -0.03; P=0.07	
	satisfaction with job: -0.05 vs -0.23; P=0.30	
	satisfaction with social relations: 0.28 vs -0.14; P=0.01	
	satisfaction with safety: 0.12 vs 0.04; P=0.78	
	Y-MRS totals: -14.84 vs -11.22; P<0.01	
	HAM-D totals: -5.52 vs -1.90; P<0.01	
Tohen 2006	Relapse rate: O: 46.7% vs placebo: 80.1%	Laboratory tests, patient report
	Rates of relapse requiring hospitalization: O: 2 vs placebo: 7	, , , , , , , , , , , , , , , , , , , ,
	Study completion rates: O: 21.3% vs placebo: 6.6%	
	Median time to discontinuation of treatment (days): O: 83 vs placebo: 26; p<0.001	

#### Drug Effectiveness Review Project

Comment

#### Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year		
Country		
Trial name		
(Quality score)	Adverse effects reported	Total withdrawals; withdrawals due to adverse events

71% completed study: withdrawals, lost-to-follow-ups NR  $\,$ 

Tohen 2006 Cha

Namjoshi 2004

US

Changes in weight:

NR

olanzapine: mean gain of 1.0 kg vs placebo: mean loss of 1.0kg

Increase in weight of ≤7%: O: 17.7% vs placebo: 2.2%

Dry Mouth: O: 1.85 vs placebo: 0.7% Appetite increased: O: 1.8% vs placebo: 0% Somnolence: O: 2.7% vs placebo: 1.5% Sedation: O: 0.9% vs placebo: 0% Fatigue: O: 6.2% vs placebo: 1.5% Insomnia: O: 2.2% vs placebo: 14%

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90;17

Author, year Country Trial name (Quality score)	Study design Setting	Eligibility criteria	Therapy type Interventions Duration
Tohen 2005	Open RCT Multicenter	Patients aged 18 years and older, meeting DSM-IV ciriteria for bipolar disorder as determined with Structured Clinical Interview for DSM-IV, patient version, with symptomatic remission criteria, Young Mania Rating Scale total score >20 at baseline, history of at least two manic or mixed episodes within the last 6 years. Exclusion: serious, unstable medical illness, met DSM-IV substance dependence criteria within past 30 days, treatment with a depot neuroleptic within 6 weeks of randomization, serious suicide risk, history of intolerance, lack of response or adverse event to to lithium or olanzapine.	olanzapine: 11.9 mg vs 11.02.7mg lithium

#### Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name (Quality score)	Run-in/Washout Period	Allowed other medications/ interventions	Method of outcome assessment and timing of assessment	Age Gender Ethnicity
Tohen 2005	NR/NR	biperiden or benzotropine mesylate, ≥6 mg/day; trihexyphenidyl, ≤ 12 mg/day	Young Mania Rating Scale, 1-item Hamilton depression scale, Simpson-Angus Rating Scale (SAR), Barnes Rating Scale for Drug-Induced Akathisia, Abnomral Involuntary Movement Scale (AIMS)	Mean age: 42.4 Years 53.2% Female 99.3% Caucasian

Author, year		Number	Number	
Country		screened/	withdrawn/	
Trial name		eligible/	lost to fu/	
(Quality score)	Other population characteristics	enrolled	analyzed	
Tohen 2005	Length of current episode (days): O: 37.7 vs L: 37.0	0/543/431	0/0/171	

Time in remission before randomization (days): O:

19.7 vs L: 20.6

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Author, year Country Trial name (Quality score)	Results	Method of adverse effects assessment
Tohen 2005	Symptomatic recurrence of any mood episode follwing remission of mania/depression: O: 30.0% vs L: 38.8%  Number of patients hospitalized for mmod episode during treatment period: O: 14.3% vs L: 22.9%; p<0.03	One patient committed suicide during treatment period from lithium group
	Treatment-emergent EPS symptoms reported: Parkinsonism (SAS): O: 3.4% vs L: 2.8%; p=1.0	
	Dyskinesia (AIMS): O: 1.5% vs L: 1.0%; p=0.69 Akasthisia (Barnes Rating Scale for Drug-Indiced Akathisia): O: 0% vs L: 2%	

#### Drug Effectiveness Review Project

Author, year
Country
Trial name

Trial name (Quality score)	Adverse effects reported	Total withdrawals; withdrawals due to adverse events	Comment
Tohen 2005	Adverse events reported, ≥ 5%:  Depression not otherwise specified: O: 20.7% vs L: 11.7%; p=0.01  Weight gain: 10.3%  Tremor: 9.8%  Sedation: 7.2%  Somnolence: 6.8%  Insomnia: 5%	0;96	

Author, year Country Trial name (Quality score)	Study design Setting	Eligibility criteria	Therapy type Interventions Duration
Quetiapine			
Altamura, 2003	Open RCT	Bipolar Disorder with or without comorbid Axis I	Monotherapy
Italy	Single Center	diagnoses; partial or full remission (according to DSM-IV	
		criteria) of any previous mood episode	Quetiapine 157.7 mg
Poor quality			Other mood stabilizers
			Valproate 492.6 mg
			Lithium 675 mg
			Gabapentin 300 mg
			12 months

# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name (Quality score) Quetiapine	Run-in/Washout Period	Allowed other medications/ interventions	Method of outcome assessment and timing of assessment	Age Gender Ethnicity
Altamura, 2003	NR	Benzodiazepines (≤ 5 mg/day); other	YMRS	Mean age=52.1
taly		compounds to treat acute mood	BPRS	42.8% male
		episodes	HAM-D	Race nr
Poor quality			CGI	
			Rated every 2 months by psychiatrists blind to treatment group	
			Data analyzed using ANOVA with repeated measures	

Author, year Country Trial name		Number screened/ eligible/	Number withdrawn/ lost to fu/
(Quality score)	Other population characteristics	enrolled	analyzed
Quetiapine			
Altamura, 2003	Bipolar I Disorder=13 (46.4%)	NR/NR/28	nr/nr/nr
Italy	Bipolar II Disorder=15(53.6%)		

Poor quality

# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name		
(Quality score)	Results	Method of adverse effects assessment
Quetiapine	- Account	
Altamura, 2003 Italy	Quetiapine=Mood Stabilizers in YMRS, BPRS, HAM-D and CGI scores (data nr)	NR
Poor quality		

# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name

····a····a			
(Quality score)	Adverse effects reported	Total withdrawals; withdrawals due to adverse events	Comment
Quetiapine			
Altamura, 2003	Quetiapine vs mood stabilizers	Total withdrawals nr	
Italy		Withdrawals due to adverse events=0	
	Mean weight gain (kg): +1.08 vs +1.7; p=NS		
Poor quality	Sedation and constipation (# pts): 2 vs 0		
	Weight gain (# pts with ≥ 4 kg weight gain): 0 vs 2		

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# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name	Study design		Therapy type Interventions
(Quality score)	Setting	Eligibility criteria	Duration
Paulsson, 2003	RCT, DB	Male and female (≥ 18 years of age) with a DSM-IV	Quetiapine (QTP): 100, 200, 300, and 400
Poster	Multicenter	diagnosis of bipolar I disorder and at least one prior manic	mg/d on Days 1, 2, 3, and 4, respectively;
United States	Parallel	or mixed episode; hospitalized with a manic episode (eligible for discharge after Day 7); YMRS score ≥ 20,	200-600 mg/d on Day 5; 200-800 mg/day on Days 6-84
Fair quality		including score ≥ on 2 of the core YMRS items of Irritability, Speech, Content, and Disruptive/Aggressive Behavior; CGI-BP Severity of Illness score ≥ 4	Lithium: 900 mg/d on days 1-4; dose adjustments on Days 5-84 to achieve trough serum concentrations of 0.6-1.4 mEq/L Placebo (PBO) Duration: up to 12 weeks

Drug Effectiveness Review Project

# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name (Quality score)	Run-in/Washout Period	Allowed other medications/ interventions	Method of outcome assessment and timing of assessment	Age Gender Ethnicity
Paulsson, 2003	NR/NR	Previously prescribed medications for	Primary: Change from baseline in YMRS score at	Mean age=39.3
Poster United States		stable medical conditions	Day 84	42.3% female Ethnicity NR
		Zolpidem tartrate, chloral hydrate,	Secondary (assessed at Day 21 and Day 84):	
Fair quality		zopiclone, or zaleplon for insomnia	YMR response rate (percent of patient ≥ 50% improved); YMRS remission rate (percent of	
		Lorazepam (for agitation) titrated down	patients with YMRS score ≤ 12); % of patients	
		from 6 mg/d at screening to 1 mg/d by	maintaining YMRS response of remission; CGI	
		Day 11 and not permitted after Day 14	and CGI-BP response rate (% of patients rated as "much" or "very much" improved from baseline on Global Improvement scale); Change from baseline in CGI and CGI-BP severity of illness scores, PANSS scores; MADRS score, GAS score	

# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year		Number	Number
Country		screened/	withdrawn/
Trial name		eligible/	lost to fu/
(Quality score)	Other population characteristics	enrolled	analyzed
Paulsson, 2003	Mean weight (kg): 63.9	NR/NR/302	Withdrawn=128
Poster	Mean BMI (kg/m2): 23.4	(quetiapine	(42.7%)/Lost to
United States	Mean YMRS total score: 33.3	n=107; placebo	fu=7
	Manic, moderate: 31%	n=97; lithium	(2.3%)/analyzed=
Fair quality	Manic, severe:	n=98)	300 (quetiapine
	Without psychotic features: 41.3%		n=107; placebo
	With psychotic features: 27.7%		n=95; lithium
			n=98)

Author, year Country Trial name (Quality score)	Results	Method of adverse effects assessment
Paulsson, 2003 Poster United States	Quetiapine vs placebo Lithium vs placebo	NR
Fair quality	Mean change in YMRS Day 21 -14.62 vs -6.71; p<0.001 -15.2 vs -6.71; p<0.0001 Day 84 -20.28 vs -9; p<0.001 -20.76 vs -9, p<0.001 Response/remission for quetiapine vs placebo (p<0.001 for all comparisons) (estimated from graph) Day 21 YMRS response: 54% vs 28% YMRS remission: 47% vs 22% CGI-BP response: 63% vs 31% Day 84 YMRS remission: 70% vs 35% CGI-BP response: 73% vs 43% YMRS remission: 70% vs 35% CGI-BP response: 73% vs 39% PANSS Total Score: Quetiapine > placebo in mean reductions at Days 21 and 84 (p<0.001) (data nr) PANSS subscales at Day 21 (p<0.001 for all comparisons (estimated from graph) Positive: -4.9 vs -1.5 Activation: -3.6 vs -0.9 Aggression risk: -4.2 vs -1.4 MADRS mean reductions: QTP > PBO at Day 21 (p=0.015) and Day 84 (p=0.002) GAS mean increases: QTP > PBO at Days 21 (p<0.001) and 84 (p<0.001)	

# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Is due to adverse events Comment
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rs 62 (63.9%), p<0.0001
ents/concurrent illness: 7

Author, year Country Trial name (Quality score)	Study design Setting	Eligibility criteria	Therapy type Interventions Duration
Brecher, 2003	RCT, DB	Male and female (≥ 18 years of age) with a DSM-IV	Quetiapine (QTP): 100, 200, 300, and 400
Poster	Multicenter	diagnosis of bipolar I disorder and at least one prior manic	mg/d on Days 1, 2, 3, and 4, respectively;
United States	Parallel	or mixed episode; hospitalized with a manic episode (eligible for discharge after Day 7); YMRS score ≥ 20,	200-600 mg/d on Day 5; 200-800 mg/day on Days 6-84
Fair quality		including score ≥ on 2 of the core YMRS items of Irritability, Speech, Content, and Disruptive/Aggressive	Haloperidol (HPL): 2 mg/day on Days 1-2, 3 mg/day on Day 3; 4 mg/day on Day 4; 2-
		Behavior; CGI-BP Severity of Illness score ≥ 4	6 mg/day on Day 5; 2-8 mg/day on Days 6
			Placebo (PBO)
			Duration: up to 12 weeks

Calabrese, 2004RCT, DBAdults with a DSM-IV diagnosis of bipolar I or bipolar IIQuetiapine 600 mg (QTP600)United StatesMulticenterdisorder (with or without rapid cycling); HAM-D17 ≥ 20;Quetiapine 300 mg (QTP300)PosterParallelYMRS ≤ 12Placebo

Fair quality

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Author, year Country Trial name (Quality score)	Run-in/Washout Period	Allowed other medications/ interventions	Method of outcome assessment and timing of assessment	Age Gender Ethnicity
Brecher, 2003 Poster United States	NR/NR	Previously prescribed medications for stable medical conditions	Primary: Change from baseline in YMRS score at Day 21	Mean age=42.9 63.2% female Ethnicity NR
Fair quality		Zolpidem tartrate, chloral hydrate, zopiclone, or zaleplon for insomnia  Lorazepam (for agitation) titrated down from 6 mg/d at screening to 1 mg/d by Day 11 and not permitted after Day 14	Secondary (assessed at Day 21 and Day 84): Change from baseline in YMRS score; YMRS response rate (percent of patient ≥ 50% improved); YMRS remission rate (percent of patients with YMRS score ≤ 12); % of patients maintaining YMRS response of remission; CGI and CGI-BP response rate (% of patients rated as "much" or "very much" improved from baseline on Global Improvement scale); Change from baseline in CGI and CGI-BP severity of illness scores, PANSS scores; MADRS score, GAS score	
Calabrese, 2004 United States Poster Fair quality	NR/NR	Treatment with other psychoactive drugs prohibited	Primary: Change from baseline to final assessment in MADRS score  Secondary: Response rate (≥ 50% decrease in MADRS); Remission rate (MADRS score ≤ 12); mean change from baseline to last assessment in HAM-D, CGI, PSQI, Q-LES-Q	Mean age=37.4 58.1% female Ethnicity NR

#### Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year		Number	Number
Country		screened/	withdrawn/
Trial name		eligible/	lost to fu/
(Quality score)	Other population characteristics	enrolled	analyzed
Brecher, 2003	Mean weight (kg): 70.7	NR/NR/302	Withdrawn=50.5%
Poster	Mean BMI (kg/m2): 25.6	(QTP n=102;	/Lost to
United States	Mean YMRS total score: 33.1	PBO n=101;	fu=1.6%/analyzed
	Manic, moderate: 28.8%	HPL n=99)	=299 (QTP=101;
Fair quality	Manic, severe:		PBO=100;
	Without psychotic features: 29.4%		HPL=98)
	With psychotic features: 41.8%		•

Calabrese, 2004	DSM-IV diagnosis	838/NR/542	216 (39.8%)
United States	Bipolar I disorder=66.9%		withdrawn/lost to
Poster	Bipolar II disorder=33.1%		fu
	Rapid cycling=21.1%		nr/analyzed=511
Fair quality	Mean MADRS score=30.4%		(QTP600=170,
	Mean HAM-D score=24.6%		QTP300=172,
	Mean YMRS score=4.9%		Placebo=169)

Author, year Country Trial name	Describe	Mathed of advance officers accomment
(Quality score)	Results  Mean change in YMRS (QTP vs PBO)	Method of adverse effects assessment  NR
Brecher, 2003 Poster	Day 21: -12.3 vs -8.3, p=0.01	NR
United States	Day 84: -17.5 vs -9.5, p<0.001	
Ormod Otatoo	Bay 6 11 171.6 16 16.6, p 161.661	
Fair quality	Response/remission for QTP vs PBO (% patients) (estimated from graph) Day 21 YMRS response: 41% vs 35%, ns YMRS remission: 27% vs 24%, ns CGI-BP response: 42% vs 32%, ns Day 84 YMRS response: 59% vs 39%, p<0.001 YMRS remission: 60% vs 39%, p<0.001 CGI-BP response: 50% vs 30%, p<0.001	
	PANSS Total Score: QTP>PBO in mean reductions at Days 21 and 84 (p<0.05) (data nr)  MADRS mean reductions: QTP > PBO at Day 21 (p=0.005) and Day 84 (p=0.008)  GAS mean increases: QTP > PBO at Days 21 (p<0.023) and 84 (p<0.001)	
Calabrese, 2004 United States Poster Fair quality	QTP600 vs QTP300 vs Placebo MADRS mean change (week 8): -16 vs -16 vs -10 (estimated from graph), p<0.001 for both Week 8 response (% patients): 58% vs 58% vs 36%, p<0.001 for both Week 8 remission (% patients): 53% vs 53% vs 28%, p<0.001 for both HAM-D mean change (week 8 estimated from graph): -1.6 vs -1.5 vs -1.2, p<0.001 for both Mean change in CGI (study end): -1.66 vs -1.63, vs -0.95, p<0.001 for both Mean change in PSQI (endpoint unclear): -5.46 vs -5.16 vs -2.94, p<0.001 for both Mean improvements in Q-LES-Q (endpoint unclear): 11.7 vs 10.8 vs 6.4, p<0.001 for both	Proportion of patients who met criteria for treatment-emergent mania (YMRS score ≥ 16 on two consecutive visits or at final assessment; incidence of adverse events; incidence of EPS, including akathisia, assessed by direct reporting and using SAS and BARS

Author, year Country Trial name (Quality score)	Adverse effects reported	Total withdrawals; withdrawals due to adverse events	Comment
Brecher, 2003	Treatment-emergent depression (MADRS score of ≥ 18 with an	QTP vs PBO vs HPL, p-value for QTP vs PBO, p-value for	
Poster	increase from baseline of ≥ 4 at any 2 consecutive assessments	QTP vs HPL	
United States	or at last observation): QTP=2.9% vs PBO=8.9%; HPL=8.1%		
		Total withdrawals: 47 (46.1%) vs 59 (58.4%) vs 45 (45.5%),	
Fair quality	Mean change in weight (day 84) (observed cases) (kg): QTP=+2.1 vs PBO=-0.1, HPL=+0.2, p=nr	p=ns, p=ns	
		Withdrawals due to adverse events/concurrent illness: 5	
	QTP (n=102) vs PBO (n=101) vs HPL (n=99), p-value for QTP vs PBO, p-value for QTP vs HPL Insomnia: 20 (19.6%) vs 20 (19.8%) vs 14 (14.1%), p=ns, p=ns Somnolence: 13 (12.7%) vs 5 (5%) vs 9 (9.1%), p=ns, p=ns EPS-related: 13 (12.7%) vs 16 (15.8%) vs 59 (59.6%), p=ns, p<0.0001  Akathisia: 6 (5.9%) vs 6 (5.9%) vs 33 (33.3%), p=ns, p<0.0001  Tremor: 8 (7.8%) vs 6 (5.9%) vs 30 (30.3%), p=ns, p<0.0001  Agitation: 8 (7.8%) vs 9 (8.9%) vs 8 (8.1%), p=ns, p=ns Dry mouth: 7 (6.9%) vs 4 (4%) vs 4 (4%), p=ns, p=ns Postural hypotension: 6 (5.9%) vs 1 (1%) vs 2 (2%); p=ns, p=ns Headache: 5 (4.9%) vs 4 (4%) vs 8 (8.1%), p=ns, p=ns	(4.9%) vs 6 (5.9%) vs 10 (10.1%), p=ns, p=ns	
Calabrese, 2004 United States Poster Fair quality	Treatment-emergent mania: 2.4% vs 3.5% vs 4.1%, ns Weight gain (kg): +1.6 vs +1.0 vs +0.2, ns SAS mean change: -0.1 vs -0.2 vs -0.3, ns BARS mean change: 0 vs -0.1 vs -0.1, ns Dry mouth: 73 (40.6%) vs 79 (44.1%) vs 14 (7.8%), p<0.0001 for both Sedation: 58 (32.2%) vs 53 (29.6%) vs 11 (6.1%), p<0.0001 for both Somnolence: 44 (22.4%) vs 49 (27.4%) vs 15 (8.3%), p<0.0001 for both Dizziness: 41 (22.8%) vs 30 (16.8%) vs 15 (8.3%), p=0.0002, p=0.0171 Constipation: 20 (11.1%) vs 21 (11.7%) vs 8 (4.4%); p=0.0288, p=0.012	Withdrawals due to adverse events: 47 (26.1%) vs 29 (16%) vs 16 (8.8%), p<0.001, p<0.0392	

Author, year				
Country			Therapy type	
Trial name	Study design		Interventions	
(Quality score)	Setting	Eligibility criteria	Duration	
Sachs, 2004	RCT, DB	Adult patients (≥ 18 years) hospitalized for a DSM-IV	Adjunctive	
United States	Multicenter	diagnosis of bipolar I disorder, most recent episode manic,		
	Parallel	who had been treated with lithium or divalproex for at least	Quetiapine (Q) 100 mg/day at day 1, 200	
Fair quality		7 of the 28 days immediately prior to randomization (day	mg/day at day 2, 300 mg/day at day 3,	
	Setting: patients were	1). A history of at least one documented manic or mixed	and 400 mg/day at day 4, dose adjusted	
	required to remain in	episode prior to the episode responsible for the current	to optimize efficacy and tolerability	
	the hospital for the	hospitalization was required for selection. At screening	between 200 and 600 mg/day at day 5	
	first 7 days of the	and randomization, subjects were selected who had a	and 200 and 800 mg/day at days 6 to 21;	
	randomized period.	YMRS score of ≥ 20, with a score of ≥ 4 on 2 of the 4 core	mean last week dose was 504 mg/day	
	After this time, they	YMRS items of irritability, speech, content, and	Placebo (P)	
	could be treated as	disruptive/aggressive behavior. Patients were also		
	either inpatients or	required to have a score of at least 4 for overall bipolar	All patients began or continued treatment	
	outpatients as	illness on the CGI-BP.	with lithium or divalproex within the	
	clinically indicated		established therapeutic range (0.7-1.0	
			mEq/L for lithium and 500-100 μg/mL for	
			divalproex)	

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#### Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Run-in/Washout Period	Allowed other medications/ interventions	Method of outcome assessment and timing of assessment	Age Gender Ethnicity
NR/NR	Lorazepam: ≤ 6 mg/day from screening	Assessments were performed at baseline and	Mean age=40.5
	mg/day from days 1 to 4, 2 mg/day from	days 4, 7, 10, 14 and 21	43.5% female Ethnicity nr
	days 5 to 7, and 1 mg/day from days 8 to	Primary: Mean change in YMRS total score at the	
	10	final assessment	
	Zolpidem: max dose 10 mg/day	Secondary: YMRS response rate (% patients with	
	Chloral hydrate: max dose 2 g/day	≥ 50% decrease from baseline in the YMRS	
	Zaleplon: max dose 20 mg/day	score; clinical remission (end-point YMRS score	
		·	
	only during the screening period	score; MADRS total score; PANSS total score and Activation and Supplemental Aggression Risk subscale scores: GAS score	
	Period	Period interventions  NR/NR  Lorazepam: ≤ 6 mg/day from screening to the day prior to randomization, 4 mg/day from days 1 to 4, 2 mg/day from days 5 to 7, and 1 mg/day from days 8 to 10  Zolpidem: max dose 10 mg/day Chloral hydrate: max dose 2 g/day	Period       interventions       assessment         NR/NR       Lorazepam: ≤ 6 mg/day from screening to the day prior to randomization, 4 mg/day from days 1 to 4, 2 mg/day from days 5 to 7, and 1 mg/day from days 8 to 10       Assessments were performed at baseline and days 4, 7, 10, 14 and 21         Primary: Mean change in YMRS total score at the final assessment       Primary: Mean change in YMRS total score at the final assessment         Zolpidem: max dose 10 mg/day       Secondary: YMRS response rate (% patients with Chloral hydrate: max dose 2 g/day Zaleplon: max dose 20 mg/day       ≥ 50% decrease from baseline in the YMRS score; clinical remission (end-point YMRS score ≤ 12; change from baseline in CGI-BP Severity of Illness score; CGI-BP Global Improvement scale score; MADRS total score; PANSS total score and Activation and Supplemental Aggression

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Author, year Country		Number screened/	Number withdrawn/	
Trial name		eligible/	lost to fu/	
(Quality score)	Other population characteristics	enrolled	analyzed	
Sachs, 2004	Weight (kg): 87.2	NR/NR/191	85 (44.5%)	
United States	BMI (kg/m2): 29.6		withdrawn/4	
	Mean YMRS: 31.3		(2.1%) lost to	
Fair quality	Episode type (%)		fu/170 analyzed	
	Manic moderate: 34.7		(Q n=81, P n=89)	
	Manic severe without psychotic features: 22.9		,	
	Manic severe with psychotic features: 42.4			
	Known duration of illness (mean years): 17.8			
	Number of manic/mixed episodes during			
	lifetime/past year: 8/1			
	Number of depressive episodes during lifetime/past			
	vear: 5/0			

Author, year Country Trial name		
(Quality score)	Results	Method of adverse effects assessment
Sachs, 2004 United States	Q vs P YMRS Total Score Mean Change: -13.76 vs -9.93, p=0.021	SAS, BARS
	YMRS Response (% patients): 54.3 vs 32.6, p=0.005	Rates of treatment-emergent depression
Fair quality	YMRS remission (% patients): 45.7 vs 25.8, p=0.007	(MADRS score ≥ 18, with an increase from
	CGI-BP Severity of Illness score: -1.38 vs -0.78, p=0.001	baseline of ≥ 4 at any two consecutive
	CGI-BP Global Improvement response (% rated "much improved" or "very much improved"): 50.6 vs 31.5, p=0.012	assessments or at the last observation)
	MADRS mean change: -3.36 vs -2.79, p=NS	Patients were examined and questioned on
	PANSS Total: -12.47 vs -10.14, p=NS	all study days regarding any adverse events.
	PANSS Activation: -4.08 vs -2.81, p=NS	Safety evaluations were based on reports of
	PANSS Supplemental Aggression Risk: -4.64 vs -2.84, p=0.020	adverse events, cc medication records,
	Global Assessment Scale: 15.32 vs 11.49, p=0.075	change from baseline to day 21 in clinical
		laboratory assessments (including
		hematology and chemistry), vital signs, ECG,
		physical examination, and weight. Adverse
		events included any treatment-emergent
		symptoms or worsening of existing
		symptoms, new illnesses, or clinically significant changes in laboratory tests, vital signs, weight, or ECG.

# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name (Quality score)	Adverse effects reported	Total withdrawals; withdrawals due to adverse events	Comment
Sachs, 2004	Somnolence: 36 (40%) vs 10 (10%), p>0.001	Total withdrawals: 35 (38.5%) vs 51 (51.0%); p=NS	
United States	Headache: 24 (26.7%) vs 21 (21%), p=NS	Withdrawals due to adverse events: 5 (5.5%) vs 6 (6%),	
	Dry mouth: 17 (18.9%) vs 4 (4%); p=0.005	p=NS	
Fair quality	Asthenia: 10 (11.1%) vs 3 (3%); p=0.052		
	Postural hypotension: 10 (11.1%) vs 3 (3%), p=0.052		
	Dizziness: 9 (10%) vs 6 (6%), p=NS		
	SAS mean change: -1.0 vs -0.3, p=NS		
	BARS mean change: -0.4 vs 0, p=NS		
	Increase in weight (kg): 1.60 vs 0.36, p=nr Proportion of patients with ≥ 7% increase in weight: 3.9% vs 1.2%, p=NS		
	Q=P in ECG parameters		
	Rate of emergent depression: 17.3% vs 13.5%, p=NS		

Author, year Country Trial name (Quality score)	Study design Setting	Eligibility criteria	Therapy type Interventions Duration
Yatham 2004	RCT, DB	Male and female hospitalized patients (>18 years) with a DSM-IV diagnosis of bipolar I disorder, whose most recent episode was manic and who had at least one manic or mixed episode in the previous 5 years, were eligible candidates for study. Pts had to have a YMRS score of > 20, including a score of > 4 on two of the core YMRS items of Irritability, Speech, Content, and Disruptive/Aggressive Behavior, and a Clinical Global ImpressionBipolar (CGI-BP) Severity of Illness score of > 4 (moderately ill).	Randomized to 3 or 6 weeks of (n=197) Quetiapine (QTP) with Lithium (Li) or Divalproex (DVP), or (n=205) placebo with Li/DVP.  Quetiapine or placebo twice daily 100 mg/d up to 800 mg/d at end of study.  Lorazepam 4 mg/d dose to 1mg/d at end of study.

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# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name (Quality score)	Run-in/Washout Period	Allowed other medications/ interventions	Method of outcome assessment and timing of assessment	Age Gender Ethnicity
Yatham 2004	Patients taking lithium or divalproex for >7 days,	1 sleeping aid per day- monitored,	Vital sign measurements performed at baseline and days: 4, 7, 10, 14,21. Tests: CGI-BP Global Improvement Scale.	Mean age; 39.9 years Male 47% Ethnicity NR
			CGI-BI Global Improvement Scale, CGI-BP Severity of Illness PANSS Supplemental Aggression	

Drug Effectiveness Review Project

#### Drug Effectiveness Review Project

# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country		Number screened/	Number withdrawn/ lost to fu/ analyzed
Trial name		eligible/	
(Quality score)	Other population characteristics	enrolled	
Yatham 2004		NR/NR/402	161 (40%) withdrawn 11 (3%) lost to follow up 230 analyzed

Author, year Country Trial name (Quality score)	Results	Method of adverse effects assessment
Yatham 2004	Young Mania Rating Scale (YMRS) scores at Day 21: QTP + Li/DVP: -15.29 vs PBO + Li/DVP: -12.19 (P<0.05)	Patient self-report, medical examination.
	Clinical Global Impression-Bipolar Severity of illness scores at Day 21: QTP + Li/DVP: -1.59 vs PBO + Li/DVP: -1.19 (P<0.01) CGI-BP Global Improvement Scale scores at Day 21: QTP + Li/DVP: 58.5% vs PBO + Li/DVP: 43.2% (P<0.01) PANSS Supplemental Aggression Risk Scores at Day 21:	
	·	

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Comment

#### Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year
Country
Trial name
(Quality score)

Yatham 2004 Reported: QTP vs PBO
Somnolence: 66 (33.7%) vs 19 (9.4%); P<0.001
Dry Mouth: 38 (19.4%) vs 6 (3.0%); P<0.001
Asthenia: 19 (9.7%) vs 8 (3.9%); P=0.034

Adverse effects reported

Postural Hypotension: 13 (6.6%) vs 3 (1.5%); P=0.012

Weight Gain: 12 (6.1%) vs 5 (2.5%); P=0.090 Pharyngitis: 11 (5.6%) vs 5 (2.5%); P=0.134

Total withdrawals; withdrawals due to adverse events

QTP: 69 (35.2%) vs PBO: 92 (45.3%) Withdrawals due to adverse events: QTP: 7 (3.6%) vs PBO: 12 (5.9%)

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Author, year Country Trial name (Quality score)	Study design Setting	Eligibility criteria	Therapy type Interventions Duration
Bowden 2005 Europe and Asia	RCT, DB, parallel, Multicenter	Eligible subjects were adult (≥ 18 years) inpatients (after day 7, patients could be discharged if investigator felt that was appropriate) hospitalized with a diagnosis of bipolar I disorder, current episode manic, according to the DSM-IV. All pts had experience at least 1 prior reliably documented manic or mixed episode. At screening and at randomization (7 days after screening), pts were required to have a score of at least 20 on the Young Mania Rating Scale (YMRS), including a a score of at least 4 on 2 of the 4 double-weighted YMRS items (irritability, speech, content, and disruptive/aggressive behavior). A Clinical Global Impression-Bipolar Version (CGI-BP) Severity of Illness score for overall bipolar illness of at least 4 was also required.	Monotherapy  Quetiapine uptitrated to 400 mg/d on day 4; could be adjusted up to 600 mg/d on day 5 and up to 800 mg/d thereafter (days 6-84)  Lithium 900 mg/d (dose adjustments between days 5-84 at investigator's discretion)  12-weeks

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# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name (Quality score)	Run-in/Washout Period	Allowed other medications/ interventions	Method of outcome assessment and timing of assessment	Age Gender Ethnicity
Bowden 2005 Europe and Asia	NR/ medications known to be associated with withdrawal from treatment were	Medications prescribed for stable medical, non-psychiatric illnesses, oral contraceptives, and antihypertensive treatments (if stable dosage ≥1 month prior to randomization). Lorazepam	YMRS, PANSS, MADRS, CGI and CGI-BP assessed on days 1, 4, 7, 14, 21, 28, 42, 56, 70, 84. Global Assessment Scale (GAS) assessed on days 1, 21, and 84.	Mean age: 39.0 years 57.7% male Ethnicity NR
	tapered off (over approximately 1 week)	allowed for agitation, not sedation. These sedative hypnotics allowed, 1 per day: Zolpidem, chloral hydrate, zopiclone, zaleplon. Anticholinergic medications allowed only for EPS.	Primary efficacy endpoint: change in YMRS at day 21 Secondary efficacy endpoint: change in YMRS at day 84, and changes in other scores on days 21 and 84	

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Author, year Country Trial name (Quality score)	Other population characteristics	Number screened/ eligible/ enrolled	Number withdrawn/ lost to fu/ analyzed
Bowden 2005 Europe and Asia	Mean baseline scores, quetiapine (N=107) vs lithium (N=98) vs placebo (N=97)	NR/NR/302	128 (42.4%) withdrawn/ 7 (2.3) lost to follow-up/
	YMRS: 32.7 vs 33.3 vs 34.0		300 analyzed
	MADRS: 6.1 vs 6.3 vs 6.2		
	PANSS: 58.2 vs 58.0 vs 58.7		
	CGI-BP Severity of Illness score: 4.9 vs. 4.9 vs. 5.0		

Author, year Country	
	Results
Trial name (Quality score)  Bowden 2005 Europe and Asia	Quetiapine vs lithium (Li) vs placebo Change in mean YMRS scores from baseline at day 21: -14.62 vs -15.20 vs -6.71 (p=NS, quet vs Li; p<0.001 for quet vs placebo and Li vs placebo) at day 84: -20.28 vs -20.76 vs -9.00 (p=NS, quet vs Li; p<0.001 for quet vs placebo and Li vs placebo) % of patients with a YMRS response rate (defined as a >=50% reduction in score): at day 21: 53.3% vs 53.1% vs 27.4% (p=NR, quet vs placebo; p<0.001 for quet vs placebo and Li vs placebo) at day 84: 72.0% vs 75.5% vs 41.1% (p=NR, quet vs placebo; p<0.001 for quet vs placebo and Li vs placebo)  Change in CGI-BP scores from baseline (p<0.001 for quet vs placebo and Li vs placebo both days): at day 21: -1.84 vs -1.41 vs -0.66 at day 84: -2.20 vs -2.18 vs -0.89 Change in PANSS scores from baseline, quet vs placebo (lithium data given only as "similar significant effects were seen with Li vs pla"): Total PANSS score, at day 21: -8.71 vs -2.12, p<0.001 at day 84: -11.78 vs -1.04, p<0.001
	PANSS Positive subscale, day 21: -4.93 vs -1.55, p<0.001 at day 84: -6.85 vs-1.48, p<0.001 Change in MADRS score from baseline: at day 21, quet vs placebo: -1.55 vs -0.05, p=0.15 at day 84: quet -1.49 vs lithium -1.83 vs placebo +1.21 (p=0.002 for quet vs pla; p=0.002 for quet vs pla; p=0.002 in Global Assessment Scale (GAS) from baseline, quet vs placebo: at day 21: 17.96 vs 5.59, p<0.001 and day 84: 26.35 vs 9.26, p<0.001
	Completers at day 21: 90.7% vs 85.7% vs 69.1% at day 84: 67.3% vs 68.4% vs 36.1%

Vital sign measure ments at days 1, 4, 7, 14, 21, 28, 42, 56, 70, and 84
Safety evaluations were based on reports of AEs, trought serum concentrations, concomitant medication records, vital signs, weight, and clinical lab parameters.
EPS assessed with AE reporting, Simpson-Angus Scale (SAS), and the Barnes Akathisia Rating Scale (BARS)
Treatment-emergent depression, defined a priori as MADRS score >=18 and an increase of >=4 from baseline on any 2 consecutive post-baseline visits, or at the final study visit, was monitored.

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Author, year Country Trial name (Quality score)	Adverse effects reported	Total withdrawals; withdrawals due to adverse events	Comment
Bowden 2005 Europe and Asia	Quetiapine vs lithium vs placebo	Total withdrawals: 42.4% (128/302)	Both groups got blood
	Dry mouth: 24.3% vs 6.1% vs 2.1%	Quetiapine vs lithium vs placebo	testing to
	Somnolence: 19.6% vs 9.2% vs 3.1%	Total withdrawals by drug group: 32.7% vs 31.6% vs 63.9%	keep blinding
	Weight gain: 15.0% vs 6.1% vs 1.0%	Withdrawals due to AEs: 6.5% vs 6.1% vs 4.1%	valid
	Dizziness: 12.1% vs 7.1% vs 2.1%		
	Insomnia: 9.3% vs 16.3% vs 20.6%		
	Headache: 7.5% vs 12.2% vs 4.1%		
	Asthenia: 6.5% vs 4.1% vs 1.0%		
	Depression: 5.6% vs 1.0% vs 1.0%		
	Tremor: 5.6% vs 18.4% vs 4.1%		
	Diarrhea: 4.7% vs 5.1% vs 4.1%		
	Weight loss: 1.9% vs 6.1% vs 1.0%		
	Anorexia: 0.9% vs 9.2% vs 4.1%		
	Nausea: 0.9% vs 6.1% vs 2.1%		
	Vomiting: 0.9% vs 6.1% vs 2.1%		
	Akathisia: 0.9% vs 3.1% vs 6.1%		
	EPS-related AEs, quet vs placebo: 13.1% vs 9.3%		
	Mean weight gain, observed cases (LOCF) from baseline:		
	3.3 (LOCF: 2.6) vs 1.0 (LOCF: 0.7) vs 0.3 (LOCF: -0.08) kg		
	p<0.001 for quet vs placebo and p=NS for lithium vs placebo		
	Emergent depression, day 84: 5.6% vs 3.1% vs 8.4%, p=NS for		
	comparisions		
	Prolactin concentration (in micrograms/L) change from baseline: -		
	18.4 vs -17.3 vs -13.2		
	SAS and BARS scores: no significant difference in change from		
	baseline for quet vs placebo		

Author, year Country Trial name (Quality score)	Study design Setting	Eligibility criteria	Therapy type Interventions Duration
Risperidone			
Yatham, 2003	RCT	Patients, aged 18-65, with DSM-IV bipolar disorder with a	Adjunctive
International	Multicenter	manic or mixed episode, minimum baseline score of 20 on	
	Hospitalized ≥ 4 days	the YMRS; receiving a mood stabilizer for a minimum of 2	Risperidone 1-6 mg
Fair quality		weeks prior to screening; medically stable, randomized within 7 days of hospital admission	Placebo
			3-week DB
			10-week open-label

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# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name (Quality score)	Run-in/Washout Period	Allowed other medications/ interventions	Method of outcome assessment and timing of assessment	Age Gender Ethnicity
Risperidone				
Yatham, 2003 International	3-day washout	Primary therapy with lithium, divalproex or carbamazepine	<ul> <li>Change in YMRS</li> <li>percent of patients showing a ≥ 50%</li> <li>improvement in YMRS score</li> </ul>	Mean age=39.5 58% female Ethnicity nr
Fair quality		Lorazepam 6 mg for agitation during the wash-out period and up to 4 mg daily during the first 7 days of the double-blind period	<ul> <li>time (days) to onset of therapeutic response (≥ 30% improvement in YMRS score)</li> <li>change in CGI, BPRS, HRSD scores</li> <li>percent of patients who used adjunctive lorazepam</li> </ul>	,
		Anti-parkinsonian and antidepressant drugs allowed after randomization	·	

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Author, year Country Trial name		Number screened/ eligible/	Number withdrawn/ lost to fu/
(Quality score)	Other population characteristics	enrolled	analyzed
Risperidone			
Yatham, 2003	Axis I diagnosis	NR/157/151	66 (44%)
International	Bipolar disorder, manic=92%		withdrawn/2% lost
	Bipolar disorder, mixed=8%	Risperidone	to fu/142 (94.6%)
Fair quality	Current episode	n=75	analyzed
	Mild severity=3%	Placebo n=76	
	Moderate severity=32.7%		
	Severe with psychotic features=43.3%		
	Severe without psychotic features=20.7%		

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# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name (Quality score)	Results	Method of adverse effects assessment
Risperidone		
Yatham, 2003	Risperidone vs placebo	ESRS and CGI of overall severity of dystonia,
International	YMRS	parkinsonism and dyskinesia administered at
	Change in mean points: -49% vs -36%; p=NS	baseline and on days 8, 15, and 22
Fair quality	% patients with ≥ 50% improvement: 59% vs 41%; p<0.05	
	Adjunctive lorazepam use (% patients): 72% vs 63%; p=NS	
	CGI (% patients with 'much' or 'very much' improvement at endpoint): 61% vs 43%;	
	p=0.022	
	BPRS (change in mean points): -10.1% vs -4.8%; p=0.006	
	HRSD (change in mean points): risperidone=placebo (data nr)	

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#### Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name			_
(Quality score)	Adverse effects reported	Total withdrawals; withdrawals due to adverse events	Comment
Risperidone			
Yatham, 2003 International	Risperidone (n=75) vs placebo (n=75)	Risperidone (n=75) vs placebo (n=75)	
	% patients with ≥ 1 adverse event: 57% vs 51%; p=NS	Total withdrawals: 36% vs 52%; p=NS	
Fair quality	Extrapyramidal-related adverse events Any extrapyramidal-related adverse events: 21% vs 8%; p=0.013 Change in mean ESRS scores: -0.1 vs -0.1; p=NS Hyperkinesia: 7% vs 0; p=NS Tremor: 5% vs 1%; p=NS Extrapyramidal disorder: 4% vs 4%; p=NS Hypertonia: 4% vs 3%; p=NS Gait abnormality: 3% vs 0; p=NS Tetany: 3% vs 0; p=NS Ataxia: 1% vs 0; p=NS Dystonia: 1% vs 0; p=NS Hypokinesia: 1% vs 0; p=NS Dyskinesia: 0 vs 1%; p=NS Other	Withdrawals due to adverse events: 1% vs 4%; p=NS	
	Headache: 9% vs 9%; p=NS Insomnia: 4% vs 8%; p=NS Nausea: 5% vs 3%; p=NS Mean weight increase (kg): 1.7 vs 0.5; p=0.012		

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Author, year Country Trial name (Quality score)	Study design Setting	Eligibility criteria	Therapy type Interventions Duration
Hirschfeld, 2004	RCT Multicenter	Men and women age 18 years or older who met DSM-IV criteria for bipolar I disorder, current episode pure mania;	Monotherapy
	Hospitalized ≥ 7 days	history of at least one prior documented manic or mixed	Risperidone 1-6 mg daily
	•	episode that required treatment prior to screening; YMRS score ≥ 20 at screening and baseline evaluations; MADRS	Placebo
		score ≤ 20 at the baseline evaluation	3-week DB
Khanna, 2003	RCT	Adults (≥ 18) who provided consent; DSM-IV criteria for	Risperidone 1-6 mg (mean dose 5.6)
Abstract-only	Multicenter Hospitalization status	bipolar I disorder; voluntary hospitalization with a primary diagnosis of manic or mixed episode; history of at least	Placebo
Fair quality	unclear	one prior manic or mixed episode; baseline YMRA score ≥ 20	Duration=3 weeks

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Author, year Country Trial name (Quality score)	Run-in/Washout Period	Allowed other medications/ interventions	Method of outcome assessment and timing of assessment	Age Gender Ethnicity
Hirschfeld, 2004	3-day washout	Lorazepam ≤ 8 mg daily during washout and first 3 days of treatment; ≤ 6 mg daily during days 4-7; ≤ 4 mg daily during days 8-10  Antiparkinsonian medications allowed throughout the study	Primary: Mean change in YMRS Secondary: Other YMRS, CGI, MADRS, PANSS, GAS measurements  Scales administered at screening, baseline, and on days 1, 3, 7, 14, and 21	Mean age=39 43.2% female 71.8% white
Khanna, 2003 Abstract-only Fair quality	NR/wash-out unclear	Lorazepam allowed during washout and for the first 10 treatment days	Primary: Mean change in YMRS total scores Secondary: CGI, PANSS, MADRS, GAS	Mean age=35.1 62% male Ethnicity NR

Author, year Country		Number screened/	Number withdrawn/
Trial name		eligible/	lost to fu/
(Quality score)	Other population characteristics	enrolled	analyzed
Hirschfeld, 2004	Psychotic features present: 42.5%	337/NR/262	132 (51%) withdrawn
		Risperidone	4 (1.5%) lost to fu
		n=134	246 (95%)
		Placebo n=125	analyzed

Khanna, 2003 Weight (kg): 54.4 NR/NR/290 Withdrawn=130 With psychotic features at baseline: 58.8% Abstract-only (44.8%)/8 (2.7%) YMRS Total Score: 37.2 lost to Fair quality CGI Score: 4 fu/analyzed=uncle GAS Score: 35.0 ar MADRS score: 5.1 PANSS total score: 54.2

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Author, year		
Country Trial name		
(Quality score)	Results	Method of adverse effects assessment
Hirschfeld, 2004	Risperidone vs placebo	Extrapyramidal Symptom Rating Scale administered at days 7, 14, and 21 to
	YMRS mean change (mean points): -10.6 vs -4.8; p<0.001 YMRS response (% patients with ≥ 50% improvement): 43% vs 24%; p=0.006	measures movement disorders
	YMRS remission (% patient with score ≤ 12): 38% vs 20%; p=0.007 CGI mean change (points): -1.1 vs -0.4; p<0.001 GAS mean change (points): 12.5 vs 5.5; p<0.001	Other adverse events assessed by investigatory query
	PANSS total score mean change (points imputed from a graph): -10 vs -1.5; p<0.001 MADRS mean change (points estimated from a graph): -7.5 vs -8.1; p=NS	
Khanna, 2003 Abstract-only	Response (≥ 50% reduction in YMRS total scores): 106 (73%) vs 52 (36%); p<0.001 % Reduction in YMRS Total Score: 28% vs 11%; p<0.001 % GAS improvement: 79% vs 37%; p<0.001	NR
Fair quality	Change in CGI-severity from baseline to week 3 (estimated from graph): -2 vs -1; significance unclear Change in MADRS from baseline to week 3 (estimated from graph): -3 vs -2.2; p<0.01	

se effects reported		
	lotal withdrawais; withdrawals due to adverse events	Comment
•	Risperidone vs placebo	
•	· •	
•	Withdrawals due to adverse events: 8% vs 6%; p=NS	
·		
•		
a: 11% vs 2%; p=NS		
yramidal Symptom Rating Scale (mean change)		
core: 0.6 vs 0; p=0.05		
sonism subscale: 0.5 vs 0; p=0.05		
nia: 0.1 vs 0; p=NS		
esia: 0 vs 0; p=NS		
isorder: 51 (35%) vs 9 (6%): p<0.001	Total withdrawals: 57 (39%) vs 73 (51%): p=NS	
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	reaction: 7.5% vs 4.8%; p=NS  0 vs 2/125 (1.6%); p=NS  blence: 28% vs 7%; p<0.001  che: 14% vs 15%; p=NS  dinesia: 16% vs 5%; p=NS  dinesia: 16% vs 5%; p=NS  dinesia: 11% vs 9%; p=NS  psia: 11% vs 6%; p=NS  a: 11% vs 2%; p=NS  a: 11% vs 2%; p=NS  yramidal Symptom Rating Scale (mean change)  dicore: 0.6 vs 0; p=0.05  sonism subscale: 0.5 vs 0; p=0.05  nia: 0.1 vs 0; p=NS  disorder: 51 (35%) vs 9 (6%); p<0.001  nia: 7 (5%) vs 14 (10%); p=NS  r: 15 (10%) vs 1 (1%); p=0.0004  che: 9 (6%) vs 4 (3%); p=NS  blence: 9 (6%) vs 4 (3%); p=NS  bloody weight changes (kg): +0.1 vs +0.1  dervals: no prolongation of QTc intervals (> 500 ms) was  red in either group	Risperidone vs placebo  0 vs 2/125 (1.6%); p=NS  0 vs 2/125 (1.6%); p=NS  blence: 28% vs 7%; p<0.001  Che: 14% vs 15%; p=NS  sincesia: 16% vs 5%; p=NS  sizes: 11% vs 9%; p=NS  psia: 11% vs 9%; p=NS  psia: 11% vs 2%; p=NS  sonism subscale: 0.5 vs 0; p=0.05  sizes: 0.1 vs 0; p=NS  esia: 0 vs 0; p=NS  sisorder: 51 (35%) vs 9 (6%); p<0.001  Total withdrawals: 44% vs 58%; p<0.05  Withdrawals due to adverse events: 8% vs 6%; p=NS  withdrawals due to adverse events: 5% vs 6%; p=NS  Total withdrawals: 44% vs 58%; p<0.05  Withdrawals due to adverse events: 5% vs 6%; p=NS  sonism subscale: 0.5 vs 0; p=0.05  sia: 0.1 vs 0; p=NS  esia: 0 vs 0; p=NS  Withdrawals: 57 (39%) vs 73 (51%); p=NS  Withdrawals due to adverse events: 5 (3.4%) vs 3 (2.1%); p=NS  Withdrawals due to adverse events: 5 (3.4%) vs 3 (2.1%); p=NS  blence: 9 (6%) vs 4 (3%); p=NS

Author, year Country Trial name (Quality score)	Study design Setting	Eligibility criteria	Therapy type Interventions Duration
Smulevich 2005 International	RCT,DB, Parallel, Multicenter	Eligible pts were physically healthy, aged 18 years or older, and had bipolar I disorder according to DSM-IV criteria and a history of at least one prior documented manic or mixed episode. All pts met DSM-IV criteria for a current manic episode, for which they were voluntarily hospitalized. All pts had a score of >20 on the Young Mania Rating Scale (YMRS) at screening and baseline and a Montgomeray-Asberg Depression Rating Scale (MADRS) of < 20 at baseline.	Risperidone: 1-6 mg/day Haloperidol: 2-12 mg/day or Placebo

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# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name (Quality score)	Run-in/Washout Period	Allowed other medications/interventions	Method of outcome assessment and timing of assessment	Age Gender Ethnicity
Smulevich 2005 International	3 week run-in/ 3 day washout of any prior	Lorazepam ( up to 4 mg/day).	Young Mania Rating Scale (YMRS) Clinical Global Impression (CGI)	Mean age= 39.7 years
International	psychotropic drug		Global Assessment Scale (GAS)	53% male
	medication		Montgomery-Asberg Depression Rating Scale	65% Caucasian
			(MADRS)	
			Brief Psychiatric Rating Scale (BPRS)	

Author, year Country		Number screened/	Number withdrawn/	
Trial name		eligible/	lost to fu/	
(Quality score)	Other population characteristics	enrolled	analyzed	_
Smulevich 2005	Risperidone vs Haloperidol vs Placebo	NR/NR/438	NR/NR/386	_
International	Psychotic features present:			
	35.1%vs 34% vs 20%			
	Number of previous manic episodes (mean):			
	4.6 vs 4.1 vs 4.4			
	Age at onset of bipolar disorder (mean):			
	28.9 vs 26.7 vs 27.8			

Author, year		
Country		
Trial name		
(Quality score)	Results	Method of adverse effects assessment
Smulevich 2005 International	Risperidone vs Haloperidol vs Placebo	Patient report, physical exam
	Young Mania Rating Scale mean scores: (YMRS)	
	Week 3: 17 vs 17.4 vs 22.1	
	Week 12: 11.4 vs 12.9 vs NR	
	Clinical Global Impression mean scores: (CGI)	
	Week 3: 2.3 vs 2.4 vs 2.8	
	Week 12: 1.6 vs 1.8 vs NR	
	Global Assessment Scale mean scores: (GAS)	
	Week 3: 58.2 vs 57.3 vs 50.9	
	Week 12: 66.6 vs 63.7 vs NR	
	Montgomery-Asberg Depression Rating Scale mean scores: (MADRS)	
	Week 3: 3.2 vs 4 vs 4.6	
	Week 12: 4 vs 4.4 vs NR	
	Brief Psychiatric Rating Scale mean scores: (BPRS)	
	Week 3: 25.4 vs 25.7 vs 27	
	Week 12: 23.9 vs 24.4 vs NR	

Comment

#### Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year
Country
Trial name

(Quality score) Adverse effects reported Smulevich 2005 Risperidone vs Haloperidol vs Placebo: International Extrapyramidal disorder: Week 3: 17% vs 40% vs 9% Week 12: 24% vs 43% vs NR Somnolence: Week 3: 5% vs 3% vs 1% Week 12: 10% vs 6% vs NR Hyperkinesia: Week 3: 9% vs 15% vs 3% Week 12: 10% vs 19% vs NR Tremor: Week 3: 6% vs 11% vs 6% Week 12: 8% vs 13% vs NR Hypertonia:

> Week 3: 4% vs 9% vs 0 Week 12: 5% vs 10% vs NR

Total withdrawals; withdrawals due to adverse events

Withdrawals due to adverse events:

risperidone: 6 (4%) haloperidol: 4 (3%) placebo: 7 (5%)

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Author, year Country Trial name (Quality score)	Study design Setting	Eligibility criteria	Therapy type Interventions Duration
Shelton 2004 United States	RCT, DB	Patients were eligible for participation in the study if they (1) had definite and principal diagnosis of bipolar type I or II disorder, currently in a depressed phase; (2) were free of current psychosis, lifetime history of non-affective psychotic disorder, and history of substance abuse in the past 6 months or substance dependence in the past 12 months; (3) were receiving a clinically acceptable type, dose, and plasma level of a mood-stabilizing agent (i.e.valproate, lithium, or carbamazepine) but were otherwise free of psychotropics or potentially psychoactive herbs; (4) had a score of ≥18 on the 17-item version of the Hamilton Rating Scale for Depression (HAM-D) and 8 or below on the Young Mania Rating Scale (YMRS) at both the screening and baseline visits; and (5) were medically	Adjunctive and monotherapy  Risperidone 1 to 4 mg/d (initiated at 1 mg/d and titrated every week by 1 mg/d up to a max of 4 mg/d)  Mean max dose (SD): 2.15 (1.2) mg/d  Paroxetine 20-40 mg/d (initiated at 20 mg/d and titrated in 10 mg increments every week up to 40 mg)  Mean max dose (SD): 35.0 (21.2) mg/d  Risperidone + Paroxetine  Mean max dose (SD): risp 1.16 (0.67) mg/d + parox 22.0 (12.3) mg/d
		healthy.	12-week DB

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# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name (Quality score)	Run-in/Washout Period	Allowed other medications/ interventions	Method of outcome assessment and timing of assessment	Age Gender Ethnicity
Shelton 2004 United States	NR / NR	All patients continued mood stabilizers; lorazepam 3 mg/d allowed in 1st month of treatment	Primary efficacy outcome: HAM-D (Hamilton Rating Scale for Depression), Secondary measures: YMRS, MADRS, CGI-S, CGI-I, and BDI (Beck Depression Inventory)	Mean age: 35.6 years 50% male Ethnicity NR
			Assessments made at baseline and then on a weekly or bi-weekly basis	

Author, year		Number	Number
Country		screened/	withdrawn/
Trial name		eligible/	lost to fu/
(Quality score)	Other population characteristics	enrolled	analyzed
Shelton 2004	Mean baseline scores (SD)	NR/ NR/ 30	11/ 2/ unclear
United States	HAM-D: 21.5 (3.8)		
	BDI: 27.8 (12.2)		
	MADRS: 17.7 (7.1)		

Author, year Country Trial name		
(Quality score)	Results	Method of adverse effects assessment
Shelton 2004 United States	Risperidone alone vs Risp+Paroxetine vs Paroxetine alone Mean changes (SD) from baseline to endpoint (LOCF) for these tests: HAM-D: 5.2 (8.7) vs 6.3 (6.5) vs 5.6 (6.5), p=NS MADRS: 4.2 (13.7) vs 5.8 (6.1) vs 7.9 (7.3), p=NS	Simpson-Angus Scale (SAS) and Barnes Akathisia Scale (BAS) assessed at baseline and then at weekly or biweekly bases
	There were no significant difference between groups at any rating point (LOCF) for any assessments (HAM-D, MADRS, BDI< CGI, YMRS, SAS, BAS) except: at 4 weeks, YMRS means scores (SD) showed a small significant difference: Risperidone alone vs Risp+Paroxetine vs Paroxetine alone 1.3 (1.04) vs 2.2 (2.4) vs 0 (risp+parox vs parox, p<0.03)	
	Risperidone alone vs Risp+Paroxetine vs Paroxetine alone Remission (HAMD score ≤7 at endpoint) achieved in 1 patient (10%) vs 3 patients (30%) vs 2 patients (20%), p=NS Response (>=50% improvement in HAMD score at endpoint) occurred in 3 patients (30%) vs 3 patients (30%) vs 2 patients (20%), p=NS	

Author, year Country Trial name			
(Quality score)	Adverse effects reported	Total withdrawals; withdrawals due to adverse events	Comment
Shelton 2004	Risperidone vs Risp+Paroxetine vs Paroxetine	Total withdrawals: 11/30 patients (36.7%)	
United States	SAS mean scores (SD): 0.4 (0.5) vs 1.2 (1.3) vs 0, p<0.03 for	Total withdrawals by group: Risp-5 patients (50%),	
	risp+parox vs paroxetine	Risp+paroxetine - 4 patients (40%), Paroxetine - 2 patients	
	1 mild case of hypomania (YMRS score=13) in the paroxetine	(20%)	
	group		
	AEs reported (# of patients/group):	Withdrawals due to AEs: 5 patients total (50%). (Risp - 1	
	Appetite increase: 2 vs 2 vs 2	patient (10%); Risp+paroxetine - 3 patients (30%);	
	Weight gain: 1 vs 4 vs 1	Paroxetine - 1 patient (10%))	
	Diarrhea: 2 vs 1 vs 3		
	GI distress: 2 vs 2 vs 2	:	
	Somnolence: 5 vs 2 vs 2		
	Sexual dysfunction: 0 vs 3 vs 2		
	Insomnia: 0 vs 1 vs 2		
	Dry mouth: 1 vs 1 vs 3		
	Fatigue: 2 vs 1 vs 2		
	Headache: 1 vs 0 vs 1		
	Tremor: 1 vs 1 vs 1		
	Blurred vision: 0 vs 1 vs 0		
	Dizziness: 0 vs 1 vs 1		
	Parethesias: 0 vs 1 vs 0		
	These AEs were reported by risp=1 vs 0 vs 0 patients: anxiety,		
	constipation, dermatitis, dreaming increased, edema, joint pain,		
	and myoclonus		

Author, year Country Trial name (Quality score)	Study design Setting	Eligibility criteria	Therapy type Interventions Duration
Inpatients			
Clozapine			
Barbini 1997	RCT	This sample included 30 bipolar inpatients (12 men, 18 women) consecutively admitted to the Research Center for Mood Disorders for a manic episode, according to the DSM IV criteria. The severity of manic symptomatology was classified in stage II-III for all patients. All patients had been treated with lithium salts for at least six months before the beginning of the study.	Mean dose: clozapine 175 mg/day chlorpromazine 310 mg/day  Duration: 3 weeks
Olanzapine			
Berk 1999	RCT, DB	Thirty pts aged 18-65 years who were admitted with an acute manic episode were selected for the study. To be included, the patients were required to meet DSM-IV criteria for bipolar disorder, manic phase.	olanzapine 10 mg/day lithium carbonate 800 mg/day Duration: 4 weeks

Author, year Country Trial name (Quality score)	Run-in/Washout Period	Allowed other medications/ interventions	Method of outcome assessment and timing of assessment	Age Gender Ethnicity
Inpatients				
Clozapine				_
Barbini 1997	NR/ NR	NR	Young Rating Scale of Mania (YRSM)	Mean age: 36.6 years 37% male Ethnicity NR
Olanzapine				
Berk 1999	NR/ NR	lorazepam 4-12 mg if necessary	Mania Scale (MAS) Brief Psychiatric Rating Scale (BPRS) Clinical Global Impression (CGI) Global Assessment Functioning Scale (GAF)	Mean age: 30.7 years Gender unclear Ethnicity NR

Author, year Country Trial name		Number screened/ eligible/	Number withdrawn/ lost to fu/
(Quality score) Inpatients	Other population characteristics	enrolled	analyzed
Clozapine			
Barbini 1997	clozapine vs chlorpromazine: Duration of illness (years): 9.7(7.2) vs 13.3(6.8) Duration of lithium treatment (months): 21.9(24.3) vs 8.4(7.4) Duration of last euthymic period (months): 10.26(11.04) vs 34.3(44.1) YRSM total score: 38.3(4.2) vs 34.1(8.0)	NR/NR/30	3/NR/27
Olanzapine			
Berk 1999	Olanzapine vs lithium Mean (range) episode duration: 19.3(8-38) vs 15.06(7-29) Mean (range) no. manic episodes: 3.4(1-8) vs 2.13(0-5) Mean (range) no. depressive episodes: 0.7(0-3) vs 0.26(0-1) Mean (range) no. previous admissions: 2.9(1-11) vs 1.6(1-4)	NR/NR/30	4/NR/30

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Author, year Country Trial name		
(Quality score)	Results	Method of adverse effects assessment
Inpatients		
Clozapine		
Barbini 1997	Clozapine vs chlorpromazine YMRS (clozapine showed better improvement): group effect: p=0.07 time effect: p<0.0001 time-group interaction: p<0.0001 Post-hoc comparison: after 2 weeks treatment: p=0.0001 after 3 weeks treatment: p=0.0096	Dosage records and treatment emergent symptoms (DOTES) EPS: Simpson-Angus Rating scale
Olanzapine		
Berk 1999	Baseline vs endpoint: BPRS: olanzapine: 53.3 vs 28.0, p=0.0002 lithium: 46.8 vs 28.2, p=0.0002 olanzapine vs lithium at baseline, p=0.077 olanzapine vs lithium at endpoint, p=0.439 CGI-severity scale: olanzapine: 4.67 vs 2.29 lithium: 4.67 vs 2.83 olanzapine vs lithium at baseline, p=1.000 olanzapine vs lithium at endpoint, p=0.025 % change from baseline: olanzapine vs lithium = 48.6% vs 38.3, p=0.018 CGI-improvement scale: olanzapine: 4.27 vs 2.36 lithium: 4.27 vs 2.75 olanzapine vs lithium at baseline, p=0.808 olanzapine vs lithium at endpoint, p=0.163 GAF: olanzapine vs lithium at endpoint: 57.9 vs 56.2, p=0.583 MAS: olanzapine: 31.7 vs 10.2 lithium: 31.6 vs 13.2 olanzapine vs lithium at endpoint, 0.900 olanzapine vs lithium at endpoint, 0.315	

Author, year Country Trial name (Quality score)	Adverse effects reported	Total withdrawals; withdrawals due to adverse events	Comment
Inpatients	Auverse effects reported	Total Withdrawais, Withdrawais due to adverse events	Comment
Clozapine			
Barbini 1997	Clozapine vs Chlorpromazine hypersialorrhea: 10(67%) vs 3(25%) sedation: 7(46%) vs 8(68%) WBC decrease: 8(53%) vs 0(0%) hypotension: 5(30%) vs 5(45%) EPSE: 1(7%) vs 7(56%)	NR	
Olanzapine			
Berk 1999	SAS: olanzapine: 0.53 vs 0.64 lithium: 2.33 vs 2.83 olanzapine vs lithium at baseline, 0.204 olanzapine vs lithium at endpoint, 0.185 lorazepam used (mg): olanzapine vs lithium = 69.1 vs 74.6, p=0.429 biperidin used (mg): olanzapine vs lithium = 6.33 vs 0.66, p=0.962 Barnes Akathisia Scale: no treatment emergent akathisia	Olanzapine vs lithium Total withdrawals: 1 vs 3 Withdrawals due to AEs: 1 vs 1	There was a third limb of the study using lamotrigine, that date is not presented here.

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# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country			Therapy type
Trial name	Study design		Interventions
(Quality score)	Setting	Eligibility criteria	Duration
Shi, 2002	RCT, DB	patients had a diagnosis of bipolar I disorder and currently displayed an acute manic or mixed episode (with or without psychotic features) according to DSM-IV based on	olanzapine 15 mg/day haloperidol 10 mg/day
		the Structured Clinical Interview for DSM-IV-Patient Version and had a baseline Young-Mania Rating Scale total score of >= 20.	Duration: 12 weeks

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# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name (Quality score)	Run-in/Washout Period	Allowed other medications/ interventions	Method of outcome assessment and timing of assessment	Age Gender Ethnicity
Shi, 2002	NR/ 2-7 days	benzodiazepine, anticholinergic, lorazepam, benzotropine mesylate, biperiden as needed	Young Mania Rating Scale (YMRS) Hamilton Rating Scale for Depression (HAM-D) Health-related quality of life (HRQOL)	Mean age: 39.2 years 39.2% male 46.3% Caucasian

Author, year		Number	Number	
Country		screened/	withdrawn/	
Trial name		eligible/	lost to fu/	
(Quality score)	Other population characteristics	enrolled	analyzed	
Shi, 2002	SF-36 summary scores- physical: 52.76	NR/NR/453	NR/NR/304	

SF-36 summary scores- physical: 52.76 SF-36 summary scores- mental: 44.45

patients in work: 47.4%

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Method of adverse effects assessment

# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year	
Country	
Trial name	
(Quality score)	Results
	Olanzapine vs haloperidol, p value SF-36 dimension and summary scores, change from baseline at week 6: Dimension scores bodily pain: 3.99(25.46) vs 3.93(23.92), p=0.740 general health: -1.09(20.76) vs -7.36(20.67), p=0.01 mental health: 2.45(21.54) vs -0.96(20.74), p=0.173 physical function: 1.79(24.27) vs -10.96(27.25), p<0.001 role-emotional problem: 6.04(51.51) vs 3.46(58.49), p=0.543 role-physical problem: 3.28(46.93) vs -15.63(46.74), p<0.001 social functioning: 10.95(36.73) vs 2.13(36.48), p=0.036 vitality: -6.66(22.08) vs -14.11(22.85), p=0.002 Summary scores physical: 0.27(9.35) vs -4.27(8.79), p=0.01 mental: 1.5(13.42) vs 0.74(13.35), p=0.58 SF-36 dimension and summary scores, change from baseline at week 12: Dimension scores bodily pain: 5.86(29.12) vs 6.38(23.41), p=0.801 general health: 0.43(23.50) vs -7.69(23.13), p=0.001 mental health: 3.38(24.26) vs -1.17(23.35), p=0.126 physical function: 1.54(26.18) vs -10.46(26.32), p<0.001 role-emotional problem: 18.72(53.19) vs 13.81(58.9), p=0.286 role-physical problem: 6.79(44.76) vs -7.27(46.25), p=0.008 social functioning: 15.82(39.91) vs 10.37(42.41), p=0.171 vitality: -9.5(23.32) vs -17.41(26.66), p=0.004 Summary scores physical: 0.08(9.89) vs -3.66(8.74), p<0.001 mental: 3.5(15.0) vs 2.08(15.71), p=0.327 Work status measurements at week 6: patient in work(%): 31.1 vs 35.8, p=0.403 change in work activities impairment score: -0.16 vs -0.42, p=0.250 change in household activities impairment score: -0.30 vs -0.45, p=0.552 Work status measurements at week 12:
	change in work activities impairment score: 0.36 vs -0.28, p=0.007 change in household activities impairment score: 0.13 vs -0.28, p=0.023

Final Report Update 1 Drug Effectiveness Review Project

#### Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name

(Quality score)Adverse effects reportedTotal withdrawals; withdrawals due to adverse eventsCommentShi, 2002NRNR

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Author, year Country Trial name (Quality score)	Study design Setting	Eligibility criteria	Therapy type Interventions Duration
Risperidone	<u> </u>		
Segal 1998	RCT, DB	The patients were required to meet DSM-IV criteria for bipolar disorder, manic phase, on as structured clinical interview	risperidone 6 mg/day haloperidol 10 mg/day lithium 800-1200 mg/day
			Duration: 4 weeks

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# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name (Quality score)	Run-in/Washout Period	Allowed other medications/ interventions	Method of outcome assessment and timing of assessment	Age Gender Ethnicity
Risperidone				
Segal 1998 NR/ NR Lorazepam was given when necessary to control aggression Ma Sec Br		Primary outcome measure:  Mania Rating Scale (MRS) Secondary outcome measures: Brief Psychiatric Rating Scale (BPRS) Clinical Global Impression (CGI) Global Assessment of Functioning Scale (GAF)	Mean age: 33.6 years 22.2% male Ethnicity NR	

Drug Effectiveness Review Project

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# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year		Number	Number
Country		screened/	withdrawn/
Trial name		eligible/	lost to fu/
(Quality score)	Other population characteristics	enrolled	analyzed
Risperidone			
Segal 1998	NR	NR/NR/45	NR/NR/45

Final Report Update 1

# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country		
Trial name		
(Quality score)	Results	Method of adverse effects assessment
Risperidone		
Segal 1998	risperidone vs haloperidol vs lithium, p value BPRS: baseline: 17.6 vs 15.2 vs 17.4, NS endpoint: 6.5 vs 4.9 vs 9.1, NS	Simpson-Angus Scale (SAS)
	MRS: baseline: 28.6 vs 24.8 vs 28.4, NS endpoint: 12.4 vs 10.2 vs 15.7, NS all three groups have significant improvement compared with baseline, p<0.001	
	CGI: baseline: 4.0 vs 3.6 vs 3.7, NS endpoint: 1.9 vs 1.6 vs 2.4, NS	
	GAF: baseline: 33.8 vs 40.2 vs 32.6, p=0.18 endpoint: 59 vs 63.4 vs 54.6, p=0.46	

Drug Effectiveness Review Project

#### Drug Effectiveness Review Project

Author, year
Country
Tulat mana

Trial name (Quality score)	Adverse effects reported	Total withdrawals; withdrawals due to adverse events	Comment
Risperidone Segal 1998	risperidone vs haloperidol vs lithium, p value	NR	
Ocgai 1000	SAS:	1417	
	baseline: 1.33 vs 0.46 vs 0.66, NS		
	endpoint: 3.93 vs 2.66 vs 0.4, p=0.01		
	risperidol vs haloperidol, NS		
	orphenadrine used;		
	risperidone: 100 mg		
	haloperidol: 229.6 mg		
	risperidone vs haloperidol, NS		
	seclusion required:		
	endpoint: 8(53%) vs 8(53%) vs 11(73%)		

Author, year Country Trial name	Study design		Therapy type Interventions
(Quality score)	Setting	Eligibility criteria	Duration
Sachs 2002	RCT, DB, placebo- controlled	Subjects were patients aged 18-65 years with a history of bipolar disorder and at least one prior manic episode who were hospitalized for treatment of manic episode in one of 20 centers. Inclusion criteria included a minimum score of 20 on the Young Mania Rating Scale and a DSM-IV	Adjunctive risperidone 2-6 mg/day haloperidol 4-12 mg/day placebo
		diagnosis of bipolar disorder, with the most recent episode manic or mixed. Patients had to be medically stable according to a pretrial physical examination, medical history, and electrocardiography.	Duration: 3 weeks

## Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name (Quality score)	Run-in/Washout Period	Allowed other medications/	Method of outcome assessment and timing of assessment	Age Gender Ethnicity
Sachs 2002	NR/ 3 days	lithium or divalproex allowed	Young Mania Rating Scale (YMRS) CGI severity scale	Mean age: 42.7 years 51.4% male
			CGI change scale	Ethnicity NR

## Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year		Number	Number
Country		screened/	withdrawn/
Trial name		eligible/	lost to fu/
(Quality score)	Other population characteristics	enrolled	analyzed
Sachs 2002	Severity of current manic episode -severe: 54.3%	180/NR/158	63/8/155

Episode type- manic: 78.6%

Author, year Country Trial name (Quality score)	Results	Method of adverse effects assessment
Sachs 2002	risperidone (n=51) vs haloperidol (n=50) vs placebo (n=47) YMRS, change from baseline at endpoint; -8.2(10.4) vs -14.3(9.7) vs -13.4(10.0) risperidone vs placebo, p=0.009 haloperidol vs placebo, p<0.03 risperidone vs haloperidol, p=0.76	Extrapyramidal Symptom Rating Scale
	CGI severity, ratings of much or very much improved: 27(53%) vs 25(50%) vs 14(30%) risperidone vs placebo, p=0.002 haloperidol vs placebo, p=0.003 risperidone vs haloperidol, NR	

Comment

#### Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name

(Quality score) Adverse effects reported

Sachs 2002 risperidone vs haloperidol vs placebo total: 42(81%) vs 49(92%) vs 43(84%)

somnolence: 13(25%) vs 16(30%) vs 6(12%) headache: 11(21%) vs 8(15%) vs 12(24%) dyspepsia: 9(17%) vs 9(17%) vs 9(18%)

extrapyramidal disorder: 7(13%) vs 15(28%) vs 2(4%)

dizziness: 7(13%) vs 4(8%) vs 1(2%) constipation: 3(6%) vs 6(11%) vs 2(4%) tremor: 2(4%) vs 6(11%) vs 2(4%)

weight chance (lb): 5.3(7.0) vs 0.3(5.4) vs 1.1(4.8)

Total withdrawals; withdrawals due to adverse events

risperidone vs haloperidol vs placebo Total withdrawals: 25 vs 18 vs 28 Withdrawals due to AEs: 2 vs 2 vs 1

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Author, year Country Trial name	Study design		Therapy type Interventions
(Quality score)	Setting	Eligibility criteria	Duration
Ziprasidone			
Keck 2003 US (21 sites) and Brazil	RCT, DB, Multicenter parallel	Men and women > 18 years of age with a primary DSM-IV diagnosis of bipolar I disorder and a current manic or	Monotherapy
(3 sites)		mixed episode, confirmed by the Structured Clinical Interview for DSM-IV Axis I Disorders, Patient Edition (SCID-P), were eligible for study participation. Pts were	Ziprasidone 80-160 mg/d Placebo
		required to have a Mania Rating Scale total > 14, with a score of >2 on at least four items at screening and at baseline (within 12 hours before the first does of double-blind medication).	Ziprasidone started at 40 mg bid on day 1, increased to 80mg bid on day 2, and adjusted by a maximum of 40 mg within the range of 80-160mg/d
		Women of childbearing age were eligible if they had undergone bilateral tubule ligation, hysterectomy, or bilater total oophorectomy, were 1 year postmenopausal or had tested negative at screening on a serum pregnancy test and had agreed to use investigator-approved contraceptive methods throughout the study.	

# Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name (Quality score) Ziprasidone	Run-in/Washout Period	Allowed other medications/ interventions	Method of outcome assessment and timing of assessment	Age Gender Ethnicity
Keck 2003 US (21 sites) and Brazil (3 sites)	NR/ 7-day placebo washout	Lorazepam, temazepam and medications to manage movement disorders allowed; benzodiazepines other than lorazepam or temazepam were permitted with approval of sponsor clinician	Efficacy was asses using the SADS-C (schedule for Affective Disorders and Schizophrenia, Change Version), PANSS, investigator-rated CGI Improvement scale, and Global Assessment of Functioning Scale  SADS-C, CGI severity, CGI improvement were administered at screening, baseline (day1), days 2, 4, 7, 14, and 21 (or at study termination, within 12hours of the final dose).  PANSS administered on days 1, 7, 14, and 21 (or termination)	Mean age: 38.3 years 54.3% male Ethnicity NR

Author, year Country Trial name (Quality score) Ziprasidone	Other population characteristics	Number screened/ eligible/ enrolled	Number withdrawn/ lost to fu/ analyzed
Keck 2003 US (21 sites) and Brazil	Baseline scores (SD), ziprasidone vs placebo:	274/210/210	
(3 sites)	Mania rating scale score (total): 27.0 (3.8) vs 26.7 (7.0) CGI-S: 4.9 (0.9) vs 4.9 (0.7) PANSS total: 67.0 (15.6) vs 64.4 (15.7) PANSS, positive subscale: 19.5 (6.0) vs 19.0 (5.3) Global Assessment of Functioning Scale: 38.2 (9.7) vs 38.1 (8.8)		

Trial name (Quality score)	Results	Method of adverse effects assessment
Ziprasidone		
Keck 2003 US (21 sites) and Brazil	Patients classifying as responders: ziprasidone 50% vs placebo 35%, p<0.05	All observed or reported AEs were recorded. Simpson-Angus Rating Scale (SARS) and
(3 sites)	Mean change in scores from baseline to endpoint, ziprazadone vs placebo Mania rating scale: -12.4 (12.0) vs -7.8 (12.9), p<0.005 CGI-S: -1.3 (1.5) vs -0.9 (1.6), p<0.01	Barnes Akathisia evaluated at screening, day 1, 7, and 21. Abnormal Involuntary Movement Scale
	CGI improvement scores at endpoint: 2.9 (1.4) vs 3.5 (1.7), p<0.001 PANSS, positive symptom scores: -4.8 (6.3) vs 2.0 (6.9), p<0.001 Global Assessment of Functioning + 15.3 (18.7) vs +8.3 (18.7), P<0.005	(AIMS), blood pressure, pulse rate, a physical exam, and 12-lead ECG performed at screening, day 1, and study endpoint.

Author, year
Country
Trial name

Trial name (Quality score)	Adverse effects reported	Total withdrawals; withdrawals due to adverse events	Commen
Ziprasidone		,	
Keck 2003	Treatment-emergent AEs: 90.0% vs 77.1%	all comparisons: ziprasidone vs placebo	
US (21 sites) and Brazil	AEs judged to be treatment-related: 70.7% vs 54.3%	Total withdrawals: (104/210) 49.5%	
(3 sites)	AEs reported in ≥10% of patients: Somnolence: 37.1% vs 12.9%	Withdrawals by drug: (65/140) 46.4% vs (39/70) 55.7%	
	Headache: 21.4% vs 18.6%	Total withdrawals due to AEs: (12/210) 5.7%	
	Dizziness: 22.1% vs 10.0%	Withdrawals due to AEs by drug: (9/140) 6.4% vs (3/70)	
	Hypertonia: 11.4% vs 2.9%	4.3%	
	Nausea: 11.4% vs 10.0%		
	Akathisia: 10.7% vs 5.7%		
	Dyspepsia: 10.0% vs 10.0%		
	Insomnia: 7.9% vs 10.0%		
	ziprasidone vs placebo = NS for SARS, AIMS, Barnes Akathisia scale		
	no patient had QTc interval ≥500 msec while taking ziprasidone		
	no patient had & to interval =000 moet while taking ziprasidone		

Author, year Country			Therapy type
Trial name	Study design		Interventions
(Quality score)	Setting	Eligibility criteria	Duration
Intramuscular			
Meehan 2001 United States and Romania	RCT, DB Multicenter	Male or female subjects ≥18 years who had DSM-IV-diagnosed bipolar disorder, manic or mixed. Confirmation of the diagnosis occurred through administration of the Structured Clinical Interview for DSM-III-R (SCID). Pts were required to (1) be deemed by site physicians to have agitation severe enough to be appropriate candidates for receiving injections; (2) have a minimum total score=14 on the 5 items comprising the (PANSS)-Excited Component (PANSS-EC); and (3) have at least one individual item score of ≥4, with the 1 - 7 scoring system, immediately before randomization.	Olanzapine - first 2 of 3 possible injections were 10mg/injection; last injection was 5mg Lorazepam - first of 3 possible injections were 2 mg/injections; last injection was 1 mg Placebo - first 2 of 3 possible injections were placebo; 3rd injection was 10 mg olanzapine  screening period + 24 hour treatment period
			each patient received first injection; a 2nd and 3rd injection was up to the investigator

## Evidence Table 9. Randomized controlled trials in patients with bipolar I disorder

Author, year Country Trial name (Quality score)	Run-in/Washout Period	Allowed other medications/ interventions	Method of outcome assessment and timing of assessment	Age Gender Ethnicity
Intramuscular				
Meehan 2001		Lithium and valproate allowed	Primary efficacy: PANSS - EC	Mean age: 40.0 yrs
United States and		concomitantly (46.5%, 39.2%, 52.9% of	Secondary outcomes: the 14-item ABS (Agitated	
Romania		olan, lzp, pla patients respectively); prophylactic use of anticholinergic	Behavior Scale); the single-item 9-point ACES (Agitation-Calmness Evaluation Scale)	53.2% male
		medications prohibited, but benztropine,	developed by Eli Lilly; the BPRS, the CGI-S,	72.6% white
		biperiden, or procyclidine were allowed	PANSS-derived PBRS, YMRS.	15.9% black
		as required for control of EPS.		11.5% other

Author, year Country Trial name		Number screened/ eligible/	Number withdrawn/ lost to fu/
(Quality score)	Other population characteristics	enrolled	analyzed
Intramuscular			
Meehan 2001 United States and Romania	Current manic, mixed, with psychotic features: 52.3% of patients Rapid cycling: 52.2%	NR/NR/201	7 / NR / 199 patients on most tests (171 on YMRS and 174 on PANSS-derived BPRS positive)

Author, year Country Trial name (Quality score)	Results	Method of adverse effects assessment
Intramuscular		
Meehan 2001 United States and	Olaznapine vs lorazepam vs placebo	EPS assessed by the Simpson-Angus Extrapyramidal Effects Scale (S-A) and the
Romania	% of patients who completed study: 99.0% vs 94.1% vs 90.0% (p=0.034) % of patients who needed a second and a third injection: 26.3% vs 52.9% vs 52.9% (p=0.002 for olan vs Izp and p<0.001 vs pla)	Barnes Akathisia Global (Barnes) score AEs were solicited from the patient and ECG measurements were made.
	Mean change (SD) in efficacy measures (LOCF): PANSS-EC, at 2 hours: -9.60(4.74) vs -6.75(2.97) vs -4.84 (4.66) (p=0.001 olz vs lzp; p<0.001 for olz vs pla) at 24 hours: -5.78 (4.72) vs -5.65 (5.20) vs -3.94 (4.32) (p=NS olz vs lzp; p=0.025 for olz vs pla) at 2 hours, mean change significant for olz vs lzp in 3/4 scales: ABS, ACES, PANSS-derived BPRS total at 2 hours, mean change significant for olz vs pla in 4/4 scales: ABS, ACES, PANSS-derived BPRS total, and PANSS-derived BPRS positive at 24 hours, mean change significant for olz vs lzp in 0/6 scales: at 24 hours, mean change significant for olz vs pla in 4/6 scales: ABS, ACES, PANSS-derived BPRS total, and PANSS-derived BPRS positive	

Author, year Country Trial name (Quality score)	Adverse effects reported	Total withdrawals; withdrawals due to adverse events	Comment
Intramuscular			
Meehan 2001 United States and Romania	olanzapine vs lorazepam vs placebo  % of patients experiencing ≥1 treatment-emergent AE 34.3% (34 patients) vs 51.0% (26 patients) vs 25.5% (13 patients) olz vs lzp, p=NS; olz vs pla, p=NS Somnolence: 13.1% vs 9.8% vs 5.9% Dizziness: 13.7% vs 9.1% vs 2.0% Nausea: 1.0% vs 7.8% vs 0% (significant among treatment groups, p=0.031) Vomiting: 0% vs 5.9% vs 2% (significant among treatment groups, p=0.040)	2 withdrawals; 2 withdrawals (both in placebo, due to agitation and hostility)	Patients in placebo used Lithium more than in other two groups: pla=31.4% vs lzp=15.7% vs olan 14.1% (p=0.037)
	No other treatment-emergent AE occurred in ≥10% of any group  Other AEs in olanzapine group: dry mouth (3.0%), abnormal gait (2.0%), hallucinations (2.0%), pharyngitis (2.0%), and tremor (2.0%). None were significant.		

#### Internal Validity

Poster

Author, year Country	Randomization adequate?	Allocation concealment adequate?	Groups similar at baseline?	Eligibility criteria specified?	Outcome assessors masked?	Care provider masked?	Patient masked?
Altamura, 2003	NR	NR	Yes	Yes	Unclear	No	No
Brecher, 2003	NR	NR	Yes	Yes	Yes	Yes	Yes

## Evidence Table 10. Quality Assessment of placebo-controlled trials in patients with bipolar I disorder

Author, year Country	Reporting of attrition, crossovers, adherence, and contamination	Loss to follow-up: differential/high	Intention-to-treat (ITT) analysis	Post- randomization exclusions	Quality rating
Altamura, 2003	NR NR NR NR	NR NR	Unclear	Unclear	Poor
Brecher, 2003 Poster	Yes NR NR NR	No No	LOCF	No	Fair

#### External Validity

Author, year Country	Number screened/eligible/e nrolled	Exclusion criteria	Run-in/ Washout	Class naïve patients only	Control group standard of care	Relevance
Altamura, 2003	NR/NR/28	Presence of major mood episodes not in partial or full remission; concomitant psychotropic medication at the time of the beginning of the study, with the exception of previously stabilized (for at least 2 weeks) dosages of benzodiazepines (not to exceed 5 mg/day diazepam equivalents); pregnancy or lactations; serious medical conditions contraindicating the use of quetiapine or any mood stabilizers; no history of ever using mood stabilizers	No/No	Naïve to mood stabilizers	Yes	Only to patients with no history of mood stabilizer use and who were in partial- or full- remission
Brecher, 2003 Poster	NR/NR/302	Hospitalized for weeks for the index manic episode; meeting SDM-IV criteria for rapid cycling or current mixed episode; index manic episode as direct consequence of medical condition, treatment, or substance abuse; known intolerance or lack of response to QTP, HPL or clozapine; use of antihypertensives (unless stable dose for ≥ month), clozapine, > 4 mg/d lorazepam, antidepressants, thioridazine, or potent cytochrome P450 inducers/inhibitors within specified time intervals of randomization; substance/alcohol dependence or electroconvulsive therapy within 1 month of randomization	NR/NR	Unclear	Yes	Yes

#### Internal Validity

Author, year Country	Randomization adequate?	Allocation concealment adequate?	Groups similar at baseline?	Eligibility criteria specified?	Outcome assessors masked?	Care provider masked?	Patient masked?
Calabrese, 2004 Poster	NR	NR	Yes	Yes	Yes	Yes	Yes
Hirschfeld, 2004	Yes	Yes	Yes	Yes	Yes	Yes	Yes

### Evidence Table 10. Quality Assessment of placebo-controlled trials in patients with bipolar I disorder

Author, year Country	Reporting of attrition, crossovers, adherence, and contamination	Loss to follow-up: differential/high	Intention-to-treat (ITT) analysis	Post- randomization exclusions	Quality rating
Calabrese, 2004	Yes	NR	LOCF	No	Fair
Poster	NR	NR			
	NR				
	NR				
Hirschfeld, 2004	Yes	No	No; 12 (4.6%) excluded from	No	Fair
	NR	No	endpoint analysis; 3 because		
	NR		they didn't have "at least two		
	NR		efficacy assessments", and 9		
			from one site due to GCP		
			noncompliance or protocol		
			violations ("repeat patients");		
			no mention of results from		
			"worst case scenario"		
			sensitivity analysis that		
			included those 12 patients;		
			data on file, submitted 11/9/04	4	
			was included in this		
			consideration		

#### External Validity

Author, year Country	Number screened/eligible/e nrolled	Exclusion criteria	Run-in/ Washout	Class naïve	Control group standard of care	Relevance
Calabrese, 2004 Poster	838/NR/542	Other Axis I disorders	NR/NR	Unclear	Yes	Yes
Hirschfeld, 2004	337/NR/262	Baseline YMRS score was ≥ 25% lower than the screening score; diagnosis of a mixed episode, schizoaffective disorder, borderline or antisocial personality disorder, seizure disorder, a history of substance dependence within 3 months of the screening or were considered to be at significant risk for suicidal or violent behavior during the course of the trial	No/Yes	No	Yes	Yes

#### Internal Validity

		Allocation		Eligibility	Outcome		
Author, year	Randomization	concealment	Groups similar	criteria	assessors	Care provider	Patient
Country	adequate?	adequate?	at baseline?	specified?	masked?	masked?	masked?
Keck 2003	NR	NR	Yes	Yes	Yes	Yes	Yes

Khanna, 2003 NR NR Yes Yes Yes Yes Yes

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Author, year Country	Reporting of attrition, crossovers, adherence, and contamination	Loss to follow-up:	Intention-to-treat (ITT) analysis	Post- randomization exclusions	Quality rating
Keck, 2003	Yes NR NR NR	NR NR	No	No	Fair
Khanna, 2003	Yes NR NR NR	No No	LOCF	No	Fair

#### External Validity

Author, year Country	Number screened/eligible/e nrolled	Exclusion criteria	Run-in/ Washout	Class naïve patients only	Control group standard of care	Relevance
Keck, 2003	NR/NR/262	Patients were excluded from the study if they had delirium, dementia, amnestic or other cognitive disorders, schizophrenia, or schizoaffective disorder or if they were experiencing their first manic episode; duration of current mania > 4 weeks; nonresponse to clozapine; probable need for prohibited concomitant therapy; use of psychoactive substances or a substance use disorder; serum concentrations of lithium > 0.6 mmol/liter or divalproex sodium > 50 $\mu$ g/ml at screening; significant risk of committing suicide or homicide; history of neuroleptic malignant syndrome or seizure disorder	No/Yes	No	Yes	Yes
Khanna, 2003	NR/NR/291	DSM-IV criteria for schizoaffective disorder, rapid cycling bipolar disorder, or borderline or antisocial personality disorder; substance dependence within the last 3 months; significant risk of suicide or violent behavior; pregnant or nursing; history of other unstable illness; a $\geq 25\%$ decrease in their YMRS score from screening baseline; treatment with an antidepressant within 4 weeks of screening	NR/Washor t details unclear	u Unclear	Yes	Yes to "severe" patient population

## Evidence Table 10. Quality Assessment of placebo-controlled trials in patients with bipolar I disorder

#### Internal Validity

		Allocation		Eligibility	Outcome			
Author, year	Randomization	concealment	Groups similar	criteria	assessors	Care provider	Patient	
Country	adequate?	adequate?	at baseline?	specified?	masked?	masked?	masked?	
Paulsson, 2003	NR	NR	Yes	Yes	Yes	Yes	Yes	

## Evidence Table 10. Quality Assessment of placebo-controlled trials in patients with bipolar I disorder

	Reporting of attrition,			Post-	
Author, year	crossovers, adherence,	Loss to follow-up:	Intention-to-treat (ITT)	randomization	• 11:
Country	and contamination	differential/high	analysis	exclusions	Quality rating
Paulsson, 2003	Yes	No	No, 2 (0.6%) excluded for	No	Fair
	NR	No	unspecified reasons		
	NR				
	NR				

#### External Validity

Author, year Country	Number screened/eligible/e nrolled	Exclusion criteria	Run-in/ Washout	Class naïve patients only	Control group standard of care	Relevance
Paulsson, 2003	NR/NR/302	Hospitalized for $\geq 3$ week for the index manic episode; meeting DSM-IV criteria for rapid cycling or current mixed episode; index manic episode as direct consequence of medical condition, treatment or substance abuse; known intolerance or lack of response to QTP, Li, or clozapine; use of antihypertensives (unless stable dose for $\geq 1$ month), clozapine, $>4$ mg/d lorazepam, antidepressants, thioridazine, or potent cytochrome P450 inducers/in inhibitors within specified		Unclear		Yes
		time intervals of randomization; substance/alcohol dependence or ECG therapy within 1 month of randomization				

## Evidence Table 10. Quality Assessment of placebo-controlled trials in patients with bipolar I disorder

#### Internal Validity

		Allocation		Eligibility	Outcome		
Author, year	Randomization	concealment	Groups similar	criteria	assessors	Care provider	Patient
Country	adequate?	adequate?	at baseline?	specified?	masked?	masked?	masked?
Sachs, 2004	NR	NR	Yes	Yes	Yes	Yes	Yes

## Evidence Table 10. Quality Assessment of placebo-controlled trials in patients with bipolar I disorder

Author, year Country	Reporting of attrition, crossovers, adherence, and contamination	Loss to follow-up: differential/high	Intention-to-treat (ITT) analysis	Post- randomization exclusions	Quality rating
Sachs, 2004	Yes	No	No, 21 (11%) were excluded	No	Fair
	NR	No	(includes patients with no pos	t	
	NR		baseline assessments and		
	NR		patients from one complete		
			center due to protocol		
			violations)		

#### External Validity

Author, year Country	Number screened/eligible/e nrolled	Exclusion criteria	Run-in/ Washout	Class naïve patients only	Control group standard of care	Relevance
Sachs, 2004	NR/NR/191	Pregnant or lactating women and those of chid-bearing potential not using a reliable method of contraception were excluded from participating in the study. Patients whose current manic episode was due to a medical condition were also excluded. Other patients who were excluded were those meeting DSM-IV criteria for rapid cycling, those who had required hospitalization for 3 or more weeks for the index manic episode, or those with known intolerance or lack of response to QTP or clozapine. The continuous daily use of benzodiazepines, in excess of 4 mg/day of lorazepam of the equivalent, was also not allowed during the month preceding screening. Patients requiring the use of antihypertensive medications, unless stable for at elast month, or the use of antidepressants during the screening period (day -7 to 1) or within a period of five half-lives of the drug prior to study randomization, were also ineligible. The use of depot hloperidol and fluphenazine (within one injection cycle), and certain	r	Unclear	Yes	Yes

#### Internal Validity

Author, year Country	Randomization adequate?	Allocation concealment adequate?	Groups similar at baseline?	Eligibility criteria specified?	Outcome assessors masked?	Care provider masked?	Patient masked?
Sachs, 2005	NR	NR	Yes	Yes	NR	Yes	Yes
Tohen, 1999	NR	NR	NR	Yes	Yes	Yes	Yes
T. 1 2000	V	N.	V	V	N/	V	V
Tohen, 2000	Yes	No; personnel at the site assigned a patient to the nex	a	Yes	Yes	Yes	Yes

available kit

Author, year Country	Reporting of attrition, crossovers, adherence, and contamination	Loss to follow-up: differential/high	Intention-to-treat (ITT) analysis	Post- randomization exclusions	Quality rating
Sachs, 2005	Yes NR Yes NR	NR NR	No, 4 (1.4%) patients excluded from efficacy analysis, and 3 (1.1%) patients excluded from safety analysis	One patient excluded from efficacy analysis	Fair
Tohen, 1999	Yes NR NR NR	NR NR	No, 3 (2.2%) patients excluded due to not having a post-baseline assessment	No	Fair
Tohen, 2000	Yes NR NR NR	No No	No, 5 (4.3%) patients excluded due to not having a post-baseline assessment	No	Fair

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#### External Validity

Author, year Country	Number screened/eligible/e nrolled	Exclusion criteria	Run-in/ Washout	Class naïve patients only	Control group standard of care	Relevance
Sachs, 2005	NR/NR/272	Diagnosis of delerium, dementia, amnestic or other cognitive disorders, schizophrenia or schizoaffective disorder; first manic episode; current manic episode >4 wks; unresponsive to clozapine; possibility that patient would require prohibited concomitant therapy; use of psychoactive substances or a substance use disorder; serum concentrations of lithium ≥0.6mmol/L or divalproex sodium ≥50ug/mL; significant risk of suicide or homicide; history of neuroleptic malignant syndrome or seizure disorder; clinicall significant abnormal laboratory test results, vital signs or ECG; previous enrollment in an aripiprazole trial.	NR/NR	No	Yes	Yes
Tohen, 1999	NR/NR/139	Serious, unstable illness such that hospitalization was anticipated within 3 months or death was anticipated within 3 years; DSM-IV-defined substance dependence (except nicotine or caffeine) within the past 3 months; and serious risk of suicide	No/No	No	Yes	Yes
Tohen, 2000	NR/NR/115	Serious, unstable medical illness; DSM-IV substance dependence (except nicotine or caffeine) within the past 3 months; and serious suicidal risk	No No	No	Yes	Yes

#### Internal Validity

Author, year Country	Randomization adequate?	Allocation concealment adequate?	Groups similar at baseline?	Eligibility criteria specified?	Outcome assessors masked?	Care provider masked?	Patient masked?
Tohen, 2003	NR	Yes	No; Mean length of current depressive episode shorter for olanzapine group	Yes t	Yes	Yes	Yes
Tohen, 2004	NR	Yes	Yes	Yes	Yes	Yes	Yes
Tohen 2005	Open-label phase: yes Double-blind taper phase: unclear ("a priori determined" but exact method not explained)	Yes	Yes	Yes	Yes	Yes	Yes

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Author, year Country	Reporting of attrition, crossovers, adherence, and contamination	Loss to follow-up: differential/high	Intention-to-treat (ITT) analysis	Post- randomization exclusions	Quality rating
Tohen, 2003	Yes	No	No	No	Fair
	NR	No			
	NR				
	NR				
Tohen, 2004	Yes NR NR	NR NR	Yes	No	Fair
	NR				
Tohen 2005	Yes	Yes (0.9% olanzapine	Yes for both open-label and	No	Fair
	NR	group, 0.5% lithium	double-blind phase		
	Yes	group)/			
	NR	No			

#### External Validity

Author, year Country	Number screened/eligible/e nrolled	Exclusion criteria	Run-in/ Washout	Class naïve patients only	Control group standard of care	Relevance
Tohen, 2003	NR/1072/833	History of alcohol or substance dependence within the previous 3 months, suicidal behavior within the previous 3 months, or an unstable or untreated medical disorder; score of 15 or greater on the YMRS during weeks 1 to 3 of treatment	No/Yes	No	Yes	Yes
Tohen, 2004	NR/160/99	Pregnancy, serious and unstable medical illness; DSM-IV substance dependence within the past 30 days; documented history of intolerance to olanzapine; and serious suicidal risk	No/No	No	Yes	Yes
Tohen 2005	NR/NR/543	Serious, unstable medical illness; DSM-IV substance dependence criteria within the past 30 days; treatment with a depot neuroleptic within 6 wks of randomization; considered to be a serious suicide risk; history of intolerance or lack of previous response to an adequate trial of lithium or olanzapine	NR/NR	No	Yes	Yes Note: double-blind study phase participants limited to responders from open-label phase

#### Internal Validity

Author, year Country	Randomization adequate?	Allocation concealment adequate?	Groups similar at baseline?	Eligibility criteria specified?	Outcome assessors masked?	Care provider masked?	Patient masked?
Tohen 2006	NR	NR	Yes for demographics, however randomization ratio of 2:1 in favor of olanzapine	Yes	NR	NR	Yes
Vieta 2005	Unclear - "fixed randomization schedule" but method not explained	NR	Yes	Yes	NR	Yes	Yes

Author, year Country	Reporting of attrition, crossovers, adherence, and contamination	Loss to follow-up: differential/high	Intention-to-treat (ITT) analysis	Post- randomization exclusions	Quality rating
Tohen 2006	Yes NR Yes NR	Yes/7.1% open-label phase, 8.4% olanzapine double- blind phase, 3.7% placebo double-blind phase	Yes for both open-label and double-blind phase	NR	Fair
Vieta 2005	Yes NR NR NR	Yes (3 aripiprazole group, 4 haloperidol group)/No	Yes - separate ITT analyses for efficacy and safety	NR	Fair

#### External Validity

Author, year Country	Number screened/eligible/e nrolled	Exclusion criteria	Run-in/ Washout	Class naïve patients only	Control group standard of care	Relevance
Tohen 2006	910/731/361	Open-label phase: Unable to tolerate minimum dose of olanzapine Double-blind phase: NR	2-7 day screening followed by randomizati on at 6-12 wks/washou t NR		Yes	Yes Note: double-blind study phase participants limited to responders from open-label phase
Vieta 2005	NR/372/347	Rapid cycling bipolar 1 disorder; durations of current manic episode of more than 4 wks; proven substance misuse; patient considered unresponsive to antipsychotics; patient at significant risk of suicide; recent treatment with long-acting antipsychotic, lithium or divalproate; use of psychotropic medications other than benzodiazapines within 1 day of randomization; fluoxetine treatment in the past 4 wks; previous enrollment in an aripiprazole clinical study.	NR/1-3day washout	NR	Yes	

#### Internal Validity

		Allocation		Eligibility	Outcome		
Author, year	Randomization	concealment	Groups similar	criteria	assessors	Care provider	Patient
A			at basalina?	specified?	masked?	masked?	masked?
Country	adequate?	adequate?	at baseline?	specified?	maskeu?	iliaskeu r	maskeu :

International

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# Evidence Table 10. Quality Assessment of placebo-controlled trials in patients with bipolar I disorder

Author, year Country	Reporting of attrition, crossovers, adherence, and contamination	Loss to follow-up: differential/high	Intention-to-treat (ITT) analysis	Post- randomization exclusions	Quality rating
Yatham, 2003	Yes	No	No; 10 (6.7%) excluded from	8(5.3%) patients	Fair
International	NR	No	endpoint analysis; 8 because	excluded from	
	NR		they didn't have "at least two	efficacy analysis	
	NR		efficacy assessments", and	due to having < 2	
			reasons for other 2 not	assessments	
			specified; no mention of		
			results from "worst case		
			scenario" sensitivity analysis		
			that included those 10		
			patients; data on file,		
			submitted 11/9/04 was		

#### External Validity

Author, year Country	Number screened/eligible/e nrolled	Exclusion criteria	Run-in/ Washout	Class naïve patients only	Control group standard of care	Relevance
Yatham, 2003 International	NR/157/151	Another DSM-IV Axis I diagnosis other than nicotine or caffeine dependence; seizure disorder requiring medication; history of alcohol or drug misuse or dependence within the 3 months prior to the study; people at imminent risk of causing injury to themselves or others or of causing property damage; serious or unstable medical disease; clinically significant laboratory abnormalities; severe drug allergy or hypersensitivity; history of neuroleptic malignant syndrome	No/Yes	No	Yes	Yes

Author, year Country	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose	Population
Clozapine						
Zarate, 1995	McLean Hospital records	Retrospective recruitment prospective follow up	Unclear	at least 3 months	Clozapine at discharged: 182 mg/day follow-up: 304.4 mg/day	Refractory bipolar disorder
Olanzapine						
Vieta, 2001 Spain	Naturalistic: Clinic nr	Prospective	NR	303 days	Olanzapine 8.2 mg	Treatment resistant bipolar disorder
Chengappa, 2005 Hennen, 2004 United States	Patients in an Eli Lilly RCT doing a 1-year follow-up with Olanzapine (follow-up to Tohen 1999)	Prospective	1 year	52 weeks total: 3 weeks DB, 49 weeks open label (OL) mean: 27.9 weeks	Olanzapine 5-20 mg Mean dose at endpoint: 13.1 mg/d	Bipolar I mania episode or mixed state
	.5 .55555			Mean duration of participation: 30.0 (+/-19.8) weeks		

Author, year Country	Age Gender Ethnicity	Exposed Eligible Selected	Withdrawn Lost to fu Analyzed	Effectiveness outcomes
Clozapine				
Zarate, 1995	Mean age: 38.6 years 53% male Ethnicity NR	193 17 17	0 0 17	CGI responders, very much or much improved: at discharged: 11(64%) follow-up: 15(88%) CGI mean score: at discharged: 2.3(0.2) follow-up: 1.8(2.2) at discharged vs follow-up, p=0.02

Olanzapine				
Vieta, 2001	Mean age: 39.9	NR	6 (23%)	NR
Spain	56.5% male	NR	withdrawn	
	Ethnicity NR	23	1 (4.3%) lost	
			to fu	
			23 analyzed	
Chengappa, 2005	Mean age: 39.4	NR	NR	symptomatic remission of mania during 1 year: 79 (69.9%)
Hennen, 2004	years	NR	NR	remission by week 8: 50%
United States	51.7% male	139	113	CGI-BP:
	Ethnicity NR			remitted vs not remitted = 4.38 (0.76) vs 4.85 (0.85), p=0.006
				plausible, nearly ninefold, greater rate of trial completion:
	(values from			remitted vs not remitted = 53% vs 6%, p<0.001
	Hennen a little			Of the 79 subjects who achieved symptomatic remission:
	different in			became symptomatic again: 82.3% (65/79)
	Chengappa)			failed to sustain remission for at least 2 months: 49.4% (39/79)
				Achieved sustained recovery: 35.4% (40/113)
				Time-in-remission: 19.3(15.3) weeks, 52.2 (26.5)% patients
				Time-in-sustained-recovery: 31.65 (13.7) weeks

Author, year Country	Safety Outcomes	Comments
Clozapine		
Zarate, 1995	Side effects: 30% sedation 23% vertigo or dizziness 24% weight gain 18% salivation 6% constipation 6% tachycardia Rehospitalization rate: before starting clozapine: 0.8(1.2) follow-up during clozapine: 0.4(1.2) before vs follow-up, p=0.025	
Olanzapine		
Vieta, 2001 Spain	Weight gain 3 (13%)	
	Hospitalizations 3 (13%)	
Chengappa, 2005 Hennen, 2004 United States	Only 15% (3 women and 3 men = 6/40) who recovered did so without weight gain	30.1% of OL patients were obese to begin with (BMI ≥30 kg/m2)
33	Body weight increase (SD) at the endpoint: +6.53 (8.9) kg Increase of BMI: 2.17 (3.0) kg/m2 to 31.0 (6.1) kg/m2 50.4% of subjects had BMI ≥30 kg/m2 (ie, reached obesity criteria) at endpoint 33.9% of subjects experienced increases of BMI of ≥10%	·· <b>·</b> ··········

Author, year Country	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose	Population
Dennehy, 2003 United States	NR	Prospective	1998-1999	8 weeks	Olanzapine 5-12 mg	Bipolar I disorder
Gonzalez-Pinto, 2001 Spain	Santiago Hospital Psychiatric Unit	Prospective	March 1999 - February 1998	NR	Olanzapine 5-20 mg other antipsychotics (haloperidol and levomepromazine)	Mania

Author, year Country	Age Gender Ethnicity	Exposed Eligible Selected	Withdrawn Lost to fu Analyzed	Effectiveness outcomes
Dennehy, 2003 United States	Mean age: 39 years 26.7% male Ethnicity NR	NR NR 15	5 3 15	YMRS scores decreased: 14(93%) YMRS mean scores: 9.86, 2-30 point deduction IDS-C depressive symptoms: average 4.47 points reduction HAM-D: average 4 points reduction IDS-C depressive symptoms: 8 patients experienced a reduction of 1-37 points 7 patients experienced a increase of 3-16 points HAM-D: 2 patients experienced increased depression and contributed to the early withdrawal GAF: no significant change over the 8 weeks trial
Gonzalez-Pinto, 2001 Spain	Mean age: 37.1 years 53.4% male Ethnicity NR	86 44 44	0 0 44	olanzapine vs other antipsychotics YMRS scores improved: 29.35 vs 19.6, p=0.008 HAM-D scores improved: 15.71 vs 11.9, p=0.05 hospital length of stay: 22.14 vs 20.10 , p=0.5  Logistic regression model of variables associated with a hamilton decrease of 80% or more: p value, odds ratio male: 0.813, 0.779 age>30: 0.009, 885.1 no. of episodes>5: 0.095, 0.127 years of illness>10: 0.114, 0.070 age at onset>25: 0.119, 0.060 suicidal attempts: 0.757, 0.717

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days of hospitalization>=21: 0.791, 1.297 compulsory admission: 0.465, 0.483

olanzapine: 0.045, 11.063 lithium: 0.560, 1.785

Author, year Country	Safety Outcomes	Comments
Dennehy, 2003 United States	Side effects:  80% moderate to severe dry mouth 60% mild dizziness 53% oedema 53% mild to moderate drowsiness 47% constipation Weight gain: Of 13 patients with more than one weight measurement: 10(77%) patients range from 0.91-7.26 kg Of 7 patients who completed at least 7 visits: average gain 2.2 kg 1 patient with a weight loss of 10.89 kg in 3 weeks, putatively due to stimulant use 6 patients who gained weights: gained average 4.39kg	
Gonzalez-Pinto, 2001 Spain	NR	

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#### **Evidence Table 11. Observational studies in bipolar disorder**

Author, year Country	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose	Population
Janenawasin, 2002 United States	NR	Prospective	NR	9 weeks	Olanzapine 7.8 mg	Bipolar I, bipolar II or bipolar not otherwise specified

Author, year Country	Age Gender Ethnicity	Exposed Eligible Selected	Withdrawn Lost to fu Analyzed	Effectiveness outcomes
Janenawasin, 2002 United States	Mean age: 37.7 years 48% male Ethnicity NR	NR NR 25	NR NR 25	change from baseline, mean slope CGI: -1.7, p=0.002 YMRS: -13.1, p=0.002 HDRS: -6.9, p=0.006 HARS: -4.2, p=0.0004 MADRS: -6.1, p=0.1 acute phase (W1), change from baseline, mean slope CGI: -3.9, p<0.0001 YMRS: -21.1, p=0.008 HDRS: -19.7, p=0.0002 HARS: -13.2, p=0.001 MADRS: -29.3, p<0.0001 subchronic phase (W1-9), change from baseline, mean slope CGI: -0.9, p=0.1 YMRS: -6.5, p=0.02 HDRS: 0.6, p=NS HARS: 0.4, p=NS MADRS: 5.6, p=NS  25(60%) responders with final CGI-S <= 2 Time to consistent response correlated with final olanzapine dose, p<0.02 olanzapine dosage: early vs late responders = 4.5 vs 9.4 mg/day, p=0.03

Author, year Country	Safety Outcomes	Comments
Janenawasin, 2002 United States	17(68%) mild to moderate sedation 4(16%) moderate sedation, which affected function 14(56%) mild to moderate dry mouth 3(12%) dry mouth as problematic 11(44%) tremor 4(16%) mild sexual dysfunction 1(4%) mild akathisia	
	baseline vs endpoint weight gain: 171(38.2) vs 178.5(38.4), p<0.0001 BMI: 24.4(4.2) vs 25.7(4.5), p=0.0003	

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# **Evidence Table 11. Observational studies in bipolar disorder**

Author, year Country	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose	Population
McElroy, 1998 United States	NR	Prospective	NR	101.4 days	Olanzapine 14.1 mg	Bipolar I disorder

Risperidone						
Bahk, 2004 Korea	81 nationwide sites in Korea	Prospective	August 2002 - December 2002	6 weeks	Risperidone 3.1 mg	bipolar manic or hypomanic episode

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#### **Evidence Table 11. Observational studies in bipolar disorder**

Author, year Country	Age Gender Ethnicity	Exposed Eligible Selected	Withdrawn Lost to fu Analyzed	Effectiveness outcomes
McElroy, 1998 United States	NR	NR NR 14	NR NR 14	Of all 14 patients Month 1: 9(64%) much or very much improved Endpoint: 8(57%) much or very much improved Of 12 patients initiated for manic or hypomanic: Month 1: 8(67%) much or very much improved Endpoint: 7(57%) much or very much improved 3(25%) mild or no change 2(17%) much or very much worsened

Risperidone				
Bahk, 2004	Mean age: 37.9	NR	18	baseline vs endpoint:
Korea	years	NR	25	YMRS: 32.9(10.8) vs 9.5(8.4), p<0.0001
	45.8% male 100% Asian	909	866	CGI-S: 4.8(1.1) vs 2.1(0.8), p<0.0001
				YMRS 50% or more reduction: 693(77.8%) patients

Author, year Country	Safety Outcomes	Comments
McElroy, 1998 United States	1(7%) bad dream 5(38%) sedation 2(14%) tremor 2(14%) dry mouth 2(14%) increased hunger/weight gain 1(7%) restlessness 1(7%) swollen hands 1(7%) nausea 1(7%) headache	

Risperidone	
Bahk, 2004	22.2% headache
Korea	21.7% sedation
	21.5% gastrointestinal discomfort such as nausea and constipation
	11.2% fatigue
	10.5% dizziness
	18.6% EPS including tremor, rigidity, dystonia and involuntary
	muscle contraction
	weight gain: 1.5kg, p<0.0001
	BMI increased: 0.6, p<0.0001

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# **Evidence Table 11. Observational studies in bipolar disorder**

Author, year Country	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose	Population
Bowden 2004 United States	Patients in RCT (Sachs 2002)	Prospective	NR	10 weeks	Risperidone 3.1 (+/- 0.2) mg/day	Bipolar manic 78.9% Bipolar mixed
					Risperidone adjunctive to mood stabilizers	21.1%

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# **Evidence Table 11. Observational studies in bipolar disorder**

Age Gender Ethnicity	Exposed Eligible Selected	Withdrawn Lost to fu Analyzed	Effectiveness outcomes
Mean age: 41.3 years 45.9% male Ethnicity: NR	NR 156 85	35 4 48	Symptomatic remission (YMRS ≤12) seen in 79% (38/48) patients at week 10 more stringent definitions of remissions: a) % with YMRS ≤8: 67% (32/48) b) % with YMRS ≤8 + HAM-D score ≤7: 35% (17/48)  Mean time to first remission: 32 days for criteria of YMRS scores <=12  Mean time to first remission: 34 days for YMRS score ≤8 + HAMD score ≤7  CGI scores: % of patients rated as "much or very much improved" increased from 59% at week 1 to 71% at week 10  HAM-D scores <=8: 60% of patients  Mean BPRS at week 1: 31.0 (n=83); mean BPRS at week 10: 29.5 (n=48)
	Gender Ethnicity Mean age: 41.3 years 45.9% male	Gender Eligible Ethnicity Selected  Mean age: 41.3 NR years 156 45.9% male 85	Gender Eligible Lost to fu Ethnicity Selected Analyzed  Mean age: 41.3 NR 35 years 156 4 45.9% male 85 48

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Author, year Country	Safety Outcomes	Comments
Bowden 2004 United States	Antiparkinsonian medication administered to 25.9% patients (22/85) Lorazepam administered to 7.06% patients (6/85) Mean weight gain for all groups over the 10-week OL treatment: 2.85kg  All patients with any AEs: 92.9% (79/85) Extrapyramidal disorder: 29.4% (25/85) Somnolence: 29.1% (23/85) Tremor: 15.3% (13/85) Rhinitis: 15.3% (13/85) Increased saliva: 14.1% (12/85) Headache: 12.9% (11/85) Hypertonia: 12.9% (11/85) Insomnia: 11.8% (10/85) Back pain: 11.8% (10/85) Hyperkinesia: 10.6% (9/85) Fatigue: 10.6% (9/85) Constipation: 8.2% (7/85) Dizziness: 7.0% (6/85) Nausea: 7.0% (6/85) Vomiting: 4.7% (4/85) Pain: 4.7% (4/85)	

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#### **Evidence Table 11. Observational studies in bipolar disorder**

Author, year Country	Data Source	Prospective Retrospective Unclear	Exposure Period	Mean duration of follow-up	Interventions Mean dose	Population
Vieta, 2002 Spain	NR	Prospective	NR	6 weeks	Risperidone 4.9 mg	bipolar I or II disorder

Vieta, 2004 Multicenter Prospective NR 6 months Risperidone acutely manic bipolar

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Author, year Country	Age Gender Ethnicity	Exposed Eligible Selected	Withdrawn Lost to fu Analyzed	Effectiveness outcomes
Vieta, 2002 Spain	Mean age: 40.7 years 40.2% male Ethnicity NR	NR NR 174	12 3 159	baseline vs endpoint YMRS: 26.3 vs 5.7, p<0.0001 YMRS >=50% improvement: 87% patients YMRS >=50% improvement: 76% ITT patients PANSS: total: 66.2 vs 49, p<0.0001 positive: 20.1 vs 11.7, p<0.0001 negative: 12.5 vs 10.6, p<0.0001 general: 37.1 vs 26.1, p<0.0001 HAM-D: 12.2 vs 6.6, p<0.0001 CGI: 2.6 vs 1.6, p<0.0001 CGI: improved: 22.5% patients much improved: 61.7% patients entirely symptom-free: 15.4%
Vieta, 2004 Spain	Mean age: 40.7 years 50% male Ethnicity NR	NR NR 96	11 5 80	baseline vs endpoint YMRS: 29.2 vs 2.8, p<0.0001 PANSS: high vs low, p<0.0001 (data NR) HDRS: 14.2 vs 5.3, p<0.0001 CGI: improved, p<0.0001, (data NR)  60(62.5%) met the criteria for response at week 4 32(33.3%) met the criteria for remission at week 4 25(26%) relapsed during the 6 month follow-up

Author, year Country	Safety Outcomes	Comments
Vieta, 2002 Spain	12(11%) experienced side effects: 3 drowsiness 3 weight gain 2 dry mouth 2 impotence 1 dizziness 1 weight loss 1 hypotension 1 impaired concentration 1 amenorrhea 6% of the adverse events were considered severe 44% were considered moderate 10(6%) initiation or exacerbation of mania 10(6%) initiation of depression	
Vieta, 2004 Spain	EPS: increased at week 4, p=0.015 (data NR) decreased at month 6, p=0.027 (data NR) dystonia: worsen at week 4, p=0.002 (data NR) hypokinesia: worsen at week 4, p=0.001 (data NR) 0 new-emergent tardive dyskinesia 3 withdrawals due to AEs: 1(1%) impotence 1(1%) drowsiness 1(1%) weight gain Other AEs: restlessness, dizziness, hypotension, incontinence and galactorrhoea weight gain: average 3.2(2.1) kg 9(9.4%) gain more than 7% body weight	

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# Evidence Table 12. Quality assessment of observational studies of safety and adverse events

				Ascertainment	Non-biased and	Statistical		Overall	
			Adverse events	techniques	adequate	analysis of	Adequate	adverse event	
	Non-biased	Low overall loss	pre-specified	adequately	ascertainment	potential	duration of	assessment	
Author, year	selection?	to follow-up?	and defined?	described?	methods?	confounders?	follow-up?	quality	Notes
Vieta, 2001	Yes	Yes	No	No	No	NR	Yes	Fair	

Author, year Country Trial Name

Trial Name	N	Dunatian	Study Design	Flimibilita anitania
(Quality Score)	N	Duration	Setting	Eligibility criteria
Risperidone vs olanzapine				
Deberdt, 2005 US (FAIR)	494	10 weeks	Double-blind, randomized, multicenter. Nursing homes or assisted-living centers.	Age 40 or older. All patients exhibited clinically significant psychotic symptoms associated with Alzheimer's desease, vascular, or mixed dementia. Dementia diagnoses defined by NINCDS-ADRDA or DSN-IV criteria. Patients must have scored ≥ 6 (severity X frequency) on the sum of the Hallucinations and Delusons items on the NPI or NPI-NH. Exclusion criteria included Parkinson's disease, Lewy-body dementia, Pick disease, frontotemporal dementia; or a MMSE score <5 or >24.
Ellingrod., 2002 US (POOR)	19	8 weeks	Single-blind, nonrandomized. Four rural nursing care facilities in one city.	Age 70 or older, not receiving any psychotropic drug, with DSM-IV criteria for Alzheimer-type dementia, multiinfarct dementia, or mixed syndrome, and clinical symptoms necessitating administration of an antipsychotic drug.

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Author, year Country Trial Name (Quality Score)	Interventions (drug, dose)	Run-in/Washout Period	Allowed other medications/interventions	Age Gender Ethnicity
Risperidone vs olanzapine Deberdt, 2005 US (FAIR)	risperidone, flexible dose (0.5 to 2 mg) or olanzapine, flexible dose (2.5 mg to 10 mg) or placebo	use was disallowed	Anticholinergics (up to 6 mg per day benztropine-equivalents) and benzodiazepines (up to 4 mg per day lorazepam-equivalents) were permitted.	65.6% female
Ellingrod., 2002 US (POOR)	risperidone 0.25 mg to 3 mg or olanzapine 2.5 mg to 15 mg Dosages determined by primary physicians.	washout period.  None	Administration of other psychotropic drugs was allowed, although none of the study patients needed them.	

Author, year Country Trial Name (Quality Score)	Other population characteristics (diagnosis, etc)	Number screened/ eligible/enrolled	Number withdrawn/ lost to fu/analyzed
Risperidone vs olanzapine Deberdt, 2005 US (FAIR)	Baseline MMSE score 13.7 olanzapine vs 14.7 risperidone vs 15.4 placebo (p=0.021 for overall treatment group difference) 81.4% Alzheimer's dementia 5.7% vascular dementia 13.0% mixed	Number screened, eligible not reported/494 enrolled	157 withdrawn/lost to followup NR/474 analyzed for primary outcome
Ellingrod., 2002 US (POOR)	Baseline MMSE score, risperidone vs olanzapine 14.09 (SD 5.48) vs 11.75 (SD 9.91)	Number screened, eligible not reported/19 enrolled	0 withdrawn/0 lost to followup/19 analyzed

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Author, year Country		
Trial Name		Method of Outcome Assessment and
(Quality Score)	Outcome Measures	Timing of Assessment
Risperidone vs olanzapine		
Deberdt, 2005	NPI Psychosis Total, NPI Total, CGI-S Psychosis,	Patients were assessed weekly for the first 2
US	BPRS Total, CGI-S Dementia, Cornell Total, PDS	weeks of the study and biweekly thereafter
(FAIR)	(Progressive Deterioration Scale), CMAI: Aggression.	

Ellingrod., 2002

Brief Psychiatric Rating Scale, PANSS, Mini- Assessment at baseline, 1 month, and 2

US

Mental State Examination, Mattis Dementia Rating months by one rater.

(POOR)

Scale, Abnormal Involuntary Movement Scale,
Simpson-Angus Extrapyramidal Symptoms Scale,
Barnes Akathisia Rating Scale, and Social
Adaptive Functioning Evaluation; blood pressure

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Author, year Country Trial Name (Quality Score)

Results

#### Risperidone vs olanzapine

Deberdt, 2005 Mean change from baseline at endpoint, risperidone vs

US olanzapine:

(FAIR) NPI Psychosis Total: -4.2 vs -4.0 (p=0.747)

NPI Total: -0.64 vs -9.7 vs -11.8 (p=0.386) CGI-S Psychosis: -0.7 vs -0.7 (p=0.593) BPRS Total: -3.1 vs -3.5 (p=0.838)

CGI-S Dementia: -0.1 vs -0.0 (p=0.246) Cornell Total: -1.2 vs -1.6 (p=0.596)

PDS: -2.9 vs -2.9 (p=0.867)

CMAI: Aggression: -1.5 vs -1.3 (p=0.781)

No significant difference vs placebo for any measure

Ellingrod., 2002 Mean change from baseline at endpoint, risperidone vs

US olanzapine:

(POOR) BPRS: -1.73 vs -0.25 (p=0.60) SAPS: -0.64 vs -0.63 (p=0.99)

SANS: -1.27 vs 0.25 (p=0.27) MMSE: -2.27 vs -1.38 (p=0.53) Mattis: -10.55 vs -4.13 (p=0.29) SAFE: 2.91 vs 1.13 (p=0.35)

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# Evidence Table 13. Head-to-head trials in patients with behavioral and psychological symptoms of dementia

Author, year	
Country	

Country				
Trial Name			Study Design	
(Quality Score)	N	Duration	Setting	Eligibility criteria
Fontaine, 2003	39	2 weeks	Double-blind, long-term care	Residents of extended care facilities, meeting
US			facilities.	DSM-IV criteria for dementia; medically stable
(POOR)				and able to comply with oral, nonliquid
				medication; Clinical Global Impressions scale
				score 4 or higher and an Alzheimer's Disease
				Cooperative Study agitation screening scale score
				25 or higher with 6 points on the delusions,
				hallucinations, physical aggression, or verbal
				aggression subscales.

Author, year Country				Age
Trial Name		Run-in/Washout	Allowed other	Gender
(Quality Score)	Interventions (drug, dose)	Period	medications/interventions	Ethnicity
Fontaine, 2003	risperidone 0.5, 1.0, or 2.0 mg	3-day washout of	Allowed ongoing use of	Mean age 83 (SD ~7.5)
US	or	psychotropic drugs.	anticonvulsants (except for	67% female
(POOR)	olanzapine 2.5, 5.0, or 10.0		carbazepine), anti-depressants, and	
	mg		cholinesterase inhibitors if they had	
			been in stable use for 30 days prior	
			to drug washout. Allowed episodic	
			use of antiemetics, cough/cold	
			preparations (except those	
			containing diphenhydramine),	
			inhaled, topical, or ophthalmic	
			steroids, zolpidem, and chloral	
			hydrate. Lorazepam allowed in	
			doses of 0.5 to 1 mg as needed for	
			acute agitation.	

Author,	year
Country	

Trial Name	Other population characteristics	Other population characteristics Number screened/		
(Quality Score)	(diagnosis, etc)	eligible/enrolled	lost to fu/analyzed	
Fontaine, 2003	Baseline MMSE score,	Number screened not reported/47	33 withdrawn/# lost to followup	
US	risperidone vs olanzapine 9.3 SD	"recruited"/39 enrolled	not reported/39 analyzed	
(POOR)	7.2) vs 7.2 (SD 7.0)			

Author, year		
Country		
Trial Name		Method of Outcome Assessment and
(Quality Score)	Outcome Measures	Timing of Assessment
Fontaine, 2003	Primary outcome measures: Neuropsychiatric	Assessment at baseline, observation on days
US	Inventory (NPI) and Clinical Global Impressions	1,2,3,5,8,10,12, and 15 by study nurse and
(POOR)	Scale (CGI)	study physician.
	Secondary measures: Empirical Behavioral	
	Pathology in Alzheimer's Disease Rating Scale,	
	Psychogeriatric Dependency Rating Scales,	
	Multidimensional Observational Scale for Elderly	
	Subjects, Mini-Mental Status Examination, and	
	Quality of Life in Late-Stage Dementia Scale	

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Author, year Country Trial Name

i riai name	
(Quality Score)	Results
Fontaine, 2003	Mean change from baseline to day 15, risperidone vs
US	olanzapine (p-value, visit-by-drug group interaction effect,
(POOR)	ANOVA):
	CGI: -1.26 vs -1.31 (p=0.87)
	NPI: -23.63 vs -15.0 (p=0.31)
	E-BEHAVE-AD (Global Score):+0.52 vs +0.21 (p=0.45)
	E-BEHAVE-AD (Total Score): -1.85 vs -2.26 (p=0.81)
	PGDRS (Behavioral Symptoms): -4.26 vs -4.05 (p=0.91)
	PGDRS (Orientation): +0.47 vs -0.21 (p=0.30)
	PGDRS (Mobility): 0 vs -0.16 (p=0.07)
	MOSES: -1.74 vs -0.74 (p=0.59)
	QUALID: -3.53 vs -4.06 (p=0.88)

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# Evidence Table 13. Head-to-head trials in patients with behavioral and psychological symptoms of dementia

Author, year			
Country			
Trial Name			

Country				
Trial Name			Study Design	
(Quality Score)	N	Duration	Setting	Eligibility criteria
Mulsant, 2004	86	6 weeks	Double-blind, multicenter, lon	g Over age 55, with probable Alzheimer's
US			term care facilities	disease, probable vascular dementia, or
(POOR)				probable dementia of mixed etiology (by DSM-
				IV criteria); duration of illness of at least 1
				year; MMSE scores at study entry between 7
				and 26; definite psychotic symptoms, as
				defined by NPI frequency X severity score of
				>=4 on delusions, hallucinations, or both.

Risperidone vs olanzapine vs promazine

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Drug Effectiveness Review Project

# Evidence Table 13. Head-to-head trials in patients with behavioral and psychological symptoms of dementia

Author, year Country Trial Name (Quality Score)	Interventions (drug, dose)	Run-in/Washout Period	Allowed other medications/interventions	Age Gender Ethnicity
Mulsant, 2004 US (POOR)	risperidone 0.25 mg/day for the first 3 days, followed by an increase to 0.5 mg/day for days 3 through 6. Starting at day 7, dose increased to 0.75 mg/day until day 10, after which the investigator could increase the dose by 0.25 mg/day every 4 days if there was an insufficient clinical response. Total allowable dose 1.5 mg/day	7-day single-blind placebo run-in.	Lorazepam allowed for 4 days in any 7-day period for the treatment of agitation, at a maximum dose of 3 mg/day.	
	olanzapine starting dose 2.5 mg/day and the same titration schedule as above, with a maximum possible dose of 10 mg/day.			

Risperidone vs olanzapine vs promazine

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## Evidence Table 13. Head-to-head trials in patients with behavioral and psychological symptoms of dementia

Author, year Country Trial Name	Other population character		Number withdrawn/
(Quality Score)	(diagnosis, etc)	eligible/enrolled	lost to fu/analyzed
Mulsant, 2004	Baseline MMSE score,	NR/NR/86	17/NR/85
US	risperidone vs olanzapine 13	5.7	
(POOR)	(SD 5.05, range 7-25) vs 13.	2 (SD	
	4.79, range 7-25)		
	81.2% Alzheimer's dementia	ı	
	7.0% vascular dementia		
	11.8% mixed		
	Length of hospitalization		
	risperidone: 11.9 months (SI		
	13.5)		
	olanzapine: 27.1 months (SI	)	
	34.6)		
	2		

Risperidone vs olanzapine vs promazine

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## Evidence Table 13. Head-to-head trials in patients with behavioral and psychological symptoms of dementia

Author, year Country Trial Name (Quality Score)	Outcome Measures	Method of Outcome Assessment and Timing of Assessment
Mulsant, 2004 US (POOR)	Primary outcome measures: Udvalg for Kliniske Undersogelser (UKU) ratiing scale measuring peripheral anticholinergic effects, or a site report of a somnolence adverse event. See Evidence Table X (Adverse Events) for these results.	Assessments at screening, baseline, and then at weekly periods for the duration of the trial. Cognitive assessments occurred at baseline and weeks 3 and 6 (or early termination).
	Efficacy outcomes: NPI; abbreviated cognitive assessment.	

Risperidone vs olanzapine vs promazine

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## Evidence Table 13. Head-to-head trials in patients with behavioral and psychological symptoms of dementia

Author, year Country Trial Name

(Quality Score)	Results
Mulsant, 2004	NPI scores:
US	Statistically significant change from baseline for both
(POOR)	olanzapine and risperidone on overall NPI frequency
	X severity, hallucinations and delusions, and
	occupational disruption items, but no between-group
	differences (data not reported).

Risperidone vs olanzapine vs promazine

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## Evidence Table 13. Head-to-head trials in patients with behavioral and psychological symptoms of dementia

Author, year Country

Trial Name			Study Design	
(Quality Score)	N	Duration	Setting	Eligibility criteria
Gareri, 2004	60	8 weeks	Double-blind, setting not	Age 65 or older, with DSM-IV diagnoses of
Italy			reported	Alzheimer's disease, vascular dementia, or a
(POOR)				combination of both; NPI score of at least 24.

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## Evidence Table 13. Head-to-head trials in patients with behavioral and psychological symptoms of dementia

Author, year Country Trial Name		Run-in/Washout	Allowed other	Age Gender
(Quality Score)	Interventions (drug, dose)	Period	medications/interventions	Ethnicity
Gareri, 2004	risperidone 1 mg,	10-day washout	Concomitant use of other	Mean age 78.9
Italy	olanzapine 5 mg, or		antipsychotics, antidepressants,	55% female
(POOR)	promazine 50 mg; if no		or mood stabilizers was avoided.	Ethnicity not reported
	clinical response after 4		Lorazepam (1 to 3 mg/day) could	
	weeks, dose could be		be given as needed until the end	
	increased to 2 mg		of the first 2 weeks.	
	risperidone, 10 mg			
	olanzapine, or 100 mg			
	promazine.			

Author, year Country

Trial NameOther population characteristics Number screened/<br/>(Quality Score)Number withdrawn/<br/>lost to fu/analyzedGareri, 2004Not reportedNR/NR/60NR/NR/60

Italy

(POOR)

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Assessment at baseline, 4 and 8 weeks.

#### Evidence Table 13. Head-to-head trials in patients with behavioral and psychological symptoms of dementia

Author, year Country

**Trial Name Method of Outcome Assessment and** (Quality Score) **Outcome Measures Timing of Assessment** Primary outcome measure: NPI

Gareri, 2004

Italy

(POOR)

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Author, year Country Trial Name

Trial Name	
(Quality Score)	Results
Gareri, 2004	Complete regression of symptoms at 8 weeks (NPI):
Italy	risperidone: 14/20 (70%) (6 men, 8 women)
(POOR)	olanzapine: 16/20 (80%) (8 men, 8 women)
	promazine: 13/20 (70%) (7 men, 6 women)
	Partial respone at 8 weeks (NPI) (defined differently
	for different groups):
	risperidone: 2/20 (10%) (1 man, 1 woman)
	olanzapine: 4/20 (80%) (3 men, 1 woman)
	No response:
	risperidone: 1/20 (70%) (1 woman, drug interrupted at
	4th week because of hypotension and confusion)
	promazine: 7/20 (70%) (2 men, 5 women)

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## Evidence Table 14. Quality assessment of trials in patients with behavioral and psychological symptoms of dementia

	Internal Validity	Allocation			Outcome		
Author, year Country	Randomization adequate?	concealment adequate?	Groups similar at baseline?	Eligibility criteria specified?	assessors masked?	Care provider masked?	Patient masked?
Head-to-head trials							
Deberdt, 2005 US	Method not described	Not reported	MMSE score (olanzapine 13.7, risperidone 14.7, placebo 15.4) signficantly lower for olanzapine vs placebo, but NSD for risperidone vs olanzapine	Yes	Not reported (described as double blind)	Not reported (described as double blind)	Not reported (described as double blind)
Ellingrod, 2002 US	Not randomized	No	olanzapine group lower MMSE (11.75 vs 14.09)	Yes	Yes	No	Yes
Fontaine, 2003 US	Not clear if randomized	Not reported	More risperidone patients using antidepressants prior to study (58% vs 25%)	Yes	Yes	Not reported	Yes
Gareri, 2004 Italy	Method not described	Not reported	Baseline characteristics not reported (except age and sex)	Yes	Yes	Not reported (described as double blind)	Not reported (described as double blind)

## Evidence Table 14. Quality assessment of trials in patients with behavioral and psychological symptoms of dementia

Author, year Country	Reporting of attrition, crossovers, adherence, and contamination?	Loss to follow-up: differential/high?	Intention-to-treat (ITT) analysis?	Post-randomization exclusions?	Quality Rating
Head-to-head trials Deberdt, 2005 US	Attrition yes, others no	No	No- analyzed patients wir a baseline and at least one post-baseline score for th primary outcome, using a LOCF analysis (474 of 494 randomized; 96.0%)	e e n	Fair
Ellingrod, 2002 US	NR	NR	Yes	NR	Poor
Fontaine, 2003 US	Attrition yes/others NR	20% olanzapine vs 11% risperidone discontinued		No	Poor
Gareri, 2004 Italy	NR	NR	Yes	No	Poor

#### External Validity

Author, year Country	Number screened/eligible/enrolled	Exclusion criteria	Run-in/Washout
<b>Head-to-head trials</b> Deberdt, 2005 US	NR/NR/494	Parkinson's disease, Lewy-body dementia, Pick's disease, frontotemporal dementia; or a MMSE score <5 or >24.	3- to 14-day placebo washout
Ellingrod, 2002 US	Number screened, eligible not reported/19 enrolled	Intracranial lesion or a history of severe head trauma.	None
Fontaine, 2003 US	Number screened not reported/47 "recruited"/39 enrolled	Previous neuroleptic malignant syndrome or known sensitivity to olanzapine or risperidone; current major depressive disorder or history or evidence of schizophrenia or bipolar disorder; people receiving amantadine, anorexics, carbamazepine, chloramphenicol, clonidine, erythromycin, guanabenz, guanadrel, guanethidine, guanfacine, ketanserin methyldopa, metyrosine, narcotics, psychostimulants, reserpine, tryptophan, antiparkinsonian drugs, and benzodiazepines other than lorazepam.	
Gareri, 2004 Italy	NR/NR/60	NR	10-day washout (drugs not specified)

## Evidence Table 14. Quality assessment of trials in patients with behavioral and psychological symptoms of dementia

Author, year Country	Class naïve patients only?	Control group standard of care?	Funding
Head-to-head trials Deberdt, 2005 US	NR	Yes	Eli Lilly
Ellingrod, 2002 US	No	Yes	Supported by the 1999 American College of Clinical Pharmacy Research Award.
Fontaine, 2003 US	No	Yes	Supported by Eli Lilly and Company.
Gareri, 2004	NR	NR	Ministry of Health
Italy			

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# Evidence Table 14. Quality assessment of trials in patients with behavioral and psychological symptoms of dementia

	Internal Validity						
Author, year Country	Randomization adequate?	Allocation concealment adequate?	Groups similar at baseline?	Eligibility criteria specified?	Outcome assessors masked?	Care provider masked?	Patient masked?
Mulsant, 2004 US	Method not described	Not reported	Differences in sex (71% risperidone vs 84% olanzapine female), diagnosis (76% vs 86% Alzheimer's disease), and length of institutionalizaton (11.9 vs 27.1 months)		Not reported (describd as double blind)	Not reported (describd as double blind)	Not reported (describd as double blind)

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## Evidence Table 14. Quality assessment of trials in patients with behavioral and psychological symptoms of dementia

Author, year Country	Reporting of attrition, crossovers, adherence, and contamination?	Loss to follow-up: differential/high?	Intention-to-treat (ITT) analysis?	Post-randomization exclusions?	Quality Rating
Mulsant, 2004 US	Attrition yes (but not reported by group), others no.	Unable to determine	No (excluded 1 olanzapone patient with no postbaseline data)	No	Poor

#### External Validity

Author, year Country	Number screened/eligible/enrolled	Exclusion criteria	Run-in/Washout
Mulsant, 2004	NR/NR/86	Presence of delirium at the time of study entry as defined b	y 3-day washout
US		the Confusion Assessment Method, an inability to swallow	
		oral medication, a probable or definnite diagnosis of	
		psychotis prior to the onset of dementia, and an inability to	
		otherwise cooperate with the study procedures.	

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## Evidence Table 14. Quality assessment of trials in patients with behavioral and psychological symptoms of dementia

Author, year Country	Class naïve patients only?	Control group standard of care?	Funding
Mulsant, 2004	NR	Yes	Janssen

## Evidence Table 14. Quality assessment of trials in patients with behavioral and psychological symptoms of dementia

	Internal Validity						
Author, year Country	Randomization adequate?	Allocation concealment adequate?	Groups similar at baseline?	Eligibility criteria specified?	Outcome assessors masked?	Care provider masked?	Patient masked?
Active-control trials Chan, 2001 Hong Kong	Method not described	Not reported	More women in haloperidol group (83% vs 62%), otherwise similar	Yes	Yes	Not reported	Yes
De Deyn, 1999 Multiple European countries.	Yes	Not reported	Yes	Yes	Yes	Not reported	Yes

Author, year Country	Reporting of attrition, crossovers, adherence, and contamination?	Loss to follow-up: differential/high?	Intention-to-treat (ITT) analysis?	Post-randomization exclusions?	Quality Rating
Active-control trials Chan, 2001 Hong Kong	Attrition yes/others NR	No	No- 3/58 not analyzed (5%).	No	Fair
De Deyn, 1999 Multiple European countries.	Attrition and contamination yes/crossovers and adherence no.	Yes: 121/344 (35%) discontinued: 41% risperidone, 30% haloperidol, 35% placebo	Yes	No	Fair

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#### External Validity

Author, year Country	Number screened/eligible/enrolled	Exclusion criteria	Run-in/Washout
Active-control trials			
Chan, 2001 Hong Kong	Number screened, eligible not reported, 58 enrolled	Presumptive diagnosis of Lewy Body Dementia, other neurological or medical conditions which diminished cognitive function (e.g., hypothyroidism), other psychiatric disorders which might contribute to the psychotic symptoms (e.g., schizophrenia, delusional disorder), unstable medical conditions (e.g., poorly controlled hypertension, angina or diabetes), clinically relevant abnormal ECGs or laboratory tests, a history of allergic reaction to antipsychotic treatment or a history of Neuroleptic Malignant Syndrome.	
De Deyn, 1999 Multiple European countries.	Number screened not reported/371 eligible/344 enrolled (27 dropped out during washout)	Other conditions that diminish cognitive function; other psychiatric disorders; clinically relevant organic or neurologic disease; ECG or laboratory abnormalities; administration f a depot neuroleptic within one treatment cycle of Visit 1; history of allergic reaction to neuroleptics or history of neuroleptic malignant syndrome; participation in clinical trial(s) with investigational drugs during the 4 weeks preceding this trial.	1-week single-blind washout phase during which all psychotropic medications were discontinued.

## Evidence Table 14. Quality assessment of trials in patients with behavioral and psychological symptoms of dementia

Author, year Country	Class naïve patients only?	Control group standard of care?	Funding
Active-control trials			
Chan, 2001 Hong Kong	No	Yes	Sponsored by Janssen Research Foundation
De Deyn, 1999 Multiple European countries.	No	Yes	Supported in part by a grant from the Janssen Research Foundation.

Author, year Country	Internal Validity  Randomization adequate?	Allocation concealment adequate?	Groups similar at baseline?	Eligibility criteria specified?	Outcome assessors masked?	Care provider masked?	Patient masked?
Meehan, 2002 US, Russia, and Romania	NR	NR	Yes (but no details)	Yes	NR (described as double blind)	NR (described as double blind)	NR (described as double blind)
Suh, 2004 South Korea	Yes	Not reported	Yes	Yes	Yes	Yes	Yes
Tariot, 2004 (poster) US	Method not reported	Not reported	Differences in mean age between groups: quetiapine 81.92; haloperidol 83.55; placebo 83.93 (p=0.042 quetiapine vs haloperidol)	Yes	Yes	Not reported	Yes

Author, year Country	Reporting of attrition, crossovers, adherence, and contamination?	Loss to follow-up: differential/high?	Intention-to-treat (ITT) analysis?	Post-randomization exclusions?	Quality Rating
Meehan, 2002 US, Russia, and Romania	Attrition yes, others no.	No	Yes	No	Fair
Suh, 2004 South Korea	Attrition yes/others NR	No	No; 6/120 (5%) excluded from analysis.	No	Fair
Tariot, 2004 (poster) US	NR	High	Unclear	NR	Poor

#### External Validity

Author, year Country	Number screened/eligible/enrolled	Exclusion criteria	Run-in/Washout
Meehan, 2002 US, Russia, and Romania	331/NR/272	Patients excluded if they received benzodiazepines, antipsychotics, or anticholinergics within 4 hours prior to the first injection of study drug, if they received psychostimulants or reserpine within one week prior to study drug administration, or an injetable depot neuroleptic within less than one dosing interval of study initiation, if they had been diagnosed with any serious neurological condition other than Alzheimer's disease or vascular dementia that cold contribute to psychosis or dementia, if they had laboratory or ECG abnormalities with clinical implications for the patient's participation in the study, or if they were judged to be at serious risk of suicide.	None
Suh, 2004 South Korea	280 screened/#eligible not reported/120 enrolled.	Other conditions that diminish cognitive function (e.g., Lewy-body dementia, hypothyroidism), other psychiatric disorders that might contribute to the psychotic symptoms (e.g., schizophrenia, delusional disorder), clinically relevant organic or neurologic disease, unstable medical conditions (e.g., poorly controled hypertension, angina, or diabetes), abnormal electrocardiograms as diagnosed by a cardiologist or laboratory tests, a history of allergic reaction to antipsychotic treatment, and a history of neuroleptic malignant syndrome.	
Tariot, 2004 (poster) US	# screened, eligible not reported/284 enrolled	Not reported	No placebo run-in; antipsychotics discontinued >48 hours

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## Evidence Table 14. Quality assessment of trials in patients with behavioral and psychological symptoms of dementia

Author, year Country	Class naïve patients only?	Control group standard of care?	Funding
Meehan, 2002 US, Russia, and Romania	NR	Yes	Eli Lilly
Suh, 2004 South Korea	No	Yes	Financially supported by Janssen Korea, Seoul, Korea.
Tariot, 2004 (poster) US	Not reported	Unable to determine	Not reported; one author from AstraZeneca

Author, year Country	Internal Validity  Randomization adequate?	Allocation concealment adequate?	Groups similar at baseline?	Eligibility criteria specified?	Outcome assessors masked?	Care provider masked?	Patient masked?
Placebo-controlled trials Brodaty, 2003 Frank, 2004	Yes	Not reported	Yes, but baseline data reported only on included sites (excludes patients at 1 site with 32 patients excluded due to non-adherence with documentation procedures)	Yes	Yes	Not reported	Yes
De Deyn, 2004 Europe, Australia, Israel, Lebanon, and South Africa	Method not reported	Not reported	Yes	Yes	Yes	Not reported	Yes
Katz, 1999 US	Yes	Not reported	MMSE mean scores higher in risperidone 2 mg group than placebo; other differences not significant.	Yes	Yes	Not reported	Yes

Author, year Country	Reporting of attrition, crossovers, adherence, and contamination?	Loss to follow-up: differential/high?	Intention-to-treat (ITT) analysis?	Post-randomization exclusions?	Quality Rating
Placebo-controlled trials Brodaty, 2003 Frank, 2004	Attrition yes, others reported combined for each group.	Yes (27% risperidone vs 33% placebo)	No	Yes- all patients from one site (N=32) excluded due to non-adherence with documentation.	Fair
De Deyn, 2004 Europe, Australia, Israel, Lebanon, and South Africa	Attrition and adherence d yes/crossovers and contamination no.	No	No (results on 642 of 652 randomized)	Yes- 652 randomized, patient disposition reported for 649.	Fair
Katz, 1999 US	Attrition yes, others no.	No	No: results on 617/625 at endpoint, 435/625 at week 12.		Fair

#### External Validity

Author, year Country	Number screened/eligible/enrolled	Exclusion criteria	Run-in/Washout
Placebo-controlled trials			
Brodaty, 2003 Frank, 2004	Number screened not reported/384 eligible/345 enrolled	Medical or neurologic conditions other than dementia that diminish cognitive function, other types of dementia, major depression within the last 6 months, other psychiatric disorders that could have accounted for observed psychotic disturbances, a history of tardive dyskinesia, clinically uncontrolled organic disease, clinically relevant laboratory abnormalities, administration of a depot neuroleptic within 2 treatment cycles, a history of neuroleptic malignant syndrome or an allergic reaction to neuroleptic drugs, history of failure to respond to risperidone treatment of at least 4 weeks' duration, and participation in clinical trial(s) with any investigational drugs during the 4 weeks preceding selection.	Maximum 7-day single-blind placebo washout period during which existing psychotropic medication was discontinued.
De Deyn, 2004 Europe, Australia, Israel, Lebanon, and South Africa		Diagnosis of current primary mood disorder or other DSM-IV Axis I disorder with onset prior to diagnosis of Alzheimer's disease, including but not limited to schizophrenia, bipolar disorder, or delusional disorder.	Placebo run-in for up to maximum 14 days.
Katz, 1999 US	729 screened/625 eligible/625 enrolled	Untreated reversible causes of dementia, medical or neurological conditions that diminish cognition, diagnosis of dementia related to infection with HIV or substance-induced persistent dementia, diagnosis of delirium or amnestic disorder, and psychiatric diagnosis that could have accounted for the observed psychotic disturbances.	-

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## Evidence Table 14. Quality assessment of trials in patients with behavioral and psychological symptoms of dementia

Author, year Country	Class naïve patients only?	Control group standard of care?	Funding
Placebo-controlled trials			
Brodaty, 2003 Frank, 2004	No	Yes	Supported by Janssen-Cilag Australia and Johnson & Johnson; first author a consultant for Janssen and AstraZeneca; has received grant/research support and honoraria from Janssen, and serves on the speakers/advisory board for Janssen. Other authors have received support from Janssen, Lilly, Bristol-Myers. 2 authors employees of Johnson & Johnson.
De Deyn, 2004 Europe, Australia, Israel, Lebanon, and South Africa	No d	Yes	Sponsored by Eli Lilly and Company; corresponding author employed by Lilly Research Laboratories.
Katz, 1999 US	No	Yes	Supported by a grant from the Janssen Research Foundation.

## Evidence Table 14. Quality assessment of trials in patients with behavioral and psychological symptoms of dementia

	Internal Validity	Allocation			Outcome		
Author, year Country	Randomization adequate?	concealment adequate?	Groups similar at baseline?	Eligibility criteria specified?	assessors masked?	Care provider masked?	Patient masked?
Mintzer, 2006 US	Yes	Yes	No differences, but baseline characteristics reported only for analyzed population only (416/473 randomized)	Yes	Reported as double-blind, but not specified	Reported as double-blind, but I not specified	Reported as double-blind, but not specified
Street et al., 2000 US	Yes	Not reported	Yes	Yes	Yes	Not reported	Yes
Zhong et al, 2004 (poster) US	Method not reported	Not reported	Yes	Yes	Yes	Not reported	Yes

Author, year Country	Reporting of attrition, crossovers, adherence, and contamination?	Loss to follow-up: differential/high?	Intention-to-treat (ITT) analysis?	Post-randomization exclusions?	Quality Rating
Mintzer, 2006 US	Attrition and adherence yes, others no.	No (<1%)	No: efficacy analyses on 416/473 randomized patients (87.9%)	Yes, 57 patients excluded for non- compliance at site (7) or not psychotic at baseline (50)	Fair
Street et al., 2000 US	Attrition yes, others no.	No	Yes (6/206 not analyzed, able to calculate)	1 (placebo) did not receive intervention.	Good
Zhong et al, 2004 (poster) US	Attrition yes, others no	High	No	Yes	Poor

#### External Validity

Author, year Country	Number screened/eligible/enrolled	Exclusion criteria	Run-in/Washout
Mintzer, 2006 US	560/87/473	Patients excluded had recently been treated with neuroleptic injections, had other medical conditions that diminish cognition, or had other psychiatric disorders that produce psychotic sympotms. Patients with epilepsy, recent diagnoses or cancer (except nonmelanoma skin cancers), unstable medical conditios, changes in prescription medications 30 days before screening, or significant baseling laboratory or ECG abnormalities wer also excluded. Patients were withdrawn if their behavior worsened considerably, they withdrew consent, or their randomizaton code was broken.	Period reduced for patients not using psychotropic medications and for patietns whose psycohosis or agitation worsened.
Street et al., 2000 US	# screened not reported/288 eligible/206 enrolled	History of a DSM-IV Axis I disorder (e.g., schizophrenia, bipolar disorder, severe or recurrent depression), any neurological condition other than Alzheimer's disease that could contribute to psychosis or dementia, MMSE score of greater than 24, and bedridden status.	3- to 14-day single-blind placebo run-in; patients demonstrating a placebo response were not randomized.
Zhong et al, 2004 (poster) US	# screened, eligible not reported/333 enrolled	Not reported	Not reported

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Author, year Country	Class naïve patients only?	Control group standard of care?	Funding
Mintzer, 2006 US	NR	Yes	Johnson & Johnson
Street et al., 2000 US	No	Yes	Sponsored in part by Eli Lilly and Company; 11 of 13 authors employed by Lilly Research Laboratories; 10 authors are stockholders in Eli Lilly.
Zhong et al, 2004 (poster) US	Not reported	Unable to determine	Supported by AstraZeneca

Author, year Country Trial Name			Study design	
(Quality Score)	N	Duration	Setting	Eligibility criteria
Quetiapine vs haloperid	lol			
Tariot, 2004 (poster) US (POOR)	284	10 weeks	Double-blind, multicenter, 46 nursing homes	Men and women, age 65 and older, not bedridden, residing in nursing homes for at least 2 weeks; DSM-IV diagnosis of dementia or National Institute of Neurological and Communicative Disorders & Stroke-Alzheimer's Disease (NINCDS) diagnosis of Alzheimer's Disease; BPRS score 24 or higher, CGI-Severity score 4 or higher.

Author, year Country Trial Name (Quality Score)	Interventions (drug, dose)	Run-in/washout period	Allowed other medications/interventions
Quetiapine vs haloperido	I		
Tariot, 2004 (poster) US (POOR)	quetiapine vs haloperidol, flexible dosing, dose range/mean not reported.	No placebo run-in; antipsychotics discontinued for at	Psychotropics permitted: chloral hydrate, zolpidem, lorazepam for sleep/agitation; anti-EPS medication (but not prophylactically), cholinesterase inhibitors if stable dose for >6 weeks prior to entry.

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Author, year Country Trial Name (Quality Score)	Age Gender Ethnicity	Other population characteristics (diagnosis, etc)	Number screened/ eligible/enrolled	Number withdrawn/ lost to fu/analyzed
Quetiapine vs haloperio	dol			
Tariot, 2004 (poster) US (POOR)	Mean age 83.9 73% female 89% white, 8% black, 2% Hispanic, <1% Asian.	100% Alzheimer's dementia	# screened, eligible not reported/284 enrolled (subset of larger group of elderly patients with dementia, N=378)	102 withdrawn/1 lost to followup/# analyzed not clear

Author, year Country Trial Name (Quality Score)	Outcome measures	Method of outcome assessment and timing of assessment	Results
Quetiapine vs haloperidol			
Tariot, 2004 (poster) US (POOR)	BPRS- Total score, agitation factor subscale (tension, hostility, uncooperativeness, and excitement items) and anergia factor subscale (emotional withdrawal, motor retardation, blunted affect, disorientation) NPI-NH Agitation + Hallucinations + Delusions (NPI-3) MMSE Multidimensional Observation Scale for Elderly Subjects (MOSES) Physical Self-Maintenance Scale (PSMS)	Not reported	All drug treatment groups improved from baseline to LOCF on BPRS total score and on the NPI-3 (Data presented graphically only)  Quetiapine group had statistically significantly better functional status as assessed by the MOSES, PSMS, AND BPRS anergia factor compared with haloperidol (comparison to placebo not reported, data presented graphically only)  Quetiapine and haloperidol groups had significantly more improvement than placebo patients on the BPRS agitation subscale (change from baseline, quetiapine -2.4 [p=0.033], haloperidol -2.9 [p=0.001], placebo -1.1)  Quetiapine patients' scores on MMSE not significantly different from placebo; haloperidol results not reported.

Author, year Country Trial Name (Quality Score)	N	Duration	Study design Setting	Eligibility criteria
Risperidone vs halop	eridol			
Chan et al, 2001 Hong Kong (FAIR)	58	12 weeks	Double-blind, multicenter (3 centers)	Age 55 or older and met DSM-IV criteria for Dementia of Alzheimer's Type with behavioral disturbance, vascular dementia with behavioral disturbance or a combination of the two. Active behavioral symptoms, as evidenced by a frequency score of at least 4 on one and at least 3 on another item of the Cohen-Mansfield Agitation Inventory (CMAI). Symptoms present for at least 2 weeks. Score of at least 8 on Behavioral Pathology in Alzheimer's Disease Rating Scale (BEHAVE-AD).

Author, year Country Trial Name (Quality Score)	Interventions (drug, dose)	Run-in/washout period	Allowed other medications/interventions
Risperidone vs haloperidol			
Chan et al, 2001 Hong Kong (FAIR)	risperidone 0.5 mg vs haloperidol 0.5 mg to start. Titrated by increments of 0.5 mg no faster than every other day. Target dose 1 mg per day, could be stepped up to 2 mg per day if symptoms poorly controlled.	7- to 14-day washout during which all psychotropic and antiparkinsonian drugs were stopped.	Medications permitted not reported, but report patients taking benzodiazepines (4 haloperidol, 4 risperidone), chloral hydrate (1 risperidone), benzhexol (2 haloperidol), donepezil (1 haloperidol), and donepezil (1 haloperidol).

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Author, year Country	Age	Other population		
Trial Name	Gender	characteristics	Number screened/	Number withdrawn/
(Quality Score)	Ethnicity	(diagnosis, etc)	eligible/enrolled	lost to fu/analyzed
Risperidone vs halop	peridol			
Chan et al, 2001	Mean 80.5 (sd 8.2)	79% Alzheimer's dementia,	Number screened, eligible not	3 withdrew (1
Hong Kong	72% female	21% vascular dementia	reported, 58 enrolled	haloperidol, 2
(FAIR)	100% Chinese			risperidone), 55 analyzed

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Author, year Country Trial Name (Quality Score)	Outcome measures	Method of outcome assessment and timing of assessment	Results
Risperidone vs haloperidol			
Chan et al, 2001 Hong Kong (FAIR)	CMAI total score, BEHAVE-AD subscale scores, Functional Assessment Staging Rating Scale (FAST), Cantonese version of Mini-Mental State Examination (CMMSE).	Baseline, weeks 4, 8, and 12. Additional CMAI ratings at weeks 2, 6, and 10.	Mean change from baseline to endpoint, risperidone vs haloperidol CMAI total: -8.1 vs -10 (p=0.95) BEHAVE-AD (Psychosis): -1.1 vs -0.6 (p=0.91) BEHAVE-AD (Activity disturbances): -0.8 vs -0.7 (p=0.16) BEHAVE-AD (Aggressiveness): -1.3 vs -1.3 (p=0.56) BEHAVE-AD (Diurnal rhythm disturbances): -0.4 vs -0.3 (p=0.36) BEHAVE-AD (Affective disturbances): -0.2 vs 0 (p=0.11) BEHAVE-AD (Anxieties and phobia): 0 vs -0.1 (p=0.19)

Author, year Country Trial Name (Quality Score)	N	Duration	Study design Setting	Eligibility criteria
De Deyn et al, 1999 Multiple European countries (FAIR) Engelborghs (subanalysis)	344	12 weeks	Double-blind, placebo- controlled, multicenter	Age 55 or older, institutionalized, diagnosis of primary degenerative dementia of the Alzheimer's type, vascular dementia, or mixed dementia according to the DSM-IV. Scores of 4 or greater on Functional Assessment Staging (FAST); 23 or greater on Mini-Mental Status Examination (MMSE); 1 or greater on the BEHAVE-AD global rating; and 8 or greater on the BEHAVE-AD total score.

Author, year Country Trial Name (Quality Score)	Interventions (drug, dose)	Run-in/washout period	Allowed other medications/interventions
De Deyn et al, 1999 Multiple European countries (FAIR) Engelborghs (subanalysis)	risperidone 0.5 mg vs haloperidol 0.5 mg to start. Titrated by increments of 0.5 mg every 4 days if indicated, to 2 mg. Could be increased up to 4 mg per day if symptoms poorly controlled and no EPS.	1-week single-blind washout phase during which all psychotropic medications were discontinued.	Use of antipsychotics, antidepressants, lithium, carbamazepine, and valproic acid not permitted. Lorazepam permitted if limited to 4 days per week for the first 4 weeks of treatment. If needed beyond week 4, patient discontinued from study.

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# Evidence Table 15. Active-control trials in patients with behavioral and psychological symptoms of dementia

Author, year Country Trial Name (Quality Score)	Age Gender Ethnicity	Other population characteristics (diagnosis, etc)	Number screened/ eligible/enrolled	Number withdrawn/ lost to fu/analyzed
De Deyn et al, 1999	Mean 81 (range 56-97)	74% Alzheimer's dementia,	Number screened not	344 analyzed
Multiple European countries	56% female	33% Vascular Dementia	reported/371 eligible/344	
(FAIR)	99% white, <1% black,	(7% had both diagnoses)	enrolled (27 dropped out during	
Engelborghs (subanalysis)	<1% Asian		washout)	

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Author, year Country Trial Name (Quality Score)	Outcome measures	Method of outcome assessment and timing of assessment	Results
De Deyn et al, 1999 Multiple European countries (FAIR) Engelborghs (subanalysis)	BEHAVE-AD, Cohen-Mansfield Agitation Inventory (CMAI), and Clinical Global Impression (CGI)	Evaluations at selection, baseline, weeks 1, 2, 4, 6, 8, 10, 12.	Mean change from baseline to endpoint, risperidone vs haloperidol vs placebo BEHAVE-AD (Total): -5.2 vs -6.6 vs -4.2 BEHAVE-AD (Aggressiveness): -1.7 vs -1.6 vs -0.8 CMAI (Total aggressive): -3.9 vs -3.3 vs -1.6 CMAI (Physical aggressive): -2.7 vs -2.3 vs -0.7 CMAI (Verbal aggressive): -1.2 vs -1.0 vs -0.8 (No significant differences between risperidone and haloperidol)  Mean change from baseline to week 12, risperidone vs haloperidol vs placebo BEHAVE-AD (Total): -8.6 vs -7.5 vs -6.2 (p NS for risperidone vs haloperidol) BEHAVE-AD (Aggressiveness): -2.9 vs -1.8 vs -1.5 (p=0.05 for risperidone vs haloperidol; post hoc analysis) CMAI (Total aggressive): -8.3 vs -3.6 vs -4.9 (p=0.02 for risperidone vs haloperidol; post hoc analysis) CMAI (Physical aggressive): -5.9 vs -2.8 vs -3.5 (p NS for risperidone vs haloperidol) CMAI (Verbal aggressive): -2.5 vs -0.8 vs -1.4 (p=0.01 for risperidone vs haloperidol; post hoc analysis)

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# Evidence Table 15. Active-control trials in patients with behavioral and psychological symptoms of dementia

Author, year Country Trial Name (Quality Score)	N	Duration	Study design Setting	Eligibility criteria
Suh et al, 2004 South Korea (FAIR)	120	18 weeks (1 week washout, 8 weeks active treatment, 1 week washout, 8 weeks crossover treatment)	Double-blind, crossover, single center	Age 65 or older, diagnosis of dementia of the Alzheimer's type with behavioral disturbance, vascular dementia with behavioral disturbance, or a combination of the two, according to DSM-IV criteria. Score of 4 or higher on the Functional Assessment Staging Test, a total score of 8 or higher on the Korean version of the BEHAVE-AD, and a score of more than 3 on any two items of the Korean version of the CMAI.

Author, year Country Trial Name (Quality Score)	Interventions (drug, dose)	Run-in/washout period	Allowed other medications/interventions
Suh et al, 2004 South Korea (FAIR)	risperidone or haloperidol 0.5 mg to 1.5 mg (target dose was 1 mg). Dose could be titrated up or down; dosing regimen and intervals between dose titrations were individualized for each patient.	1-week washout period during which all psychotropic medications were discontinued.	Concomitant use of antipsychotic drugs, antidepressants, and mood stabilizers was not permitted. Lorazepam permitted if limited to 4 days/week for the first 4 weeks of treatment.

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# Evidence Table 15. Active-control trials in patients with behavioral and psychological symptoms of dementia

Author, year Country Trial Name (Quality Score)	Age Gender Ethnicity	Other population characteristics (diagnosis, etc)	Number screened/ eligible/enrolled	Number withdrawn/ lost to fu/analyzed
Suh et al, 2004	Mean age 80.9 (SD 8.2,	65.8% Alzheimer's dementia	280 screened/# eligible not	6 withdrawn/0 lost to
South Korea	range 65-97)	28.3% vascular dementia	reported/120 enrolled	followup/114 analyzed
(FAIR)	80% female	5.8% mixed		
	Ethnicity not reported (trial			
	conducted in South Korea)			

Author, year Country Trial Name (Quality Score)	Outcome measures	Method of outcome assessment and timing of assessment	Results
Suh et al, 2004	BEHAVE-AD-K, CMAI-K, AND CGI-	Patients assessed weekly	Mean change from baseline to endpoint, risperidone vs
South Korea	С	during the first 4 weeks and	haloperidol
(FAIR)		then every 2 weeks (twice)	BEHAVE-AD-K (Total)
		until the end of the final (8th	- 7.2 vs - 4.7 (p=0.004)
		week)	BEHAVE-AD-K (Psychosis)
			- 3.7 vs - 2.0 (p=0.582)
			BEHAVE-AD-K (Activity Disturbances)
			- 1.1 vs - 0.8 (p=0.858)
			BEHAVE-AD-K (Aggressiveness)
			- 1.1 vs - 0.9 (p=0.002)
			BEHAVE-AD-K (Diurnal Rhythm Disturbances)
			- 0.5 vs - 0.2 (p=0.038)
			BEHAVE-AD-K (Affective Disturbance)
			- 0.5 vs - 0.2 (p=0.248)
			BEHAVE-AD-K (Anxieties and Phobias)
			-0.3  vs + 0.1  (p<0.0001)
			CMAI-K (Total)
			- 14.2 vs - 5.9 (p<0.0001)
			CMAI-K (Aggressive Behavior)
			- 4.0 vs - 3.3 (p=0.001)
			CMAI-K (Physical Non-Aggressive Behavior)
			- 2.4 vs - 1.0 (p=0.024)
			CMAI-K (Verbally Agitated Behavior)
			- 1.1 vs - 0.5 (p=0.002)
			CGI-C
			-0.1  vs + 0.2  (p=0.001)

Author, year Country					
Trial Name			Study Design		Interventions (drug,
(Quality Score)	N	Duration	Setting	Eligibility criteria	dose, duration)
Trials of Olanzapine					
Street., 2000	206	6 weeks	Double-blind,	Elderly nursing care facility residents, who met the National	olanzapine 5 mg, 10
US			multicenter	Institute of Neurological and Communicative Disorders and	mg, or 15 mg
(GOOD)				Stroke-Alzheimer's Disease and Related Disorders	
Kennedy, 2001				Association criteria for possible or probable Alzheimer's	
(subanalysis)				Disease. Score of 3 or higher on any of the	
Street 2001 (one-year				Agitation/Aggression, Hallucinations, or Delusions items of	
followup)				the Neuropsychiatric Inventory- Nursing Home version (NH-	
				NH) at screening and following placebo lead-in.	

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Author, year Country Trial Name (Quality Score)	Run-in/washout period	Allowed other medications/ interventions	Age Gender Ethnicity	Other population characteristics (diagnosis, etc)	Number screened/ eligible/enrolled	Number withdrawn/ lost to fu/analyzed
Trials of Olanzapine						
Street., 2000 US (GOOD) Kennedy, 2001 (subanalysis) Street 2001 (one-year followup)	3- to 14-day single- blind placebo run-in; patients demonstrating a placebo response were not randomized.	Benzodiazepines allowed as rescue medication but could not exceed 4 mg/day of lorazepam equivalents for a total of 21 days during the active treatment.	Mean age 83 years	Alzheimer's Disease	# screened not reported/288 eligible/206 enrolled	54 withdrawn/5 lost to followup/200 analyzed

Author, year Country Trial Name (Quality Score)	Outcome scales	Method of Outcome Assessment and Timing of Assessment
Trials of Olanzapine		
Street., 2000 US (GOOD) Kennedy, 2001 (subanalysis) Street 2001 (one-year	Primary outcome measure: Neuropsychiatric Inventory-Nursing Home version (NH-NH) item scores for the core symptoms: Agitation/Aggression, Hallucinations, and Delusions.	Assessments conducted at the nursing facility by neurologists, psychiatrists, geriatricians, psychometrists, nurses, and other medical specialists trained before study initiation.  At screening, baseline, and end of study.
followup)	Secondary measures: NH/NH Total, Hallucinations and Delusions total (Psychosis Total), individual items, Occupational Disruptiveness score derived from the Agitation/Aggression, Hallucinations, and Delusions items (Core Disruptiveness), Brief Psychiatric Rating Scale total and subscale, MMSE	

Author, year Country Trial Name

(Quality Score) Results Results

#### Trials of Olanzapine

Street., 2000 Mean change from baseline, Olanzapine vs placebo (p vs placebo):

US NPI/NH (Core Total)

(GOOD) 5 mg -7.6 (p<0.001); 10 mg -6.1 (p=0.006); 15 mg -4.9 (p=0.24); placebo -3.7

Kennedy, 2001 NPI/NH (Occupational Disruptiveness)

(subanalysis) 5 mg -2.7 (p=0.008); 10 mg -2.1 (p=0.28); 15 mg -2.3 (p=0.14); placebo -1.5

Street 2001 (one-year **NPI/NH (Agitation/Aggression)** 

followup) 5 mg -4.1 (p=0.01); 10 mg -3.9 (p=0.02); 15 mg -3.1 (p=0.60); placebo -2.1

NPI/NH (Psychosis Total)

5 mg -3.6 (p=0.001); 10 mg -2.2 (p=0.04); 15 mg -1.9 (p=0.20); placebo -1.6

**NPI/NH (Hallucinations)** 

5 mg -0.7 (p=0.007); 10 mg -0.2 (p=0.05); 15 mg -0.7 (p=0.10); placebo 0.0

**NPI/NH (Delusions)** 

5 mg -2.9 (p=0.01); 10 mg -2.0 (p=0.15); 15 mg -1.3 (p=0.64); placebo -1.6

NPI/NH (Depression/Dysphoria)

5 mg -2.0 (p=0.28); 10 mg -0.6 (p>0.99); 15 mg -0.2 (p=0.32); placebo -1.0

NPI/NH (Total)

5 mg -18.7 (p=0.005); 10 mg -14.0 (p=0.09); 15 mg -9.7 (p=0.83); placebo -10.4

**BPRS** (Total)

5 mg -6.8 (p=0.005); 10 mg -5.6 (p=0.06); 15 mg -4.0 (p=0.13); placebo -1.4

**BPRS** (Positive subscale)

5 mg -2.0 (p=0.05); 10 mg -1.4 (p=0.40); 15 mg -1.4 (p=0.15); placebo -0.4

**BPRS** (Anxiety/Depression subscale)

5 mg -1.3 (p=0.04); 10 mg -1.5 (p=0.02); 15 mg -0.6 (p=0.29); placebo 0.1

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Author, year Country

Trial Name (Quality Score)

Results

Results

#### Trials of Olanzapine

Street., 2000

US

(GOOD)

Kennedy, 2001

(subanalysis)

Street 2001 (one-year

followup)

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# Evidence Table 16. Placebo-controlled trials in patients with behavioral and psychological symptoms of dementia

Author, year Country Trial Name (Quality Score)	N	Duration	Study Design Setting	Eligibility criteria	Interventions (drug, dose, duration)
de Deyn, 2004 Europe, Australia, Israel, Lebanon, and South Africa (FAIR)	652	10 weeks	Double-blind, multicenter	Age 40 or older, resided in long-term nursing homes or continuing-care hospitals, and expected to continue patient status for 6 months following enrollment. Met NINCDS-ADRDA and DSM-IV -TR criteria for possible or probable Alzheimer's Disease, and exhibited clinically significant psychotic symptoms (delusions or hallucinations) that were (1) at least moderate in severity (i.e., impair functional capacity or cause them to pose a threat to themselves) at study entry and randomization; (2) present at least once per week for the month preceding study entry; and (3) require pharmacological intervention, in the opinion of the investigator. Minimum score of 5 on MMSE at Visit 1 and Visit 2.	olanzapine 1 mg, 2.5 mg, 5 mg, 7.5 mg, or placebo 10 weeks, fixed dose. Those assigned to 5 mg or 7.5 mg began at 2.5 mg and titrated to y final dose by 2.5 mg per week increments.

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Author, year Country Trial Name (Quality Score)	Run-in/washout period	Allowed other medications/ interventions	Age Gender Ethnicity	Other population characteristics (diagnosis, etc)	Number screened/ eligible/enrolled	Number withdrawn/ lost to fu/analyzed
de Deyn, 2004 Europe, Australia, Israel, Lebanon, and South Africa (FAIR)	Placebo run-in for up to maximum 14 days.	Medications with primarily central nervous system activity were disallowed, except for the stable use of antidepressants, benzodiazepines, and acetylcholinesterase inhibitors. Use of anticholinergics for control of EPS was exclusionary. Limited use of benzodiazepines or hypnotics permitted with restrictions as a rescue medication to chronic users up to 4 mg/day	75% female 99.7% white	Mean baseline MMSE score 13.7 (sd 5.1); mean baseline NIP/NH Psychosis Total score 9.7 (sd 4.9)	Number screened, eligible not reported/652 enrolled	184 withdrawn/lost to followup not reported/642 analyzed

Author, year		
Country		
Trial Name		Method of Outcome Assessment and Timing of
(Quality Score)	Outcome scales	Assessment
de Deyn, 2004	NH-NH Total	Responses obtained by a trained interviewer from
Europe, Australia, Israel,	NH-NH Psychosis	professional caregivers involved in the ongoing care of
Lebanon, and South	CGI-C	the patient in the previous week. Assessments weekly
Africa		for the first 2 weeks of treatment and biweekly
(FAIR)		thereafter.

Author, year Country Trial Name (Quality Score)	Results	Results
de Deyn, 2004 Europe, Australia, Israel, Lebanon, and South Africa (FAIR)	Mean change from baseline, Olanzapine vs placebo (p vs placebo):: NPI/NH (Total)  1 mg -14.8 (p=0.547); 2.5 mg -15.7 (p=0.121); 5 mg -16.3 (p=0.199); 7.5 mg -17.7 (p=0.003); placebo -13.7 NPI/NH (Psychosis Total)  1 mg -6.0 (p<0.171); 2.5 mg -5.8 (p=0.089); 5 mg -5.6 (p=0.274); 7.5 mg -6.2 (p=0.032); placebo -5.0 NPI/NH (Agitation/Aggression)  1 mg -1.7 (p<0.039); 2.5 mg -1.7 (p=0.046); 5 mg -1.6 (p=0.70); 7.5 mg -2.0 (p=0.2002); placebo -1.3 NPI/NH (Anxiety)  1 mg -1.4 (p<0.658); 2.5 mg -1.5 (p=0.167); 5 mg -1.8 (p=0.43); 7.5 mg -1.7 (p=0.019); placebo -1.0 NPI/NH (Apathy/Indifference)  1 mg -1.0 (p<0.492); 2.5 mg -0.8 (p=0.174); 5 mg -0.8 (p=0.043); 7.5 mg -0.9 (p=0.612); placebo -1.1 NPI/NH (Delusions)  1 mg -4.3 (p<0.140); 2.5 mg -4.0 (p=0.071); 5 mg -4.2 (p=0.169); 7.5 mg -4.4 (p=0.002); placebo -3.6 NPI/NH (Euphoria/Elation)  1 mg -0.2 (p<0.391); 2.5 mg -0.3 (p=0.174); 5 mg -0.3 (p=0.43); 7.5 mg -0.5 (p=0.612); placebo -0.1	NPI/NH (Hallucinations)  1 mg -1.7 (p<0.150); 2.5 mg -1.8 (p=0.173); 5 mg -1.4 (p=0.852); 7.5 mg -1.7 (p=0.258); placebo -1.4 NPI/NH (Irritability/Lability)  1 mg -1.3 (p<0.154); 2.5 mg -1.3 (p=0.058); 5 mg -1.5 (p=0.007); 7.5 mg -1.6 (p=0.045); placebo -1.1 BPRS (Total)  1 mg -6.3 (p<0.405); 2.5 mg -8.7 (p=0.399); 5 mg -6.4 (p=0507); 7.5 mg -9.5 (p=0.23); placebo -6.9 BPRS (Negative)  1 mg -0.8 (p<0.342); 2.5 mg -0.9 (p=0.417); 5 mg -0.5 (p=0.122); 7.5 mg -0.5 (p=0.171); placebo -0.9 BPRS (Positive)  1 mg -2.8 (p<0.717); 2.5 mg -3.3 (p=0.167); 5 mg -2.6 (p=0.900); 7.5 mg -3.7 (p=0.21); placebo -2.7 CGI  1 mg -3.1 (p<0.524); 2.5 mg -2.8 (p=0.030); 5 mg -2.9 (p=0.312); 7.5 mg -3.0 (p=0.2341); placebo -3.2

Author, year Country Trial Name (Quality Score)

Results Results

de Deyn, 2004 Europe, Australia, Israel, Lebanon, and South Africa (FAIR)

Country Trial Name (Quality Score)	N	Duration	Study Design Setting	Eligibility criteria	Interventions (drug, dose, duration)
Trial of Quetiapine					
Zhong, 2004 (poster) US (POOR)	333	10 weeks	Double-blind, multicenter	Diagnosis of dementia consistent with probable or possible Alzheimer's Disease (DSM-IV or NINCDS-ADRDA), vascular dementia (DSM-IV), or mixed dementia (DSM-IV) and clinical symptoms of agitation (Cohen-Mansfiled and Billig criteria) requiring treatment of antipsychotic medication in addition to behavioral intervention; Positive and Negative Syndrome Scale- Excitement Component (PANSS-EC) total score >14, one of the five items >4; residents in nursing homes or assisted living facilities >14 days.	quetiapine 200 mg, quetiapine 100 mg or placebo.

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Author, year Country Trial Name (Quality Score)	Run-in/washout period	Allowed other medications/ interventions	Age Gender Ethnicity	Other population characteristics (diagnosis, etc)	Number screened/ eligible/enrolled	Number withdrawn/ lost to fu/analyzed
Trial of Quetiapine						
Zhong, 2004 (poster) US (POOR)	Not reported	Permitted antidepressants, hypnotics, benzodiazepines, cholinesterase inhibitors on a stable dose; hypnotics for insomnia; and lorazepam <4 mg per day or equivalent for agitation up to day 14 as needed.	7.5) 74% female 85% white	81% Alzheimer's dementia 9% vascular dementia 10% mixed dementia	Number screened, eligible not reported/ 333 enrolled	114 withdrawn/lost to followup not reported/# analyzed not clear

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Author, year Country Trial Name (Quality Score)	Outcome scales	Method of Outcome Assessment and Timing of Assessment
Trial of Quetiapine Zhong, 2004 (poster) US (POOR)	PANSS-EC (Excitement Component) CGI-C	Not reported

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Author, year Country Trial Name

(Quality Score) Results Results

Trial of Quetiapine

Zhong, 2004 (poster)

Data presented graphically only.

US

(POOR)

Quetiapine 200 mg significantly greater reduction in PANSS-EC compared to placebo

in OC analysis (p<0.05).

Improvement in PANSS-EC score in LOCF analysis p=0.065

Quetiapine 100 mg results not reported.

Subgroup of patients with Alzheimer's dementia (N=260)

Quetiapine 200 mg significantly greater reduction in PANSS-EC compared to placebo

(p<0.01) in both OC and LOCF analyses. Quetiapine 100 mg results not reported.

Quetiapine 200 mg significant improvement on CGI-C scores compared with placebo

in both the OC and LOCF analyses (p<0.05). Quetiapine 100 mg results not reported.

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Author, year Country Trial Name (Quality Score)

Results Results

#### Trial of Quetiapine

Zhong, 2004 (poster) US (POOR)

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# Evidence Table 16. Placebo-controlled trials in patients with behavioral and psychological symptoms of dementia

Author, year Country Trial Name (Quality Score)	N	Duration	Study Design Setting	Eligibility criteria	Interventions (drug, dose, duration)
Trials of Risperidone					
Brodaty, 2003 Frank, 2004 Australia and New Zealand (FAIR)	309	12 weeks	Double-blind, multicenter	Diagnosis of dementia with aggressive behaviors; dementia was of the Alzheimer's type, vascular dementia, or a combination of the two, according to DSM-IV. Age 55 or older, score of 4 or greater on FAST, and 23 or less on MMSE; at least a minimum aggression score on CMAI; residing in a nursing home for at least 1 month prior to enrollment.	risperidone oral solution 1 mg/mL, or placebo solution. Started with 0.5 mL. In case of insufficient response, dosage adjusted by increments of .5 mL no faster than every other day. Dosing was flexible throughout treatment period according to patient response and investigator judgment. Maximum dose 2 mL daily, corresponding to 2 mg risperidone.

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Author, year Country Trial Name (Quality Score)	Run-in/washout period	Allowed other medications/ interventions	Age Gender Ethnicity	Other population characteristics (diagnosis, etc)	Number screened/ eligible/enrolled	Number withdrawn/ lost to fu/analyzed
Trials of Risperidor	ne					
Brodaty, 2003	Maximum 7-day single	e Short-acting	Mean age 83 (se	58% Alzheimer's	Number screened not	101 withdrawn/lost to
Frank, 2004	blind placebo washout	benzodiazepines allowed	0.58)	dementia	reported/384	followup not
Australia and New	period during which	for treatment of insomnia,	, 72% female	28% vascular dementia	eligible/345 enrolled	reported/304 analyzed
Zealand	existing psychotroppic	provided the dosage had	Ethnicity not	13% mixed dementia		
(FAIR)	medication was	been stable for at least 3	reported			
	discontinued.	months.				

Author, year Country Trial Name (Quality Score)	Outcome scales	Method of Outcome Assessment and Timing of Assessment
	no	
Trials of Risperido		
Brodaty, 2003	CMAI total agression subscale	CMAI and BEHAVE-AD at selection, baseline, and
Frank, 2004	BEHAVE-AD	weeks 4 and 8, and endpoint (either week 12 or patients'
Australia and New	CGI-S	last visit); nurses responsible for daily care of patients
Zealand	CGI-C	were interviewed by an experienced and trained research
(FAIR)	MMSE	nurse who subsequently rated the scales.
	FAST	CGI-S and CGI-C evaluated at selection, baseline,
		weeks 1, 2, 3, 4, and 8 and endpoint by speicifcally
	Secondary analysis:	trained raters and patients' primary caregivers.
	Modified Strain in Nursing Care	FAST and MMSE assessed at selection and week 12 (or
	Assessment Scale (M-NCAS)	last visit)
		M-NCAS completed by the nurse carer of individual residents at baseline, 4 weeks, 8 weeks, and 12 weeks.

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Author, year

-7.3 vs -2.8 (p=0.002)

-4.3 vs -2.5 (p=0.71)

-3.0 vs -0.3 (p<0.001)

CMAI (Physical non-aggression)

CMAI (Verbal non-aggression)

-0.6 vs -0.0 (p=0.010)

-0.8 vs -0.4 (p=0.067)

-2.0 vs -0.5 (p<0.001)

-0.3 vs -0.2 (p=0.098)

BEHAVE-AD (Activity disturbancees)

BEHAVE-AD (Diurnal rhythm disturbances)

BEHAVE-AD (Aggressiveness)

#### Evidence Table 16. Placebo-controlled trials in patients with behavioral and psychological symptoms of dementia

Country Trial Name			
(Quality Score)	Results	Results	
Trials of Risperidor	ne		
Brodaty, 2003	Mean change from baseline, risperidone vs placebo	BEHAVE-AD (Total)	
Frank, 2004	CMAI (Total aggression)	-6.8 vs -2.3 (p<0.001)	
Australia and New	-7.5 vs -3.1 (p<0.001)	BEHAVE-AD (Psychotic symptom subtotal)	
Zealand	CMAI (Physical aggression)	-2.0 vs -0.7 (p=0.004)	
(FAIR)	-5.4 vs -2.8 (p=0.008)	BEHAVE-AD (Paranoid and delusional	
	CMAI (Verbal aggression)	ideation)	
	-2.1 vs -0.2 (p<0.001)	-1.4 vs -0.7 (p=0.015)	
	CMAI (Total non-aggression)	BEHAVE-AD (Hallucinations)	

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Author, year

# Evidence Table 16. Placebo-controlled trials in patients with behavioral and psychological symptoms of dementia

Country Trial Name	Post to	<b>5</b>
(Quality Score)	Results	Results
Trials of Risperidone		
Brodaty, 2003 Frank, 2004 Australia and New Zealand (FAIR)	BEHAVE-AD (Affective disturbance) -0.5 vs -0.2 (p=0.034) BEHAVE-AD (Anxiety and phobias) -1.1 vs -0.4 (p=0.004) BEHAVE-AD (Affective disturbance) 0.5 vs -0.2 (p=0.034) BEHAVE-AD (Anxiety and phobias) 1.1 vs -0.4 (p=0.004)	M-NCAS mean change from baseline to endpoint (analysis on subgroup of 279 patients): Risperidone vs placebo Attention seeking: 0.24 vs 0.09 (p<0.05) Autonomy: 0.09 vs 0.07 (NS) Difficulty: 0.34 vs 0.17 (p<0.05) Total Attitude Domain: 0.24 vs 0.12 (p<0.05)
		Affect: 0.26 vs 0.10 (NS) Job satisfaction: 0.26 vs 0.09 (p<0.05) Neediness: 0.25 vs 0.07 (p<0.05) Predictability: 0.30 vs 0.22 (NS) Self direction: 0.19 vs 0.11 (NS)

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Total Strain Domain: 0.25 vs 0.12 (p<0.05)

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# Evidence Table 16. Placebo-controlled trials in patients with behavioral and psychological symptoms of dementia

Author, year Country Trial Name (Quality Score)	N	Duration	Study Design Setting	Eligibility criteria	Interventions (drug, dose, duration)
Katz, 1999 US (FAIR) Katz, 2004 (subanalysis) Grossman, 2004 (subanalysis)	625	12 weeks	Double-blind, multicenter	Age 55 or older, residing in a nursing home or chronic diseas hospital, DSM-IV diagnosis of Alzheimer's disease, vascular dementia, or a combination of the two, with scores of 4 or greater on the Functional Assessment Staging rating scale and 23 or lower on the MMSE. Total score of 8 or more and a global rating of 1 or more on BEHAVE-AD rating scale.	mg, or 2 mg per day.  Doses for patients

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Author, year Country Trial Name (Quality Score)	Run-in/washout period	Allowed other medications/ interventions	Age Gender Ethnicity	Other population characteristics (diagnosis, etc)	Number screened/ eligible/enrolled	Number withdrawn/ lost to fu/analyzed
Katz, 1999 US (FAIR) Katz, 2004 (subanalysis) Grossman, 2004 (subanalysis)	Single-blind placebo washout of 3 to 7 days.	Use of antipsychotics, antidepressants, or mood stabilizers not allowed. Benztropine allowed to treat EPS. Lorazepam (up to 3 mg/day for up to 4 days in any 7-day period) could be given until the end of week 4. Use of chloral hydrate for insomnia was allowed at the lowest effective dose.	Mean age 82.7 (sd 7.7) 68% female 89% white, 11% multiracial	73% Alzheimer's dementia 16% vascular dementia 12% mixed	729 screened/625 eligible/625 enrolled	190/NR/617 analyzed

Author, year Country Trial Name (Quality Score)	Outcome scales	Method of Outcome Assessment and Timing of Assessment	
Katz, 1999 US (FAIR)	BEHAVE-AD, CMAI, CGI	Assessments at selection, baseline, and weeks 1-4, 6, 8, 10, and 12 (or when patient was terminated from treatment).	
Katz, 2004 (subanalysis)		Elicited from patients' primary caregivers by specifically	
Grossman, 2004		trained raters.	
(subanalysis)			

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# Evidence Table 16. Placebo-controlled trials in patients with behavioral and psychological symptoms of dementia

Auth	or,	year
Cour	ntry	,
Trial	Na	me

Trial Name		
(Quality Score)	Results	Results
V-4- 1000	Many shares from hoseling to and sint visus videos or already (a see already).	
Katz, 1999	Mean change from baseline to endpoint, risperidone vs placebo (p vs placebo):	
US	BEHAVE-AD (Total)	
(FAIR)	0.5 mg -4.8 (p.37); 1 mg -6.5 (p=0.002); 2 mg -6.4(p=0.001); placebo -4.2	
Katz, 2004 (subanalysis)	BEHAVE-AD (Psychosis subscale)	
Grossman, 2004	0.5 mg -1.6 (p=0.68); 1 mg -2.5 (p=0.005); 2 mg -2.2 (p=0.01); placebo -1.5	
(subanalysis)	BEHAVE-AD (Aggressiveness subscale)	
	0.5 mg -1.3 (p=0.11); 1 mg -1.7 (p=0.002); 2 mg -2.4 (p<0.001); placebo -0.9	

#### Evidence Table 16. Placebo-controlled trials in patients with behavioral and psychological symptoms of dementia

Author, year Country Trial Name

(Quality Score) Results Results

Katz, 1999

US

(FAIR)

Katz, 2004 (subanalysis)

Grossman, 2004

(subanalysis)

Study, year	Interventions (Mean daily dose, range)	N	Duration	Method of adverse effects assessment?	Overall withdrawals	Withdrawals due to adverse events
Head-to-head trials						
Deberdt, 2005	risperidone 1.0 mg olanzapine 5.2 mg	494	10 weeks	Safety assessed from spontaneous reports of treatment-emergent adverse events, usign the Coding Symbols for a Thesaurus of Adverse Reaction Tems (CoSTART) dictionary, and from vital signs, ECG, analysis of laboratory tests and MMSE changes. Motor symptoms were meausured with the Simpson-Angus Scale, the Barnes Akathisia Scale, and the AIMS	31.1% risperidone, 37.7% olanzapine, 20.2% placebo	No reported by group. Overall, most common AEs leading to withdrawal were agitation (n=6), psychotic symptoms, (N=6), somnolence (N=5), and accidental injury (N=5)
Ellingrod, 2002	risperidone (range 0.25-3 mg) vs olanzapine (range 2.5 -15 mg) mean daily dose not reported	19	2 months	AIMS, Simpson-Angus Extrapyramidal Symptom Scale, Barnes Akathasia Scale	NR s	NR

Study, year	Extrapyramidal symptoms	Cerebrovascular events	Other adverse effects reported
Head-to-head trials Deberdt, 2005	On Simpson-Angus Scale, both groups increased more than placebo; greater increase in risperidone patients (+0.9 olanzapine vs +1.6 risperidone, p=0.02). No changes on AIMS or Barnes.	<u>*</u>	Olanzapine vs risperidone vs placebo Mortality: 2.9% vs 2.0% vs 1.1% (NS) Falls: 11.3% vs 9.2% vs 6.4% (NS) Pneumonia: 2.0% vs 0% vs 2.1% (NS) Both active treatments associated with significantly higher incidences of somnolence, urinary incontinence, and hostility relative to placebo.
Ellingrod, 2002	Change from baseline on AIMS at endpoint, risperidone vs olanzapine: -0.18 vs 0.375 (p=0.32) Change from baseline on Simpson-Angus at endpoint, risperidone vs olanzapine: 3.0 vs 3.25 (p=0.93)	None reported	None

Study, year	Interventions (Mean daily dose, range)	N	Duration	Method of adverse effects assessment?	Overall withdrawals	Withdrawals due to adverse events
Fontaine, 2003	risperidone (1.5 mg, range 0.5-2 mg) vs olanzapine (6.7 mg, range 2.5-10 mg)	39	2 weeks	AIMS, Simpson-Angus Extrapyramidal Symptoms Scale, Barnes Akathasia Scale	20% olanzapine, 11% s risperidone.	4 olanzapine (1 rash + elevated blood pressure, pulse, white blood cell count and temperature; 2 unsteady gait or falls; 1 diaphoresis, fainting, and asystole) vs 0 risperidone.
Gareri, 2004	olanzapine 5 mg risperidone 1 mg promazine 50 mg	20	8 weeks	Hoehn and Yahr Scale used for evaluating parkinsonism, administered at baseline, 4 weeks, and 8 weeks.	NR	NR

Study, year	Extrapyramidal symptoms	Cerebrovascular events	Other adverse effects reported
Fontaine, 2003	Change from baseline on AIMS (% rating of minimal or mild), risperidone vs olanzapine: no change on either (p=0.52) Change from baseline on Simpson-Angus, risperidone vs olanzapine: 0.12 vs 0.17 (p=0.44) Change from baseline on Barnes Akathasia Scale: (% with a rating of questionable or mild) risperidone 0.5, 1.0, or 2.0 mg: no change (6% to 6%) olanzapine 2.5, 5.0, or 10.0 mg: +5% (6% to 11%) (not analyzed, too few frequencies)	olanzapine: 1 stroke	No significant change in weight in either group. 113 adverse events, 31 patients had at least one adverse event.  Olanzapine: 1 patient had 2 serious adverse events (asystole followed by brain stem stroke 6 days later) 12 falls: 2 result of being pushed. Of 10 spontaneous falls, 6 olanzapine, 4 risperidone (p=0.62)
Gareri, 2004	NR	NR	Main side effects: olanzapine: somnolence and weight gain (32%), dizziness and constipation (16%), postural hypotension (8%), akathisia (4%), and worsening of glycemic levels in one diabetic patient (4%) risperidone: hypotension and somnolence (20%), dyspepsia (12%), sinus tachycardia, asthenia, constipation, EPS (8%) increase of libido and disinhibition, abdominal pain and insomnia (4%).

Study, year	Interventions (Mean daily dose, range)	N	Duration	Method of adverse effects assessment?	Overall withdrawals	Withdrawals due to adverse events
Mulsant, 2004	risperidone: 0.76 mg olanzapine: 5.22 mg	86	6 weeks	Udvalg for Kliniske Undersogelser (UKU) rating scalse measuring peripheral anticholinergic effects (including visual accomodation disturbances, dry mouth, constipation, micturition disturbances, and palpitations) or a site report of a somnolence adverse event. ESRS	19.8%	4 risperidone vs 2 olanzapine (p=0.428)

Study, year	Extrapyramidal symptoms	Cerebrovascular events	Other adverse effects reported
Mulsant, 2004	For total ESRS scores, no statistically significant	None reported	No between-group differences in UKU scale or in
Muisuit, 2004	changes with either risperidone or olanzapine and	*	somnolence adverse events.
	NSD between the 2 treatments. Results for		
	individual subscales were equivalent to the		
	overall analyses (data not reported).		

Study, year	Interventions (Mean daily dose, range)	N	Duration	Method of adverse effects assessment?	Overall withdrawals	Withdrawals due to adverse events
Active-control trials Chan, 2001	risperidone (0.85 mg) vs haloperidol (0.90 mg)	58	12 weeks	AIMS, Simpson-Angus Extrapyramidal Symptoms Scale, Barnes Akathasia Scale	3% risperidone, 7% s haloperidol	0 risperidone; 3% haloperidol (somnolence)
De Deyn, 1999	risperidone (1.1 mg) vs haloperidol (1.2 mg)	344	13 weeks	Extrapyramidal Symptom Rating Scale	41% risperidone, 30% haloperidol, 35% placebo	18% total, no significant differences between groups.
Meehan, 2002	rapidly-acting intramuscular olanzapine (2.5 mg or 5.0 mg) or lorazepam 1.0 mg	272	24 hours	Simpson-Angus Scale. Adverse events were detected by clinical evaluatin and spontaneous report. ECGs recorded at screening and endpoint (2 and 24 hours post first injection and/or upon discontinuatino after randomization)	lorazepam 1.0 mg:	None

Study, year	Extrapyramidal symptoms	Cerebrovascular events	Other adverse effects reported		
Active-control trials					
Chan, 2001	risperidone: no significant increase from baseline on Simpson-Angus, Barnes, or AIMS. haloperidol: significant increase in Simpson- Angus Scale (p<0.001)	None reported	risperidone: 1 nausea, 1 acute retention of urine (unrelated to study medication); haloperidol: 2 constipation, 3 drug-related daytime sleepiness.		
De Deyn, 1999	Mean change in Extrapyramidal Symptoms Rating Scale score: risperidone 0.5 to 2 mg: -0.3 haloperidol 0.5 to 2 mg: +1.6 placebo: -1.4 (p <0.05 for risperidone vs haloperidol, NS for risperidone vs placebo)	None reported	76.5% risperidone, 80% haloperidol, and 72.8% of placebo patients reported and adverse events. Those occurring in 10% or more of patients were fall, injury, agitation, somnolence, and purpura (bruises caused by injuries or falls). Only somnolence more common in patients receiving active treatment than placebo (12.2% risperidone, 18.3% haloperidol, 4.4% placebo). No significant differences between groups in serious or severe adverse events.		
Meehan, 2002	No significant change from baseline to endpoint.	None reported	Treatment-emergent AES not significantly different from placebo in any active-treatment group.		

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# Evidence Table 17. Adverse events in trials of patients with behavioral and psychological symptoms of dementia

Study, year	Interventions (Mean daily dose, range)	N	Duration	Method of adverse effects assessment?	Overall withdrawals	Withdrawals due to adverse events
Suh, 2004	risperidone (range 0.5 mg-1.5 mg, mean daily dose 0.80 mg) vs haloperidol (range 0.5 mg-1.5 mg, mean daily dose 0.83 mg)	120		All reported adverse events were recorded, and the severity of EPS was assessed by use of the ESRS.	7% risperidone 3% haloperidol	7% risperidone 3% haloperidol
Tariot, 2004 (poster)	Not reported	284	10 weeks	AIMS, Simpson-Angus Extrapyramidal Symptoms Scale	32% quetiapine s 41% haloperidol 35% placebo	11% quetiapine 18% haloperidol 13% placebo

Study, year	Extrapyramidal symptoms	Cerebrovascular events	Other adverse effects reported		
Suh, 2004	Mean change from baseline on ESRS, risperidone vs haloperidol: Total: +4.8 vs +13.8 (p=0.0001) Parkinsonism: +3.5 vs +10.4 (p=0.0001) Dystonia: +1.0 vs +2.5 (p=0.6503) Dyskinetic movement: +0.5 vs +0.9 (p=0.4144)	None reported	Reasons for discontinuation: seizure (N=1) and nausea (N=2) in risperidone group, somnolence (N=3) in haloperidol group. Seizure was not considered drug-related.		
Tariot, 2004 (poster)	"Quetiapine patients experienced statistically significantly fewer EPS adverse events than haloperidol and placebo patients did." (data not reported) "Patients taking quetiapine had significantly	None reported	AEs with >10% incidence of which were statistically significantly different from placebo: somnolence, infection, rash, pain, conjunctivitis, vomiting, headache, cough increased, postural hypotension, dizziness, weight gain, weight loss, accidental injury.		
	lower SAS scores compared with patients taking haloperidol (p<0.01). AIMS scores for patients taking quetiapine were similar to those for patients taking placebo." (Data not reported; AIMS scores for haloperidol not reported)		Of treatment-emergent adverse events, somnolence occurred statistically more often for quetiapine and haloperidol than for placebo.		

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	Interventions (Mean			Method of adverse		Withdrawals due to adverse
Study, year	daily dose, range)	N	Duration	effects assessment?	Overall withdrawals	events
Placebo-controlled trials						
Brodaty, 2003	risperidone (0.95 mg) vs placebo (1.06 mL)	345	12 weeks	Monitoring the presence and severity of EPS at each visit and ratings on the Extrapyramidal Symptom Rating Scale.	27% risperidone 33% placebo	13.2% risperidone 8.2% placebo

Extrapyramidal symptoms	Cerebrovascular events	Other adverse effects reported		
Mean change in Extrapyramidal Symptoms Rating Scale score: 0.5 to 2 mg: +0.7 placebo: +0.5 (p=0.407)	9% risperidone (5 stroke, 1 TIA) vs 1.8% placebo. 2 deaths from stroke in risperidone group.	Deaths: 3.6% risperidone (3 pneumonia, 2 stroke), 2.4% placebo (1 pneumonia).  Serious adverse events: 16.8% risperidone vs 8.8% placebo. Most frequent were injury, cerebrovascular disorder, pneumonia, and accidental overdose.  94% risperidone, 92.4% placebo reported any adverse event.  Somnolence and urinary tract infections more common in risperidone group (Somnolence 36.3% vs 25.3%, UTI 23.4% vs 14.7%), other events reported by at least 5% of patients in either group: injury, fall, agitation, purpura, conjunctivitis, constipation, skin disorder, vomiting, edema peripheral, rash, upper RTI, skin ulceration, extrapyramidal disorder, tremor, gait abnormal, fever, aggressive reaction, coughing, headache, infection,		
	Mean change in Extrapyramidal Symptoms Rating Scale score: 0.5 to 2 mg: +0.7 placebo: +0.5	Mean change in Extrapyramidal Symptoms  Rating Scale score:  0.5 to 2 mg: +0.7  placebo: +0.5  9% risperidone (5 stroke, 1 TIA) vs 1.8% placebo. 2 deaths from stroke in risperidone group.		

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# Evidence Table 17. Adverse events in trials of patients with behavioral and psychological symptoms of dementia

	Interventions (Mean			Method of adverse		Withdrawals due to adverse
Study, year	daily dose, range)	N	Duration	effects assessment?	Overall withdrawals	events
de Deyn, 2004	olanzapine (1 mg, 2.5	652	10 weeks	Simpson-Angus Scale,	34% olanzapine 1 mg	9.3% olanzapine 1 mg
	mg, 5 mg, or 7.5 mg,			AIMS, mobility (gait and	25% olanzapine 2.5	6.7% olanzapine 2.5 mg
	fixed dose) vs			balance) measured with	mg	7.2% olanzapine 5 mg
	placebo			Modified Performance-	25% olanzapine 5 mg	9.8% olanzapine 7.5 mg
				Oriented Mobility	29% olanzapine 7.5	3.9% placebo
				Assessment-II (POMA);	mg	
				spontaneously reported	29% placebo	
				treatment-emergent		
				adverse events.		

Study, year	Extrapyramidal symptoms	Cerebrovascular events	Other adverse effects reported
de Deyn, 2004	Slight, non-significant improvement from baseline in each treatment group and placebo on AIMS and Simpson-Angus scales.  Treatment-emergent abnormalities based on categorical analysis of the Simpson-Angus scale showed no overall differences among treatment groups (p=0.153), ranged from 15.6% in the placebo group to 4.7% in the olanzapine 1 mg group. No other assessments of treatment-emergent abnormal motor function were statistically significant, either on the Simpson-Angus scale, or AIMS.	None reported	48.5% of all patients experienced at least one adverse event. No significant differences between groups. Four events significantly different among treatment groups: increased weight, anorexia, urinary incontinence, and abnormal behavior (higher in olanzapine group).  Olanzapine 5 mg and 7.5 mg groups had greater mean increases in weight than placebo (1 kg vs 0.8 kg vs 0.1 kg, p=0.016)  Deaths occurring during treatment or within 30 days after ending study participation: olanzapine 1 mg: 4 olanzapine 2.5 mg: 3 olanzapine 5 mg: 5 olanzapine 7.5 mg: 3 placebo: 2  Most frequent cause pneumonia, no deaths considered related to study medication.

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# Evidence Table 17. Adverse events in trials of patients with behavioral and psychological symptoms of dementia

Interventions (Mean				Method of adverse	Withdrawals due to adverse	
Study, year	daily dose, range)	N	Duration	effects assessment?	Overall withdrawals	events
Katz, 1999	risperidone (0.5 mg,	625	12 weeks	Information regarding	21% risperidone 0.5	8% risperidone 0.5 mg
	1 mg, or 2 mg, fixed dose) vs placebo			adverse events was obtained at each visit,	mg 30% risperidone 1 mg	16% risperidone 1 mg 24% risperidone 2 mg
				Extrapyramidal Symptom	42% risperidone 2 mg	12% placebo
				Rating Scale.	27% placebo	

Study, year	Extrapyramidal symptoms	Cerebrovascular events	Other adverse effects reported
Katz, 1999	Change from baseline to endpoint, Extrapyramidal Symptom Rating Scale scores (total and hypokinesia scales): risperidone 0.5 mg: -0.48 and 0.01 (NS vs	None reported	Deaths: 4% risperidone 0.5 mg; 9% risperidone 1 mg; 4% risperidone 2 mg; 3% placebo
	placebo) risperidone 1 mg: 0.84 and 0.95 (NS vs placebo) risperidone 2 mg: 2.37 and 2.01 (p<0.001 vs placebo for both scales)		Serious adverse events: 11% risperidone 0.5 mg; 16% risperidone 1 mg; 18% risperidone 2 mg; 13% placebo
	placebo: -0.22 and 0.17  Tardive dyskinesia emerged in 1 placebo patient,		Any adverse event: 84% risperidone 0.5 mg; 82% risperidone 1 mg; 89% risperidone 2 mg; 85% placebo
	0 risperidone		Dose-related increases somnolence: 10% risperidone 0.5 mg; 17% risperidone 1 mg; 28% risperidone 2 mg; 8% placebo peripheral edema: 16% risperidone 0.5 mg; 13% risperidone 1 mg; 18% risperidone 2 mg; 6% placebo

Study, year	Interventions (Mean daily dose, range)	N	Duration	Method of adverse effects assessment?	Overall withdrawals	Withdrawals due to adverse events
Mintzer, 2006	1.03 mg (range 0.4 to 1.9 mg)	416	8 weeks	Safety and tolerability measured by vital signs and occurrence of AEs, recorded weekly and clinical laboratory tests, ECGs and body weight at baseline and weeks 4 and 8. EPSs measured using Simpson Angus Rating Scale and AIMS at baseline and weeks 4 and	placebo	11% risperidone, 10% placebo

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# Evidence Table 17. Adverse events in trials of patients with behavioral and psychological symptoms of dementia

Study, year	Extrapyramidal symptoms	Cerebrovascular events	Other adverse effects reported
Mintzer, 2006	8.5% risperidone vs 3.4% placebo	1.7% risperidone vs 0.4%	Overall: 74% risperidone, 64% placebo
		placebo	Only somnolence was more common with risperidone
			vs placebo (16.2% vs 4.6%

Study, year	Interventions (Mean daily dose, range)	N	Duration	Method of adverse effects assessment?	Overall withdrawals	Withdrawals due to adverse events
Street, 2000	olanzapine (5 mg, 10 mg, or 15 mg, fixed dose) vs placebo	206	6 weeks	Simpson-Angus Scale, Barnes Akathisia Scale, AIMS	28% olanzapine 10 mg	11% olanzapine 5 mg g 8% olanzapine 10 mg g 17% olanzapine 15 mg 4% placebo
Zhong, 2004 (poster)	Flexible dosing, targets quetiapine 200 mg (n=114), quetiapine 100 mg (n=120), or placebo (n=92); mean daily dose not reported	333	10 weeks	Tolerability measures were incidence of adverse events, extrapyramidal symptoms related adverse events, clinically significant changes in laboratory tests and EKG; Simpson-Angus Scale, AIMS, and MMSE.	quetiapine 100 mg: 35% placebo: 35%	quetiapine 200 mg: 12% quetiapine 100 mg: 7.3% placebo: 35%: 7.6%

Study, year	Extrapyramidal symptoms	Cerebrovascular events	Other adverse effects reported
Street, 2000	No statistically significant mean changes on Simpson-Angus Scale, Barnes Akathisia Scale, AIMS. Incidence of spontaneously reported EPS (tremor, hypertonia, cogwheel rigidity, hyperkinesia, akathisia, dyskinesia, dystonia, parkinsonism, tardive dyskinesia) was not significantly different from placebo.	None reported	olanzapine 5 mg vs 10 mg vs 15 mg vs placebo accidental injury: 25% vs 24% vs 37.7% vs 27.7% somnolence: <b>25%</b> vs <b>26%</b> vs <b>35.8%</b> vs 6.4% pain: 14.3% vs 12% vs 24.5% vs 10.6% abnormal gait: <b>19.6%</b> vs 14% vs <b>17%</b> vs 2.1% anorexia: 1.8% vs 4% vs 15.1% vs 8.5% ecchymosis: 8.9% vs 12% vs 15.1% vs 14.9% fever: 8.9% vs 14% vs 13.2% vs 2.1% agitation: 8.9% vs 12% vs 11.3% vs 8.5% weight loss: 0 vs 4% vs 11.3% vs 6.4% cough increased: 12.5% vs 10% vs 7.5% vs 6.4% peripheral edema: 3.6% vs 12% vs 7.5% vs 6.4% nervousness: 7.1% vs 12% vs 1.9% vs 4.3%  No differences between active treatment groups on any event ( <b>Bold</b> indicates significantly different from placebo)
Zhong, 2004 (poster)	No significant difference in mean changes on SAS and AIMS among treatment groups (data no reported) Incidence of EPS-related adverse events: quetiapine 200 mg: 5% quetiapine 100 mg: 5% placebo: 4% Mean change in MMSE at end of treatment was 0 for all treatment groups.		Adverse events occurring in >10% of patients, quetiapine 100 mg vs quetiapine 200 mg vs placebo: somnolence/sedation: 11.3% vs 17.1% vs 5.5% skin laceration: 15.3% vs 11.1% vs 14.1% urinary tract infection: 16.1% vs 7.7% vs 7.6% lethargy: 6.6% vs 11.1% vs 3.3% contusion (bruises): 9.7% vs 5.1% vs 6.5%

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# **Evidence Table 18. Active-controlled trials in patients with autism**

Author, year Country Trial Name (Quality Score)	N	Duration	Study design Setting	Eligibility criteria	Interventions (drug, dose, duration)	Run-in/Washout period
Olanzapine vs Haloperidol						
Malone, 2001 US (FAIR)	12	6 weeks	Randomized, open label, pilot study.	Children between ages 5 and 17 with a primary diagnosis of pervasive developmental disorder (DSM-IV criteria); at least moderate impairment on 2 or more of the first 28 items on the Children's	Olanzapine starting dose 2.5 mg every other day for patients who weighed 40 kg or less and 2.5 mg per day for those who weighed more than 40 kg. Dosages could be increased in 2.5 mg increments up to 5 mg per week as needed.  Maximum dose 20 mg/day.	1 week drug-free baseline washout period.
				Psychiatric Rating Scale at baseline.	Haloperidol starting dose 0.25 mg/day for patients weighing 40 kg or less and 0.5 mg for those who weighed more than 40 kg. Dosages could be increased as clinically indicated in 0.5 mg increments up to 1 mg per week as needed.  Maximum dose 5 mg/day.	

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# **Evidence Table 18. Active-controlled trials in patients with autism**

Author, year Country Trial Name (Quality Score) Olanzapine vs Haloperidol	Allowed other medications/ interventions	Age Gender Ethnicity	Other population characteristics (diagnosis, etc)	Number screened/ eligible/enrolled	Number withdrawn/ lost to fu/analyzed
Malone, 2001 US (FAIR)	No.	Mean age 7.8 (SD 2.1) years; range 4.8-11.8 years. 67% male 58% white, 25% African American, 17% Hispanic	11/12 (92%) autistic disorder, 1/12 (8%) pervasive developmental disorder, not otherwise specified. 8% normal cognitive functioning, 8% mild mental retardation, 42% moderate mental retardation, 42% severe mental retardation.	# screened not reported/ 13 eligible/ 12 enrolled (1 withdrew consent)	No withdrawals, losses to followup, 12 analyzed.

Author, year Country			
Trial Name		Method of outcome assessment	
(Quality Score)	Outcome measures	and timing of assessment	Results
Olanzapine vs			
Haloperidol			
Malone, 2001	Primary outcome: CGI	Principal investigator and one other	CGI Improvement from baseline
US	Secondary outcomes:	trained rater performed all ratings;	olanzapine:
(FAIR)	Children's Psychiatric Rating	assessments at baseline and end of	1/6 (16.7%) very much improved
	Scale (CPRS)	study.	4/6 (66.7%) much improved
			1/6 (16.7% minimally improved
			haloperidol:
			1/6 (16.7%) very much improved
			2/6 (33.3%) much improved
			3/6 (50% minimally improved
			(p=0.494)
			Mean change from baseline (olanzapine vs haloperidol)
			CGI (Severity): -1.08 vs -0.42
			CPRS (Autism): -0.84 vs -0.53
			CPRS (Anger/Uncooperative): -1.27 vs 0.15
			CPRS (Hyperactivity): -1.1 vs 0.36
			CPRS (Speech Deviance): 0.4 vs -0.25

Author, year Country Trial name			Cturdu do ciona	
	N.	Dunation	Study design	Fliaibility sultania
(Quality score)	N	Duration	setting	Eligibility criteria
Trials of risperidone				
McCracken, 2002 Arnold, 2003 US Research Units on Pediatric Psychopharmacology Autism Network (RUPP) (FAIR)	101	8 weeks	Double-blind, multicenter.	Ages 5 to 17 years, weight at least 15 kg, mental age of at least 18 months; meeting criteria for autistic disorder described in DSM-IV, with tantrums, aggression, self-injurious behavior, or a combination of these; free of serious medical disorders and other psychiatric disorders requiring medication.

Author, year Country Trial name

(Quality score) Interventions (drug, dose, duration)

Run-in/washout period

Allowed other medications/interventions

#### Trials of risperidone

McCracken, 2002 Arnold, 2003

US

Children 20 to 45 kg:

Ineffective medications gradually withdrawn, drug-

Dose gradually increased in 0.5 mg increments to a free interval of 7 to 28 days,

Research Units on Pediatric maximum of 2.5 mg per day by day 29

y by day 29 depending on the drug, was required before enrollment.

Psychopharmacology

Children over 45 kg:

Autism Network (RUPP) (FAIR)

slightly accelerated dose schedule used, maximum

risperidone 0.5 mg, increased to 1 mg on day 4.

dose of 3.5 mg.

Children less than 20 kg: initial dose 0.25 mg.

Scheduled dose increases could be delayed because of adverse effects or because of marked improvement at a lower dose. Dose reductions to manage side effects were allowed at any time, but

there were no dose increases after day 29.

Treatment with an anticonvulsant agent for seizure control was allowed if the dose had been unchanged for at least 4 weeks and if there had been no seizures for at least 6 months.

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Author, year

Country Age Gender Trial name (Quality score) **Ethnicity** 

Other population characteristics (diagnosis, etc)

Number screened/ eligible/enrolled

#### Trials of risperidone

Mean age 8.8 (SD 2.7), range Mental development (risperidone vs placebo) 270 screened/158 eligible/101 McCracken, 2002

Arnold, 2003 Average or above-average IQ: 5-17 enrolled

US 7% vs 4% 81% male Research Units on Pediatric 66% white, 11% black, 7% Borderline IQ: Psychopharmacology Hispanic, 8% Asian, 8% other 17% vs 9%

Autism Network (RUPP) ethnicity Mild or moderate retardation:

(FAIR) 43% vs 51%

> Severe retardation: 33% vs 36%

(NS)

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18 withdrawn/3 lost to

followup/101 analyzed/

Author, year Country

Trial name Number withdrawn/ Method of outcome assessment (Quality score) lost to fu/analyzed Outcome scales and timing of assessment

#### Trials of risperidone

McCracken, 2002 Arnold, 2003

Arnold, 20 US

Research Units on Pediatric

Psychopharmacology Autism Network (RUPP)

(FAIR)

Primary outcomes:

Aberrant Behavior Checklist (Irritability subscale),

CGI-Improvement (CGI-I Children who had at least a 25%

reduction in the Irritability score and a rating of much improved or very much improved on the CGI-I scale were considered to have a positive response.

Other outcomes:

other subscales of the Aberrant Behavior Checklist (Social Withdrawal, Stereotypy, Hyperactivity, and Inappropriate Speech)

Irritability scale based on ratings by parent or primary caregiver, CGI-I determined by clinical evaluator, at baseline and 8 weeks.

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Author, year Country Trial name

(Quality score) Results

#### Trials of risperidone

McCracken, 2002 Change in mean Irritability score from baseline to 8 weeks

Arnold, 2003 risperidone: -14.9 (56.9% decrease)
US placebo: -3.6 (14.1% decrease)

Research Units on Pediatric (p<0.001)

Psychopharmacology Positive response (at least 25% improvement on Irritability subscale

Autism Network (RUPP) and rating of much improved or improved on CGI-I)

(FAIR) risperidone: 34/49 (69%)

placebo: 6/52 (12%)

(p<0.001)

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Author, year Country Trial name (Quality score)	N	Duration	Study design setting	Eligibility criteria
Shea, 2004 Canada (FAIR)	80	8 weeks	Double-blind, multicenter	Physically healthy male and female outpatients ages 5 to 12 years with a DSM-IV Axis I diagnosis of pervasive developmental disorder and a total score of 30 or more on the Childhood Autism Rating Scale (CARS), with or without mental retardation.

Author, year Country Trial name (Quality score)	Interventions (drug, dose, duration)	Run-in/washout period	Allowed other medications/interventions
Shea, 2004 Canada (FAIR)	Risperidone oral solution 0.01 mg/kg/day on treatment days 1 and 2 and increased to 0.02 mg/kg/day on day 3. Depending on therapeutic response at day 8, the dose could be increased by a maximal increment of 0.02 mg/kg/day. Thereafter the dose could be adjusted at the investigator's discretion at weekly intervals by increments/decrements not to exceed 0.02 mg/kg/day. The maximal allowable dose was 0.06 mg/kg/day. In case of drowsiness, the study medication could be administered once daily in the evening, or the total daily dose could be divided	,	Medications that are used to treat EPSs were to be discontinued at the time of entry into the trial. However, during the trial, anticholinergics could be inititated to treat emergent EPSs after the ESRS had been completed. Prohibited medications included antipsychotics other than the study medication, antidepressants, lithium, alpha-2 antagonists, clonidine, guanfacine, cholinesterase inhibitors, psychostimulants, and naltrexone. A single anticonvulsant and/or medications for sleep or anxiety were permitted only in the case in which the subject was already taking them at a stable dose for the 30 days before enrollment. Similar restrictions were placed on
	and administered on a morning and evening schedule.		the use of behavior intervention therapy. Medications for preexisting organic disorders were allowed provided that the dose and schedule of administration were kept as constant as possible.

Author, year Country Trial name (Quality score)	Age Gender Ethnicity	Other population characteristics (diagnosis, etc)	Number screened/ eligible/enrolled
Shea, 2004	Mean age (range):	DSM-IV Axis I diagnosis, risperidone vs	NR
Canada	7.6 years (5-12) risperidone	placebo:	NR
(FAIR)	7.3 years (5-12 placebo)	Autistic disorder: 67.5% vs 71.8%	80
	72.5% risperidone, 82.1%	Asperger's disorder: 12.5% vs 17.9%	
	placebo males	Childhood disintegrative disorder: 2.5% vs	
	15% risperidone, 15.4%	0%	
	placbebo black; 67.5%	PDD not otherwise specified: 17.5% vs	
	risperidone, 71.8% placebo white; 17.5% risperidone,	10.3%	
	12.8% placebo other race.	78% of risperidone and 90% of placebo	
		patients had an IQ test performed.	
		Of these (risperidone vs placebo):	
		Normal, score > 85: 9.7% vs 31.4%	
		Borderline, score 71-84: 19.4% vs 11.4%	
		Mild, score 50-70: 38.7% vs 22.9%	
		Moderate, score 35-49: 32.3% vs 34.3%	

Author, year Country Trial name (Quality score)	Number withdrawn/ lost to fu/analyzed	Outcome scales	Method of outcome assessment and timing of assessment
Shea, 2004	3 withdrawn/0 lost to followup/77	Aberrant Behavior Checklist,	Efficacy assessments scored at
Canada	analyzed	Nisonger Child Behavior Rating	g each clinic visit
(FAIR)	-	Form (parent version), Visual	(baseline/screening, and end of
		Analog Scale for the most	treatment weeks 1, 2, 3, 5, 7, and
		troublesome symptom, and the	8).
		CGI-C.	

Author, year	
Country	
Trial name	
(Quality score)	Results
Shea, 2004	Change from baseline to endpoint, risperidone vs placebo:
Canada	ABC (Irritability): -12.1 vs -6.5 (p<0.001)
(FAIR)	ABC (Hyperactivity/noncompliance): -14.9 vs 7.4 (p<0.001)
	ABC (Inappropriate speech): -2.6 vs -1.6 (p<0.05)
	ABC (Lethargy/social withdrawal): -8.6 vs -5.7 (p<0.01)
	ABC (Stereotypic behavior): -4.3 vs -2.4 (p<0.05)
	N-CBRF (Conduct problem): -10.4 vs -6.6 (p<0.001)
	N-CBRF (Hyperactive): -8.1 vs -5.6 (p<0.05)
	N-CBRF (Self-isolated/ritualistic): -4.8 vs -3.6 (NS)
	N-CBRF (Insecure/anxious): -4.6 vs -3.5 (p<0.05)
	N-CBRF (Overly sensitive): -3.8 vs -2.7 (p<0.05)
	N-CBRF (Self-injurious/stereotypic): -2.6 vs -1.3 (NS)
	VAS (most troublesome symptom): -38.4 vs -26.2 (p<0.05)
	Improvement as assessed by the CGI-C: 87.2% vs 39.5%

Author, year
Country
Trial name

Country Trial name (Quality score)	N	Duration	Study design setting	Eligibility criteria
Pandina, 2004 (poster, subgroup analysis of Shea, 2004) Canada (FAIR)	55	8 weeks	Double-blind, multicenter	Subgroup of children enrolled in Shea, 2004. Healthy children ages 5-12 years with a DSM-IV diagnosis of autism, baseline Childhood Autism Rating Scale total score >30.

Troost, 2005 The Netherlands

8 weeks (placebocontrolled discontinuati on phase)

24

Double-blind, single DSM-IV crieria for a pervasive developmental center

disorder. Patients were required to demonstrate clinically significant tantrums, aggressio, selfinjurious behavior, or a combination of these problems. Age 5 to 17 years, a weight of at least 15 kg, and a mentalage of at least 18 months. Only short-term responders to risperidone as judged within the first 8 weeks of treatment cold complete the protocol. Short-term response was defined as at least a 25% ABC Irritability score reduction and a rating of "much improved" or "very much improved" on the CGI-S.

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Author, year Country Trial name (Quality score)	Interventions (drug, dose, duration)	Run-in/washout period	Allowed other medications/interventions
Pandina, 2004 (poster, subgroup analysis of Shea, 2004) Canada (FAIR)	Risperidone oral solution 1 mg/ml or plecebo. Initiated at 0.01 mg/kg/day, increased to 0.02 mg/kg on day 3, dosage adjusted based on efficacy and tolerability, could be increased by up to 0.02 mg/kg/day to a maximum total daily dose of 0.06 mg/kg/day.	Not reported	Not reported
Troost, 2005 The Netherlands	Children on effective psychotropic drug treatment for disruptive behavior were excluded.	•	d Anticonvulsants used for the treatment of a seizure disorder were permitted if the dose had been stable for at least 4 weeks and the patient was seizure free for at least 6 monhts.

## Evidence Table 19. Placebo-controlled trials in patients with autism

Author, year Country Trial name (Quality score)	Age Gender Ethnicity	Other population characteristics (diagnosis, etc)	Number screened/ eligible/enrolled
Pandina, 2004 (poster, subgroup analysis of Shea, 2004) Canada (FAIR)	Mean age 7.4 years (SD 2.4 risperidone, 7.1 (SD 2.1) placebo. 70.4% risperidone, 85.7% placebo were male. 59.3% risperidone, 64.3% placebo white	Mean IQ 50.8 (SD 19.8) risperidone, 60.1 (SD 21.9) placebo	NR NR 55
Troost, 2005 The Netherlands	Mean age 9.1 years 91.7% male 91.7% white, 0% black, 8.3% other race	25% Autistic disorder, 8.3% Asperger's disorder, 66.7% pervasive developmental disorder, NOS	36 entered 8-week open label phase/26 classified as responders after 24-week open-label treatment/24 enrolled in 8-week discontinuation phase

## **Evidence Table 19. Placebo-controlled trials in patients with autism**

Author, year Country Trial name (Quality score)	Number withdrawn/ lost to fu/analyzed	Outcome scales	Method of outcome assessment and timing of assessment
Pandina, 2004 (poster, subgroup analysis of Shea, 2004) Canada (FAIR)	2 withdrawn NR Not clear	Parent or caregiver Aberrant Behavior Checklist (ABC) total and subscale scores, Nisonger Child Behavior Rating Form (parent version) total and subscale scores, Visual Analog Scale for the most troublesome symptom (1=least troublesome, 100= least troublesome), and the CGI-C.	Efficacy measures assessed at baseline and at treatment weeks 1, 2, 3, 5, 7, and 8
Troost, 2005 The Netherlands	2 withdrew before randomization in discontinuation phase 24 analyzed	Primary outcome: Difference in relapse rate between groups, defined as CGI-C scores of "much worse" or "very much worse" for at least 2 consecutive weeks when compared with baseline of the discontinuation phase, and a minimum increase of 25% in Irritability scores on the most recent Aberrant Behavior Checklist (ABC).	See Outcome Scales

## **Evidence Table 19. Placebo-controlled trials in patients with autism**

Author, year	
Country	
Trial name (Quality score)	Results
Pandina, 2004 (poster, subgroup analysis of Shea, 2004) Canada (FAIR)	Mean change from baseline to endpoint, risperidone vs placebo: ABC (Total): -43.83 vs -21.39 (p<0.001) ABC (Irritability): -13.41 vs -7.16 (p<0.001) ABC (Lethargy/social withdrawal): -7.74 vs -4.05 (p<0.05) ABC (Stereotypic behavior): -4.09 vs -1.98 (p<0.05) ABC (Hyperactivity/noncompliance): -16.07 vs -7.11 (p<0.001) ABC (Inappropriate speech): -2.44 vs -1.26 (NS)
	N-CBRF (Total) -31.99 vs -20.71 (p<0.05) N-CBRF (Adaptive social) 1.55 vs 0.54 (NS) N-CBRF (Compliant/calm) 2.15 vs 0.73 (NS) N-CBRF (Conduct problem) 12.43 vs -6.01 (p<0.01) N-CBRF (Hyperactive) -8.15 vs -4.36 (p<0.05) N-CBRF (Insecure/anxious) -4.03 vs -2.90 (NS) N-CBRF (Overly sensitive) -3.66 vs -2.22 (p<0.05) N-CBRF (Self injury/stereotypic) -2.38 vs -1.50 (NS) N-CBRF (Self-isolated/ritualistic) -4.24 vs -2.41 (NS)
Troost, 2005 The Netherlands	3/12 (25%) risperidone vs 8/12 (67%) placebo relapsed (p=0.049) Increase in ABC Irritability scores at study endpoint: 14% risperidone vs 60% placebo (p=0.043). No differences between groups in other ABC subscales.

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clinically indicated in 0.5 mg increments

up to 1 mg per week as needed. Maximum dose 5 mg/day.

#### **Evidence Table 20. Active control trials in patients with autism**

Author, year Country Trial Name (Quality Score)	N	Duration	Study design Setting	Eligibility criteria	Interventions (drug, dose, duration)
olanzapine vs haloperidol					
Malone, 2001 US (FAIR)	12	6 weeks	Randomized, open label, pilot study.	Children between ages 5 and 17 with a primary diagnosis of pervasive developmental disorder (DSM-IV criteria); at least moderate impairment on 2 or more of the first 28 items on the Children's Psychiatric Rating Scale at baseline.	up to 5 mg per week as needed.  Maximum dose 20 mg/day.

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## Evidence Table 20. Active control trials in patients with autism

Author, year Country Trial Name (Quality Score)	Run-in/Washout period	Allowed other medications/ interventions	Age Gender Ethnicity	Other population characteristics (diagnosis, etc)	Number screened/ eligible/enrolled
olanzapine vs haloperidol					
Malone, 2001	1 week drug-free	No.	Mean age 7.8 (SD 2.1) years;	11/12 (92%) autistic	# screened not
US	baseline washout		range 4.8-11.8 years.	disorder, 1/12 (8%)	reported/13
(FAIR)	period.		67% male	pervasive developmental	eligible/12 enrolled (1
			58% white, 25% African	disorder, not otherwise	withdrew consent)
			American, 17% Hispanic	specified.	
				8% normal cognitive	
				functioning, 8% mild	
				mental retardation, 42%	
				moderate mental	
				retardation, 42% severe	
				mental retardation.	

## Evidence Table 20. Active control trials in patients with autism

Author, year Country Trial Name (Quality Score)	Number withdrawn/ lost to fu/analyzed	Outcome measures	Method of outcome assessment and timing of assessment	Results
olanzapine vs haloperidol				
Malone, 2001	No withdrawals, losses to	Primary outcome: CGI	Principal investigator and one	CGI Improvement from baseline
US	followup, 12 analyzed.	Secondary outcomes:	other trained rater performed	olanzapine:
(FAIR)		Children's Psychiatric	all ratings; assessments at	1/6 (16.7%) very much improved
		Rating Scale (CPRS)	baseline and end of study.	4/6 (66.7%) much improved
				1/6 (16.7% minimally improved
				haloperidol:
				1/6 (16.7%) very much improved
				2/6 (33.3%) much improved
				3/6 (50% minimally improved
				(p=0.494)
				Mean change from baseline (olanzapine vs
				haloperidol)
				CGI (Severity): -1.08 vs -0.42
				CPRS (Autism): -0.84 vs -0.53
				CPRS (Anger/Uncooperative): -1.27 vs 0.15
				CPRS (Hyperactivity): -1.1 vs 0.36
				CPRS (Speech Deviance): 0.4 vs -0.25

## **Evidence Table 20. Active control trials in patients with autism**

Author, year Country Trial Name (Quality Score)

#### olanzapine vs haloperidol

Malone, 2001 US (FAIR)

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#### Internal Validity

Author, year Country	Randomization adequate?	Allocation concealment adequate?	Groups similar at baseline?	Eligibility criteria specified?	Outcome assessors masked?	Care provider masked?	Patient masked?
Studies in children with autism							
Active-control trials Malone et al, 2001 US	Yes	Not reported	Yes	Yes	No	No	No
Placebo-controlled trials McCracken et al, 2002 Arnold et al, 2003 Research Units on Pediatric Psychopharmacology Autism Network RUPP	Method not reported	Not reported	Yes	Yes	Yes	Yes	Yes
Shea et al, 2004 Pandina et al, 2004 (subgroup analysis) Canada	Method not reported	Not reported	Yes	Yes	Yes	Not reported	Yes

Author, year Country	Reporting of attrition, crossovers, adherence, and contamination?	Loss to follow-up: differential/high?	Intention-to-treat (ITT) analysis?	Post-randomization exclusions?	Quality Rating
Studies in children with autism					
Active-control trials Malone et al, 2001 US	Not reported	No	Yes	No	Fair
Placebo-controlled trials McCracken et al, 2002 Arnold et al, 2003 Research Units on Pediatric Psychopharmacology Autism Network RUPP	Attrition yes, others no.	No	Yes	Yes- 4 patients.	Fair
Shea et al, 2004 Pandina et al, 2004 (subgroup analysis) Canada	Attrition yes, others no.	No	Yes (1 not analyzed)	No	Fair

#### External Validity

Author, year Country	Number screened/eligible/enrolled	Exclusion criteria	Run-in/Washout
Studies in children with autism			
Active-control trials			
Malone et al, 2001 US	Number screened, eligible not reported/12 enrolled	Major medical problems such as cardiac, liver, endocrine, or renal diseases, seizure disorder or gross neurological deficit, treatment with concomitant psychotropic medication, or a history of previous treatment with haloperidol or olanzapine	1 week drug-free baseline washout period.
Placebo-controlled trials			
McCracken et al, 2002 Arnold et al, 2003 Research Units on Pediatric Psychopharmacology Autism Network RUPP	270 screened/158 eligible/101 enrolled	Serious medical disorders and other psychiatric disorders requiring medication; receiving a psychotropic drug that was deemed effective for the treatment of aggression, tantrums, or self-injurious behavior.	Ineffective medications gradually withdrawn, drug-free interval of 7 to 28 days, depending on the drug, was required before enrollment.
Shea et al, 2004 Pandina et al, 2004 (subgroup analysis) Canada	Number screened, eligible not reported/80 enrolled	Schizophrenia, other psychotic disorders, clinically relevant nonneurologic disease, clinically significant laboratory abnormalities, or a seizure disorder for which they were receiving >1 anticonvulsant or if they had had a seizure in the last 3 months. History of hypersensitivity to neuroleptics, tardive dyskinesia, neuroleptic malignant syndrome, drug or alcohol abuse, or HIV infection. Also excluded subjects who had used risperidone in the last 3 months, had been previously unresponsive or intolerant to risperidone, or were using a prohibited medication.	

Author, year Country	Class naïve patients only?	Control group standard of care?	Funding
Studies in children with autism			
Active-control trials Malone et al, 2001 US	Yes	Yes	Supported in part by a grant from Lilly Research Laboratories (Investigator-Initiated Study).
Placebo-controlled trials McCracken et al, 2002 Arnold et al, 2003 Research Units on Pediatric Psychopharmacology Autism Network RUPP	No	Yes	Supported by contracts from the National Institute of Mental Health, General Clinical Research Center grants from the National Institutes of Health, and a grant from the Korczak Foundation. Study medication donated by Janssen
Shea et al, 2004 Pandina et al, 2004 (subgroup analysis) Canada	No	Yes	Pharmaceutica. Supported by Janssen-Ortho Inc, Canada, and Johnson & Johnson Pharmaceutical Research and Development.

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#### Internal Validity

Author, year Country	Randomization adequate?	Allocation concealment adequate?	Groups similar at baseline?	Eligibility criteria specified?	Outcome assessors masked?	Care provider masked?	Patient masked?
Studies in children with disruptive behavior disorders							
Placebo-controlled trials Aman et al, 2002 Risperidone Disruptive Behavior Study Group US	Method not reported	Not reported	Differences in IQ, but controlled for in analysis		Yes	Yes	Yes
Snyder et al, 2002 Risperidone Conduct Study Group	Method not reported	Not reported	Yes	Yes	Yes	Yes	Yes

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Author, year Country	Reporting of attrition, crossovers, adherence, and contamination?	Loss to follow-up: differential/high?	Intention-to-treat (ITT) analysis?	Post-randomization exclusions?	Quality Rating
Studies in children with disruptive behavior disorders					
Placebo-controlled trials Aman et al, 2002 Risperidone Disruptive Behavior Study Group US	Attrition and adherence yes, others no.	Yes- 78% risperidone, 70% placebo.	No- 3 risperidone patients with no efficacy data not included in analysis.	Not reported	Fair
Snyder et al, 2002 Risperidone Conduct Study Group	Attrition yes, others no.	Yes- 33.3% placebo, 11.3% risperidone withdrew (p=0.006)	No	No	Fair

#### External Validity

Author, year Country	Number screened/eligible/enrolled	Exclusion criteria	Run-in/Washout
Studies in children with disruptive behavior disorders			
Placebo-controlled trials Aman et al, 2002 Risperidone Disruptive Behavior Study Group US	142 screened/119 eligible/118 enrolled	Diagnosis of pervasive developmental disorder, schizophrenia, or other psychotic disorder; head injury as a cause of intellectual disability; or a seizure disorder requiring medication. Known hypersensitivity to risperidone or neuroleptics, history of tardive dyskinesia or neuroleptic malignant syndrome, serious or progressive illnesses, presence of HIV, and use of an investigational drug within the previous 30 days; previous treatment with risperidone.	1-week placebo run-in to rule out placebo responders.
Snyder et al, 2002 Risperidone Conduct Study Group	Number screened not reported/133 eligible/110 enrolled	Diagnosis of pervasive developmental disorder, schizophrenia, or other psychotic disorder; head injury as a cause of impaired IQ; seizure condition requiring medication; females who were sexually active without a reliable form of birth control; serious or progressive illness or clinically abnormal laboratory values; history of tardive dyskinesia, neuroleptic malignant syndrome, or hypersensitivity to any antipsychotic drug; known presence of HIV; and previous treatment with risperidone.	One week placebo run-in to rule out placebo responders.

Author, year Country	Class naïve patients only?	Control group standard of care?	Funding
Studies in children with disruptive behavior disorders			
Placebo-controlled trials Aman et al, 2002 Risperidone Disruptive Behavior Study Group US	Yes	Yes	Supported by the Janssen Research Foundation.
Snyder et al, 2002 Risperidone Conduct Study Group	Yes	Yes	Funded by Janssen Research Foundation

#### Internal Validity

Author, year Country	Randomization adequate?	Allocation concealment adequate?	Groups similar at baseline?	Eligibility criteria specified?	Outcome assessors masked?	Care provider masked?	Patient masked?
Buitelaar, 2001	Yes	Not reported	Yes	Yes	Yes	Yes	Yes
Findling et al, 2000 US	Yes	Yes	Trends: risperidone group older (p=0.006) and weighed more (p=0.12)	Yes	Yes	Yes	Yes

Author, year Country	Reporting of attrition, crossovers, adherence, and contamination?	Loss to follow-up: differential/high?	Intention-to-treat (ITT) analysis?	Post-randomization exclusions?	Quality Rating
Buitelaar, 2001	Yes	No	Yes (LOCF)	No	Fair
Findling et al, 2000 US	Attrition and adherence yes, others no.	Withdrawals- 40% risperidone, 70%	Yes	No	Fair
		placebo			

#### External Validity

Author, year Country	Number screened/eligible/enrolled	Exclusion criteria	Run-in/Washout
Buitelaar, 2001	145/48/38	Neurologic, cardiac, pulmonary, or hepatic diseases, primary mood disorders, schizophrenia or other active psychosis, or suicidality, comorbid substance abuse disorder according to DSM-IV; if female, pregnant or used inadequate contraception; major change in treatment strategy (such as transition to another ward) was expected in the near future; or it was not considered feasible to discontinue current psychotropic medication.	No run-in; 2 week washout after double-blind period.
Findling et al, 2000 US	Number screened, eligible not reported/20 enrolled.	Moderate or severe attention deficit/hyperactivity disorder, significant psychiatric comorbidity (including mood disorders), treatment with a psychotropic medication within one week of initiating double-blind therapy, a positive toxicology screen, suicide attempt within the past month, clinically significant general medical condition, organic mental syndromes, pregnant or nursing females, females of childbearing potential who were not using an acceptable method of birth control, and a standard score equivalent to <70 on the Peabody Picture Vocabulary Test-Revised.	None reported.

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## Evidence Table 21. Quality assessment in trials in patients with autism or disruptive behavior disorder

Author, year Country	Class naïve patients only?	Control group standard of care?	Funding
Buitelaar, 2001	NR	Yes	Janssen-Cilag, The Netherlands
Findling et al, 2000	No	Yes	Supported in part by the Janssen Research
US			Foundation, the Stanley Foundation, and NICHD Pediatric Pharmacology Research Unit contract.
			research out contract.

Author, year Country Trial Name (Quality Score)	N	Duration	Study design Setting	Eligibility criteria
Trials of risperidone				
Aman et al, 2002 Risperidone Disruptive Behavior Study Group US (FAIR)	118	6 weeks	Double-blind, multicenter	Healthy and ages 5 to 12 years with symptoms sufficiently severe that the investigator felt there was a need for antipsychotic treatment; DSM-IV axis I diagnosis of conduct disorder, oppositional defiant disorder, or disruptive behavior disorder not otherwise specified; and axis II diagnosis of subaverage IQ (36-84), and a Vineland Adaptive Behavior Scale score 84 or less. Total rating of 24 or higher on the conduct problem subscale of the Nisonger Child Behavior Rating Form. Individuals with attention deficit hyperactivity disorder were also eligible if they met all other inclusion criteria.

symptoms or failed to improve the EPS, anti-EPS medication could be

## Evidence Table 22. Placebo-controlled trials in patients with disruptive behavior disorder

Author, year Country Trial Name (Quality Score)	Interventions (drug, dose, duration)	Run-in/washout period	Allowed other medications/interventions
Trials of risperidone			
Aman et al, 2002 Risperidone Disruptive Behavior Study Group US (FAIR)	Risperidone oral solution 0.01 mg/kg per day on days 1 and 2, increased to 0.02 mg/kg per day on day 3. Thereafter, dose adjusted at weekly intervals as judged necessary by the clinician. Increases or decreases in doses were made in increments of no more than 0.02 mg/kg per day.  Maximum dose 0.06 mg/kg per day.		Use of other antipsychotics, anticonvulsants, antidepressants, lithium, carbamazepine, valproic acid, or cholinesterase inhibitors was not permitted. Use of consistent doses of psychostimulants permitted if the dose had been stable for at least 30 days. Behavioral therapy permitted if initiated at least 30 days before the start of the study. No changes to psychostimulant use or behavioral therapy were allowed, no medications for sleep or anxiety were to be initiated during the trial. Subjects receiving antihistamines, chloral hydrate, or melatonin for sleep before the screening visit could continue use unchanged. Medications commonly used to treat EPS were discontinued at study entry. If EPS arose during the study, dose of study medication was decreased. If this resulted in deterioration of conduct disorder

considered.

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Author, year Country Trial Name (Quality Score)	Age Gender Ethnicity	Other population characteristics (diagnosis, etc)	Number screened/ eligible/enrolled	Number withdrawn/ lost to fu/analyzed
Trials of risperidone Aman et al, 2002	Mean age 8 years (SD 2 years)	_	142 screened/119 eligible/118	12 risperidone, 19 placebo
Risperidone Disruptive Behavior Study Group US (FAIR)	82% male 57% white, 34% black, 5% Hispanic, <1% Asian, 3% other ethnicity.	21% oppositional defiant disorder 32% oppositional defiant disorder plus ADHD 18% conduct disorder 22% conduct disorder plus ADHD 2% disruptive behavior disorder not otherwise specified 5% disruptive behavior disorder plus ADHD		patients withdrew, 115 analyzed (3 in risperidone group had no efficacy data, not analyzed).
		DSM-IV axis II diagnosis: 51% borderline intellectual disability 32% mild intellectual disability 17% moderate intellectual disability		

Author, year Country Trial Name		Method of outcome assessment	
(Quality Score)	Outcome scales	and timing of assessment	Results
Trials of risperidone			
Aman et al, 2002	Primary outcome: Conduct problem subscale of	Method not reported; visits	Change in Nisonger Child Behavior Rating Form
Risperidone Disruptive	the Nisonger Child Behavior Rating From	scheduled on day 0 (initiation of	conduct problem subscale score at 6 weeks
Behavior Study Group	problem behaviors section.	treatment), days 7, 14, 21, 28, 35,	(risperidone vs placebo):
US		and 42 (final visit).	-15.2 vs -6.2 (p<0.001)
FAIR)	Secondary measures: Other Nisonger Child		
	Behavior Rating From problem behaviors section		CGI change score
	subscales and the social competence section		(risperidone vs placebo):
	subscales; Aberrant Behavior Checklist subscale		improved: 76.9% vs 33.4% (p<0.0001)
	scores, investigator's rating on the CGI severity		much to very much improved: 7.9% vs 53.8%
	scale, and CGI change scores. Change in a VAS		(p<0.001)
	rating of an individual target symptom for each		
	patient (the symptoms considered most disturbing		
	for the patient and his/her surroundings) was	-	
	evaluated.		

Author, year Country Trial Name (Quality Score)	N	Duration	Study design Setting	Eligibility criteria
Snyder et al, 2002 Risperidone Conduct Study Group Canada (FAIR)	110	6 weeks	Double-blind, multicenter	DSM-IV diagnosis of conduct disorder, oppositional defiant disorder, or disruptive behavior disorder, not otherwise specified; rating (parent/caregiver) of 24 or higher on the Conduct Problem subscale of the Nisonger Child Behavior Rating Form (NCBRF); IQ between 36 and 84; Vineland Adaptive Behavior Scale score of 84 or less; healthy on the basis of a pretrial physical examination, medical history, and ECG; and

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consent by parent/caregiver.

Author, year Country			
Trial Name (Quality Score)	Interventions (drug, dose, duration)	Run-in/washout period	Allowed other medications/interventions
Snyder et al, 2002 Risperidone Conduct Study Group Canada (FAIR)	Risperidone oral solution beginning at 0.01 mg/kg for the first 2 days and at 0.02 mg/kg for the next 5 days.  Physician could increase the dosage weekly by 0.02 mg/kg per day to a maximum of 0.06 mg/kg per day, or decrease the dose by any amount for the remainder of the trial.  6 weeks		Patients taking previously prescribed stable dosages of concomitant medication (e.g., medication for preexisting medical conditions, psychostimulants for comorbid ADHD, and sleep medication [antihistamines, chloral hydrate, and melatonin]) for 30 days prior to trial entry were included provided the medication was expected to remain stable for the duration of the trial. No other medication was allowed with the exception of anticholinergic medication to treat EPS shout it occur during the trial.

Author, year Country Trial Name (Quality Score)	Age Gender Ethnicity	Other population characteristics (diagnosis, etc)	Number screened/ eligible/enrolled	Number withdrawn/ lost to fu/analyzed
Snyder et al, 2002 Risperidone Conduct Study Group Canada (FAIR)	Mean age 8.7 (SD 0.27) years 75% male 75% white, 7% black, 16% other ethnicity	DSM-IV diagnoses:  9% conduct disorder  31% conduct disorder plus ADHD  15% oppositional defiant disorder, destructive behavior disorder  53% oppositional defiant disorder, destructive behavior disorder plus ADHD  26% combined/no ADHD  76% combined plus ADHD  48% borderline IQ (70-85)  38% mild mental retardation (IQ  50-69)  14% moderate mental retardation (IQ 35-49)	•	

Author, year Country Trial Name (Quality Score)	Outcome scales	Method of outcome assessment	t Results
Snyder et al, 2002	Primary outcome: Conduct problem subscale of	Each child rated weekly (by	Change in Nisonger Child Behavior Rating Form
Risperidone Conduct Study	the Nisonger Child Behavior Rating.	parents?) at baseline, weeks 1, 2,	conduct problem subscale score at 6 weeks
Group		3, 4, 5, and 6 on NCBRF, ABC,	(risperidone vs placebo):
Canada	Secondary measures: Subscales on the ABC, the	BPI, CGI, ESRS, VAS/Sedation,	-15.8 vs -6.8 (p<0.001)
(FAIR)	Behavior Problems Inventory (BPI), CGI, Visual	and VAS/symptom. Cognitive	
	Analogue Scale of most troublesome symptoms,	function assessed at baseline and	
	and Visual Analogue Scale of sedation.	at the end of week 6.	

Author, year Country Trial Name (Quality Score)	N	Duration	Study design Setting	Eligibility criteria
Findling et al, 2000 US (FAIR)	20	10 weeks	Double-blind, single, inner-city, academic medical center.	Outpatients who met DSM-IV criteria for conduct disorder as a primary diagnosis; ages 5 to 15 years, with at least a moderate degree of overall symptom severity as based on the CGI Scale, and an Aggression subscale T score 2 SD or more above the mean for age- and gender-matched peers on the Child Behavior Checklist (CBCL).

Author, year Country Trial Name (Quality Score)	Interventions (drug, dose, duration)	Run-in/washout period	Allowed other medications/interventions
Findling et al, 2000 US (FAIR)	Risperidone 0.25 mg if weigh less than 50 kg; 0.50 mg if weight 50 kg or greater.  Starting dose was 1 tablet per day; dose could be increased by 1 tablet per day each week to a maximum daily dose of 6 tablets per day. All dose adjustments were to occur during the first 6 weeks of the study.		For patients in whom EPS developed, treatment with oral benztropine was available.

Author, year Country Trial Name (Quality Score)	Age Gender Ethnicity	Other population characteristics (diagnosis, etc)	s Number screened/ eligible/enrolled	Number withdrawn/ lost to fu/analyzed
Findling et al, 2000 US (FAIR)	Mean age 9.2 years (SD 2.9), range 6-14 19/20 (95%) male 50% white (no other ethnicity information reported)	9 patients had not improved with treatments with other psychotropic medications (methylphenidate). Other medications previously prescribed included dextroamphetamine (n=4), clonidine (n=3), an antidepressant (n=5), divalproex sodium (n=2), and thioridazine (n=1).	e reported/20 enrolled	4/10 risperidone, 6/10 placebo patients withdrew/1 placebo patient lost to followup/20 analyzed

Author, year Country Trial Name (Quality Score)	Outcome scales	Method of outcome assessment and timing of assessment	Results
Findling et al, 2000 US (FAIR)	Primary outcome: Rating of Aggression Against People and/or Property Scale (RAAPP)  Secondary measures: CGI-S, CGI-I, Conners Parent Rating Scale (CPRS), Child Behavior Checklist (CBCL)	Method not reported; assessments weekly to week 10.	Rating of Aggression Against People and/or Property Scale (RAAPP) score Difference from baseline, weeks 7-10: risperidone: -1.91 placebo: -0.70 (p=0.0007) Difference from baseline, week 10: risperidone: -1.65 placebo: -0.16 (p=0.03)  Mean CGI-I score at weeks 7-10: risperidone: 1.80 placebo: 3.19 (p=0.0006) Mean CGI-I score at week 10: risperidone: 1.80 placebo: 3.60 (p=0.002)

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## Evidence Table 22. Placebo-controlled trials in patients with disruptive behavior disorder

Author, year Country Trial Name (Quality Score)	N	Duration	Study design Setting	Eligibility criteria
Buitelaar, 2001 The Netherlands (FAIR)	38	6 weeks	Double-blind, single center	Adolescent inpatients with subaverage cognitive skills. Included if their overt aggressive behavior persisted during hospitalization, as reflected in a score of at least 1 on the modified Overt Aggressn Scale (OAS-M) rated by nurses in the ward at the end of the baseline phase; their aggressive behavior failed to responsd to behavioral treatment approaches; there was a clinical indicaton for drug treatment; they were between 12 and 18 years old; they had a principal diagnosis of conduct disorder, oppositional defiant disorder, or ADHD according to DSM-IV, and a full-scale IQ between 60 and 90 on the WISC-R.

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## Evidence Table 22. Placebo-controlled trials in patients with disruptive behavior disorder

Author, year Country Trial Name (Quality Score)	Interventions (drug, dose, duration)	Run-in/washout period	Allowed other medications/interventions
Buitelaar, 2001 The Netherlands (FAIR)	risperidone 1 mg or placebo	no run-in; 2 week washout after double-blind period.	Concomitant medication for acute or chronic somatic illnesses was allowed at the discretion of the clinician in charge.

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## Evidence Table 22. Placebo-controlled trials in patients with disruptive behavior disorder

Author, year Country Trial Name (Quality Score)	Age Gender Ethnicity	Other population characteris	tics Number screened/ eligible/enrolled	Number withdrawn/ lost to fu/analyzed
Buitelaar, 2001	14.0	Principal diagnosis:	145/48/38	2 (placebo)/0NR/38
The Netherlands	86.8% male	Conduct disorder: 78.9%		
(FAIR)	Ethnicity NR	Oppositional defiant disorder:		
		15.8%		
		Disruptive behavior disorder		
		NOS: 5.3%		

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## Evidence Table 22. Placebo-controlled trials in patients with disruptive behavior disorder

Author, year Country Trial Name		Method of outcome assessmen	t
(Quality Score)	Outcome scales	and timing of assessment	Results
Buitelaar, 2001	CGI-Severity	CGI-S at selection, end of baselin	e risperidone vs placebo
The Netherlands (FAIR)	Secondary measures: OAS-M, ABC.	period, 2, 4, 6 weeks (endpoint), and end of washout period	Markedly or severely disturbed: 21% vs 84% Mean (SD) CGI-Severity score: 2.7 (1.2) vs 4.4 (1.0)

# Evidence Table 23. Adverse events in trials in patients with autism

	Interventions			Method of adverse effects	
Study, year	(Mean daily dose, range)	N	Duration	assessment	Overall withdrawals
Active-control trial					
Malone et al, 2001	olanzapine (7.9 mg, range 5-10 mg) vs haloperidol (1.4 mg, range 0.5-2.5 mg)	12	6 weeks	Weight, blood pressure, and pulse at baseline and each visit. Height recorded at baseline. Adverse effects monitored at each visit with the Dosage Record and Treatment Emergent Symptom Scale (DOTES), the Treatment Emergent Symptoms Scale-Write IN (TESS), AIMS, and the Neurologic Rating Scale (NRS). At baseline and end of treatment complete blood count with differential, liver functions, and EKG.	

Study, year	Withdrawals due to adverse events	Weight gain	Other adverse effects reported
Active-control trial	to duverse events	Weight gain	Other duverse effects reported
Malone et al, 2001	No withdrawals	Mean weight gain at 12 weeks: olanzapine: 4.08 kg (SD 1.59, range 2.67 to 7.14) haloperidol: 1.45 kg (SD 2.22, range -2.49 to 3.97) (p=0.04) All 6 patients in olanzapine group vs 2 fo 6 in haloperidol group gained more than 2.27 kg (5 lbs)	No significant differences between groups on incidence of side effects.  NRS: One haloperidol patient had transient mild rigidity, no olanzapine patient had extrapyramidal symptoms as rated by this measure.  AIMS: No patients in either treatment group had dyskinesia as rated by this measure.  No clinically significant changes in any of the laboratory studies or EKGs. Medication treatment was not associated with a prolongation of the QTc interval.

Study, year	Interventions (Mean daily dose, range)	N	Duration	Method of adverse effects assessment	Overall withdrawals
Placebo-controlled trials					
McCracken et al, 2002 Research Units on Pediatric Psychopharmacology Autism Network RUPP	risperidone (1.8 mg, range 0.5-3.5 mg) vs placebo (equivalent to 2.4 mg, range 1-3.5 mg)	101	8 weeks	Lab tests, EKG, and physical exam at baseline, 8 weeks, weight and vital signs assessed weekly. At each visit, primary clinician inquired about health problems, intercurrent illness, and concomitant medications and administered 32-item questionnaire concerning energy level, muscle stiffness, motor restlessness, bowel and bladder habits, sleep, and appetite. Neurologic side effects assessed weekly with the Simpson-Angus scale and AIMS. Adverse events noted as a result of any of these methods were documented with respect to severity, duration, management, and outcome.	3/49 (6%) risperidone 18/52 (35%) placebo (p=0.001)

Study, year	Withdrawals due to adverse events	Weight gain	Other adverse effects reported
Placebo-controlled trials			
McCracken et al, 2002 Research Units on Pediatric Psychopharmacology Autism Network RUPP	None	Mean weight gain at 8 weeks: risperidone: 2.7 kg (SD 2.9) placebo: 0.8 kg (SD 2.2) (p<0.001)	No extrapyramidal symptoms in either group.  No serious adverse events in risperidone group.  Parents reported 5 neurological side effects, of these, tremor was significantly more common in the risperidone group (p=0.06)  60 different adverse events recorded, 29 of which occurred in 5% or more of patients.  Adverse events with a significantly different incidence (risperidone vs placebo)  Increased appetite (mild): 49% vs 25% (p=0.03)  Increased appetite (moderate): 24% vs 4% (p=0.01)  Fatigue: 59% vs 27% (p=0.003)  Drowsiness: 49% vs 12% (p<0.001)  Drooling: 27% vs 6% (p=0.02)  Dizziness: 16% vs 4% (p=0.05)

Study, year	Interventions (Mean daily dose, range)	N	Duration	Method of adverse effects assessment	Overall withdrawals
Shea et al, 2004	risperidone 0.02 mg/kg/day-0.06 mg/kg/day.  Mean daily dose 1.17 mg/day	80	8 weeks	Subjects attended clinic on 7 occasions: baseline screening visit and at the end of treatment weeks 1, 2, 3, 5, 7, and 8. Safety assessment measures, which included adverse event data, vital signs, and body weight, were collected at each visit. The presence and severity of EPSs were assessed at each visit by the investigator using the ESRS. A 12-lead EEG and routine biochemistry, hematology, and urinalysis were performed at baseline and at the end of treatment.	8.9% (2 risperidone, 5 placebo)

Study, year	Withdrawals due to adverse events	Weight gain	Other adverse effects reported
Shea et al, 2004	1 risperidone, 1 placebo.	Mean weight gain at 8 weeks: riisperidone: 2.7 kg (SD 2.0) placebo 1.0 kg (SD 1.6) (p<0.001 vs placebo	Most common adverse events among risperidone-treated subjects were somnolence (72.5%), upper respiratory tract infection (37.5%), rhinitis (27.5%), and increased appetite (22.5%).  5 (12.5%) risperidone-treated subjects experienced adverse events categorized as severe and related to study medication (1 hyperkinesia and somnolence and 1 case each of weight gain, somnolence, aggressive reaction with impaired concentration, and extrapyramidal disorder as a result of an accidental overdose).  Five cases of mild to moderate tachycardia in the risperidone group were reported as adverse events. Changes from baseline in EKG recordings were deemed to be clinically important for one subject in risperidone group; changes included tachycardia and a possible mild conduction anomaly.

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# Evidence Table 23. Adverse events in trials in patients with autism

Study year	Interventions (Mean daily dose range)	N	Duration	Method of adverse effects assessment	Overall withdrawals
Study, year	(Mean daily dose, range)	IN .	Duration	assessment	Overall withdrawais
Pandina et al, 2004 (poste subgroup analysis of Shea 2004) Canada	r, Risperidone 1.17 mg (0.04 mg/kg), range not reported	55	8 weeks	Adverse events, vital signs, weight, ESR at every visit; biochemistry, hematology urinalysis, and 12-lead ECG at baseline and endpoint.	* *

Canada

#### Evidence Table 23. Adverse events in trials in patients with autism

# Withdrawals due Study, year to adverse events Weight gain

Pandina et al, 2004 (poster, 1 risperidone, 1 subgroup analysis of Shea, placebo. 2004)

Mean weight gain (SD) at 8 weeks: risperidone: 13.8 (5.4) to 14.9 (5.7) kg placebo: 12.4 (SD 4.0) to 12.9 (SD 4.4) kg

Other adverse effects reported

Most common adverse event was somnolence, more

frequent with risperidone (74% vs 7%)

Other AEs occurring in >10%, risperidone (N=27) vs

placebo (N=28):

diarrhea: 7.4% vs 17.9% vomiting: 11.1% vs 21.4% increased saliva: 14.8% vs 3.6% increased appetite: 11.1% vs 3.6%

aggression: 3.7% vs 10.7% agitation: 3.7% vs 10.7% anorexia: 11.1% vs 3.6% somnolence: 74.1% vs 7.1% insomnia: 3.7% vs 17.9% cough: 14.8% vs 10.7% rhinitis: 25.9% vs 7.1%

fever: 25.9% vs 17.9%

influenza-like symptoms: 11.1% vs 3.6% upper respiratory infection: 40.7% vs 17.9%

urinary incontinence: 7.4% vs 14.3%

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### Evidence Table 24. Adverse events in trials in patients with disruptive behavior disorder

Study, year	Interventions (Mean daily dose, range)	N	Duration	Method of adverse effects assessment	Overall withdrawals	Withdrawals due to adverse events
Placebo-controlled trials						
Aman et al, 2002 Risperidone Disruptive Behavior Study Group US	Risperidone 1.16 mg	118	6 weeks	Physical exams and EKGs at screening and the end of treatment. Weekly safety assessments included visual analogue scale rating of sedation, Extrapyramidal Symptom Rating Scale score for the severity of extrapyramidal symptoms, and measures of vital signs and weight.		4% risperidone (somnolence), 0 placebo

### Evidence Table 24. Adverse events in trials in patients with disruptive behavior disorder

Study, year	Weight gain	Extrapyramidal symptoms	Other adverse effects reported
Placebo-controlled trials			
Aman et al, 2002 Risperidone Disruptive Behavior Study Group US	15% risperidone vs 2% placebo reported weight increase Mean weight increase risperidone: 2.2 kg (SD 1.8 kg) placebo: 0.9 kg (SD 1.5 kg) (p<0.001)	-0.2 (p=0.72) Score on neurologic examination, mean change from baseline to 6 weeks Total: -0.2 vs -0.2 (p=0.72) Parkinsonism: -0.6 vs -0.1 (p=0.48) Dystonia: vs 0.2 (p=0.32) Dyskinesia: -0.1 vs 0.1 (p=0.09) Buccolinguomasticatory: 0.0 vs 0.1 (p=0.16)	98% of risperidone, 70% placebo reported any adverse event.  Most common AEs (risperidone vs placebo): somnolence (51% vs 10%); headache (29% vs 14%); vomiting (20% vs 6%); dyspepsia (15% vs 6%); weight increase (15% vs 2%); elevated serum prolactin (13% vs 2%); increased appetite (11% vs 6%); rhinitis (11% vs 5%)  Temporary 11 beats-per-minute increase in heart rate occurred during first 2 weeks of treatment in risperidone group compared with placebo
		Choreoathetoid movements: -0.1 vs 0.0 (p=0.27)	(p=0.006). No QTc abnormalities.
			At endpoint, mean visual analogue scale score for sedation (higher score indicative of sedation) was 5.9 for risperidone and -2.02 for placebo (p=0.008).

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### Evidence Table 24. Adverse events in trials in patients with disruptive behavior disorder

Study, year	Interventions (Mean daily dose, range)	N	Duration	Method of adverse effects assessment	Overall withdrawals	Withdrawals due to adverse events
Buitelaar, 2001 The Netherlands	Risperidone 2.9 mg (range 1.5 to 4 mg)	36	6 weeks	Extrapyramidal Symptom Rating Scale (ESRS). Completed by the psychiatrist at the end of 2-week baseline period, end of 6-week double-blind period, and end of 2-week washout. At each clinical visit, patients asked if they had experienced any dicomfort since the last visit, patients weighed at each clinical visit, Cognitive function assessed, but results not reported (states results will be reported separately).	0 risperidone (1 patient withdrew after washout) 10.5% placebo	None
Findling et al, 2000 US	Risperidone 0.028 mg per kg (range 0.75-1.5 mg)	20	10 weeks	Neurological side effects measured with the AIMS, Neurological Rating Scale at baseline and at each study visit. Other side effects assessed at each study visit using the Dosage Record and Treatment Emergent Symptom scale. Vital signs and weight obtained at baseline and each study visit. Physical exam and EKG at screening and study's end. Third EKG at week 5.	40% risperidone, 70% placebo.	1/10 (10%) risperidone (rash); 0 placebo.

### Evidence Table 24. Adverse events in trials in patients with disruptive behavior disorder

Study, year	Weight gain	Extrapyramidal symptoms	Other adverse effects reported
Buitelaar, 2001 The Netherlands	Mean increase: risperidone: 2.3 kg (3.5%, range, -1 to +6 kg) placebo: 0.6 kg (1.1% range -4 to +6 kg)	Increase in parkinsonism (risperidone vs placebo) on ESRS: 0.6 vs vs —0.5 (p<0.05)  NSD for other ESRS clusters. 21% risperidone vs 0 placebo had mild difficulty swallowing or talking (p<0.05).  At washout, ESRS scores of cluster I and II decreased significantly for risperidone group (p<0.05)	Prolactin concentration increased signficantly in risperidone group. No prolactin-related AES reported. No clinically relevant ECG abnormalities, no effect on TT interval.
Findling et al, 2000 US	Mean predicted weight gain: risperidone: 4.2 kg placebo: 0.74 kg (p=0.003)	No parkinsonian symptoms or acute dystonic reactions. No patient developed any abnormal involuntary movements.	80% of risperidone and 40% placebo patients experienced at least one side effect.  Side effects attributable to study medication: increased appetite (3 risperidone) sedation (3 risperidone, 2 placebo) headache (1 risperidone, 1 placebo) initial insomnia (1 risperidone) restlessness (1 risperidone) irritability (1 risperidone) enuresis (1 placebo) nausea/emesis (1 risperidone, 1 placebo)  No clinically significant changes in any laboratory value or electrocardiogram. No elevations in serum transaminase or bilirubin levels.

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### Evidence Table 24. Adverse events in trials in patients with disruptive behavior disorder

Study, year	Interventions (Mean daily dose, range)	N	Duration	Method of adverse effects assessment	Overall withdrawals	Withdrawals due to adverse events
Snyder et al, 2002 Risperidone Conduct Study Group	Risperidone 0.98 mg (range 0.40-3.8 mg)	110	6 weeks	Extrapyramidal Symptom Rating Scale (ESRS).	11.3% risperidone 33.3% placebo	e, None

### Evidence Table 24. Adverse events in trials in patients with disruptive behavior disorder

Study, year	Weight gain	Extrapyramidal symptoms	Other adverse effects reported
Snyder et al, 2002	Weight gain	Extrapyramidal Symptom Rating Scale score,	86.8% risperidone vs 73.7% placebo patients had
Risperidone Conduct Study	risperidone: 2.2 kg	mean change from baseline to 6 weeks	at least one adverse event.
Group	placebo: 0.2 kg	(risperidone vs placebo)	Most common were somnolence, increased
	(p<0.001)	Total score: -03 vs -0.2 (NS)	appetite, dyspepsia, abnormal crying, headaches,
	Body mass increase	Bucco-linguo-masticatory: remained at 0.0 for	urinary incontinence, hyperprolactinemia, and
	risperidone: 1.2	both groups	weight increase.
	placebo: 0.1	Parkinsonism: -0.3 vs -0.2 (NS)	No drug-related changes in heart rate and QTc. No
	(p<0.001)		ECG changes judged to be clinically significant.
		7 risperidone vs 3 placebo patients rated as	
		having some EPS.	
		0 risperidone vs 1 placebo patient rated as	
		having emergence of tardive dyskinesia.	