### PsychRights' Medicaid Fraud Initiative Against Psychiatric Drugging of Children & Youth

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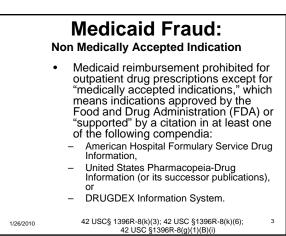
James B. (Jim) Gottstein, Esq. Law Project for Psychiatric Rights Jim.Gottstein@PsychRights.org http://PsychRights.org/

#### The Insane Psychiatric Drugging of America's Children and Youth

- Millions of Children Involved
- Very harmful with no proven benefit

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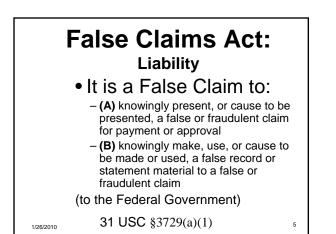
- Most harmful drugs and multiple drugs (polypharmacy).
- Children and Youth in State Custody Particularly vulnerable.





- · Amended in 1986 and just last year
- Allows citizens to bring suit on behalf of the government and share in recovery if any.
- Called "Relators" (for the King)

31 U.S.C §3729, et seq.



# False Claims Act: Knowingly Defined As: (i) Actual knowledge; (ii) Deliberate ignorance of the truth or falsity; or (iii) Reckless disregard of the truth or falsity

No proof of intent to defraud required

31 USC §3729(b)(1)(a)

### False Claims Act: Pfizer/Geodon Settlement

- Multiple Drugs/Relators
- \$2.3 Billion in Criminal Fine and *Qui Tam* Recovery
- \$1.3 Billion Criminal Fine & Forfeiture
- US and States split \$1 Billion civil recovery
- Qui Tam Relators split \$102 million
   Stefan Kruszewski, MD, \$29 million relator share for Geodon
- Promotion of Geodon for use in children for non-medically accepted indications. 7

## False Claims Act: Zyprexa Settlement

- \$1.4 Billion Combined *Qui Tam* & Criminal Penalties
- \$800 million Qui Tam Recovery
- Qui Tam Relators split \$79 million
- According to NY Times, the release of the Zyprexa Papers caused investigation to "gain momentum"

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#### These \$Billion Settlements Against Drug Manufacturers Not Stopping Massive, Inappropriate Psychiatric Drugging of Children & Youth

- Cost of doing business.
- Have established practice by psychiatrists and other prescribers
- The Government is continuing to pay the false claims
- Caps Liability

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# False Claims Act: Model Complaint Drafted for former foster youth, but anyone with non-public information (i.e., specific prescriptions) can bring. Cases percolating in a number of states.

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### False Claims Act: Model Complaint Defendants

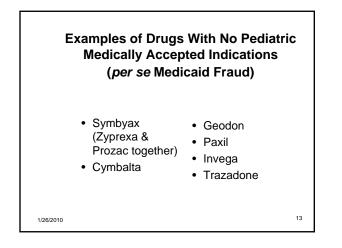
• Prescribers:

- Cause the Medicaid claims to be submitted
- Know or should know the prescriptions are not for medically accepted indications
- Employers liable for same reason
- Pharmacies:
  - Make the false claims
  - Know or should know not for medically accepted conditions

#### False Claims Act: US ex rel PsychRights v. Matsutani, et al. Additional Defendants • State Employees (personally) – Medicaid personnel approving claims – Program personnel submitting or causing false claims to be submitted • Continuing Medical Education Provider

 False information causing false claims

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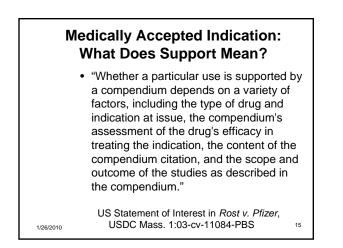
#### Other Pediatric non-Medically **Accepted Indications** (per se Medicaid Fraud)

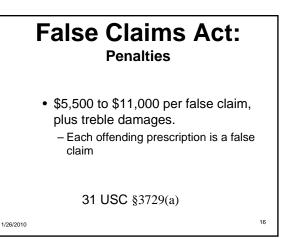
- Virtually All Polypharmacy?
- Otherwise, see Medically Accepted Indication Chart (DRUGDEX as a practical matter)
- For example, Oppositional Defiant Disorder is not a medically accepted indication for any neuroleptic, but seen it prescribed

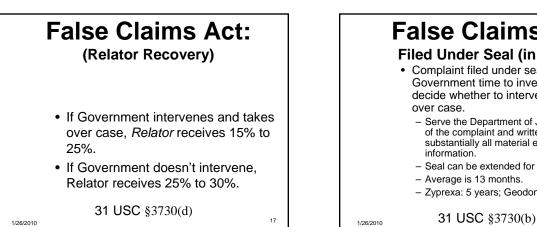
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 Estimate well over half are false claims.

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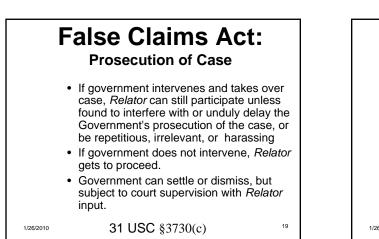


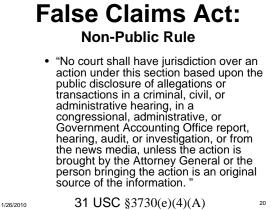


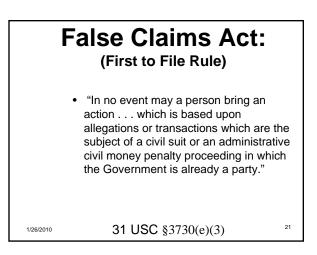


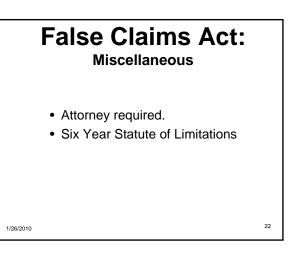


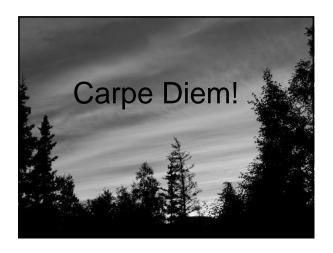
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#### Medically Accepted Indications for Pediatric Use of Psychotropic Medications by

#### The Law Project for Psychiatric Rights (PsychRights

Drug	Indication (diagnosis)	FDA Approval	DRUGDEX Support for Off-Label Use	DRUGDEX Recommendation Level			
Key:	White Background: Medically Accente	White Background: Medically Accepted Indication					
		Orange Background: Pediatric Indication cited, but not supported by DRUGDEX					
	Red Background: No Pediatric FDA Approval or DRUGDEX citation						
Abilify (Aripiprazo	ole) - Antipsychotic						
	Bipolar I Disorder - Adjunctive therapy with lithium or valproate for Acute Manic or Mixed Episodes	Yes (for 10 yrs old and up)					
	Bipolar I Disorder, monotherapy, Manic or Mixed Episodes	Yes (for 10-17 years old re acute therapy)					
	Schizophrenia	Yes (for 13-17 years old)					
Adderall (amphet	tamine/dextroamphetamine ) - Central Nervous System Agen	nt; CNS Stimulant					
	Attention Deficit Hyperactivity Disorder (ADHD)	Yes (for 3 years old and up re: [immediate-release] and 6 years old and up re: [extended-release] drug Yes (for 6 years old and up					
	Narcolepsy	re: [immediate release] drug)					
Anafranil (clom	ipramine) - Antidepressant; Antidepressant, Tricyclic; Cent	0/					
(cioni	iprunine) – rindepressunt, rindepressunt, rinegene, cent	ful Hervous System Algent					
	Depression	No		Class IIb			
	Obsessive-Compulsive Disorder	Yes (for 10 years and up)					
<u>Clorazil (</u> clozapin	e) – Antipsychotic; Dibenzodiazepine						
	Bipolar I Disorder	No		Class IIb			
	Schizophrenia, Treatment Resistant	No		cited, with no recommendation level			
Concerta (methy	lphenidate) - Amphetamine Related; Central Nervous Syster	n Agent; CNS Stimulant					
	Attention Deficit Hyperactivity Disorder (ADHD)	Yes (for 6 years old to 12 years old)					
	Attention Deficit Hyperactivity Disorder (ADHD)	Yes (for 6 years old and up) re ConcertaR					
	Autistic Disorder	No		Class IIb			
	Impaired Cognition - inding related to coordination/ in coordination	No		Class IIb			
	Schizophrenia	No		Class III			
	Traumatic Brain Injury	No		Class IIb			
Cymbalta (dulor	etine) - Antidepressant; Central Nervous System Agent; Ner		Noreninenhrine Reuntake				
	ic acid) – Anticonvulsant; Antimigraine; Valproic Acid (cla						
	Absence Seizure, Simple and Complex and/or Complex Partial Epileptic Seizure	Yes (10 years and older)					
	Mania	No		Class IIII			
	Mental Disorder - Mood Disorder	No		Class IIb			
	Chorea	No		Class IIb			

January 24, 2010 Any errors in this document should be brought to the attention of Jim Gottstein at PsychRights.Org Jim.Gottstein@PsychRights.Org, Tel. (907) 274-7686, Fax (907) 274-9493

### Medically Accepted Indications for Pediatric Use of Psychotropic Medications by The Law Project for Psychiatric Rights (PsychRights

Drug	Indication (diagnosis)	FDA Approval	DRUGDEX Support for Off-Label Use	DRUGDEX Recommendation Level
Dexedrine (dextroam)	phetamine) - Amphetamine (class); CNS Stimulant			
	Attention Deficit Hyperactivity Disorder (ADHD)	Yes (for 3 years to 16 years old (immediate-release) and age 6 years to 16 years old (sustained-release))		
	Narcolepsy	Yes (for 6 years old and up)		
Desyrel (trazadone) -	Antidepressant; Triazolopyridine			
Effexor (venlafaxine) -	- Antidepressant; Antidepressant, Bicyclic; Phenethylan	nine (class); Serotonin/ Norepine	phrine Reuptake Inhibitor	
` ,		No	L L	
	Attention Deficit Hyperactivity Disorder (ADHD) Generalized Anxiety Disorder	No		Class IIb Class IIb
	Major Depressive Disorder	No		Class IIb
	Severe Major Depression with Psychotic	"See Drug Consult Reference		
	Features	DEPRESSION - DRUG THE		
	Social Phobia	No		Class IIb
Focalin (dexmethylphe	nidate) - Amphetamine Related; CNS Stimulant			
	Attention Deficit Hyperactivity Disorder (ADHD)	Yes (for 6 years and older)		
Geodon (ziprasidone) -	Antipsychotic; Benzisothiazoyl			
	Antipsychotic; Butyrophenone; Dopamine Antagonis			
( 1117)	Agitation	No		Class IIb
	Hyperactive Behavior, (Short-term treatment)			
	after failure to respond to non-antipsychotic medication and psychotherapy	Yes (for 3 years old and up)	(decanoate) is FDA	
	Problematic Behavior in Children (Severe), With failure to respond non-antipsychotic medication or psychotherapy	Yes (for 3 years old and up)		
	Psychotic Disorder	Yes (for 3 years old and up but ORAL formulations only)	use. DRUGD safety and effi	-
	Schizophrenia	Yes (for 3 years old and up but ORAL formulations only)		
	- Antipsychotic; Benzisoxazole			
Lamictal (lamotrigine	) - Anticonvulsant; Phenyltriazine			
	Bipolar Disorder, Depressed Phase	No		Class IIb
-	Epilepsy, Refractory	No	Class IIa	
Lexapro (escitalopram)	- Antianxiety, Antidepressant, Serotonin Reuptake Inhib	itor		
	Major Depressive Disorder	Yes (for 12 years old and up)		
Luvox (fluvoxamine) -	Antidepressant; Central Nervous System Agent; Seroto	onin Reuptake Inhibitor		
	Asperger's Disorder	No		Class IIb
	Obsessive-Compulsive Disorder	Yes (for 8 years old and up and immediate release formula only)		
	Severe Major Depression with Psychotic Features		e: PSYCHOTIC RAPY"	

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Drug	Indication (diagnosis)	FDA Approval	DRUGDEX Support for Off-Label Use	DRUGDEX Recommendation Level
Mellaril (thioridazin	e) - Antipsychotic; Phenothiazine; Piperidine			
	Behavioral Syndrome	No		Class IIII
	Schizophrenia, Refractory	Yes		
Orap (pimozide) - A	ntipsychotic; Diphenylbutylpiperidine; Dopamine Antago	onist		
	Gilles de la Tourette's syndrome	Yes (12 years and older)		
	Anorexia Nervosa	No		Class III
Paxil (paroxetine) - A	Antidepressant; Central Nervous System Agent; Serotonir	Reuptake Inhibitor		
Prozac (fluoxetine) -	Antidepressant; Central Nervous System Agent; Serotoni	in Reuptake Inhibitor		
	Anxiety Disorder of Childhood	No		Class IIb
	Major Depressive Disorder	Yes (for 8 years old and up)		
	Obsessive-Compulsive Disorder	Yes (for 7 years old and up		
	Severe Major Depression with Psychotic Features	"See Drug Consult Referenc DEPRESSION - DRUG THE		
Ritalin (methylpheni	date) - Amphetamine Related; Central Nervous System A	Agent; CNS Stimulant		
	Attention Deficit Hyperactivity Disorder (ADHD)	Yes (for 6 years to 12 years old)(exteded release) Yes (for 6 years old and		
	Attention Deficit Hyperactivity Disorder (ADHD)	up)(immediate release)		
	Narcolepsy	Yes (for 6 years and up, and Ritalin(R) -SR only)		
	Schizophrenia	No		Class IIII
D'	Traumatic Brain Injury	No		Class IIb
<b>Risperdal</b> (risperido	one) - Antipsychotic; Benzisoxazole			
	Autistic Disorder – Irritability	Yes (for 5 years old and up)		
	Bipolar I Disorder	Yes (for 10 years old and up)		
	Schizophrenia	Yes (for 13 years old and up, ORALLY)		
Seroquel (QUETIA)	PINE) - Antipsychotic; Dibenzothiazepine			
	Manic episodes associated with bipolar disorder	Yes, 10-17 (12/4/09)		
	Schizophrenia	Yes 13-17 (12/4/09)		
Sinequan (doxepin)	- Antianxiety Antidepressant; Antidepressant, Tricyclic;	Antiulcer Dermatological Agent		
	Alcoholism - Anxiety – Depression	Yes (for 12 years old and up)		
	Anxiety – Depression	Yes (for 12 years old and up)		
	Anxiety - Depression - Psychoneurotic personality disorder	Yes (for 12 years old and up)		

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Drug	Indication (diagnosis)	FDA Approval	DRUGDEX Support for Off-Label Use	DRUGDEX Recommendation Level
Strattera (atomoxetine				
	Attention Deficit Hyperactivity Disorder (ADHD) Attention Deficit Hyperactivity Disorder (ADHD) -	Yes (for 6 years old and up)		
	Social phobia	No		Class IIb
Symbyax (fluoxetine h	ydrochloride/olanzapine) - Antidepressant; Antipsycho	tic		
Tegretol (carbamazep	ine) - Anticonvulsant; Antimanic; Dibenzazepine Carbo	oxamide; Neuropathic Pain Agen	it	
	Epilepsy, Partial, Generalized, and Mixed types	Yes		
	Migraine; Prophylaxis			Class IIb
	Neuropathy, General			Class IIb
Tofranil (imipramine)	) - Antidepressant; Antidepressant, Tricyclic; Urinary E	Enuresis Agent		
	Attention Deficit Hyperactivity Disorder (ADHD), Predominantly Inattentive Type	No		Class IIb
	Depression	No		Class IIb
	Nocturnal enuresis	Yes (for 6 years old and up)		
	Separation Anxiety Disorder of Childhood	No		Class IIII
r	Schizophrenia, Adjunct	No		Class IIII
Trileptal (oxcarbazepine) - Anticonvulsant; Dibenzazepine Carboxamide				
	Partial Seizure, monotherapy	Yes (for 4 years old and up)		
	Partial seizure; Adjunct	Yes (for 2 years old and up)		
Vyvanse (lisdexamfeta	mine) - Amphetamine (class); CNS Stimulant			
	Attention Deficit Hyperactivity Disorder (ADHD)	Yes (for 6 years old to 12 years)		
Zoloft (sertraline) - Antidepressant; Central Nervous System Agent; Serotonin Reuptake Inhibitor				
<u> </u>	Obsessive-Compulsive Disorder	Yes (6 years old and up)		
	Anorexia nervosa	No		Class IIII
	Generalized Anxiety Disorder	No		Class IIb
	Major Depressive Disorder Severe Major Depression with Psychotic	No "See Drug Consult Reference		Class IIb
	Features	DEPRESSION - DRUG THE		
Zyprexa (olanzapine) - Antipsychotic; Thienobenzodiazepine				
	Schizophrenia	Yes (ages 13-17), approved 12/4/09		
	manic or mixed episodes associated with bipolar I disorder	Yes (ages 13-17), approved 12/4/09		
	Bipolar 1, Disorder, Acute Mixed or Manic Episodes	Not prior to 12/4/09	Class IIa	
	Pervasive Developmental Disorder	No		Class IIb
	Severe Major Depression with Psychotic Features	"See Drug Consult Reference DEPRESSION - DRUG THE		

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#### **DRUGDEX®** Consults

### **RECOMMENDATION, EVIDENCE AND EFFICACY RATINGS**

**<u>RESPONSE</u>** The Thomson Efficacy, Strength of Evidence and Strength of Recommendation definitions are outlined below:

Table 1. Strength Of Recommendation		
Class I	Recommended	The given test or treatment has been proven to be useful, and should be performed or administered.
Class IIa	Recommended, In Most Cases	The given test, or treatment is generally considered to be useful, and is indicated in most cases.
Class IIb	Recommended, In Some Cases	The given test, or treatment may be useful, and is indicated in some, but not most, cases.
Class III	Not Recommended	The given test, or treatment is not useful, and should be avoided.
Class Indeterminant	Evidence Inconclusive	

Table 2. S	Strength Of Evidence
A	Category A evidence is based on data derived from: Meta-analyses of randomized controlled trials with homogeneity with regard to the directions and degrees of results between individual studies. Multiple, well-done randomized clinical trials involving large numbers of patients.
В	Category B evidence is based on data derived from: Meta-analyses of randomized controlled trials with conflicting conclusions with regard to the directions and degrees of results between individual studies. Randomized controlled trials that involved small numbers of patients or had significant methodological flaws (e.g., bias, drop-out rate, flawed analysis, etc.). Nonrandomized studies (e.g., cohort studies, case-control studies, observational studies).
Category C	Category C evidence is based on data derived from: Expert opinion or consensus, case reports or case series.
No Evidence	

Table 3	Table 3. Efficacy				
Class I	Effective	Evidence and/or expert opinion suggests that a given drug treatment for a specific indication is effective			
Class Ila		Evidence and/or expert opinion is conflicting as to whether a given drug treatment for a specific indication is effective, but the weight of evidence and/or expert opinion favors efficacy.			
Class Ilb	Evidence is Inconclusive	Evidence and/or expert opinion is conflicting as to whether a given drug treatment for a specific indication is effective, but the weight of evidence and/or expert opinion argues against efficacy.			
Class III	Ineffective	Evidence and/or expert opinion suggests that a given drug treatment for a specific indication is ineffective.			

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May reduce the frequency, number and severity of manic episodes in patients with schizoaffective disorders c) Adult:

1) During the 26 to 51 months of VALPROIC ACID treatment of 15 patients with affective and SCHIZOAFFECTIVE DISORDERS, the authors observed reduction in the number, length and severity of affective episodes especially mania. In a few patients fragmentation of long and severe relapses into short and mild mania or depression occurred. The number and length of hospital admissions dropped in all patients (Puzynski & Klosiewicz, 1984).

2) Valproic acid, titrated to a serum level of 94 to 110 micrograms/milliliter, successfully treated AIDS-related mania in two case reports (RachBeisel & Weintraub, 1997).

3) Valproic acid 2000 milligrams/day was effective in the treatment of severe kleptomania and mixed mania refractory to fluoxetine in a 36-year-old female (Kmetz et al, 1997).

#### 4.5.A.13 Manic bipolar I disorder

a) Overview

FDA Approval: Adult, no; Pediatric, no

Efficacy: Adult, Evidence favors efficacy

- Recommendation: Adult, Class IIa
- Strength of Evidence: Adult, Category B
- See Drug Consult reference: RECOMMENDATION AND EVIDENCE RATINGS
- b) Summary:

Valproic acid has been used for mania secondary to bipolar disorder

c) Adult:

1) Valproic acid is indicated for the treatment of the manic episodes associated with BIPOLAR DISORDER. Valproic acid is effective in the treatment of patients suffering from bipolar disorder, even in those who have failed conventional therapy (Guay, 1995)(Fawcett, 1989; Brown, 1989; Post, 1989; McElroy et al, 1989; Calabrese & Delucchi, 1989), and in bipolar disorder secondary to head injury (Pope et al, 1988).

2) Four out of 5 acutely manic patients responded to intravenous valproate loading in an open study (Grunze et al, 1999). Five bipolar I patients received valproate 1200 or 1800 milligrams on day 1 followed by dosage individualization based on side effects. Their mean baseline Bech-Rafaelson Mania Rating Scale score was 30.2 which improved to 8 by day 5. One patient had actually been unresponsive to oral valproate. On day 5 most were switched to oral dosing. The authors believe that with the intravenous loading a quick saturation of plasma-binding proteins occurred which could have contributed to a beneficial action.

**3)** One uncontrolled study reported improvement in 5 of 7 patients with MANIA given VALPROIC ACID (up to 1500 milligrams daily) for 6 weeks. All patients had not responded to previous therapy with LITHIUM and neuroleptics (Prasad, 1984).

#### 4.5.A.14 Mental disorder - Mood disorder

- a) Overview
  - FDA Approval: Adult, no; Pediatric, no

Efficacy: Adult, Evidence is inconclusive; Pediatric, Evidence is inconclusive Recommendation: Adult, Class IIb; Pediatric, Class IIb Strength of Evidence: Adult, Catagon, C. Badiatric, Catagon, C.

- Strength of Evidence: Adult, Category C; Pediatric, Category C
- See Drug Consult reference: RECOMMENDATION AND EVIDENCE RATINGS
- b) Summary:
  - Useful in treatment of affective disorders in MENTALLY DEFICIENT PATIENTS
- c) Adult:

1) Although data is limited, valproic acid appears useful in the management of AFFECTIVE DISORDERS in mentally deficient children and adults. Valproic acid was noted in studies to have advantages over carbamazepine, lithium, and antipsychotics for use in mentally retarded patients since it does not carry the same risks of tremor, incontinence, cognitive impairment, worsening of mood, and increased seizures associated with other classes of medication (Kastner et al, 1990; Sovner, 1989).

2) Valproic acid was useful in 5 cases of BIPOLAR DISORDER in mentally deficient adults (1 patient with Fragile X syndrome, 2 with autistic disorder, two with rapidly cycling illness) (Sovner, 1989). Valproic acid was used in doses of 1000 to 2000 milligrams daily to maintain blood levels in the usual therapeutic range of 50 to 100 mcg/mL. In 4 of these cases, therapy with antipsychotic medications was continued. Four of the 5 patients showed a significant response to valproic acid with improvements in sleep cycle, maladaptive behaviors, distractability and assaultiveness; the other patient demonstrate only a moderate response. Antipsychotic medications were successfully tapered or discontinued in all of the patients.

d) Pediatric:

1) Significant improvement was seen with valproic acid in 3 mentally deficient children and adolescents with MOOD DISORDERS characterized by irritability, aggressiveness, SELF-INJURIOUS BEHAVIOR, hyperactivity and sleep disturbance; symptoms had been unresponsive to previous therapy or the patient had been unable to tolerate side effects associated with previous medications. Valproic acid 1500 to 3000 milligrams daily, at blood levels of 78 to 111 mcg/mL, produced significant improvement in all 3 patients (Kastner et al, 1990).

#### 4.5.A.15 Migraine; Prophylaxis

a) Overview

FDA Approval: Adult, no; Pediatric, no Efficacy: Adult, Effective; Pediatric, Evidence favors efficacy Recommendation: Adult, Class IIb; Pediatric, Class IIb Strength of Evidence: Adult, Category B; Pediatric, Category B

See Drug Consult reference: RECOMMENDATION AND EVIDENCE RATINGS

b) Summary:

Provides a 50% or greater reduction in migraine frequency Safe and effective in adults and children Effective for prophylaxis of migraine induced by a SELECTIVE SEROTONIN REUPTAKE INHIBITOR