

IN THE SUPERIOR COURT OF THE STATE OF ALASKA
THIRD JUDICIAL DISTRICT AT ANCHORAGE

IN THE MATTER OF THE NECESSITY)
FOR THE HOSPITALIZATION OF)
WILLIAM S. BIGLEY,)
)
Respondent.)
)

Case No. 3AN-08-1252 PR

DEPOSITION OF [REDACTED] - [REDACTED], M.D.

Tuesday, November 4, 2008
10:00 a.m.

Taken by Counsel for William S. Bigley
at
The Offices of Law Project for
Psychiatric Rights
406 G Street, Suite 206
Anchorage, Alaska

1 A-P-P-E-A-R-A-N-C-E-S
 2
 3

For William S. Bigley:
 James B. Gottstein
 LAW PROJECT FOR PSYCHIATRIC RIGHTS
 406 G Street, Suite 206
 Anchorage, Alaska 99501
 (907) 274-7686

For The State of Alaska:
 Laura Derry
 Erin Pohland
 Attorney General's Office
 1031 West Fourth Avenue, Suite 200
 Anchorage, Alaska 99501
 (907) 269-5140

Court Reporter:
 Sonja L. Reeves, RPR
 PACIFIC RIM REPORTING
 711 M Street, Suite 4
 Anchorage, Alaska 99501

12
 13
 14
 15
 16
 17
 18
 19
 20
 21
 22
 23
 24
 25

1 ANCHORAGE, ALASKA; NOVEMBER 4, 2008
 2 10:00 A.M.
 3 -00-

4 [REDACTED]
 5 deponent herein, being sworn on oath,
 6 was examined and testified as follows:
 7 MS. POHLAND: The state would like to object
 8 that discovery is taking place. We believe discovery is
 9 impermissible under the statute, and that, furthermore,
 10 notice was improper for these depositions.

11 The state is going to be filing a motion for
 12 reconsideration on its motions to quash, and the state
 13 will also be filing an additional protective order to
 14 maintain the confidentiality of these deposition
 15 transcripts.

16 EXAMINATION

17 BY MR. GOTTSSTEIN:

18 Q. What's your name?

19 A. [REDACTED].

20 Q. I'm going to give you a copy of the subpoena.
 21 Does that look familiar?

22 A. Yes, it does.

23 Q. And then the back side had this attached to it.
 24 A. Yes, I do.

25 MR. GOTTSSTEIN: Could we mark that as

1 I-N-D-E-X
 2

3 EXAMINATION BY

PAGE

4 Mr. Gottstein 4

5 Ms. Derry 46

6 FURTHER EXAMINATION BY

7 Mr. Gottstein 62

10 EXHIBITS

11 A Subpoena (2 pgs.) 5

12 B Curriculum Vitae (3 pgs.) 5

13 C Medical Records (37 pgs.) 14

14

15

16

17

18

19

20

21

22

23

24

25

1 EXHIBIT A.

2 (Exhibit A marked.)

3 Q. It listed a number of things for you to bring.

4 Did you bring any of those?

5 A. The only thing that I thought that is appropriate
 6 to bring was my resume and my board certification, and
 7 my resume is not the most update.

8 It's the one that I wrote two years ago, but very
 9 much everything is the same. And my board certification
 10 is the most recent one.

11 Q. Okay. But you have been employed at API since
 12 then, right; is that correct?

13 A. Yes.

14 MR. GOTTSSTEIN: Could we mark that as B, I
 15 guess?

16 (Exhibit B marked.)

17 Q. And then the third thing is -- the second thing
 18 is a chart, but API provided me a copy of that, so
 19 that's fine.

20 Then the third one, so you don't have -- you
 21 didn't bring a report, right?

22 A. No, I did not.

23 Q. Have you ever had your deposition taken before?

24 A. No. I don't recall. I think this may be my
 25 first deposition.

1 Q. Do you know what it's for? I should have started
 2 that out --
 3 A. No. If you would educate me, that would be
 4 great.
 5 Q. Of course, you filed, or API has filed a petition
 6 to give the respondent drugs against his wishes, and so
 7 I'm his attorney.
 8 This part is what's called discovery, which
 9 Ms. Pohland doesn't think that I'm entitled to do, but
 10 in any event, the idea is for me to get a chance to find
 11 out what -- well, you know, what your version of the
 12 facts are, let us say, in order to allow me to prepare.
 13 So this is -- it's like testimony in court,
 14 except that, you know, the idea is that we will kind of
 15 hone things down so we don't have to take that much time
 16 with everybody in the courtroom, so that's the way I
 17 would describe it.
 18 You filed a petition -- I think -- did you sign
 19 the petition?
 20 A. Yes.
 21 Q. So did you consider -- do you know about the
 22 Myers case?
 23 A. To some level. To some level, but if you want to
 24 review it, that would be great.
 25 Q. So I guess my question is what factors under

1 Myers did you consider before filing the petition?
 2 MS. POHLAND: Objection. The deponent has
 3 already stated that she is not that familiar with the
 4 case.
 5 Can you rephrase the question, please? She
 6 is not an attorney.
 7 Q. Are you unfamiliar with the Myers' requirements?
 8 A. As I said, I am familiar to the superficial
 9 level, so in order for me to answer that question,
 10 probably I would ask you to review in the summary of a
 11 few statements then I would be more comfortable.
 12 Q. Have you ever consulted -- I'm not asking about
 13 any content, but have you ever consulted with anybody at
 14 the attorney general's office about the requirements of
 15 Myers?
 16 A. Actually, I should be honest, API is very good at
 17 it, continuously updates us with some of these cases.
 18 But for me, I need to recall it again, review it
 19 and make sure my understanding is exactly what API
 20 educated me about.
 21 Q. What did they educate you about --
 22 A. As I said --
 23 Q. -- with respect to --
 24 A. It had to do -- the case had to do with that
 25 individual, with Myers, who has taken medication against

1 his wishes and he did not want that and that went to the
 2 court.
 3 Q. What medications are you -- medication or
 4 medications are you seeking authorization to use to
 5 administer to the respondent, Mr. Bigley, under that
 6 petition, not counting the emergency medications, just
 7 the regular ones?
 8 A. I think it's still early. I still would like to
 9 see if I could bring it up to discuss it, even though
 10 Bill or Mr. Bigley is in a state that cannot make a
 11 rational thinking, but I would like to bring it up
 12 before I start any medication to give him a chance and
 13 discuss a few options that I have in mind, and then to
 14 finalize my decision.
 15 Q. So at this point, you don't really know what
 16 medication you are going to ask the court to authorize?
 17 A. No, I'm not saying that. I am considering both,
 18 more favoring towards the typical antipsychotic, the new
 19 antipsychotic medication, which is newer, but, again, I
 20 would like to give that opportunity to Mr. Bigley and
 21 then give him a few options of the old antipsychotic
 22 medication versus new antipsychotic medication.
 23 And I am favoring more towards new antipsychotic
 24 medication because the record has indicated some
 25 sensitivity to the older antipsychotic medication.

1 Q. Here is my problem is that under Myers, and your
 2 lawyers may disagree with you, that -- or with me, I
 3 should say, that you need to seek specific authorization
 4 to administer specific drugs against his wishes with
 5 specific doses, and so -- and I'm trying to find out
 6 what you're going to ask the court tomorrow.
 7 What I understand is that you don't really know
 8 at this point?
 9 A. No, I do know. As I said, to be specific, at
 10 this point, I am focusing more on Risperidone, or second
 11 choice is probably I go to Zyprexa.
 12 And the main part of it is sticking more with
 13 Risperidone versus Zyprexa is because Mr. Bigley has a
 14 long-standing medication noncompliance, and the
 15 Risperidone comes in the long-acting form, which he
 16 could receive some injection form, could say more stable
 17 and then helps him be more compliant.
 18 So in that aspect, as I said, probably that is
 19 what I'm focusing on, but just like any of my other
 20 patients, I like to give them that opportunity to
 21 discuss it with them to see if they could give a
 22 rational thought and give me choices that is more
 23 favorable to them versus what I recommend to them.
 24 Q. Would Risperidone be basically the same as what
 25 you were asking for in May?

1 A. I believe so, but I have to look at that record,
 2 but high probability it was Risperidone.
 3 Q. That dosages and stuff?
 4 A. Well, dosages probably would be the same.
 5 However, the long-acting form is very fixed. You start
 6 with 25 milligrams. The next one is 37 and a half, and
 7 then 50 milligram every two weeks.
 8 Just like any other patient, I try to start low
 9 dose. Even though he has been exposed to that
 10 medication in the past, still I like to go very safe,
 11 very conservative, low dose, and then gradually increase
 12 it based on how he responds to the first initial dose.
 13 Q. What side effects would you consider in making
 14 that decision?
 15 A. Well, overall with the newer antipsychotic
 16 medication, I would disclose to the patient that they
 17 have lower possibility of tardive dyskinesia and EPS
 18 that they have faced with older antipsychotic
 19 medication, and this is the side effect, the TD.
 20 I haven't seen it, but his old chart indicated
 21 that he may have shown or he has shown some sensitivity,
 22 so keeping that in mind that they have a lower risk, the
 23 possibility is a lot lower.
 24 But the side effect of Risperidone specifically
 25 would be weight gain, which in this case for Mr. Bigley

1 probably would be beneficial; some sedation, which,
 2 again, giving it perhaps it improves his sleep. And I
 3 said about weight gain.
 4 Hypertension, some mild headache and
 5 hyperprolactinemia. Those are some of the main
 6 significant side effects that I would be concerned and I
 7 would be monitoring very closely.
 8 And if Bill has the threshold to listen and be
 9 able to rationally process, I would share it with him.
 10 And along with the other side effects which I mentioned,
 11 but is not as -- of course, every side effect is
 12 significant, but is not -- the percentage of it is kind
 13 of in a lower rate.
 14 Along with those side effects, with every
 15 patient, we do monitor for any other side effects
 16 because every individual may respond to a medication and
 17 have a different side effect that may not have been --
 18 have not been observed in other individuals.
 19 Q. Okay. So if Mr. Bigley agreed to take the
 20 medications then that would be -- then that's what you
 21 would give him?
 22 MS. DERRY: Objection. That's a leading
 23 question that is going to one of the elements of the
 24 charge.
 25 MR. GOTSTEIN: It's not --

1 MS. DERRY: Mr. Gottstein, are you asking
 2 her -- for my own clarification to decide whether or not
 3 she should answer the question -- whether or not if she
 4 asks him if he would take a medication that that means
 5 he is capable of informed consent?
 6 Are you asking her that in the guise of a
 7 hidden question?
 8 MR. GOTSTEIN: No, I think that's the -- I
 9 think that's a legal conclusion from it, so I asked her
 10 if he agreed to it, would she give it to him. That was
 11 the question.
 12 MS. DERRY: Without a court order? I would
 13 like this question to be more specific.
 14 MR. GOTSTEIN: Yeah, without a court order.
 15 A. Well, I'm not clear without court order. As far
 16 as I understand right now, my patient is in a psychotic
 17 state. He doesn't have any insight to his mental
 18 illness.
 19 He cannot give me informed consent from my
 20 evaluation, so I respect the statute. I cannot give any
 21 medication against their wishes unless it's an emergency
 22 situation, so until the court really does grant me that,
 23 I cannot make any conclusion from my approach.
 24 Q. Okay. What do you consider Mr. Bigley's
 25 prognosis with and without the medication?

1 A. Unfortunately, based on his long-standing mental
 2 illness and long history of hospitalization, and long
 3 history of non-medication compliance, he continues to
 4 deteriorate, and every time his baseline is changing.
 5 So putting those together, and then his lack of
 6 psychosocial support is really declining his symptoms,
 7 so prognosis is gradually declining, and is already,
 8 unfortunately, not very promising, very favoring.
 9 But definitely with medication, he has shown some
 10 level of stability and was able to have a higher quality
 11 of life.
 12 Q. So is it fair to say that his condition has
 13 declined over time?
 14 A. It's very difficult for me to answer that
 15 question from the aspect of since I have not known Bill
 16 -- I got to know Bill for a year or two years, so I'm
 17 just only judging based on the record and based on my
 18 evaluation.
 19 And definitely since I have been working with
 20 him, with more time, admission to API, I have not --
 21 definitely I have seen him deteriorated more by him
 22 going more to the prison, not having a stable home
 23 setting.
 24 Even the Paradise Inn, which is his residential
 25 state, it seems like that is also a challenge, so

1 putting that on the picture, so I think the answer is
 2 that it's not very positive.
 3 Q. So when you say "review his record," how far back
 4 did you go?
 5 A. I can't pinpoint -- I could say I have seen it
 6 from 2006, but from past, I may have gone further, but
 7 I'm not really sure. I have to look at my note.
 8 Every day I look at all of my patients, try to go
 9 as far back as I can, so I cannot really specifically
 10 say how far back, but, definitely, I have seen his chart
 11 sometime from 2006 up to this date.

12 MR. GOTTSSTEIN: You know, let's mark this as
 13 C.

14 (Exhibit C marked.)

15 Q. So on page one there, it's kind of -- the footer
 16 is right in some handwriting there, which I didn't
 17 notice before I printed it out.

18 Is that a record from API?

19 MS. DERRY: Objection.

20 A. It looks familiar.

21 MS. DERRY: Dr. [REDACTED] doesn't have personal
 22 knowledge of this. This is not the record that she has
 23 created herself.

24 Q. Does it look -- is it a record from API?

25 A. It says -- it looks like API record. It says

1 Alaska Psychiatric Institute and our form looks like
 2 that, so I would imagine it should be.

3 Q. Now, down at the bottom there is an asterisk.
 4 Again, it got covered up a little bit.

5 A. Uh-huh.

6 Q. Can you read what it says?

7 A. It says, "No emergency IM medication."

8 Q. So why would that be put there?

9 MS. DERRY: Objection; speculation. Do not
 10 answer that question.

11 MS. POHLAND: I'm going to object on the
 12 relevance given that --

13 MR. GOTTSSTEIN: Are you instructing her not
 14 to answer?

15 MS. DERRY: Mr. Gottstein, if she didn't
 16 create this record, how do you expect her to answer that
 17 question?

18 BY MR. GOTTSSTEIN:

19 Q. Let me ask a different question. Reading that,
 20 what would you understand that to mean?

21 MS. POHLAND: Object to relevance. This is
 22 for -- it appears to be from April 2008. The hearing at
 23 issue is for an October 2008 indication.

24 Q. You can go ahead and answer that.

25 A. So as I said, I did not write that order and I do

1 not -- this is basically -- it means to me that this
 2 individual should not be given emergency IM medication.
 3 Usually what that means that if this individual,
 4 the crisis does come to the point that it becomes a
 5 concern of safety for himself and others, then they need
 6 to contact the clinician who is assigned to him or who
 7 is on call to be contacted.

8 Q. So why should it be different for Mr. Bigley than
 9 other patients?

10 A. I don't know if it is different or not. I cannot
 11 answer that question.

12 Q. If it's not different, why would it be written
 13 there?

14 A. Like I say, I cannot answer that question at the
 15 present time.

16 Q. Turning to the second page.

17 A. Uh-huh.

18 Q. The second entry.

19 A. Uh-huh.

20 Q. Can you read that to me?

21 MS. POHLAND: Object to relevance. Can we
 22 just do a continuing objection based on relevance?

23 MR. GOTTSSTEIN: Sure.

24 A. Are you talking about the handwritten?

25 Q. Yeah. The order part. It's 5/6/08, 12:20 is the

1 time. And then just what does the order say? It's on
 2 the back side.

3 A. It says on 5/6/2008, 12:20, it says, "Haloperidol
 4 five milligrams IM every six hours PRN for severe
 5 agitation and psychosis, emergency IM, and 24 hours, I
 6 think."

7 Then they are saying Dr. Hobson, who was our
 8 medical director at that time, has ordered that
 9 medication.

10 Q. So what does "PRN" mean?

11 A. It means on a needed base.

12 Q. As needed?

13 A. Uh-huh.

14 Q. So it says -- what -- so does that mean as needed
 15 for severe agitation?

16 A. For severe agitation and psychosis, yes.

17 Q. So what does "severe agitation" mean?

18 A. Well, for every -- I don't know what you mean
 19 severe agitation, from what aspect you are discussing
 20 it.

21 Q. Well, would that include yelling and screaming
 22 and slamming doors, for example?

23 A. It is a combination of things. Every individual
 24 is different. So every individual is different, and the
 25 meaning just doesn't go for yelling and screaming.

1 Q. So have you been given training on emergency
2 medication?
3 A. I think that is --
4 MS. POHLAND: Objection to relevance.
5 A. I don't really know what you mean by "training".
6 As a physician, as a clinician, you're trained for
7 everything that is necessary to manage a patient.
8 Q. Did you get any training from API on emergency
9 medication?
10 A. Well, in what aspect? We do have P&P, which
11 talks about emergency medication, and the statute or
12 limitation, and what situation is considered more of an
13 emergency than non-emergency.
14 Q. And then what's your understanding of what
15 constitutes an emergency under the statute?
16 MS. POHLAND: Objection; relevance.
17 A. Emergency is, as I said, every individual patient
18 is different. You cannot just put -- you cannot take it
19 under -- you have to look at the whole aspect of the
20 situation, but in most cases, as the situation comes in,
21 or to be specific for Mr. Bigley, when he is showing
22 marked psychotic symptoms to the point that he may put
23 himself in a vulnerable situation or other people around
24 himself in a vulnerable situation, or become a concern,
25 severe concern that he may hurt himself by, in his case

1 recently, like hitting his body against the wall may
2 cause a fracture, or through posturing it may invite
3 another individual, another patient to bring harm to
4 him.
5 So those are in summary of it. And also when the
6 individual is not responding to de-escalation that the
7 hospital takes when the oral medication is offered, when
8 time out is offered, when quiet room is offered, all of
9 those is not -- is not directly -- due to his state of
10 mind at that time, that he cannot process and he cannot
11 evaluate to see the vulnerability that he is putting
12 himself and others basically for his safety and others,
13 then at that situation emergency medication would take
14 place.

15 Q. So down at the bottom there -- 5/15, 2300 -- and
16 I'm sorry. I just have a hard time reading this, so if
17 you could read that one.

18 MR. POHLAND: You understand that Dr. [REDACTED]
19 did not actually make these?

20 MR. GOTTSTEIN: But if she can read it, that
21 would be great because then I can understand it.

22 MS. POHLAND: Is that the point of the
23 deposition though to have her read it for you?

24 MR. GOTTSTEIN: I'm asking questions about
25 the chart.

1 MS. POHLAND: Which are irrelevant given
2 that they don't relate to the admission at issue.
3 MR. GOTTSTEIN: Well, you can prolong this
4 as long as you want.
5 BY MR. GOTTSTEIN:
6 Q. So it starts -- I think the first word is
7 "Abilify"?
8 A. It says, "Abilify 9.75 milligrams IM. Benadryl
9 50 milligrams IM every six hours PRN for severe
10 agitation, psychosis. Emergency IM times 24 hours if
11 --" I can't read the other word -- "is still agitated,
12 give --"
13 Probably it is "continues to be still agitated
14 give Ativan 1 milligram times one IM," and so phone
15 order by Dr. Gomez.
16 Q. What does "IM" mean?
17 A. Intramuscular, the injection.
18 Q. What does one milligram times one IM mean?
19 A. That means only one time. They could give Ativan
20 one milligram only one time.
21 Q. When it says "Emergency IM times 24," that means
22 what?
23 A. That means that emergency, it said "every six
24 hours," so that means within 24 hours if another
25 emergency crisis comes and the consent continues to

1 exist, then another IM -- another order can be given.
2 Q. So this was written by Dr. Gomez; is that right?
3 A. The phone order by Dr. Gomez.
4 Q. And so now, who acts on this?
5 A. The nursing staff, the staff in the hospital.
6 Q. And who is that on Taku?
7 A. I don't know at that time what was under Taku.
8 Q. Who is it now on Taku?
9 A. Right now, we have Monica. I forgot her last
10 name. I'm not very good with names.
11 Q. "Atanik" or something like that?
12 A. That's his social worker.
13 Q. Monica something or another. Who else?
14 A. What do you mean "who else"?

15 Q. This is telling staff that you can do -- you
16 know, administer these drugs under these conditions, and
17 I want to know who it is that makes those decisions that
18 those conditions exist.

19 A. The doctor makes the decision to give the order
20 and the nurses give the medication to the patient.

21 Q. Right. And then I want to know who the nurses
22 are. It's the nurses that decide whether or not the
23 person is severely agitated?

24 A. No. It's the doctor evaluates -- the doctor gets
25 the information. The doctor decides if the patient

1 needs the medication or not, but the medication is given
2 by the nurses.

3 And then the different shifts, different dates we
4 do have different nurses in the unit.

5 Q. But this says "as needed," so who decides whether
6 it's as needed?

7 A. Well, for that situation, the order is given by
8 the doctor. When they review the chart, they review the
9 patient, they understand the patient, then they give
10 that.

11 Yes, at that time, based on the criteria that the
12 hospital follows, then the nurses would take the action
13 to give the medication.

14 Q. And so what I'm looking for is the names of the
15 nurses that --

16 MS. DERRY: Objection. Dr. [REDACTED] wasn't the
17 doctor who signed this order and cannot tell you who
18 gave that injection that day.

19 MR. GOTTSSTEIN: Well, currently on Taku.

20 MS. DERRY: What does that have to do with
21 this record though, Mr. Gottstein?

22 MR. GOTTSSTEIN: That's not the question.

23 MS. DERRY: You're asking her questions
24 about --

25 MS. POHLAND: Mr. Gottstein, if you would

1 would like the names of employees who work on Taku, you
2 are more than welcome to serve interrogatories upon API
3 to get that.

4 Dr. [REDACTED] has already testified that she is
5 not good with names. She gave you the name of one nurse
6 that she recalled.

7 BY MR. GOTTSSTEIN:

8 Q. But I'm asking you now, what are the names of
9 other nurses?

10 MS. POHLAND: Objection; asked and answered.

11 A. I can get you the names by tomorrow's court
12 hearing. I would be happy to get the list of all the
13 nurses, different shifts, and it can be provided to you.

14 Q. Can you do that by fax this afternoon?

15 A. I will see what the hospital could do.

16 Q. The next page, it's page 3 of 37, it says, "5/15/
17 Lorazepam."

18 A. Uh-huh.

19 Q. No, up above that, "Six hours PRN AAI." What
20 does "AAI" mean?

21 A. For agitation, aggression and psychosis -- no,
22 insomnia.

23 Q. Now, does that mean if either one of those exist?

24 In other words, if someone is -- can it be given just
25 for insomnia?

1 A. No. No. As I said, you just cannot take it
2 lightly just because what is there. They have to look
3 at the whole aspect.

4 IM medication usually is not given very lightly
5 to a patient. It's been evaluated and taken very
6 seriously before they consider to give IM medication, so
7 it's not as simple as somebody is agitated or somebody
8 cannot sleep, okay, we give him an IM medication.

9 API staff have a lot more respect for the patient
10 than to just lightly give that medication in the IM
11 form.

12 Q. So does that mean that all three of those have to
13 exist?

14 A. It's not actually exactly just all those three
15 have to exist. Those three exist, plus they look at the
16 whole global aspect of the thing, but sometimes you
17 cannot write three pages of a chart to name everything.

18 And they are trained, they know, they are
19 trained, and every specific patient is individualized,
20 so it is discussed in the treatment team with the staff.

21 So every patient we look at differently, but,
22 however, that pneumonic is, as I said, stands for
23 agitation, anxiety and insomnia.

24 Q. Actually, I think you said aggression.

25 A. Anxiety, agitation.

1 Q. Initially, you said agitation, aggression and
2 insomnia.

3 A. Agitation, aggression and insomnia.

4 Q. Which one is it?

5 A. I look at it as agitation, aggression and
6 insomnia.

7 Q. So someone else might interpret that as
8 agitation, anxiety and insomnia?

9 MS. DERRY: Objection; calls for
10 speculation.

11 A. No. Usually, the pneumonic is very standard.

12 Q. I'm just asking because you just said anxiety, so
13 I was just asking.

14 So there is -- so let me say, if someone was
15 extremely agitated, but not aggressive or have insomnia,
16 would that apply?

17 A. Again, it depends on the level of anxiety. As I
18 said, we talked about before what causes the -- what
19 pertains for the emergency medication, so it's not only
20 just because somebody is anxious or anxiety qualifies
21 them to get antipsychotic medication in the IM form.

22 Very much it stays with what I stated earlier
23 when you asked the question how we give the emergency IM
24 medication.

25 Q. So the nurses decide when that exists?

1 MS. POHLAND: Objection; asked and answered.
 2 A. I think I answered that before also.
 3 Q. That was PRN, so this is the same thing?
 4 A. Yeah. This is very much the same thing.
 5 Q. It says "PRN" here too.
 6 A. Yes.
 7 Q. I mean, I don't want to necessarily raise the eye
 8 of your lawyers, so it depends basically, is that fair?
 9 A. What do you mean by "depends"?
 10 Q. Depends on the patient?
 11 A. What I tried to say is that the care for every
 12 patient is individualized, and it has to be
 13 individualized because everybody presents themselves
 14 clinically different.
 15 So just because one person -- as I said, every
 16 individual person presents different. So in that case,
 17 you do go for individualized care, but the emergency
 18 medication at the end would conclude very much in a way
 19 that then becomes the concern of the safety of that
 20 individual or how they put themselves or others around
 21 themselves in a high risk of the safety.
 22 Then emergency medication would come when all the
 23 other aspect has not -- not able to de-escalate them.
 24 It is exactly very much what I mentioned earlier.
 25 Q. I understand that, let's say, with aggression.

1 Okay. So it seems to me that at a certain level of
 2 aggression clearly raises a safety issue, right?
 3 A. Uh-huh.
 4 Q. So let's leave that aside for now, but maybe
 5 we'll come back to it. But it's very hard for me to see
 6 how insomnia is a safety issue.
 7 MS. POHLAND: Objection to form.
 8 A. I did not say that. Actually, when you asked me
 9 earlier about it, I said agitation by itself, insomnia
 10 by itself does not -- just because somebody is not
 11 sleeping qualifies us to give them IM medication.
 12 I also mentioned earlier do not take that
 13 definition lightly. Not just because somebody has
 14 insomnia medication is forced.
 15 In that case, if that is the case, probably
 16 90 percent -- I'm just making -- that is not a solid
 17 90 percent. I'm saying a lot of individuals do have
 18 problems with sleep, so that means every individual gets
 19 IM medication? No.
 20 That is why I mentioned earlier don't get that
 21 small word of AAI as a whole global aspect of giving a
 22 patient IM medication.
 23 Q. So it seems like it's up to the discretion of the
 24 nurse; is that correct?
 25 A. It's not the discretion. At the end it comes

1 back, when the IM medication comes into the picture, the
 2 significant consent exists that individuals could hurt
 3 themselves or somebody around themselves, when that is
 4 very vivid and seen by every individual with the team,
 5 with the nursing, with the other staff that are working
 6 on providing care in that unit for that patient.
 7 MS. POHLAND: Mr. Gottstein, could we move
 8 onto perhaps something that Dr. [REDACTED] actually authored
 9 and/or the admission at question?
 10 (There was a short break.)
 11 MS. POHLAND: Note my continued objection to
 12 all of this questioning on emergency medication and
 13 admissions that don't relate to the current admission.
 14 BY MR. GOTTSSTEIN:
 15 Q. Okay. The second one down, that's 5/16?
 16 A. Uh-huh.
 17 Q. 0100. I think that is actually you that signed
 18 that one, isn't it?
 19 A. Yes.
 20 Q. Now, I read that -- how do you say that,
 21 Thorazine?
 22 A. Thorazine.
 23 Q. "50 milligrams IM, now AAI, locked seclusion."
 24 A. Uh-huh.
 25 Q. So what does "now" mean?

1 A. It means at that time.
 2 Q. So does that mean give it then?
 3 A. Yes. That means there is no time. The patient
 4 is so psychotic -- it always is like that, but, however,
 5 that means we need to act on it immediately.
 6 Q. Okay. And is that -- is that -- I have seen that
 7 in other places.
 8 Is that standard? When you see "now" on it, that
 9 means it's not like a PRN, it's do it now?
 10 A. Exactly.
 11 Q. I'm just trying to understand.
 12 MS. DERRY: Mr. Gottstein, can we clarify
 13 here? Are we looking at -- you just mentioned "locked
 14 seclusion".
 15 Are we looking at the second entry or the
 16 first?
 17 MR. GOTTSSTEIN: Second.
 18 A. Also, I want to clarify. That order, I cosigned.
 19 Actually, it was ordered by Dr. Gomez, but I cosigned
 20 that order.
 21 Q. Why was that?
 22 A. Well, usually it is very common in every
 23 hospital, for example, when the doctor -- this has
 24 happened in the morning, 1:00, so Dr. Gomez was on call,
 25 so they have contacted him and they have expressed the

1 concern and they presented his clinical symptom.
 2 And Dr. Gomez found it appropriate for the
 3 patient to get medication at that time. And the next
 4 day, as I was his clinician who provided care for him --
 5 of course, you know, every morning, I review to see
 6 where my patients are and how they are doing and what
 7 has happened the night before.

8 I recognized that, and then I confirmed that I
 9 have seen that order and that is how it goes.

10 Q. Okay. Now, what does "locked seclusion" mean?
 11 A. That means the patient has been -- was not
 12 following -- from the aspect he was so agitated, he was
 13 so psychotic -- again, I wasn't there. I don't know.

14 But in an aspect of a picture, by seeing that,
 15 probably he was markedly psychotic, he was not
 16 responding to redirection, he was not taking oral
 17 medication, he was not following all the aspects that I
 18 talked earlier about emergency medication.

19 And still they decide to put him in the quiet
 20 room, which is different than the room that they stay,
 21 and then they locked it because they could not restrain
 22 him.

23 And he was probably putting himself in a more
 24 vulnerable situation, so they decided that probably he
 25 would benefit from the medication, plus in a place that

1 the door could be locked that he put himself inside
 2 instead of coming out and put himself in more vulnerable
 3 state.

4 Q. So I'm a little confused. Did this authorize
 5 locked seclusion or did it ratify locked seclusion?

6 MS. DERRY: Objection.

7 A. Explain to me what you mean by "ratify".

8 Q. Did they -- was he put in locked seclusion and
 9 then you signed and say, "Yes that was okay," or does
 10 this signing this say it is okay to put him in locked
 11 seclusion?

12 A. This tells me that Dr. Gomez ordered this
 13 medication should be given to the patient and he should
 14 be put in the quiet room, and then, for that moment, the
 15 door should be locked.

16 Usually, that doesn't mean they stay locked for a
 17 long time. It all depends when the patient is calm and
 18 could be safe again to come out of that room.

19 For that moment, it just meant for that moment.
 20 Of course, in 10 minutes or 15 minutes or 1 hour later
 21 the setting may happen totally different.

22 As the patient takes medication, he may calm
 23 down, respond to the medication and he did not need to
 24 be anymore in the locked seclusion.

25 Q. Now, on the fourth one, or second one up from the

1 bottom, you know, I can never say --
 2 A. Chlorpromazine.
 3 Q. "50 milligram IM now for AAI," and then it looks
 4 like "XT dose due to psychotic agitation."

5 Is that correct?

6 A. Uh-huh.

7 Q. And "XT" means?

8 A. It means one time, times one time dose, only one
 9 time. This is authorized only one time. This is the
 10 one I did order because it shows that.

11 Q. Now, were you actually -- did you actually
 12 observe him or was this called in or do you remember?

13 A. I can't remember. I have to look at the chart.

14 Q. On page seven, under "prognosis," it says, "The
 15 patient refuses psychiatric treatment and this refusal
 16 is facilitated by his attorney."

17 A. Uh-huh.

18 Q. I assume that's me?

19 MS. DERRY: Objection; calls for
 20 speculation.

21 Q. Is that me?

22 A. I'm not really sure. I have to look at the chart
 23 and see what it meant.

24 MS. POHLAND: Mr. Gottstein, just to remind
 25 you, Dr. [REDACTED] has to get back to work, so we're going

1 to want to try to wrap things up so Ms. Derry has time
 2 to ask some questions as well.
 3 Q. I mean, it's obviously -- okay. And going back
 4 to that, so I would read into that that patient's
 5 refusal -- by "psychiatric treatment" you mean the drug,
 6 right, drugs?

7 MS. POHLAND: Objection. Dr. [REDACTED] didn't
 8 author this record.

9 MR. GOTSTEIN: I believe she did.

10 MS. DERRY: Where does it say that?

11 A. Actually, Dr. Michaud wrote that and then I
 12 cosigned it.

13 Q. So is your understanding that "refuses
 14 psychiatric treatment" means refuses the medication?

15 A. I have to see what Dr. Michaud meant by that, but
 16 in my aspect, no, it's not only the medication. It's
 17 the whole aspect of getting appropriate --

18 For example, the treatment intervention that
 19 inside the hospital is offered, and probably, in this
 20 case, also when he gets discharged, he is not willing to
 21 work with his outpatient provider, he is not willing to
 22 have case management, he is not willing to work with the
 23 structure and social support that the clinician may feel
 24 like the patient would benefit from, so it's not only
 25 medication.